

Lecture 10: Universal Properties II, Exponentials and Predicators

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1 Exponential

1.1 Definition

Let \mathcal{C} has binary products. An exponential of $a, b \in \mathcal{C}_0$ is

1. An object $e \in \mathcal{C}_0$ and
2. A morphism $\text{app} : e \times a \rightarrow b$ such that for any $f : v \times a \rightarrow b$, $\exists! \lambda f : v \rightarrow e$ so that the following diagram commutes.

$$\begin{array}{ccc}
 e \times a & \xrightarrow{\text{app}} & b \\
 (\lambda f \circ \pi_1, \pi_2) \uparrow & \nearrow f & \\
 v \times a & &
 \end{array}$$

One may make an analogy to the Heyting implication e between a and b where $e \wedge a \leq b$ and for any $x \wedge a \leq b$, $x \leq e$.

1.2 Uniqueness of Exponential

Consider two exponential objects (e, app, λ) and $(e', \text{app}', \lambda')$ of a and b . Then e and e' are isomorphic with the morphisms being $\lambda \text{app}' : e' \rightarrow e$ and $\lambda' \text{app} : e \rightarrow e'$. By symmetry it suffices to show that $\lambda \text{app}' \circ \lambda' \text{app} = \text{id}_e : e \rightarrow e$.

To prove this it is sufficient to show that picking either of $g = \lambda \text{app}' \circ \lambda' \text{app}$ or $g = \text{id}_e$ makes the following diagram commute:

$$\begin{array}{ccc}
 e \times a & & \\
 (g \circ \pi_1, \pi_2) \uparrow & \searrow \text{app} & \\
 e \times a & \xrightarrow{\text{app}} & b
 \end{array}$$

First, to show that id_e makes the diagram commute, it is sufficient to show that $(\text{id}_e \circ \pi_1, \pi_2) = \text{id}_{e \times a}$. To prove this, it is sufficient by the universal property of the *product* to show that

$$\pi_1 \circ (\text{id}_e \circ \pi_1, \pi_2) = \pi_1 \circ \text{id}_e$$

and

$$\pi_2 \circ (\text{id}_e \circ \pi_1, \pi_2) = \pi_2 \circ \text{id}_e$$

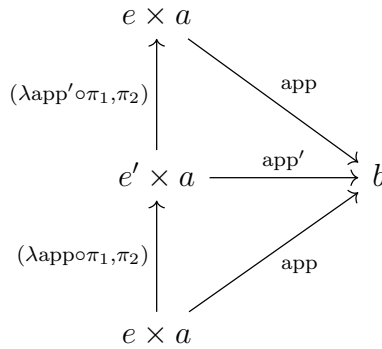
Which follows by definition of the pairing $(-, =)$ operation.

Next, we need to show that

$$\text{app} \circ (\lambda \text{app}' \circ \lambda' \text{app} \circ \pi_1, \pi_2) = \text{app}$$

$$\begin{aligned} \text{app} \circ (\lambda \text{app}' \circ \lambda' \text{app} \circ \pi_1, \pi_2) &= \text{app} \circ (\lambda \text{app}' \circ \pi_1, \pi_2) \circ (\lambda' \text{app} \circ \pi_1, \pi_2) \quad (\text{see below}) \\ &= \text{app}' \circ (\lambda' \text{app} \circ \pi_1, \pi_2) \quad (\text{property of } \lambda \text{app}') \\ &= \text{app} \quad (\text{property of } \lambda' \text{app}') \\ &= \text{app} \circ \text{id}_e \end{aligned}$$

Besides the unjustified first step, this argument is neatly described by the following diagram:



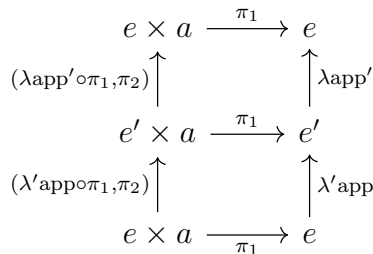
It remains to show that

$$(\lambda \text{app}' \circ \lambda' \text{app} \circ \pi_1, \pi_2) = (\lambda \text{app}' \circ \pi_1, \pi_2) \circ (\lambda' \text{app} \circ \pi_1, \pi_2) : e \times a \rightarrow e \times a$$

By the universal property of a *product* it suffices to show they are equal when applying π_1 and π_2 . First,

$$\begin{aligned} \pi_1 \circ (\lambda \text{app}' \circ \lambda' \text{app} \circ \pi_1, \pi_2) &= \lambda \text{app}' \circ \lambda' \text{app} \circ \pi_1 \\ &= \lambda \text{app}' \circ \pi_1 \circ (\lambda' \text{app} \circ \pi_1, \pi_2) \\ &= \pi_1 \circ (\lambda \text{app}' \circ \pi_1, \pi_2) \circ (\lambda' \text{app} \circ \pi_1, \pi_2) \end{aligned}$$

the last two steps are described in the diagram:



Next,

$$\begin{aligned} \pi_2 \circ (\lambda \text{app}' \circ \lambda' \text{app} \circ \pi_1, \pi_2) &= \pi_2 \\ &= \pi_2 \circ (\lambda' \text{app} \circ \pi_1, \pi_2) \\ &= \pi_2 \circ (\lambda \text{app}' \circ \pi_1, \pi_2) \circ (\lambda' \text{app} \circ \pi_1, \pi_2) \end{aligned}$$

where the last two steps are described in the diagram:

$$\begin{array}{ccc} & e \times a & \\ & \uparrow (\lambda \text{app}' \circ \pi_1, \pi_2) & \searrow \pi_2 \\ & e' \times a & \xrightarrow{\pi_2} a \\ & \uparrow (\lambda' \text{app} \circ \pi_1, \pi_2) & \nearrow \pi_2 \\ & e \times a & \end{array}$$

Therefore, the exponential object is unique up to isomorphism. Additionally it is unique up to *unique* isomorphism $i : e \rightarrow e'$ satisfying $\text{app} \circ (i \circ \pi_1, \pi_2) = \text{app}'$, since this is the unique morphism satisfying the property at all.

1.3 Examples

- In **Set**, the exponential of set A and B is the set of functions $B^A = \{f : A \rightarrow b\}$.
- In **Gph**, the exponential H^G of graphs G and H can be constructed as

$$\begin{aligned} (H^G)_v &= H_v^{G_v} \\ (H^G)_e(f, g) &= \prod_{v \in G_v} H_e(f(v), g(v)) \end{aligned}$$

- In **Mon**, there is no general exponential.

1.4 Free Monoid

For any $A \in \mathbf{Set}$, we have $\mathbf{List}A \in \mathbf{Mon}$ defined as the lists of elements in A with concatenation operation. This monoid is called the *free* monoid over A because it satisfies the following property:

1. A morphism $\mathbf{single} : A \rightarrow |\mathbf{List}A|$ that maps $a \in A$ to a singleton list (a) and
2. For any $f : A \rightarrow |M|$, $\exists! \bar{f} : \mathbf{Mon}(\mathbf{List}A, M)$, such that $f = \mathbf{single} \circ |\bar{f}|$.

By a similar argument if we had a different monoid L' with function $s : A \rightarrow L'$ such that for any $f : A \rightarrow |M|$, $\exists! \bar{f}' : \mathbf{Mon}(L', M)$ satisfying $f = s \circ |\bar{f}'|$, then we would be able to show that $\mathbf{List}A$ is unique up to unique s/\mathbf{single} -preserving isomorphism $\overline{\mathbf{single}'}$

2 Predicators

2.1 Meet and Down Set

For $S \subseteq |P|$, the meet m of S is the greatest lower bound of S , that is,

1. m is a lower bound for S in that $\forall x \in S, m \leq x$
2. m is greater than any other lower bound: $\forall y, (\forall x \in S, y \leq x) \Rightarrow y \leq m$

Define the down set of S as

$$\downarrow S := \{p \in |P| : \forall x \in S, p \leq x\}$$

Then we can equivalently define that m is the meet of S when it is the *greatest* element of $\downarrow S$:

1. First, m is an element of $\downarrow S$: $m \in \downarrow S$
2. Next, it is the greatest element: $x \in \downarrow S \Rightarrow x \leq m$

$\downarrow S$ has the property of being *downward-closed*: $\forall x \in \downarrow S, y \leq x \Rightarrow y \in \downarrow S$.

Then we are able to describe all of our connectives in IPL by saying that they are greatest elements of some downward closed set:

- A top element \top is the greatest element of the entire set $|P|$ (trivially downward closed).
- A binary meet $x \wedge y$ is the greatest element of the downward closed set of lower bounds of x and y : $\{z | z \leq x \wedge z \leq y\}$
- A Heyting implication $x \Rightarrow y$ is the greatest element of the downward closed set $\{z | z \wedge x \leq y\}$

Or, dually, that they are *least* elements of an *upward* closed set:

- A bottom element \perp is the least element of all of $|P|$.
- A join $x \vee y$ is the least element of $\{z | x \leq z \wedge y \leq z\}$

2.2 Predicator

Now we will develop a generalization of downward-closed sets that will allow us to unify all of the different universal properties we've seen so far in the same way that downward-closed sets generalized all connectives in IPL.

We call this notion a *predicator*¹ on the category. We call them predicators as they generalize predicates in a similar way that functors generalize functions.

A predicator P on a category \mathcal{C} consists of

¹these are more commonly called *presheaves* but then you'd ask what a sheaf is, which won't be relevant until maybe the last week of the course.

1. $\forall a \in \mathcal{C}$, a set $P(a)$
2. An operation $*^{ab} : P(b) \times \mathcal{C}(a, b) \rightarrow P(a)$ which satisfies

$$\begin{aligned}\varphi * \text{id}_b &= \varphi \\ \varphi * (f \circ g) &= (\varphi * f) * g\end{aligned}$$

We think of $*$ here as a kind of “composition” operation between elements of the sets $P(b)$ and real morphisms $f \in \mathcal{C}(a, b)$. Then the algebraic identities that we ask to be satisfied are the two of the three category axioms that make sense for the $*$ operation.

To get a feel for predicates, we consider our two extreme special cases: one-object categories, i.e., monoids, and thin categories, i.e., preorders.

If \mathcal{C} has one object \cdot , or equivalently, $\mathcal{C}(\cdot, \cdot)$ is a monoid with neutral element e and multiplication \otimes , a predictor would be just a single operation $* : P(\cdot) \times \mathcal{C}(\cdot, \cdot) \rightarrow \mathcal{C}(\cdot, \cdot)$ satisfying

$$\begin{aligned}\varphi * e &= \varphi \\ \varphi * (f \otimes g) &= (\varphi * f) * g\end{aligned}$$

In this case, the predictor P is precisely an *action* of monoid $\mathcal{C}(\cdot, \cdot)$ on the set $P(\cdot)$. If the monoid is a group, this is called a group action. Analogously, a predictor could be called a category action.

Next consider if \mathcal{C} is a thin category, i.e., a preorder *and* we have a presheaf P where each set $P(a)$ has at most one element ($\forall a \in \mathcal{C}, |P(a)| \leq 1$). Then P is really a kind of predicate on objects of the set, we can think of the predicate as true if $P(a)$ is inhabited and false if it is not. Then the $*$ operation means that if $P(a)$ is inhabited and $b \leq a$ then $P(b)$ is inhabited. Then we see that such a predictor P determines to a downward-closed subset of the objects of \mathcal{C} .

A predictor P on \mathcal{C} is **just** the same data as $\mathcal{P} : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$. The action on objects gives our $P(a)$ and the functorial action is equivalent to the $*$ operation but in a different order:

$$\begin{aligned}\mathcal{P}_0(a) &= P(a) \\ \mathcal{P}_1(f : a \rightarrow b)(\varphi \in P(b)) &= \varphi * f \in P(a)\end{aligned}$$

Then the functoriality laws correspond precisely to our rules for predictors:

$$\begin{aligned}\mathcal{P}_1(\text{id}_a)(\phi) &= \text{id}_{\mathcal{P}_0(a)}(\phi) = \phi = \phi * \text{id}_a \\ \mathcal{P}_1(f \circ g)(\phi) &= (\mathcal{P}_1(g) \circ \mathcal{P}_1(f))(\phi) = \mathcal{P}_1(g)(\mathcal{P}_1(f)(\phi)) = \mathcal{P}_1(g)(\phi * f) = \phi * f * g\end{aligned}$$

2.3 STT Terms as a Predictor

In PS2, we have described the category Ctx of context in STT where the objects are contexts and the morphisms are general substitution. Notice that for any fixed type A :

1. For any context Γ , the terms on it $\text{Term}_A(\Gamma) = \{M \mid \Gamma \vdash M : A\}$ form a set,

2. We have an operation of substitution into a term that takes a term $M \in \text{Term}_A(\Gamma)$ and a substitution $\gamma : \Delta \rightarrow \Gamma$ and gives us

$$M[\gamma] \in \text{Term}_A(\Delta)$$

3. Furthermore, we shows that this satisfies two equations:

$$\begin{aligned} M[\text{id}_\Gamma] &= M \\ M[\gamma \circ \delta] &= M[\gamma][\delta] \end{aligned}$$

Therefore, the terms of any fixed type along with action of substitutions form a predicator on the category of contexts and substitutions.