

# Simple Type Theory, Full Rules

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In simple type theory STT we have three fundamental notions: types  $A$ , terms  $\Gamma \vdash M : A$  and equalities between terms  $\Gamma \vdash M = N : A$ .

As with IPL, the different connectives of STT ( $1, \times, 0, +, \Rightarrow$ ) are all presented independently, and so the system makes sense for any choice of which connectives to include. If we are speaking of a subsystem we will write the connectives explicitly, for instance  $\text{STT}(1, \times, \Rightarrow)$  is simple type theory with only the singleton, product and function types. If we write STT by itself, it should mean the full system  $\text{STT}(1, \times, 0, +, \Rightarrow)$ .

In IPL in addition to the connectives we also considered propositional variables and axioms, which we grouped together as a *signature*  $\Sigma = (\Sigma_0, \Sigma_1)$ .

In STT, we have an analogous notion of signature which includes three things: base types, function symbols, and equational axioms. The base types are the analog of propositional variables, function symbols are the analog of IPL axioms, and equational axioms are fundamentally new.

**Definition 1.** *Given a set  $\Sigma_0$  of base types, an STT type is one inductively generated by the base types in  $\Sigma_0$ ,  $0, 1$  and closed under  $\times, +, \Rightarrow$ . We call this set  $\text{STT}(\Sigma_0)_{ty}$ .*

*An analogous definition can be provided for any subsystem of STT. For instance  $\text{STT}(1, \times, \Rightarrow)(\Sigma_0)_{ty}$  is the set inductively generated by the base types in  $\Sigma_0$ ,  $1$  and closed under  $\times, \Rightarrow$ .*

**Definition 2.** *Given a set  $\Sigma_0$  of base types, an arity is a pair of a finite sequence of STT types  $\text{STT}(\Sigma_0)_{ty}^*$  and an output type  $\text{STT}(\Sigma_0)_{ty}$ . A function symbol is a name  $f$  and an arity. We write this as  $f : A_0, \dots \rightarrow B$ .*

*A set of function symbols relative to  $\Sigma_0$  is a set  $\Sigma_1$  of function symbols all of whose names are different.*

*Given a set of base types  $\Sigma_0$  and a set  $\Sigma_1$  of function symbols relative to  $\Sigma_1$  we can define the terms  $\Gamma \vdash_{\text{STT}(\Sigma_0, \Sigma_1)} M : A$  to be generated by the rules of simple type theory and the function symbol application rule:*

$$\frac{f : A_0, \dots \rightarrow B \in \Sigma_1 \quad \Gamma \vdash_{\text{STT}(\Sigma_0, \Sigma_1)} M_0 : A_0 \quad \dots}{\Gamma \vdash_{\text{STT}(\Sigma_0, \Sigma_1)} f(M_0, \dots) : B}$$

*If  $\Sigma_0, \Sigma_1$  are clear from the context then we will simply write  $\Gamma \vdash M : A$ .*

**Definition 3.** Given a set  $\Sigma_0$  of function symbols and a set  $\Sigma_1$  of axioms relative to it, an equational axiom is a quadruple  $(\Gamma, A, M, N)$  where  $\Gamma, A$  are well-formed relative to  $\Sigma_0$  and  $\Gamma \vdash M : A$  and  $\Gamma \vdash N : A$  are well-formed relative to  $\Sigma_0, \Sigma_1$ .

**Definition 4.** An STT signature  $\Sigma$  is a triple  $(\Sigma_0, \Sigma_1, \Sigma_2)$  of a set  $\Sigma_0$  of base types, a set  $\Sigma_1$  of function symbols relative to  $\Sigma_0$  and a set  $\Sigma_2$  of equational axioms relative to  $\Sigma_0, \Sigma_1$ .

We write  $STT(\Sigma)$  for the system of types, terms and equations generated by simple type theory under  $\Sigma$ .

Now fix a signature  $\Sigma = (\Sigma_0, \Sigma_1, \Sigma_2)$ .

Types (written  $A, B, C$ ) are inductively generated as follows

$$\begin{array}{c} \frac{X \in \Sigma_0}{X \text{ type}} \quad \frac{}{1 \text{ type}} \quad \frac{A \text{ type} \quad B \text{ type}}{A \times B \text{ type}} \quad \frac{A \text{ type} \quad B \text{ type}}{A \Rightarrow B \text{ type}} \quad \frac{}{0 \text{ type}} \\ \\ \frac{A \text{ type} \quad B \text{ type}}{A + B \text{ type}} \end{array}$$

Contexts (written  $\Gamma, \Delta, \Xi$ ) are inductively generated as follows:

$$\cdot \text{ type} \quad \frac{\Gamma \text{ type} \quad A \text{ type}}{\Gamma, x : A \text{ type}}$$

where the variable  $x$  is assumed never to occur in  $\Gamma$ .

Terms are inductively generated as follows:

$$\begin{array}{c} \frac{x : A \in \Gamma}{\Gamma \vdash x : A} \text{ ASSUMPTION} \quad \frac{f : A_0, \dots \rightarrow B \in \Sigma_1 \quad \Gamma \vdash M_0 : A_0 \quad \dots}{\Gamma \vdash f(M_0, \dots) : B} \text{ FUNSYMBOL} \\ \\ \frac{}{\Gamma \vdash () : 1} \text{ 1I} \quad \frac{\Gamma \vdash M : 0}{\Gamma \vdash \text{case}_0 M \{ \} : B} \text{ 0E} \\ \\ \frac{\Gamma \vdash M_1 : A_1 \quad \Gamma \vdash M_2 : A_2}{\Gamma \vdash (M_1, M_2) : A_1 \times A_2} \times\text{I} \quad \frac{\Gamma \vdash N : A_1 \times A_2}{\Gamma \vdash \pi_1 N : A_1} \times\text{E1} \quad \frac{\Gamma \vdash N : A_1 \times A_2}{\Gamma \vdash \pi_2 N : A_2} \times\text{E2} \\ \\ \frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x. M : A \Rightarrow B} \Rightarrow\text{I} \quad \frac{\Gamma \vdash M : A \Rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash M N : B} \Rightarrow\text{E} \\ \\ \frac{\Gamma \vdash M_1 : A_1}{\Gamma \vdash i_1 M_1 : A_1 + A_2} +\text{I1} \quad \frac{\Gamma \vdash M_2 : A_2}{\Gamma \vdash i_2 M_2 : A_1 + A_2} +\text{I2} \\ \\ \frac{\Gamma \vdash M : A_1 + A_2 \quad \Gamma, x_1 : A_1 \vdash N_1 : B \quad \Gamma, x_1 : A_1 \vdash N_2 : B}{\Gamma \vdash \text{case}_+ M \{ i_1 x_1 \rightarrow N_1 \mid i_2 x_2 \rightarrow N_2 \} : B} +\text{E} \end{array}$$

Where in the  $\lambda$  rule,  $x$  is assumed not to occur in  $\Gamma$  and in the  $+E$  rule,  $x_1, x_2$  are similarly assumed not to occur in  $\Gamma$ .

A substitution  $\gamma : \Delta \rightarrow \Gamma$  is a function that takes every  $x : A \in \Gamma$  to a well-typed term  $\Delta \vdash \gamma(x) : A$ . This can equivalently be described inductively as follows:

$$\frac{}{\cdot : \Delta \rightarrow \cdot} \qquad \frac{\gamma : \Delta \rightarrow \Gamma \quad \Delta \vdash M : A}{\gamma, M/x : \Delta \rightarrow \Gamma, x : A}$$

And finally the equational theory of STT. The rules come in three groups. First the logical rules: reflexivity, transitivity, symmetry and the axioms.

$$\frac{\Gamma \vdash M : A}{\Gamma \vdash M = M : A} \text{REFL} \qquad \frac{\Gamma \vdash N = M : A}{\Gamma \vdash M = N : A} \text{SYM}$$

$$\frac{\Gamma \vdash M = N : A \quad \Gamma \vdash N = P : A}{\Gamma \vdash M = P : A} \text{TRANS} \qquad \frac{(\Gamma, A, M, N) \in \Sigma_2}{\Gamma \vdash M = N : A} \text{AX}$$

Then the congruence rules for each term former and substitution:

$$\begin{array}{c}
\frac{\Gamma, x : A \vdash M = M' : B \quad \Gamma \vdash N = N' : A}{\Gamma \vdash M[N/x] = M'[N'/x] : B} \text{SUBSTCONG} \\
\\
\frac{f : A_0, \dots \rightarrow B \in \Sigma_1 \quad \Gamma \vdash M_0 = M'_0 : A_0 \quad \dots}{\Gamma \vdash f(M_0, \dots) = f(M'_0, \dots) : B} \text{FUNSYMBCONG} \\
\\
\frac{\Gamma \vdash M = M' : 0}{\Gamma \vdash \text{case}_0 M\{\} = \text{case}_0 M'\{\} : B} \text{0ECONG} \\
\\
\frac{\Gamma \vdash M_1 = M'_1 : A_1 \quad \Gamma \vdash M_2 = M'_2 : A_2}{\Gamma \vdash (M_1, M_2) = (M'_1, M'_2) : A_1 \times A_2} \times\text{ICONG} \\
\\
\frac{\Gamma \vdash N = N' : A_1 \times A_2}{\Gamma \vdash \pi_1 N = \pi_1 N' : A_1} \times\text{E1CONG} \quad \frac{\Gamma \vdash N = N' : A_1 \times A_2}{\Gamma \vdash \pi_2 N = \pi_2 N' : A_2} \times\text{E2CONG} \\
\\
\frac{\Gamma, x : A \vdash M = M' : B}{\Gamma \vdash \lambda x. M = \lambda x. M' : A \Rightarrow B} \Rightarrow\text{ICONG} \\
\\
\frac{\Gamma \vdash M = M' : A \Rightarrow B \quad \Gamma \vdash N = N' : A}{\Gamma \vdash M N = M' N' : B} \Rightarrow\text{ECONG} \\
\\
\frac{\Gamma \vdash M_1 = M'_1 : A_1}{\Gamma \vdash i_1 M_1 = i_1 M'_1 : A_1 + A_2} +\text{I1CONG} \quad \frac{\Gamma \vdash M_2 = M'_2 : A_2}{\Gamma \vdash i_2 M_2 = i_2 M'_2 : A_1 + A_2} +\text{I2CONG} \\
\\
\frac{\Gamma \vdash M = M' : A_1 + A_2 \quad \Gamma, x_1 : A_1 \vdash N_1 = N'_1 : B \quad \Gamma, x_1 : A_1 \vdash N_2 = N'_2 : B}{\Gamma \vdash \text{case}_+ M\{i_1 x_1 \rightarrow N_1 \mid i_2 x_2 \rightarrow N_2\} = \text{case}_+ M'\{i_1 x'_1 \rightarrow N'_1 \mid i_2 x'_2 \rightarrow N'_2\} : B} +\text{ECONG}
\end{array}$$

Finally the  $\beta\eta$  rules.

$$\begin{array}{c}
\frac{\Gamma \vdash M : 1}{\Gamma \vdash M = () : 1} 1\eta \qquad \frac{\Gamma \vdash M_1 : A_1 \quad \Gamma \vdash M_2 : A_2}{\Gamma \vdash \pi_1(M_1, M_2) = M_1 : A_1} \times\beta 1 \\
\frac{\Gamma \vdash M_1 : A_1 \quad \Gamma \vdash M_2 : A_2}{\Gamma \vdash \pi_2(M_1, M_2) = M_2 : A_2} \times\beta 2 \qquad \frac{\Gamma \vdash M : A_1 \times A_2}{\Gamma \vdash M = (\pi_1 M, \pi_2 M) : A_1 \times A_2} \times\eta \\
\frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash N : A}{\Gamma \vdash (\lambda x. M) N = M[N/x] : B} \Rightarrow \beta \qquad \frac{\Gamma \vdash M : A \Rightarrow B}{\Gamma \vdash M = \lambda x. M x : A \Rightarrow B} \Rightarrow \eta \\
\frac{x : 0 \in \Gamma \quad \Gamma \vdash M : B}{\Gamma \vdash M = \text{case}_0 x \{ \} : B} 0\eta \\
\frac{\Gamma \vdash M_1 : A_1 \quad \Gamma, x_1 : A_1 \vdash N_1 : B \quad \Gamma, x_2 : A_2 \vdash N_2 : B}{\Gamma \vdash \text{case}_+ i_1 M_1 \{ i_1 x_1 \rightarrow N_1 \mid i_2 x_2 \rightarrow N_2 \} = N_1[M_1/x_1] : B} +\beta 1 \\
\frac{\Gamma \vdash M_2 : A_2 \quad \Gamma, x_1 : A_1 \vdash N_1 : B \quad \Gamma, x_2 : A_2 \vdash N_2 : B}{\Gamma \vdash \text{case}_+ i_2 M_2 \{ i_1 x_1 \rightarrow N_1 \mid i_2 x_2 \rightarrow N_2 \} = N_1[M_1/x_1] : B} +\beta 1 \\
\frac{x : A_1 + A_2 \in \Gamma \quad \Gamma \vdash M : B}{\Gamma \vdash M = \text{case}_+ x \{ i_1 x_1 \rightarrow M[i_1 x_1/x] \mid i_2 x_2 \rightarrow M[i_2 x_2/x] \} : B} +\eta
\end{array}$$

The following equational reasoning principles are then admissible:

$$\begin{array}{c}
\frac{\Gamma \vdash M : 1 \quad \Gamma \vdash N : 1}{\Gamma \vdash M = N : 1} 1\eta_{\text{ALT}} \\
\frac{\Gamma \vdash M : A_1 \times A_2 \quad \Gamma \vdash N : A_1 \times A_2 \quad \Gamma \vdash \pi_1 M = \pi_1 N : A_1 \quad \Gamma \vdash \pi_2 M = \pi_2 N : A_2}{\Gamma \vdash M = N : A_1 \times A_2} \times\eta_{\text{ALT}} \\
\frac{\Gamma \vdash M : A \Rightarrow B \quad \Gamma \vdash N : A \Rightarrow B \quad \Gamma, x : A \vdash M x = N x : B}{\Gamma \vdash M = N : A \Rightarrow B} \Rightarrow \eta_{\text{ALT}} \\
\frac{\Gamma \vdash P : 0 \quad \Gamma \vdash M : B \quad \Gamma \vdash N : B}{\Gamma \vdash M = N : B} 0\eta_{\text{ALT}} \\
\frac{\Gamma \vdash P : A_1 + A_2 \quad \Gamma, x : A_1 + A_2 \vdash M : B \quad \Gamma, x : A_1 + A_2 \vdash N : B \quad \Gamma, x_1 : A_1 \vdash M[i_1 x_1/x] = N[i_1 x_1/x] : B \quad \Gamma, x_2 : A_2 \vdash M[i_2 x_2/x] = N[i_2 x_2/x] : B}{\Gamma \vdash M[P/x] = N[P/x] : B} +\eta_{\text{ALT}}
\end{array}$$