

# Lecture 12: Initiality of STLC

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Things we need to remember about presheafs:

1. A presheaf is a functor  $P : \mathcal{C}^{\text{op}} \rightarrow \text{Set}$
2. A presheaf *is* a universal property
3. An object  $x \in \mathcal{C}$  “has” the universal property  $P$  if

$$\text{Yoneda}_x \cong P$$

4. Equivalently, given a universal element  $\eta : P(x)$ , then  $\eta \circ_p - : \text{Yoneda}_x \rightarrow P$  is a natural isomorphism

## 1 Weak Initiality of $\text{IPL}(\Sigma)$

1.  $\text{IPL}(\Sigma)$  form a biHeyting (pre)algebra, “tautological interpretation” is self of  $\Sigma$  in  $\text{IPL}(\Sigma)$ .
2. For all interpretations  $\sigma$  in a biHeyting prealgebra  $P$ ,

(a)  $\llbracket \cdot \rrbracket : \text{IPL}(\Sigma) \rightarrow P$

- i. monotone
- ii. preserves biHeyting algebra structure; sends meets to meets, joins to joins, etc.
- iii. preserves the interpretation

- (b) We have a unique such function (up to  $\leq, \geq$ )

Syntax	Sound & Complete Model	Typical Model
$\text{STLC}(0, +, 1, \times, \implies)$	$\text{SCwF}(0, +, 1, \times, \implies)$	BiCartesian Closed Category
$\text{STLC}(\emptyset)$	$\text{SCwF}$	Cartesian Categories
$\text{STLC}(1, \times, \implies)$	$\text{SCwF}(1, \times, \implies)$	Cartesian Closed Category
$\text{STLC}(0, +, 1, \times)$	$\text{SCwF}(0, +, 1, \times)$	Distributive BiCartesian Category
$\text{STLC}(1, \times)$	$\text{SCwF}(1, \times)$	Cartesian Categories

**Definition 1** (biCartesian closed category). A biCartesian closed category is a category  $\mathcal{C}$  with  $\forall A, B \in \mathcal{C}$  we have

- a coproduct,  $A + B$ , along with inclusion maps  $\sigma_1, \sigma_2$
- a product  $A \times B$ , along with projection maps  $\pi_1, \pi_2$
- exponentials  $A \Rightarrow B$ , along with an evaluation map,  $\text{eval} : B^A \times A \rightarrow B$

Initial and terminal objects  $1, 0$ .

- Cartesian:  $1, A \times B$
- coCartesian:  $0, A + B$
- Cartesian closed:  $1, A \times B, A \Rightarrow B$

**Definition 2** (Distributive coproducts, initial objects). Let  $\mathcal{C}$  be a Cartesian category. A coproduct  $A \times B$  in  $\mathcal{C}$  is distributive when  $\forall C$ , we have

$$(A + B) \times C \xleftarrow{\sim} (A \times C) + (B \times C)$$

as an isomorphism. An initial object is given when

$$0 \times C \xleftarrow{\sim} 0$$

is an isomorphism.

Coproduct is a “left-handed” universal property, meaning it’s easy to map *out* of it. We can simply handle cases.

**Theorem 1.** Every biCartesian closed category is distributive.

*Proof.* First, let’s show that  $0 \times C \xleftarrow{\sim} 0$  is an isomorphism. The Yoneda embedding is fully-faithful, so, it suffices to show that  $\text{Yoneda}^{\text{op}}(0 \times C) \xleftarrow{\sim} \text{Yoneda}^{\text{op}}0$ . For all  $X \in \mathcal{C}$ , we have

$$\begin{aligned} \mathcal{C}(0 \times C, X) &\cong \mathcal{C}(0, X^C) \\ &\cong 1 \\ &\cong \mathcal{C}(0, X) \end{aligned}$$

Now, to show that  $(A + B) \times C \xleftarrow{\sim} (A \times C) + (B \times C)$  an isomorphism, we have

$$\begin{aligned} \mathcal{C}((A + B) \times C, X) &\cong \mathcal{C}(A + B, X^C) \\ &\cong \mathcal{C}(A, X^C) \times \mathcal{C}(B, X^C) \\ &\cong \mathcal{C}(A \times C, X) \times \mathcal{C}(B \times C, X) \\ &\cong \mathcal{C}((A \times C) + (B \times C), X) \end{aligned}$$

□

**Definition 3** (Simple Category with Families). *A simple category with families (SCwF),  $S$  consists of*

1. *A set  $S_t$  of “types”*
2. *A category  $S_C$  of “contexts and substitutions”*
3. *For every  $A \in S_t$ , we have a “presheaf of terms”,  $\text{Tm}(A)$  on  $S_C$*
4.  *$S_C$  has a terminal object  $\bullet \in S_C$*
5.  *$\forall \Gamma \in S_C, A \in S_t$ , we have a “product context”,  $\Gamma \times A$  such that  $\text{Yoneda}(\Gamma \times A) \cong \text{Yoneda } \Gamma \times \text{Tm}(A)$ . I.e.,  $S_C(\Delta, \Gamma \times A) \cong S_C(\Delta, \Gamma) \times \text{Tm}(A)\Delta$ .*

Let us define a SCwF called  $\text{STLC}(\Sigma)(\dots(\text{connectives}))$

1. Types are types of STLC
2. Contexts are (syntactic) contexts  $\Gamma$ . As in PS2, for  $\gamma : \Delta \rightarrow \Gamma$ , and  $\forall (x : A) \in \Gamma$ , we have  $\Delta \vdash \gamma(x) : A$ .
3.  $\text{Tm}(A)(\Gamma) := \{M \mid \Gamma \vdash M : A\}$ , for  $M \in \text{Tm}(A)(\Gamma)$  and  $\gamma : \Delta \rightarrow \Gamma$ , we have presheaf action  $M \circ \gamma := M[\gamma]$
4. We have the terminal context  $\Gamma \rightarrow \bullet \cong 1$ .
5. Let  $\Gamma, A$ . We want to construct a context,  $\Gamma \times A$ , such that  $(\Delta \rightarrow \Gamma \times A) \cong (\Delta \rightarrow \Gamma) \times (\Delta \vdash \bullet : A)$ . We can define  $\Gamma \times A := \Gamma, x \in A$  by extending  $\Gamma$  with a free variable of the type  $A$ . Given  $\gamma : \Delta \rightarrow \Gamma$  and  $\Delta \vdash M : A$ , we have  $\gamma, M/x : \Delta \rightarrow \Gamma, x \in A$ .

Let  $\mathcal{C}$  be a Cartesian category. We will define a SCwF called  $\text{dem}(\mathcal{C})$ .

1. Types are objects of  $\mathcal{C}$
2.  $\text{dem}(\mathcal{C})_C := \mathcal{C}$
3.  $\forall A \in C_0$  we have  $\text{dem}(\text{Tm}(A)) = \text{Yoneda}(A) : \text{Psh}(\mathcal{C})$
4. Terminal object, free
5.  $\Gamma \times A$ , free

Fix a SCwF  $S$ .

1. For  $A, B \in S_t$ , we define a product type  $A \times B \in S_t$  with  $\text{Tm}_S(A \times B) \cong \text{Tm}_S A \times \text{Tm}_S B$ . I.e.,  $\forall \Gamma$ , we have  $\text{Tm}_S(A \times B)(\Gamma) \cong (\text{Tm}_S A)(\Gamma) \times (\text{Tm}_S B)(\Gamma)$

**Theorem 2.**  *$\text{dem}(\mathcal{C})$  always has product types  $A \times B$ , which are simply given by their product in  $\mathcal{C}$ .*

**Theorem 3.** *STLC( $\dots, \times$ ) has products*

$$\Gamma \vdash \bullet : A \times B \cong (\Gamma \vdash \bullet : A) \times (\Gamma \vdash \bullet : B)$$

*Right to left corresponds to the product introduction rule, i.e.,  $(M, N)[\gamma] = (M[\gamma], N[\gamma])$ .*

*Left to right corresponds to the product elimination rules, i.e.,  $(\pi_i M)[\gamma] = \pi_i(M[\gamma])$ .*

*A unit type in  $S$  is a type  $1 \in S_t$ . We have*

$$\begin{aligned} \text{Tm}1 &\cong 1 \\ (\text{Tm}1)(\Gamma) &\cong \{+\} \end{aligned}$$

*A function type  $A \Rightarrow B \in S$ , we have  $\text{Tm}(A \Rightarrow B)(\Gamma) \cong \text{Tm}(B)(\Gamma \times A)$*

**Theorem 4.** *If STLC has function types in the syntactic sense then SCwF STLC has function types in the semantic sense. I.e.,  $(Mx)[\gamma, x/x] = M[\gamma]x$*

In a SCwF  $S$  with types  $A, C$ , we have a “continuation presheaf”,  $\text{Cont}AB$  on  $S_c$  with  $(\text{Cont}AB)(\Gamma) := (\text{Tm}B)(\Gamma \times A)$ . We have an “empty type”  $0$  in a SCwF is a type  $0 \in S_t$  with  $(\text{Cont}0C) \cong 1$  for all  $C \in S_\perp$ . For  $A, B \in S_t$ , we have a “sum type”  $A + B \in S_t$  such that for all  $C \in S_t$ , we have  $\text{Cont}(A + B)C \cong \text{Cont}AC \times \text{Cont}BC$

For  $\text{dem}(\mathcal{C})$ , having an empty type is equivalent to having a distributive initial object. For all  $C \in S_t$ , we have  $\text{Cont}0C \cong 1$ , so for all  $\Gamma, C$  we have  $\mathcal{C}(\Gamma \times 0, C) \cong 1 \cong \mathcal{C}(0, C)$ , so  $\Gamma \times 0 \cong 0$ . Similarly, sum types correspond to a distributive coproduct.