

# **Bottom-Up/Shift-Reduce Parsing**

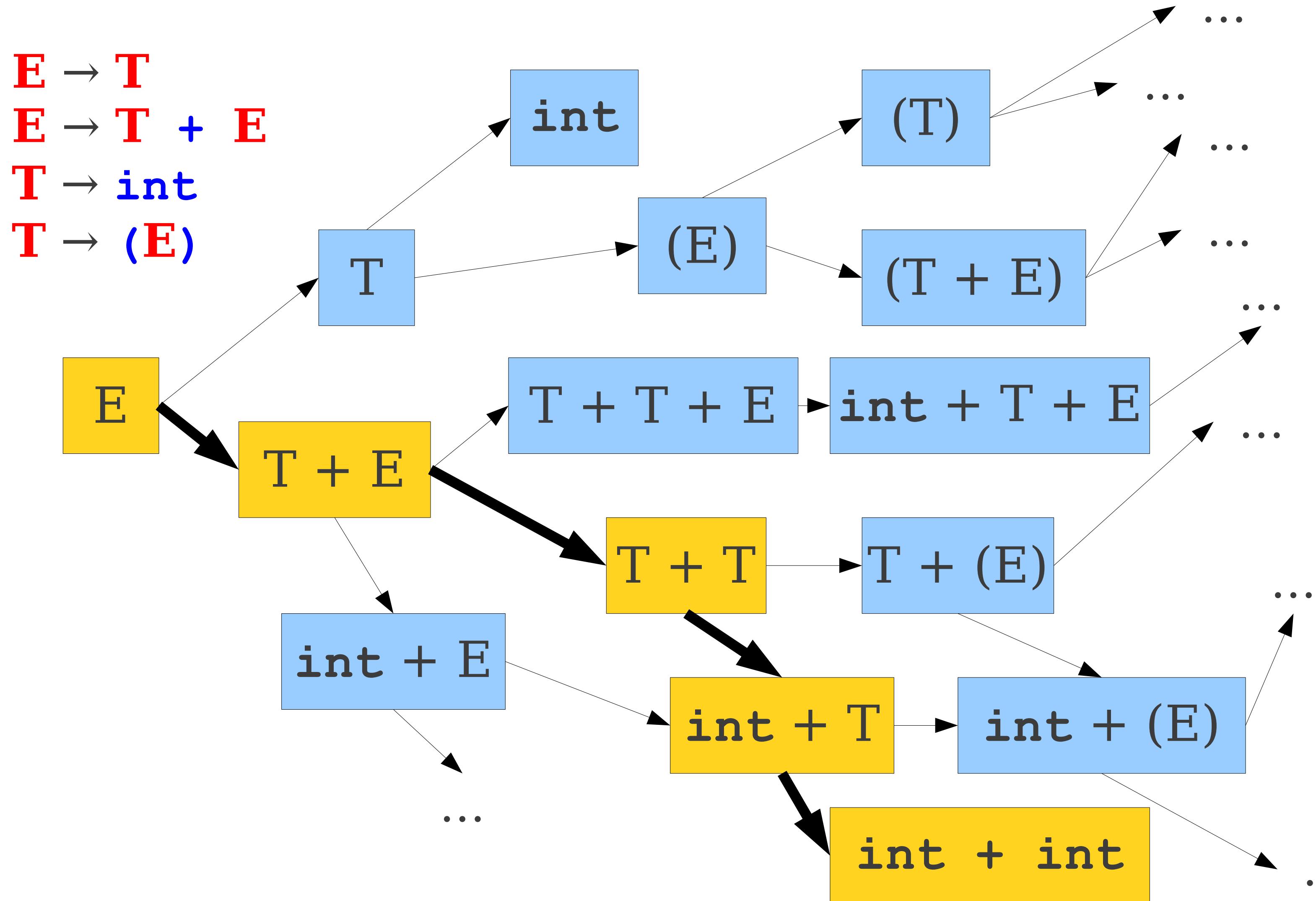
# Parsing with CFGs

- Last Time: Top-down/Predictive/  
LL(1)
  - Fast, simple enough for hand-written parsers
  - Too weak for many practical languages
- This week: Bottom-up/Shift-Reduce/LR(0)/SLR/LR(1)
  - More Expressive
  - Too complicated for hand-written, instead use parser generators

# Top-Down vs Bottom-Up

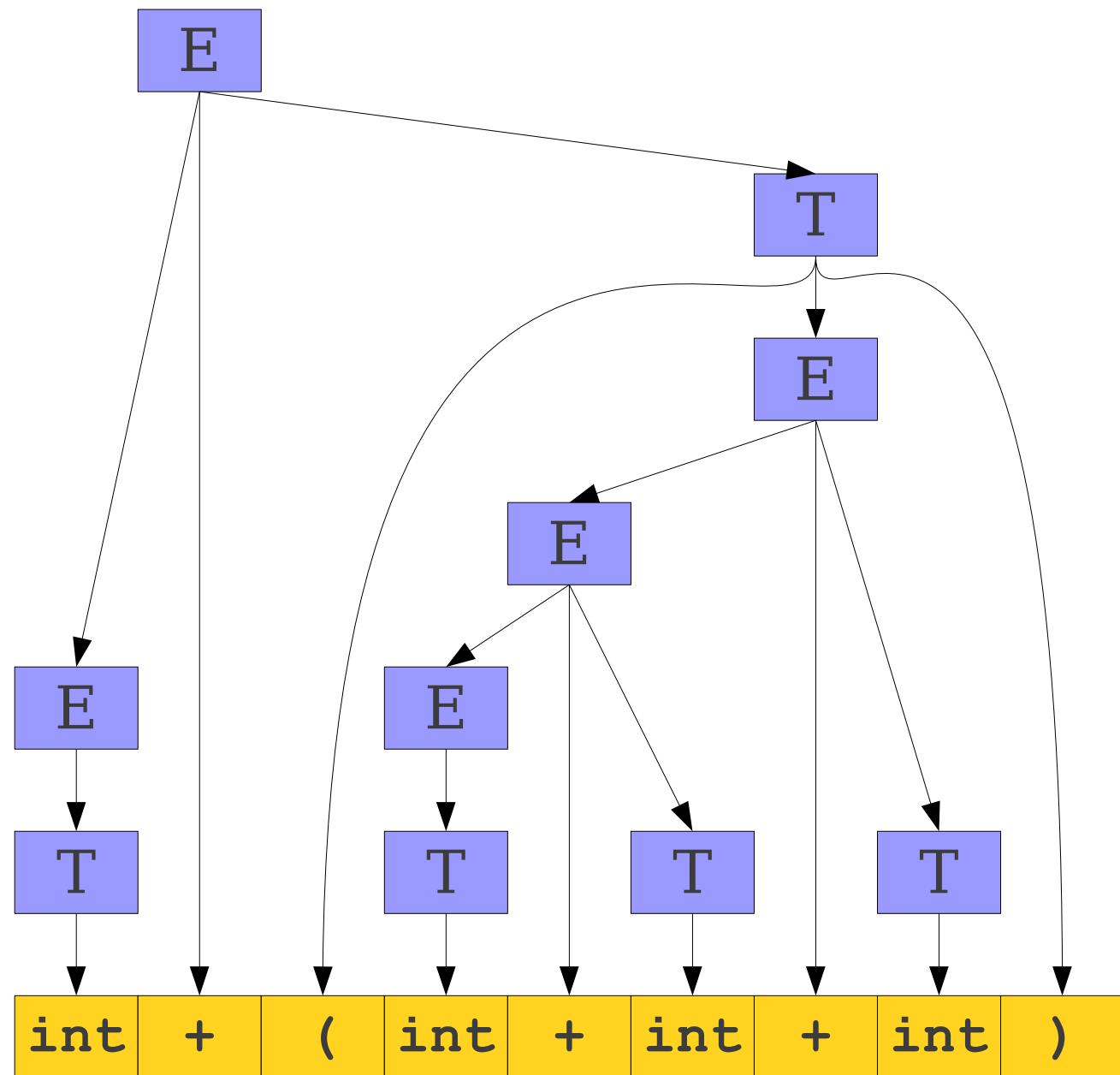
- Top-Down
  - Start from the start symbol S
  - Based on the input, rewrite to a new state  $aSb \rightarrow agb$
  - Succeed when all input is read, the state is the input sequence
- Bottom-Up
  - Start from the input sequence w
  - Based on the input, rewrite
  - Succeed when all input is read, the state is the start symbol

# Top-Down



# One View of a Bottom-Up Parse

$E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



# A Second View of a Bottom-Up Parse

$E \rightarrow T$                       int + (int + int + int)  
 $E \rightarrow E + T$                $\Rightarrow T + (int + int + int)$   
 $T \rightarrow int$                $\Rightarrow E + (int + int + int)$   
 $T \rightarrow (E)$                $\Rightarrow E + (T + int + int)$   
                             $\Rightarrow E + (E + int + int)$   
                             $\Rightarrow E + (E + T + int)$   
                             $\Rightarrow E + (E + E + int)$   
                             $\Rightarrow E + (E + E + T)$   
                             $\Rightarrow E + (E + E)$   
                             $\Rightarrow E + T$   
                             $\Rightarrow E$

A left-to-right, bottom-up parse is a rightmost derivation traced in reverse.

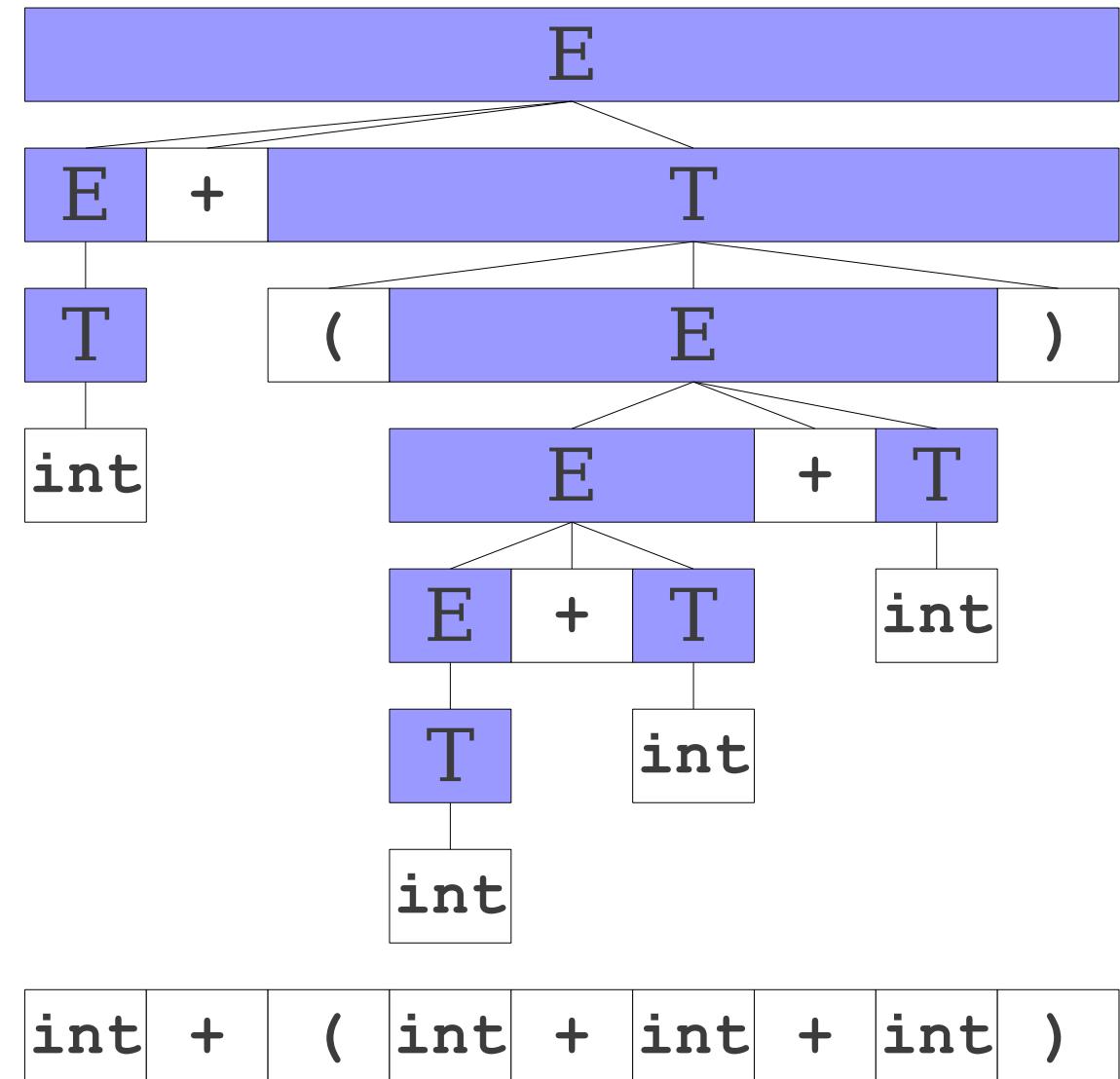
# A Third View of a Bottom-Up Parse

```
int + (int + int + int)
⇒ T + (int + int + int)
⇒ E + (int + int + int)
⇒ E + (T + int + int)
⇒ E + (E + int + int)
⇒ E + (E + T + int)
⇒ E + (E + int)
⇒ E + (E + T)
⇒ E + (E)
⇒ E + T
⇒ E
```

Each step in this bottom-up parse is called a **reduction**. We **reduce** a substring of the sentential form back to a nonterminal.

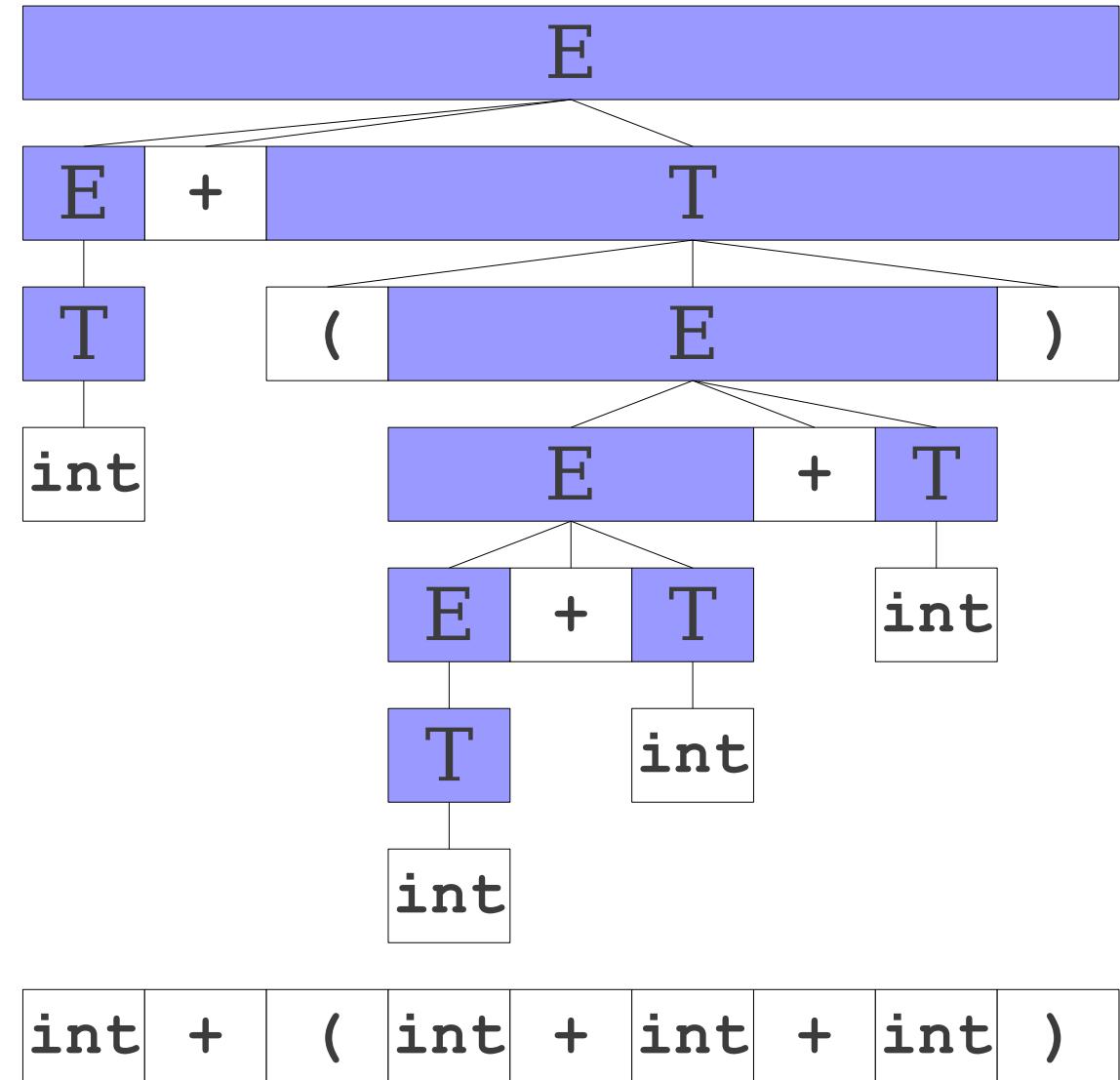
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⇒ T + (int + int + int)
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⇒ E + (E + int + int)
⇒ E + (E + T + int)
⇒ E + (E + int)
⇒ E + (E + T)
⇒ E + (E)
⇒ E + T
⇒ E
```



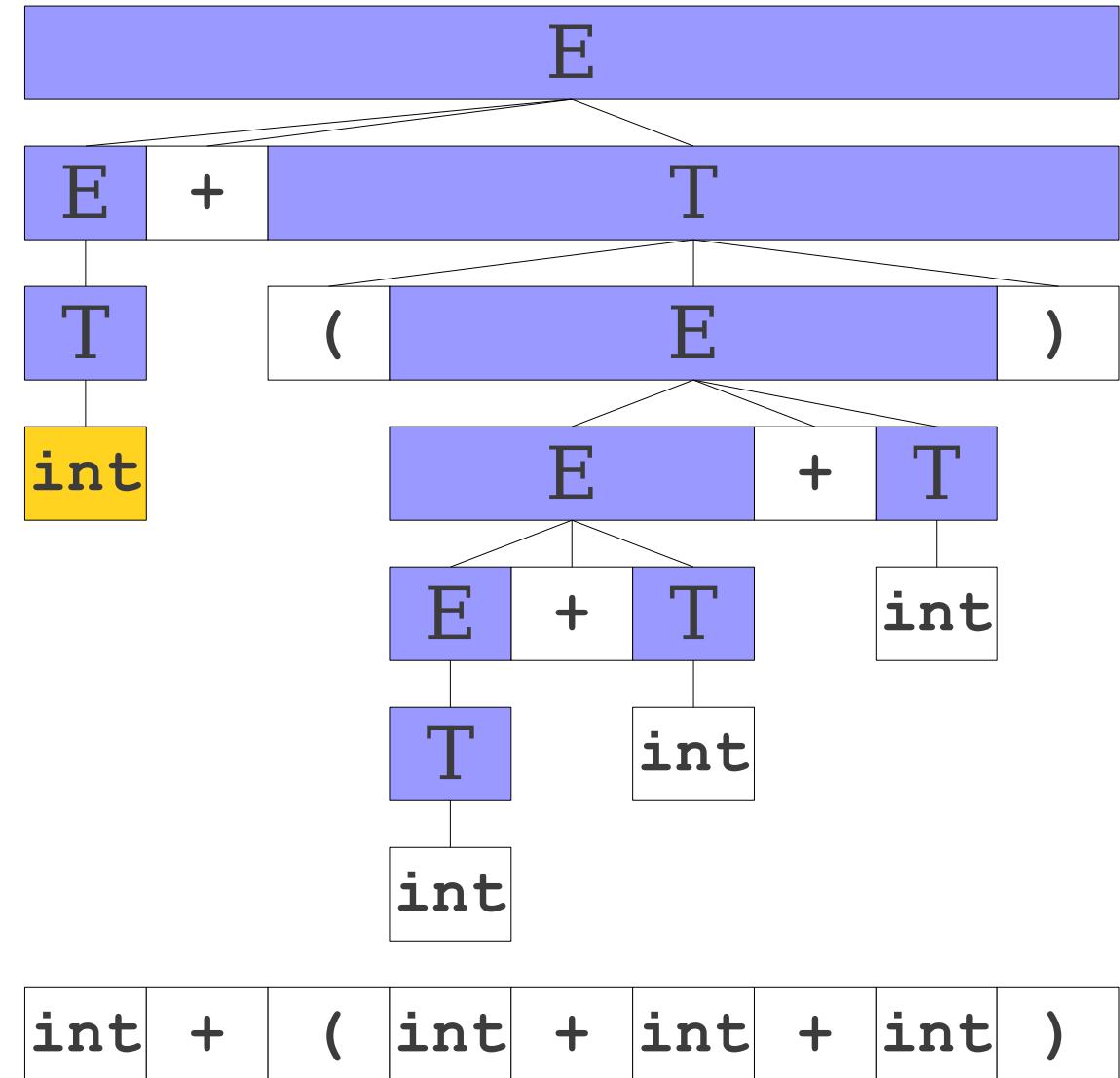
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**int** + (int + int + int)  
⇒ T + (int + int + int)  
⇒ E + (int + int + int)  
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⇒ E + (E + int + int)  
⇒ E + (E + T + int)  
⇒ E + (E + int)  
⇒ E + (E + T)  
⇒ E + (E)  
⇒ E + T  
⇒ E



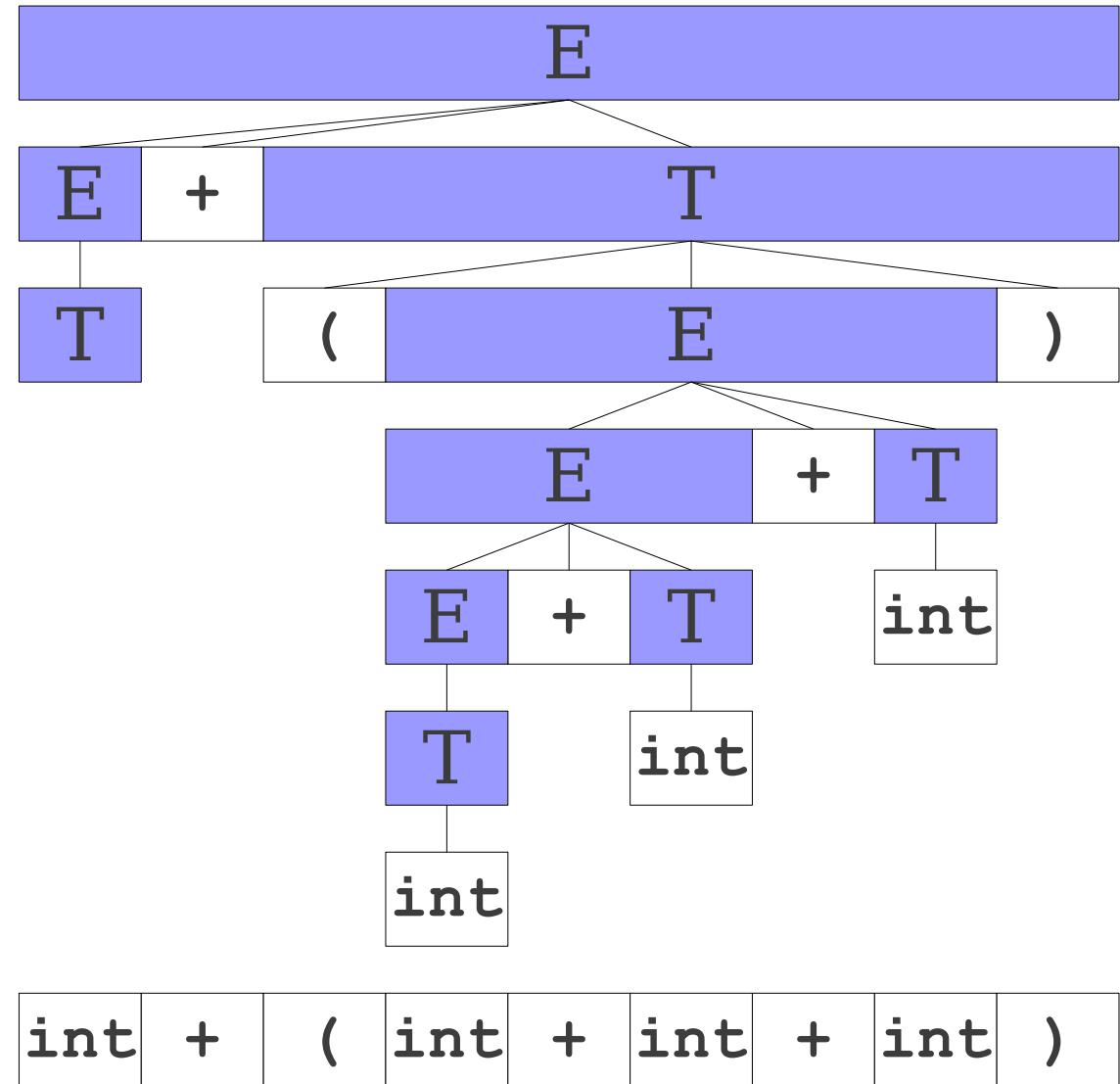
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⇒ T + (int + int + int)  
⇒ E + (int + int + int)  
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⇒ E + (E)  
⇒ E + T  
⇒ E



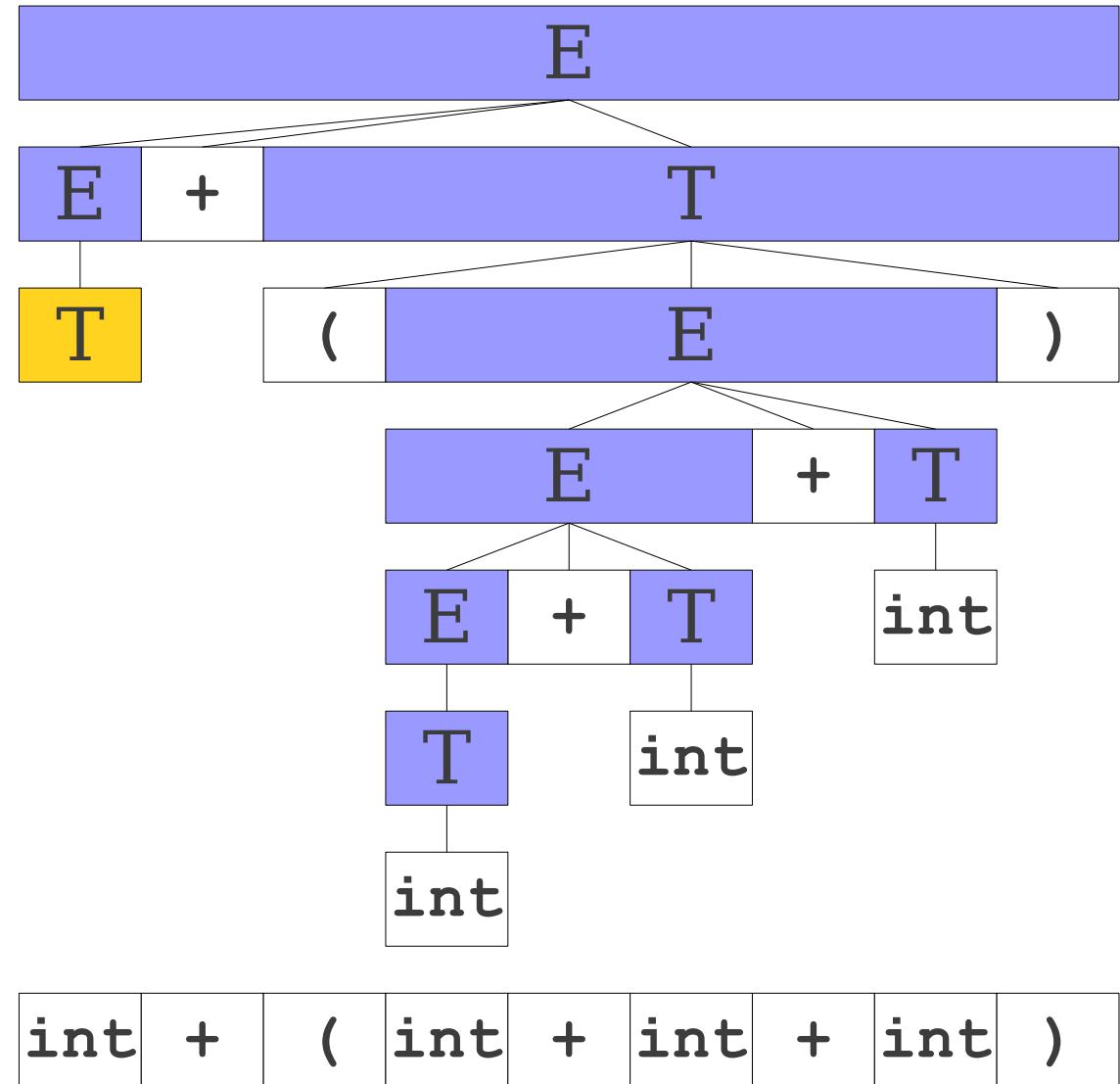
# A Third View of a Bottom-Up Parse

$\Rightarrow T + (int + int + int)$   
 $\Rightarrow E + (int + int + int)$   
 $\Rightarrow E + (T + int + int)$   
 $\Rightarrow E + (E + int + int)$   
 $\Rightarrow E + (E + T + int)$   
 $\Rightarrow E + (E + int)$   
 $\Rightarrow E + (E + T)$   
 $\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$



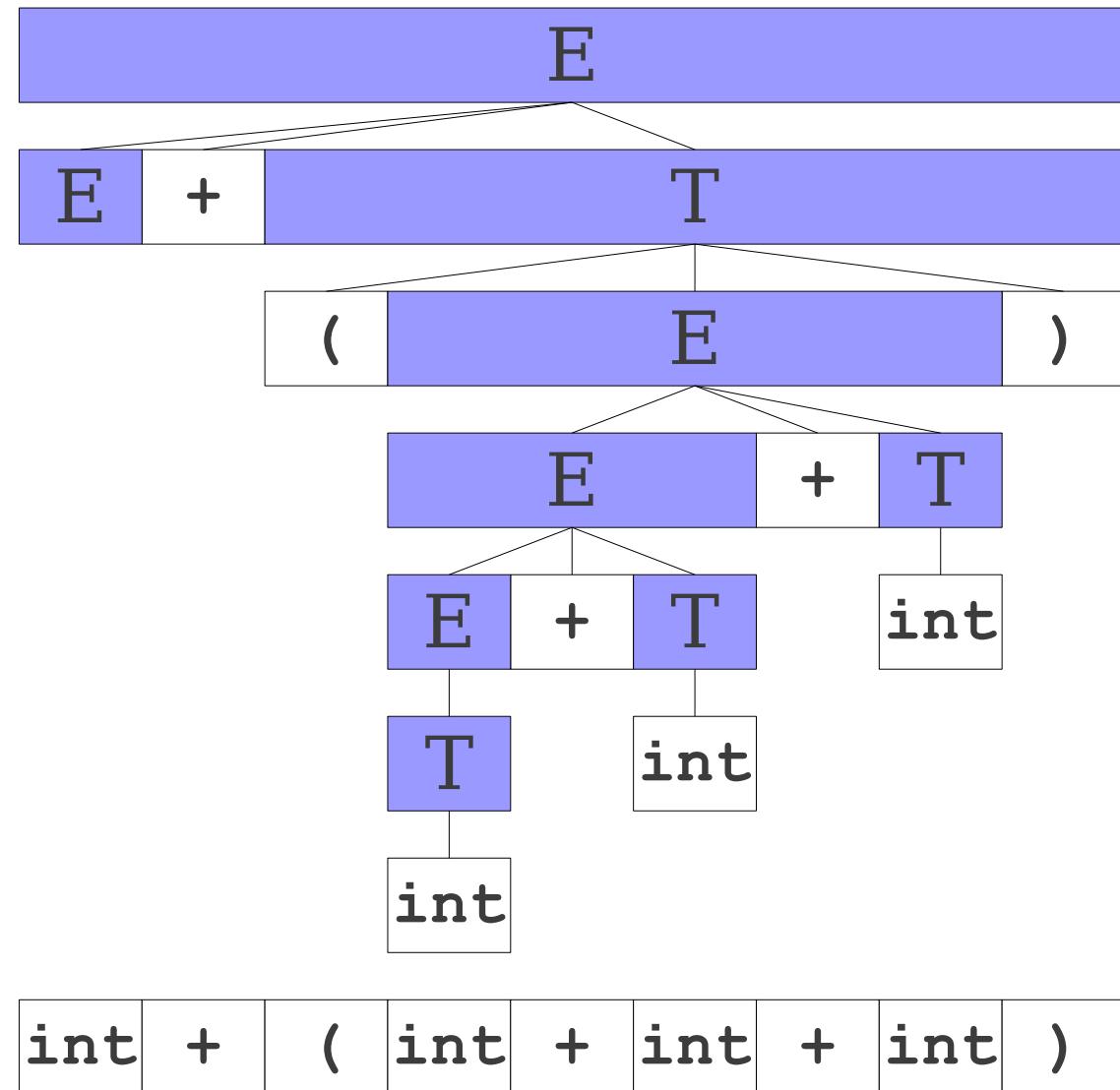
# A Third View of a Bottom-Up Parse

$\Rightarrow \textcolor{red}{T} + (\text{int} + \text{int} + \text{int})$   
 $\Rightarrow E + (\text{int} + \text{int} + \text{int})$   
 $\Rightarrow E + (T + \text{int} + \text{int})$   
 $\Rightarrow E + (E + \text{int} + \text{int})$   
 $\Rightarrow E + (E + T + \text{int})$   
 $\Rightarrow E + (E + \text{int})$   
 $\Rightarrow E + (E + T)$   
 $\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$



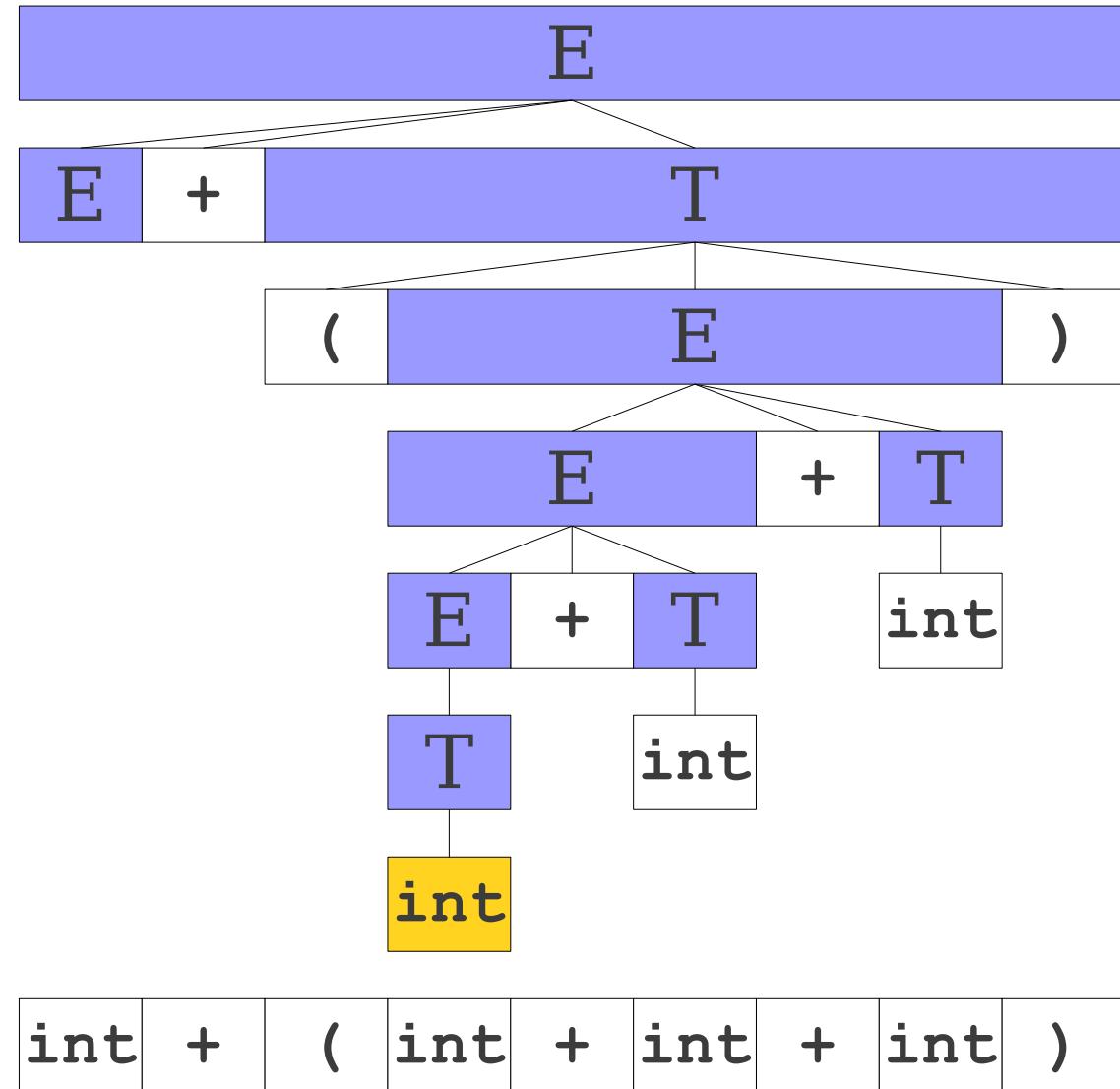
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$\Rightarrow E + (int + int + int)$   
 $\Rightarrow E + (T + int + int)$   
 $\Rightarrow E + (E + int + int)$   
 $\Rightarrow E + (E + T + int)$   
 $\Rightarrow E + (E + int)$   
 $\Rightarrow E + (E + T)$   
 $\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$



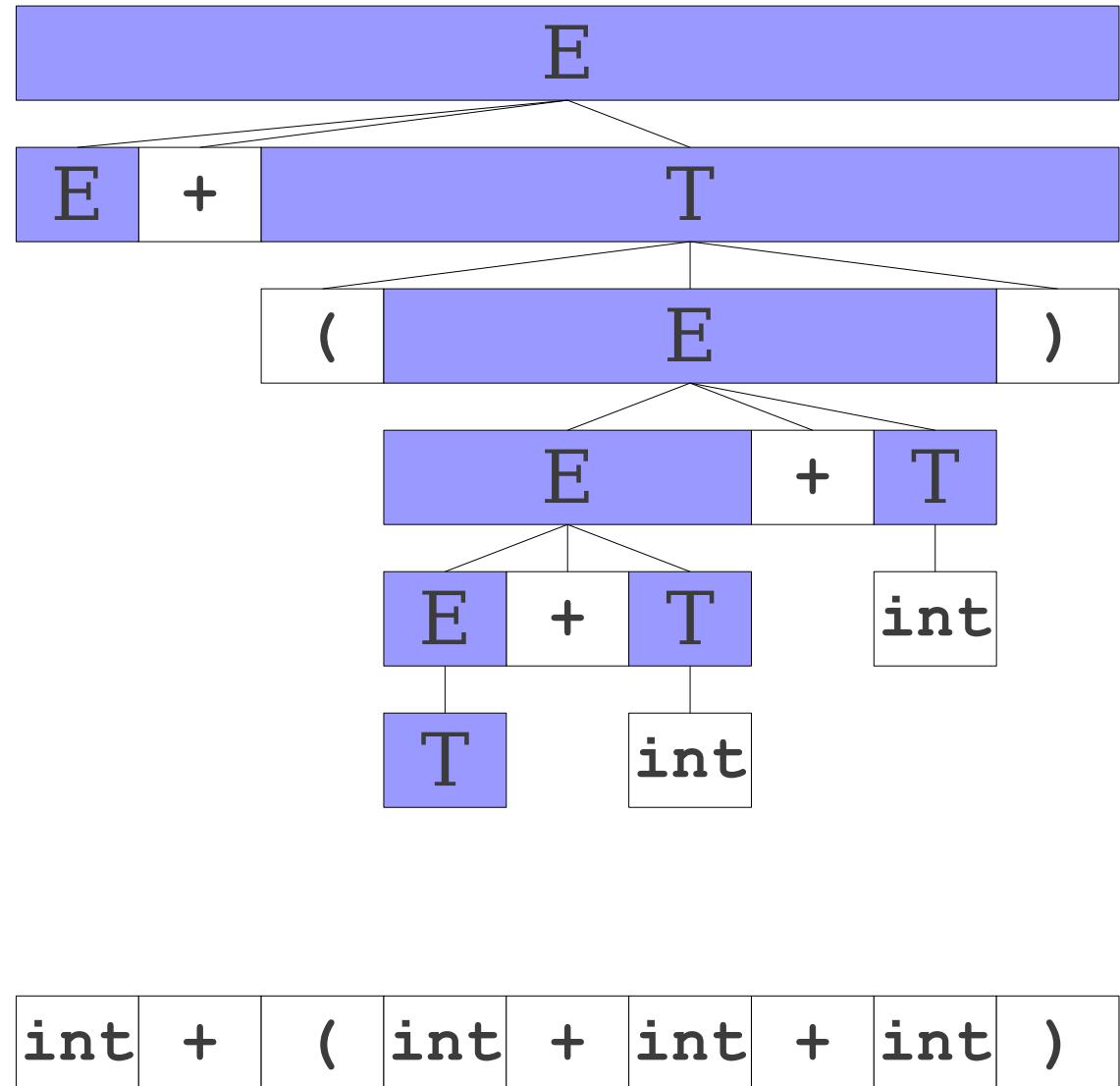
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 $\Rightarrow E + (T + int + int)$   
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 $\Rightarrow E$



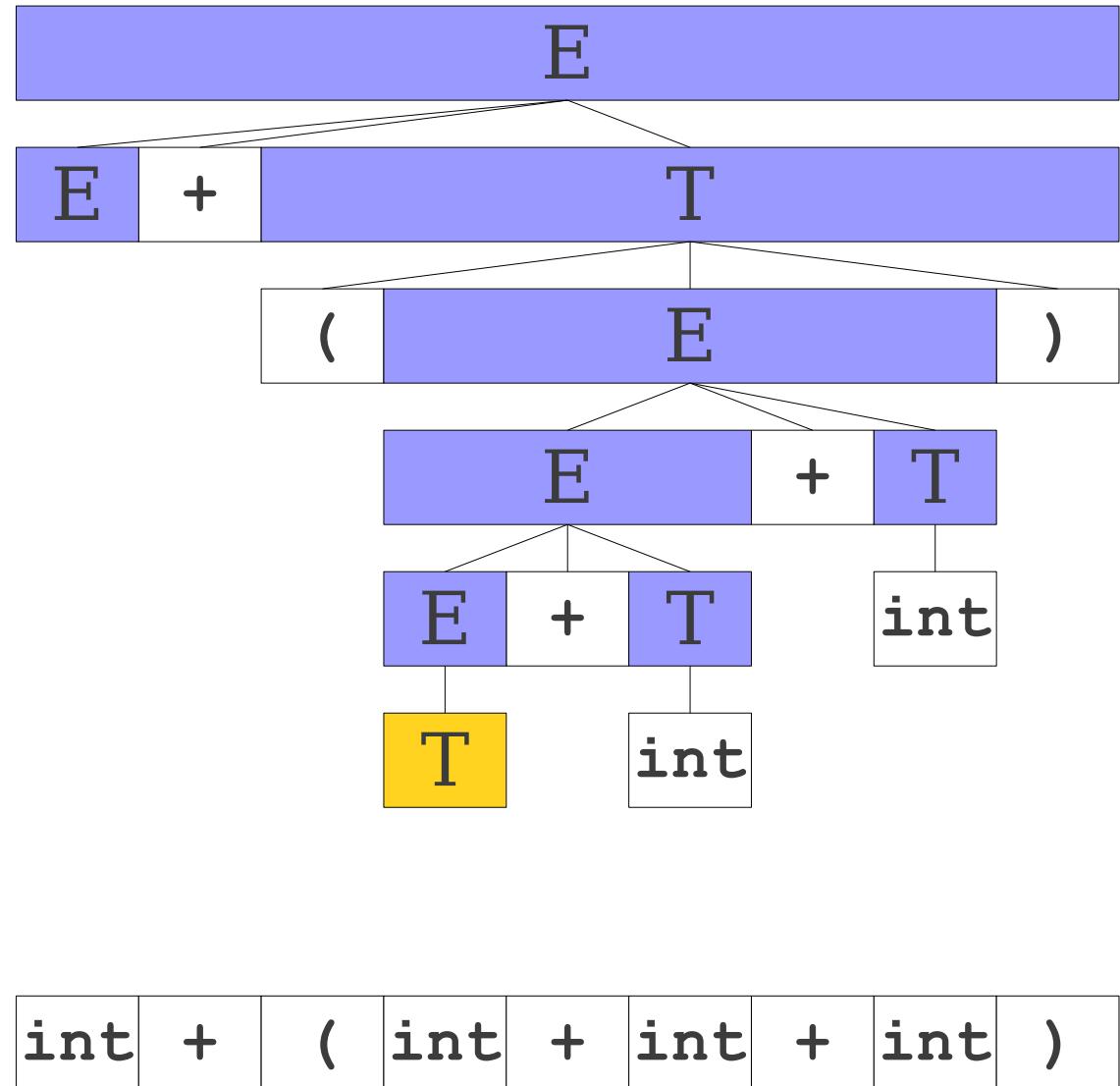
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$\Rightarrow E + (T + int + int)$   
 $\Rightarrow E + (E + int + int)$   
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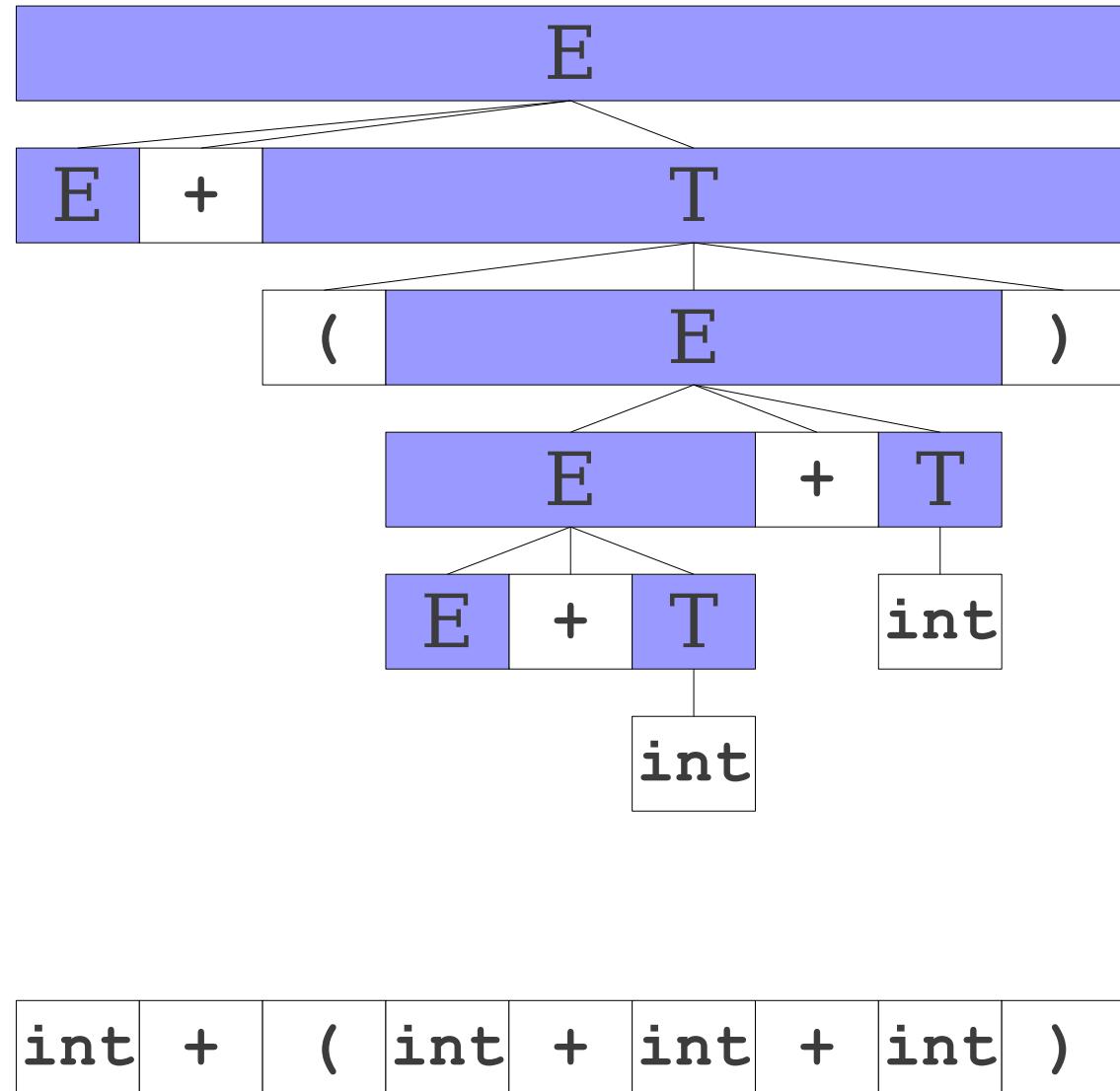
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 $\Rightarrow E + (E)$   
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 $\Rightarrow E$



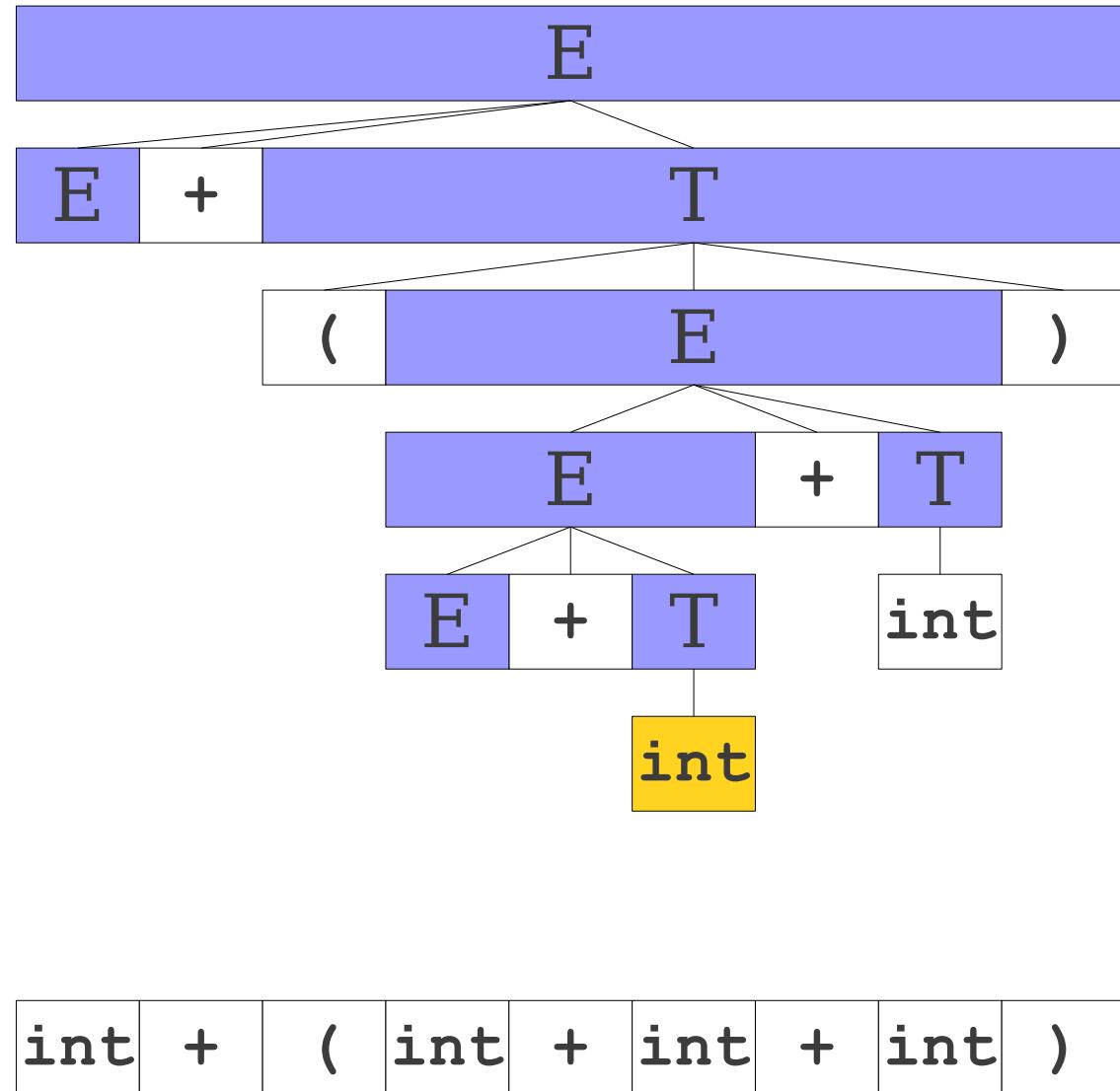
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$\Rightarrow E + (E + int + int)$   
 $\Rightarrow E + (E + T + int)$   
 $\Rightarrow E + (E + int)$   
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 $\Rightarrow E + (E)$   
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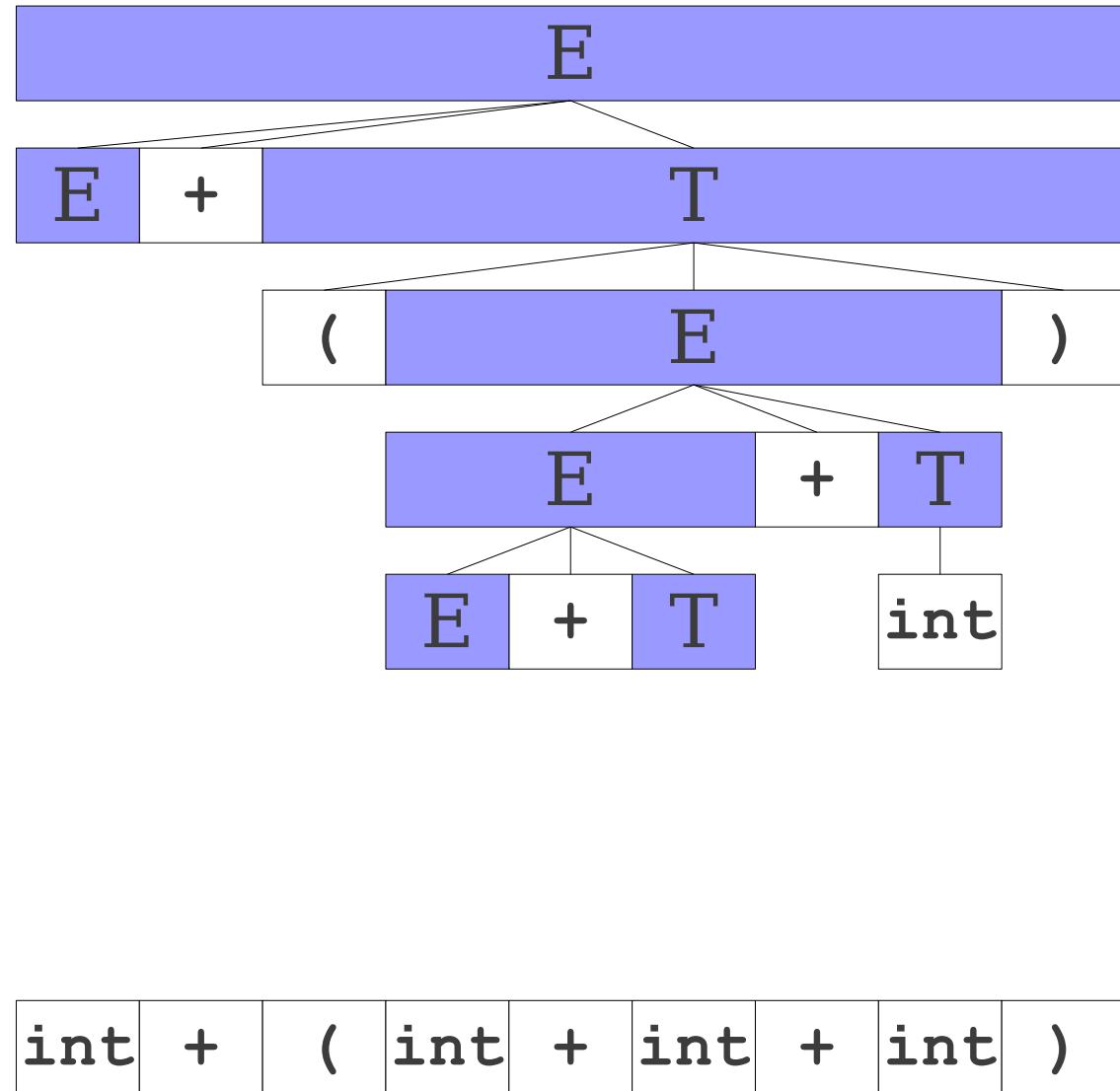
# A Third View of a Bottom-Up Parse

$\Rightarrow E + (E + int + int)$   
 $\Rightarrow E + (E + T + int)$   
 $\Rightarrow E + (E + int)$   
 $\Rightarrow E + (E + T)$   
 $\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$



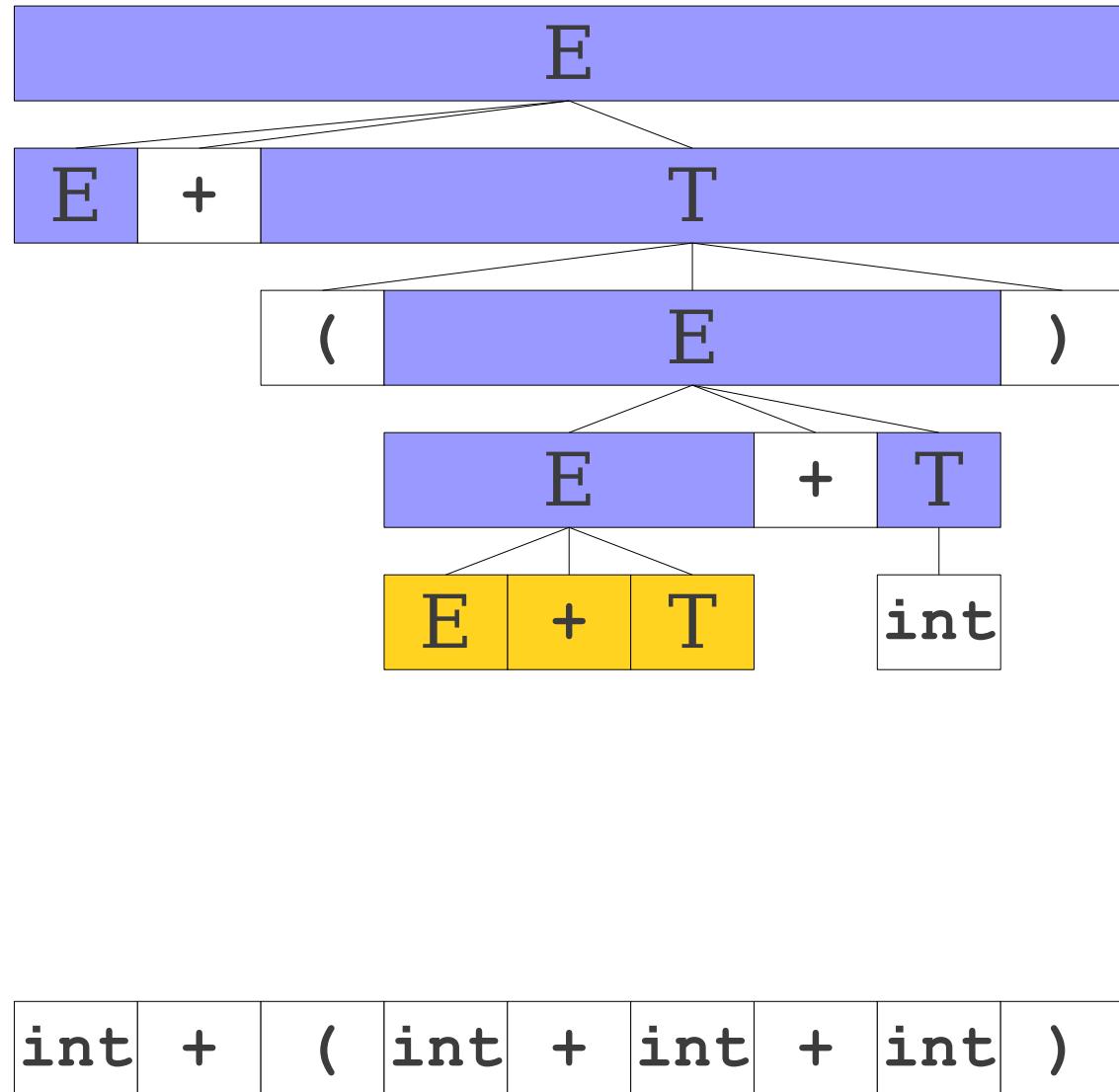
# A Third View of a Bottom-Up Parse

$\Rightarrow E + (E + T + \text{int})$   
 $\Rightarrow E + (E + \text{int})$   
 $\Rightarrow E + (E + T)$   
 $\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$

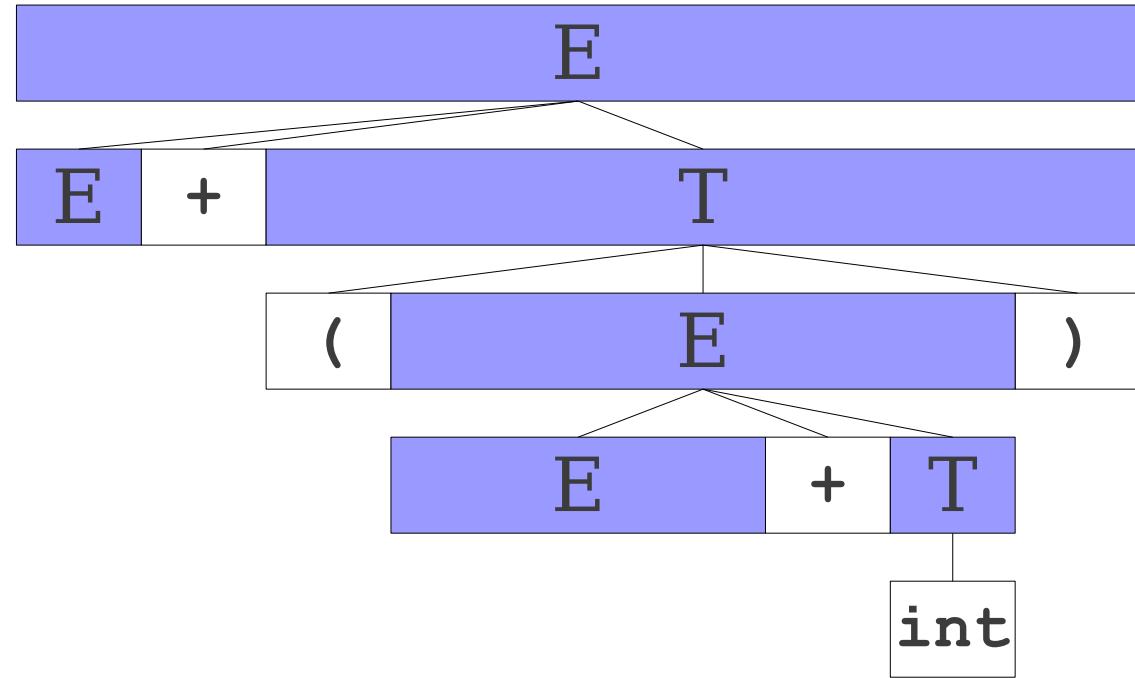


# A Third View of a Bottom-Up Parse

$\Rightarrow E + (E + T + \text{int})$   
 $\Rightarrow E + (E + \text{int})$   
 $\Rightarrow E + (E + T)$   
 $\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
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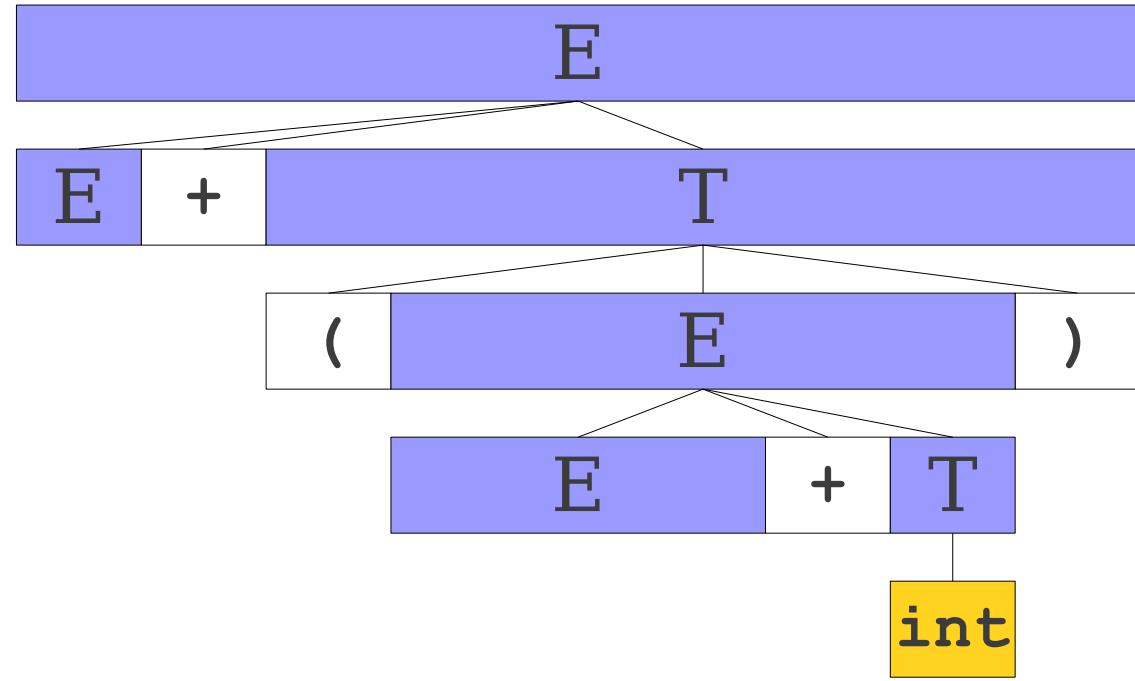
# A Third View of a Bottom-Up Parse



$\Rightarrow E + (E + int)$   
 $\Rightarrow E + (E + T)$   
 $\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$

`int` `+` `(` `int` `+` `int` `+` `int` `+` `int` `)`

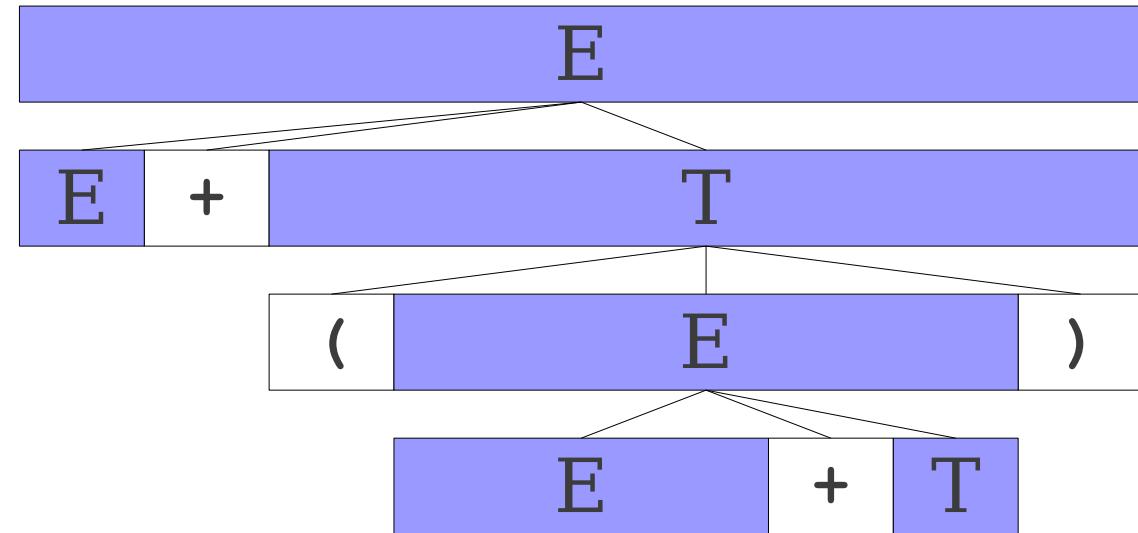
# A Third View of a Bottom-Up Parse



$\Rightarrow E + (E + \text{int})$   
 $\Rightarrow E + (E + T)$   
 $\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$

int + ( int + int + int )

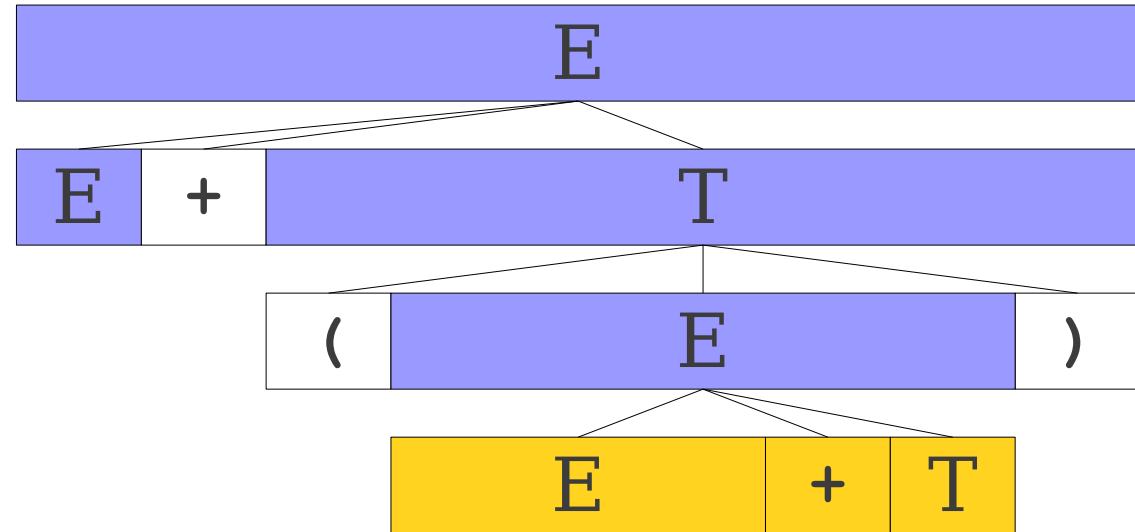
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$\Rightarrow E + (E + T)$   
 $\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$



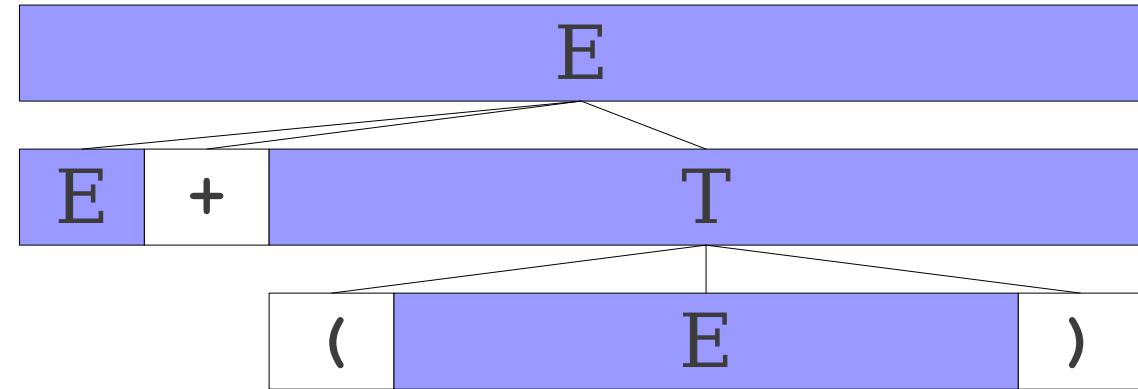
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$\Rightarrow E + (E + T)$   
 $\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$



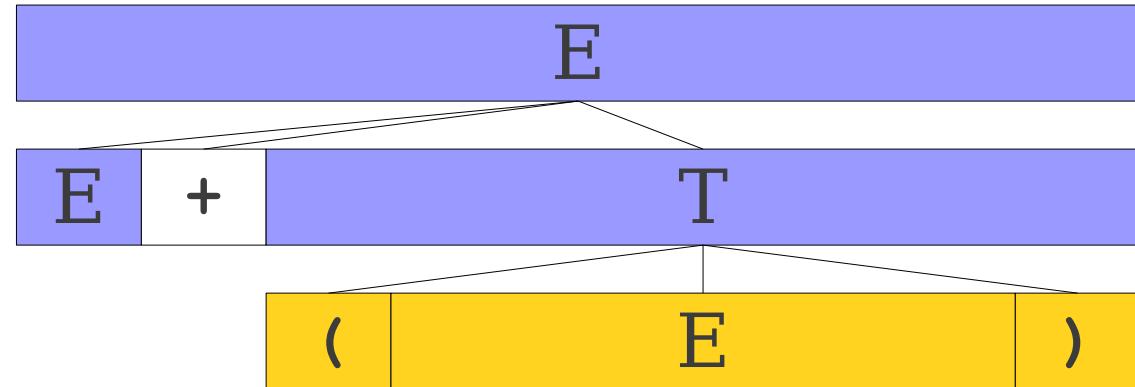
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$\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$



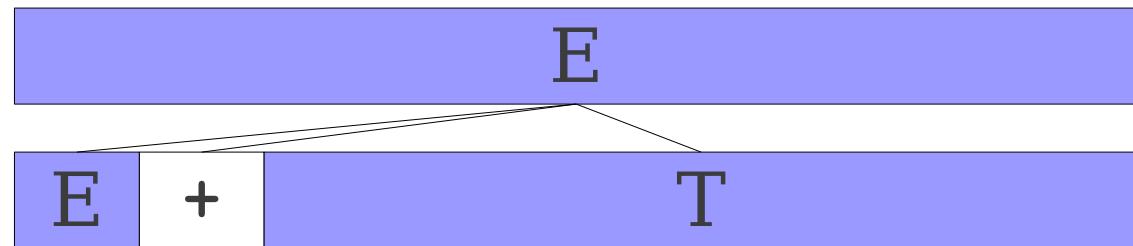
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$\Rightarrow E + (E)$   
 $\Rightarrow E + T$   
 $\Rightarrow E$



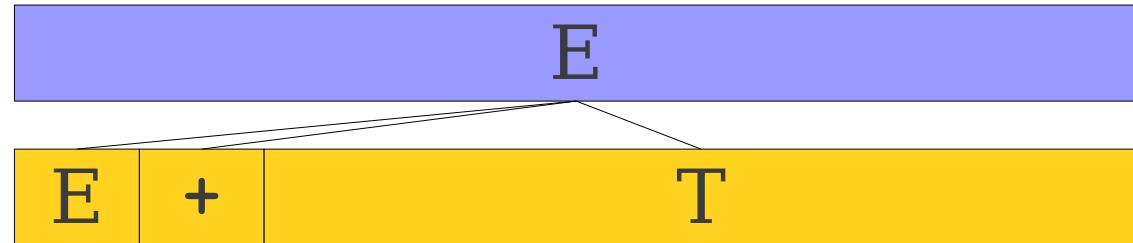
# A Third View of a Bottom-Up Parse



$\Rightarrow E + T$   
 $\Rightarrow E$



# A Third View of a Bottom-Up Parse



$\Rightarrow \text{E} + \text{T}$   
 $\Rightarrow \text{E}$



# A Third View of a Bottom-Up Parse

E

$\Rightarrow$  E

int	+	(	int	+	int	+	int	)
-----	---	---	-----	---	-----	---	-----	---

# Handles

- Informally, a “**handle**” is a substring that matches the body of a production, and whose reduction represents one step along the reverse of a rightmost derivation.
- A left-to-right, bottom-up parse works by iteratively searching for a handle, then reducing the handle.

# Shift/Reduce Parsing

- The bottom-up parsers we will consider are called **shift/reduce** parsers.
  - Contrast with the LL(1) **predict/match** parser.
- Idea: Split the input into two parts:
  - Left substring is our work area; all handles must be here.
  - Right substring is input we have not yet processed; consists purely of terminals.
- At each point, decide whether to:
  - Move a terminal across the split (**shift**)
  - Reduce a handle (**reduce**)

# A Sample Shift/Reduce Parse

**E** → **F**

**E** → **E** + **F**

**F** → **F** \* **T**

**F** → **T**

**T** → **int**

**T** → (**E**)

int	+	int	*	int	+	int
-----	---	-----	---	-----	---	-----

# A Sample Shift/Reduce Parse

**E** → **F**

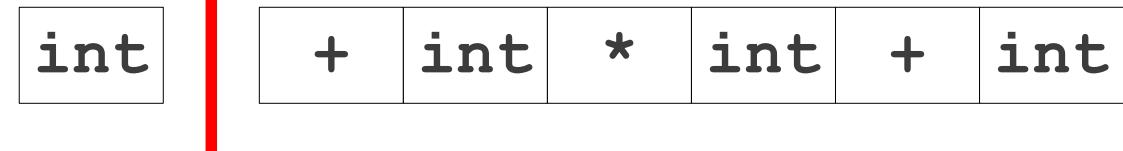
**E** → **E** + **F**

**F** → **F** \* **T**

**F** → **T**

**T** → **int**

**T** → (**E**)



# A Sample Shift/Reduce Parse

$E \rightarrow F$

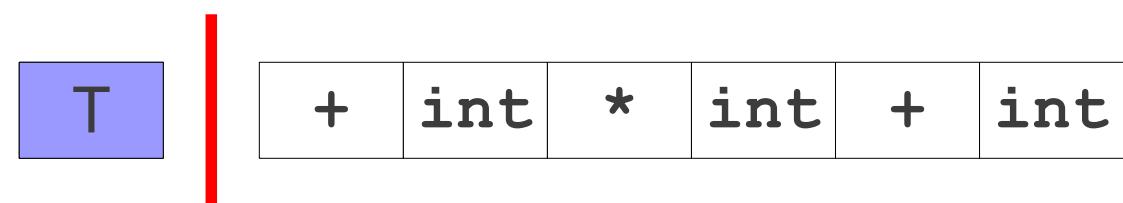
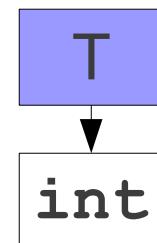
$E \rightarrow E + F$

$F \rightarrow F * T$

$F \rightarrow T$

$T \rightarrow \text{int}$

$T \rightarrow (E)$



# A Sample Shift/Reduce Parse

$E \rightarrow F$

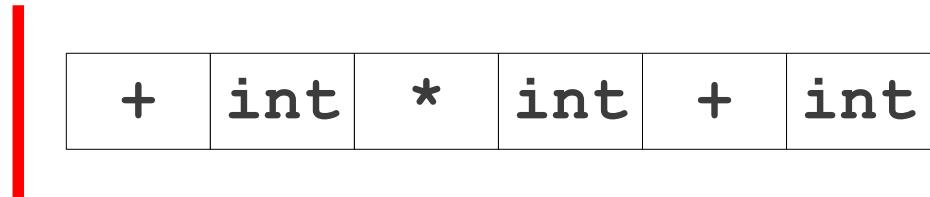
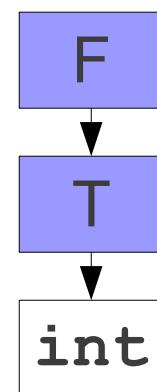
$E \rightarrow E + F$

$F \rightarrow F * T$

$F \rightarrow T$

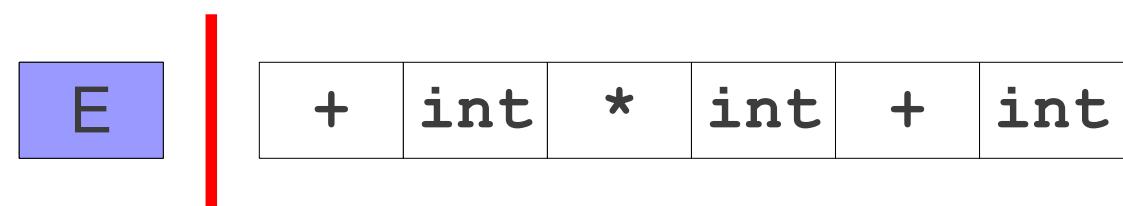
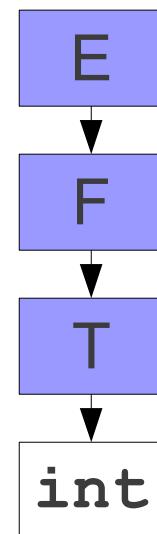
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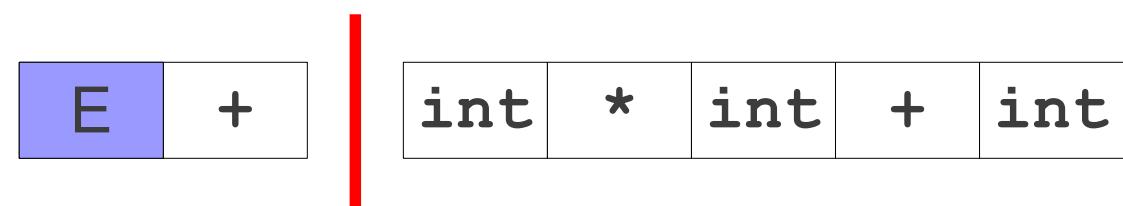
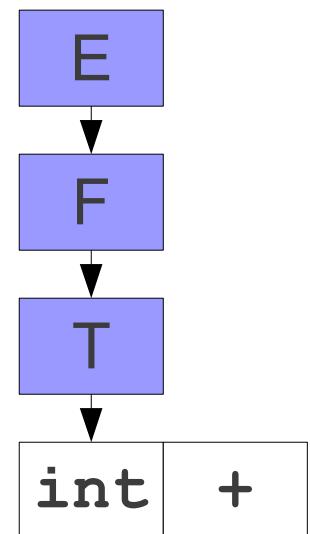
# A Sample Shift/Reduce Parse

**E** → **F**  
**E** → **E + F**  
**F** → **F \* T**  
**F** → **T**  
**T** → **int**  
**T** → **(E)**



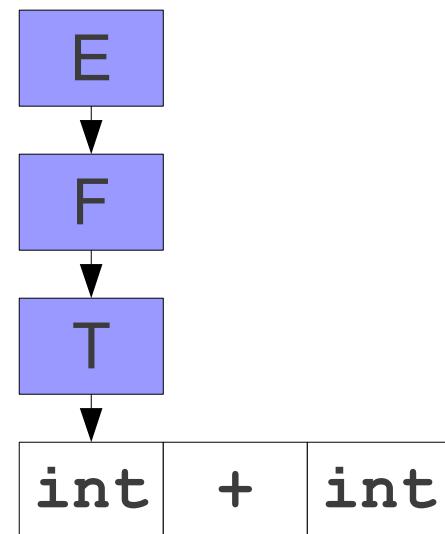
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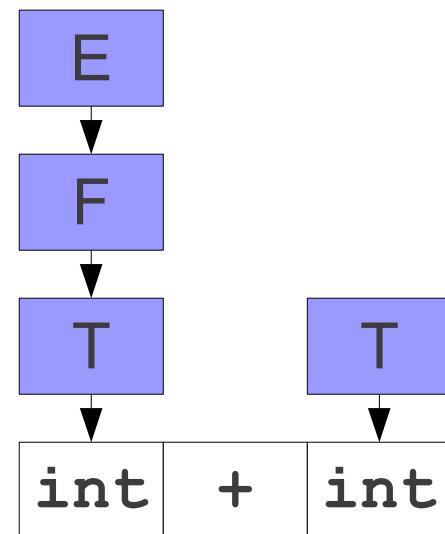
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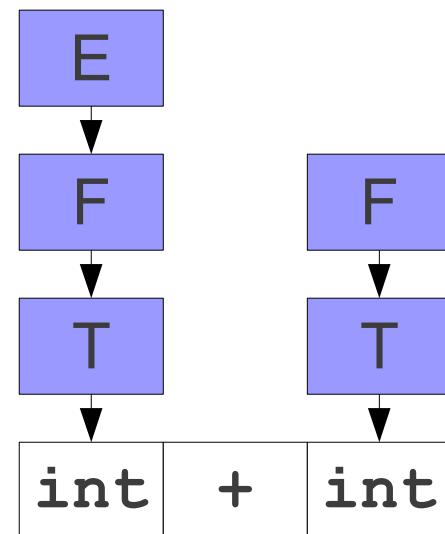
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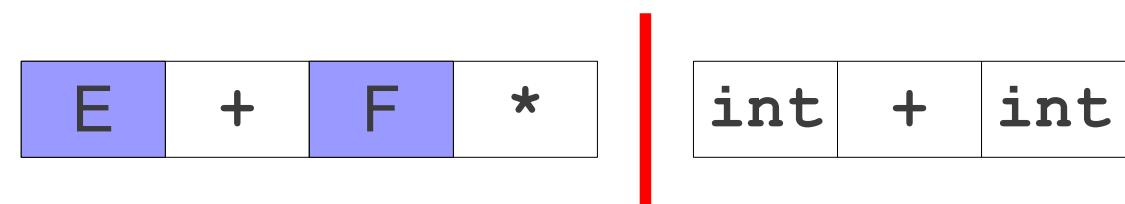
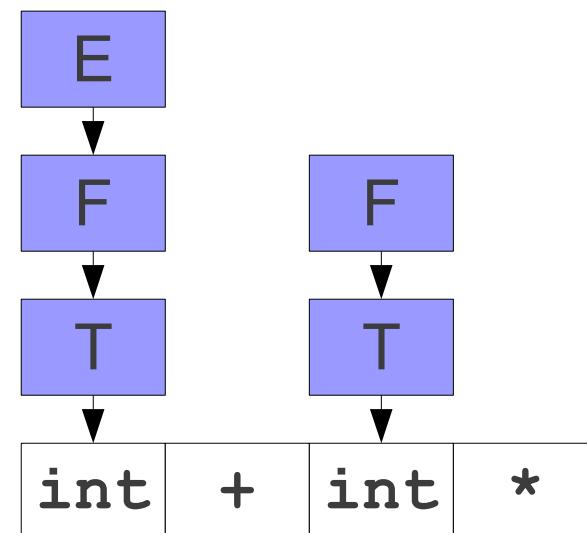
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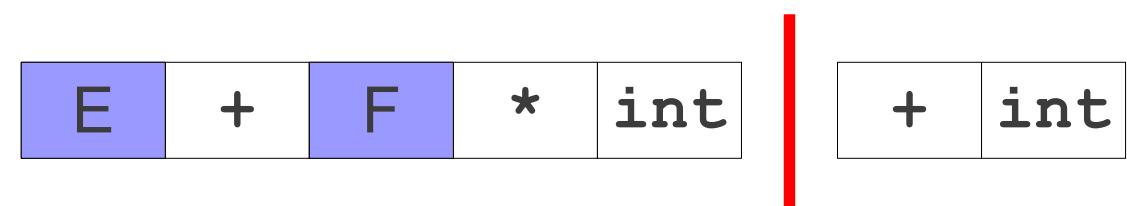
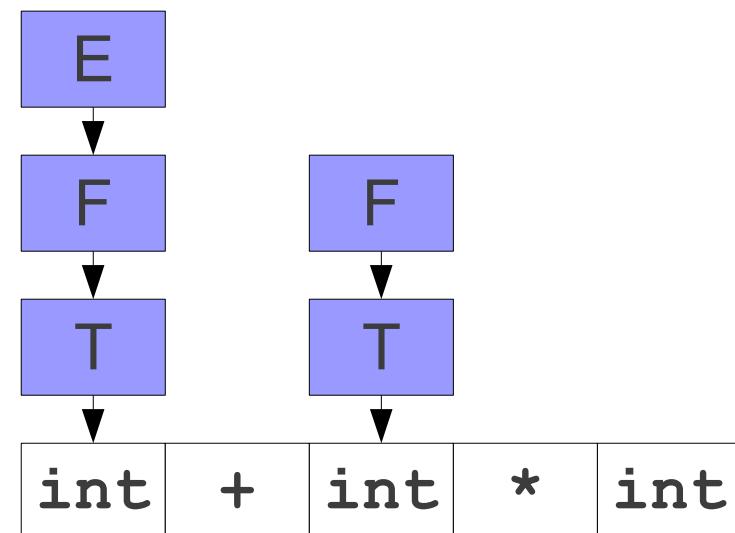
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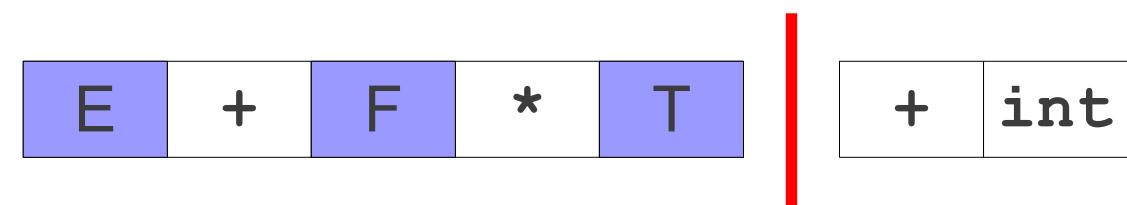
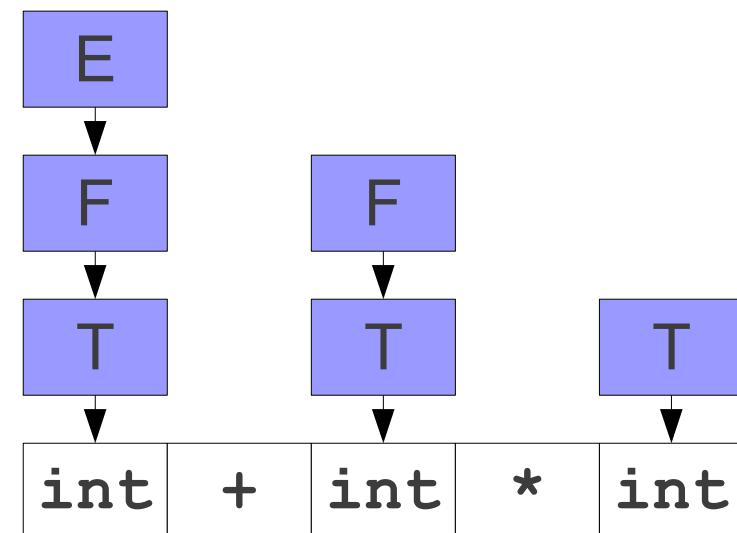
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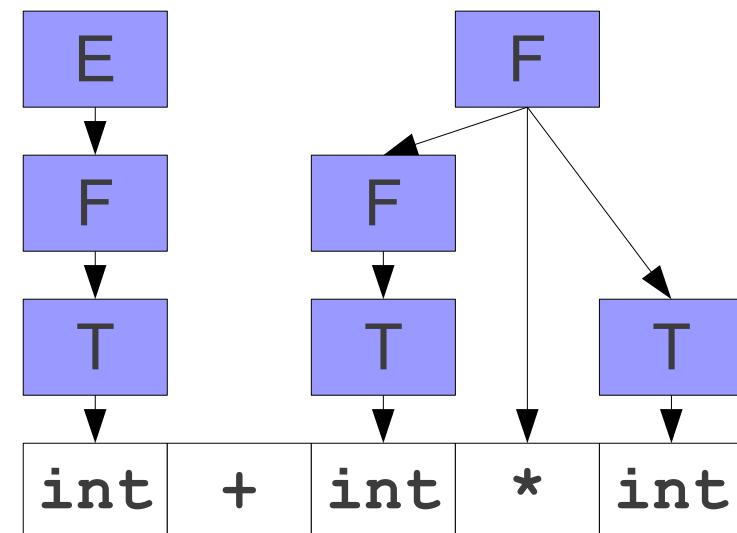
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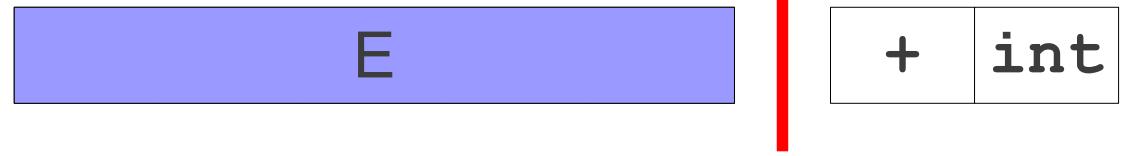
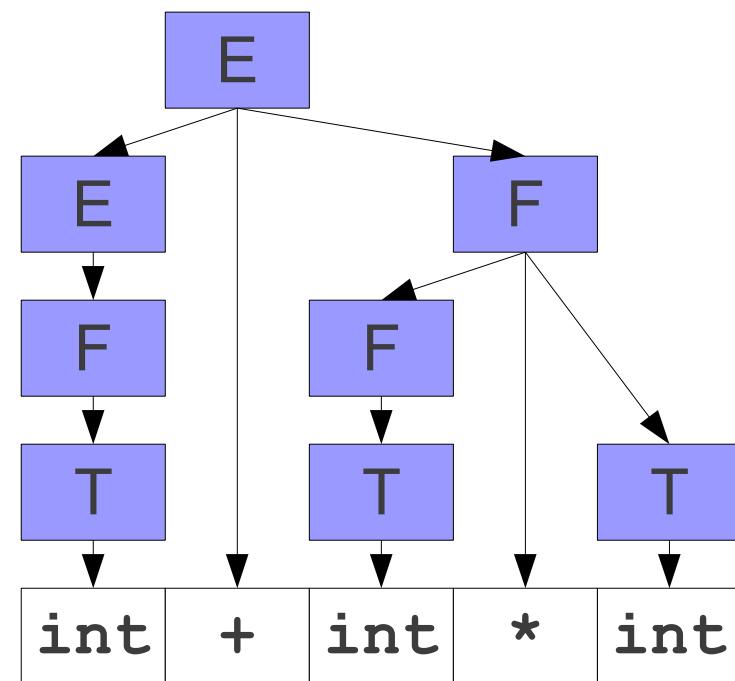
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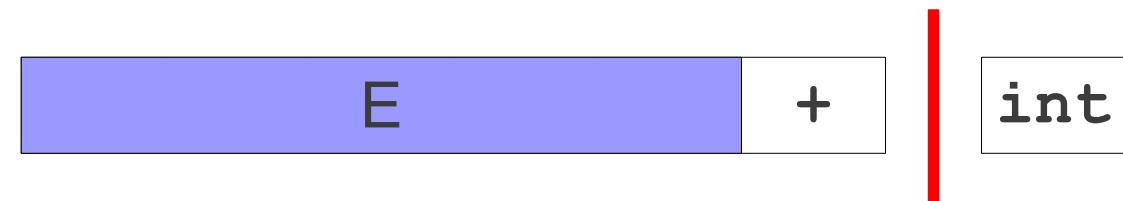
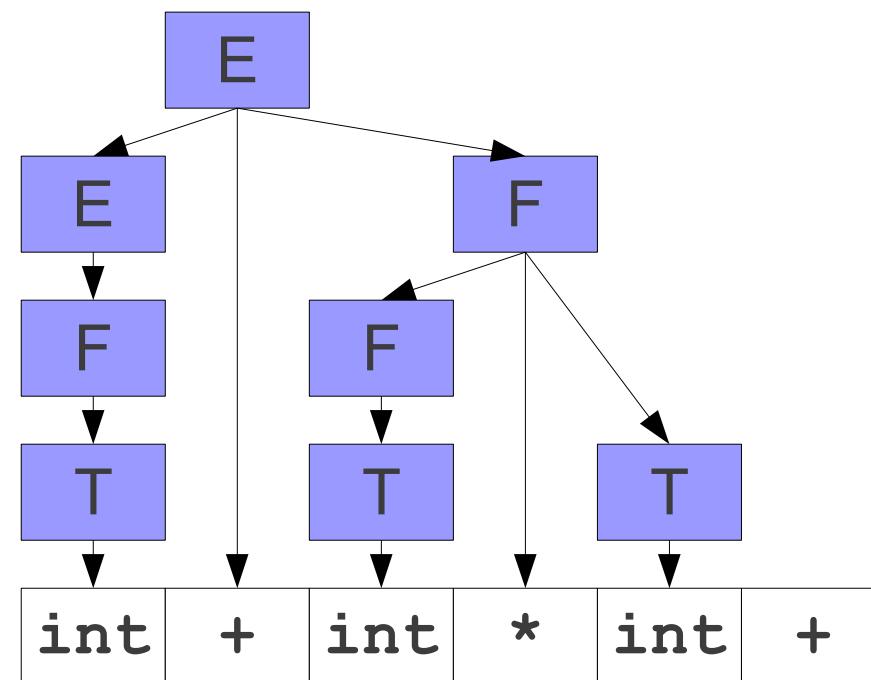
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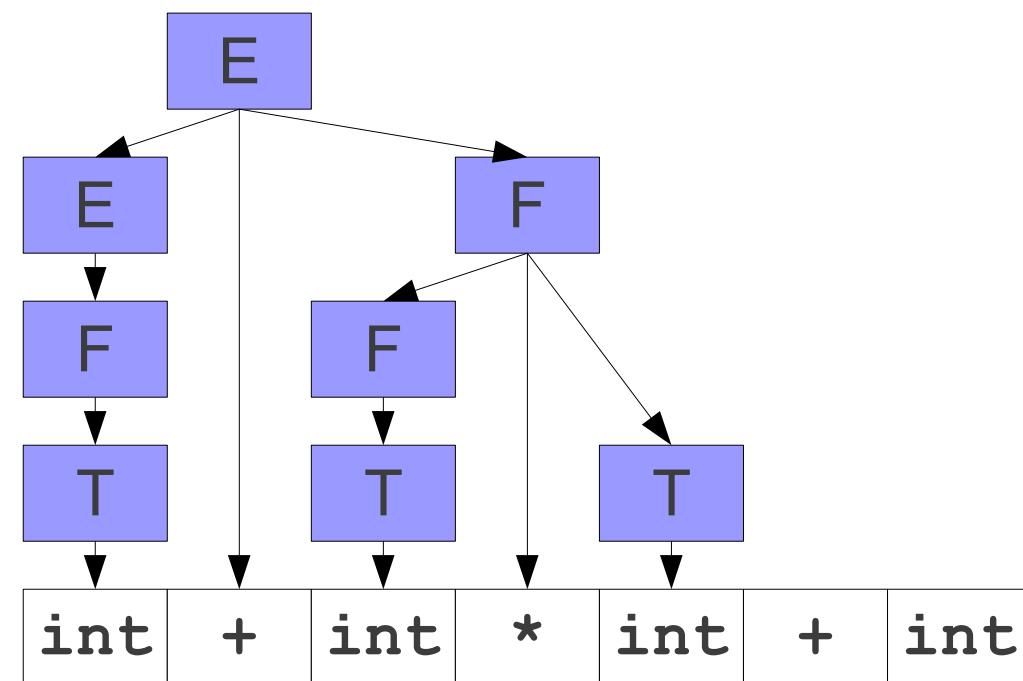
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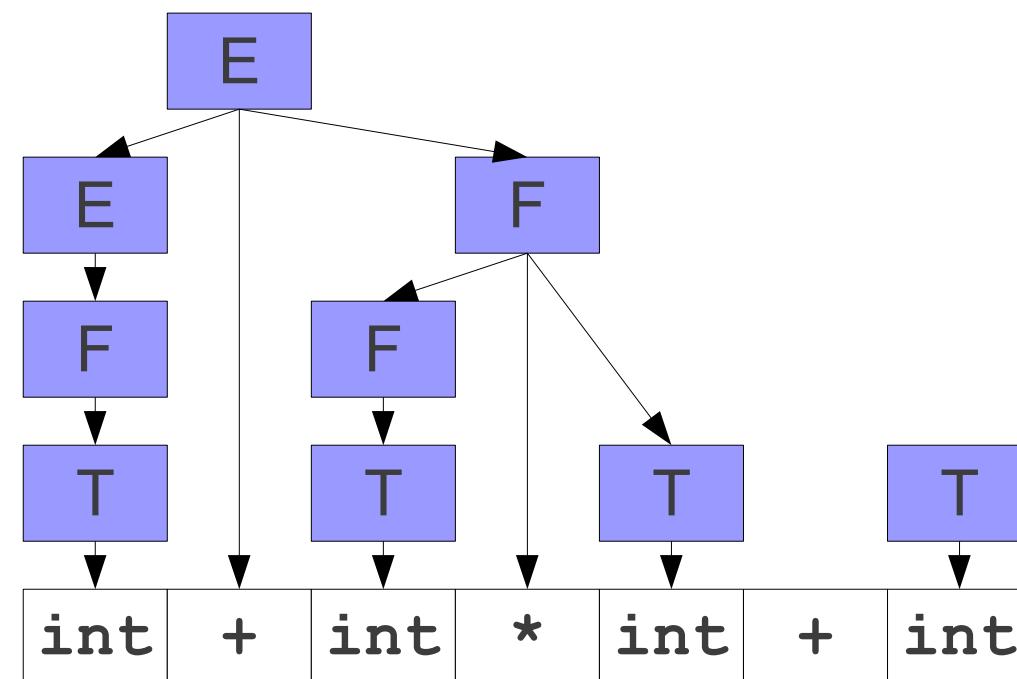
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 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



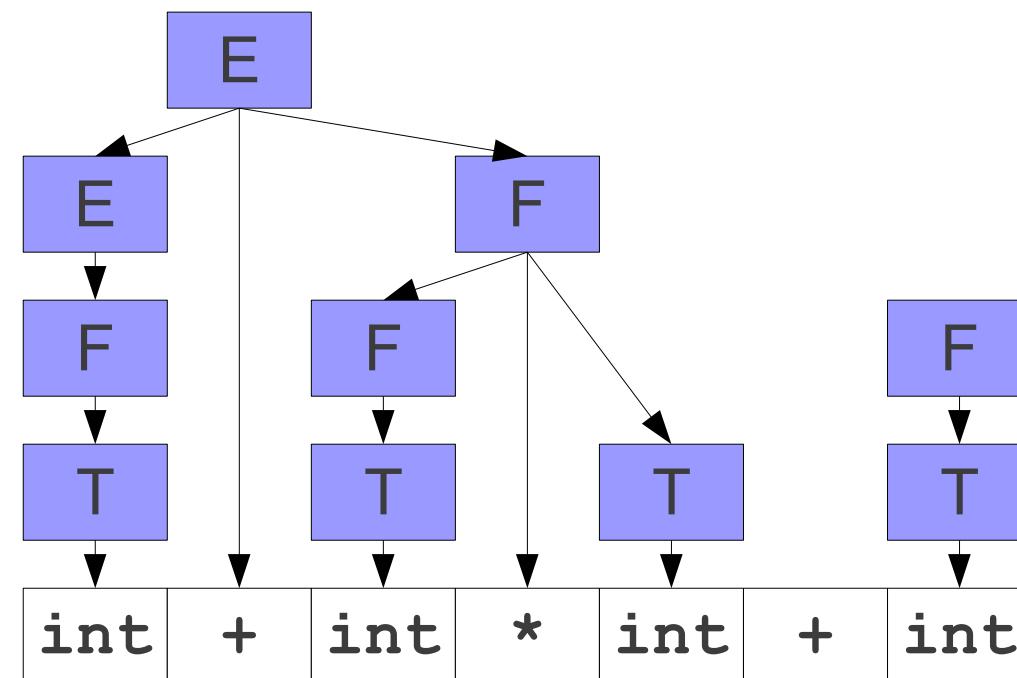
# A Sample Shift/Reduce Parse

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



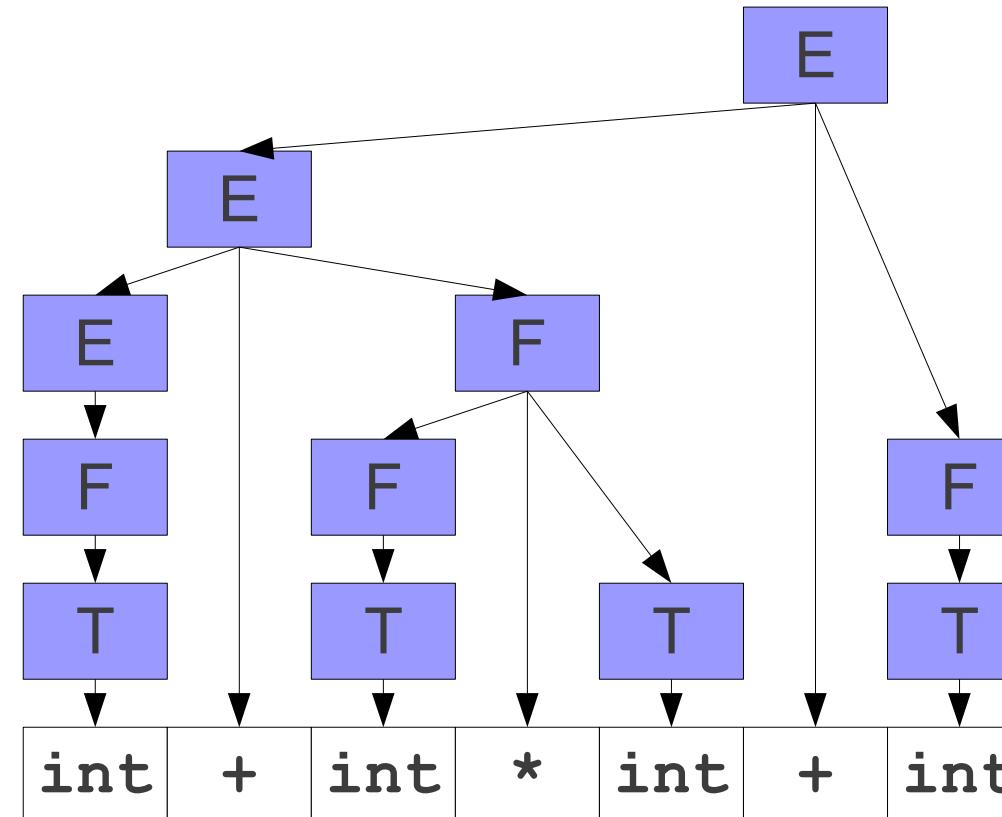
# A Sample Shift/Reduce Parse

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



# A Sample Shift/Reduce Parse

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

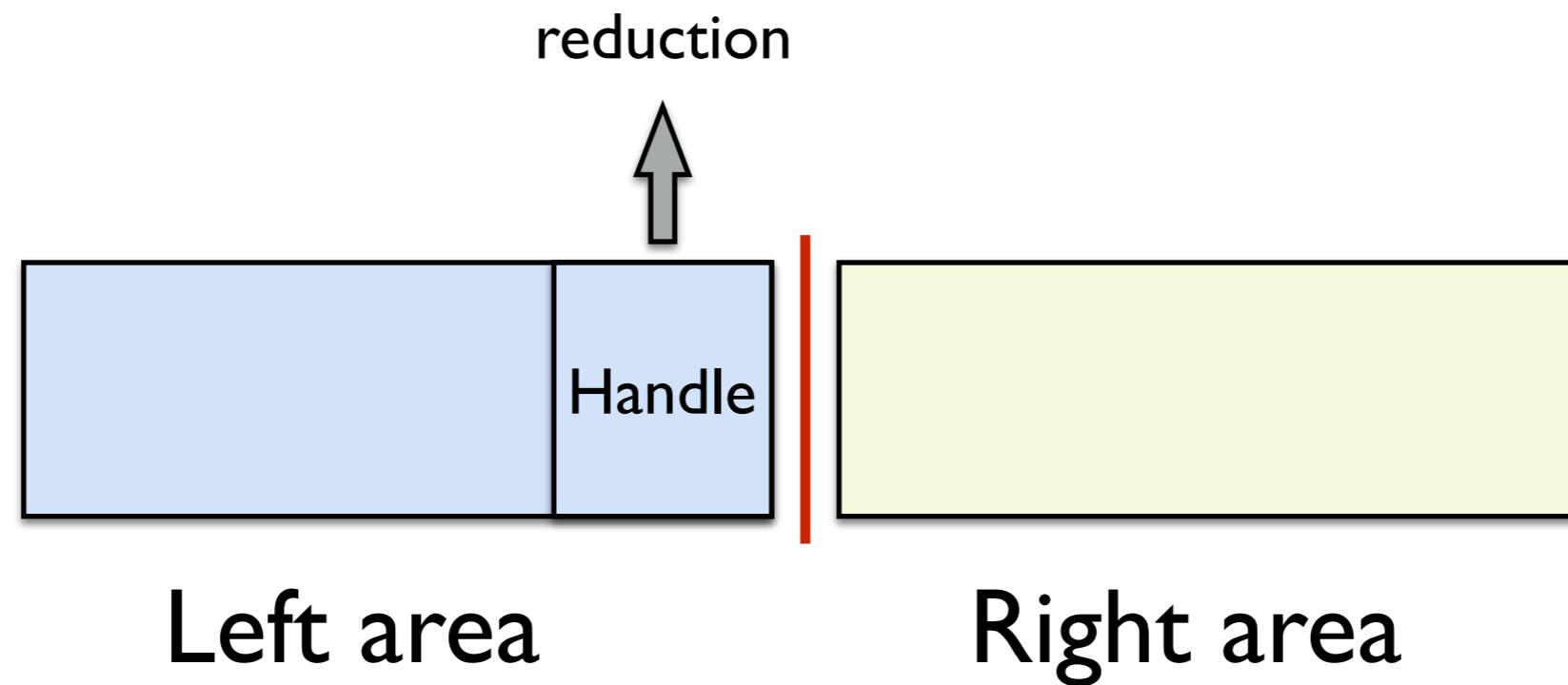


E |

# Simplifying our Terminology

- All activity in a shift/reduce parser is at the far right end of the left area.
- Idea: Represent the left area as a stack.
- Shift: Push the next terminal onto the stack.
- Reduce: Pop some number of symbols from the stack, then push the appropriate nonterminal.

- What's the pattern of the “left area” in a shift/reduce parser?
- How to recognize it?



# Finding Handles

- Where do we look for handles?
  - **At the top of the stack.**
- How do we search for handles?
  - What algorithm do we use to try to discover a handle?
- How do we recognize handles?
  - Once we've found a possible handle, how do we confirm that it's correct?

# Question Two:

How do we search for handles?

# Searching for Handles

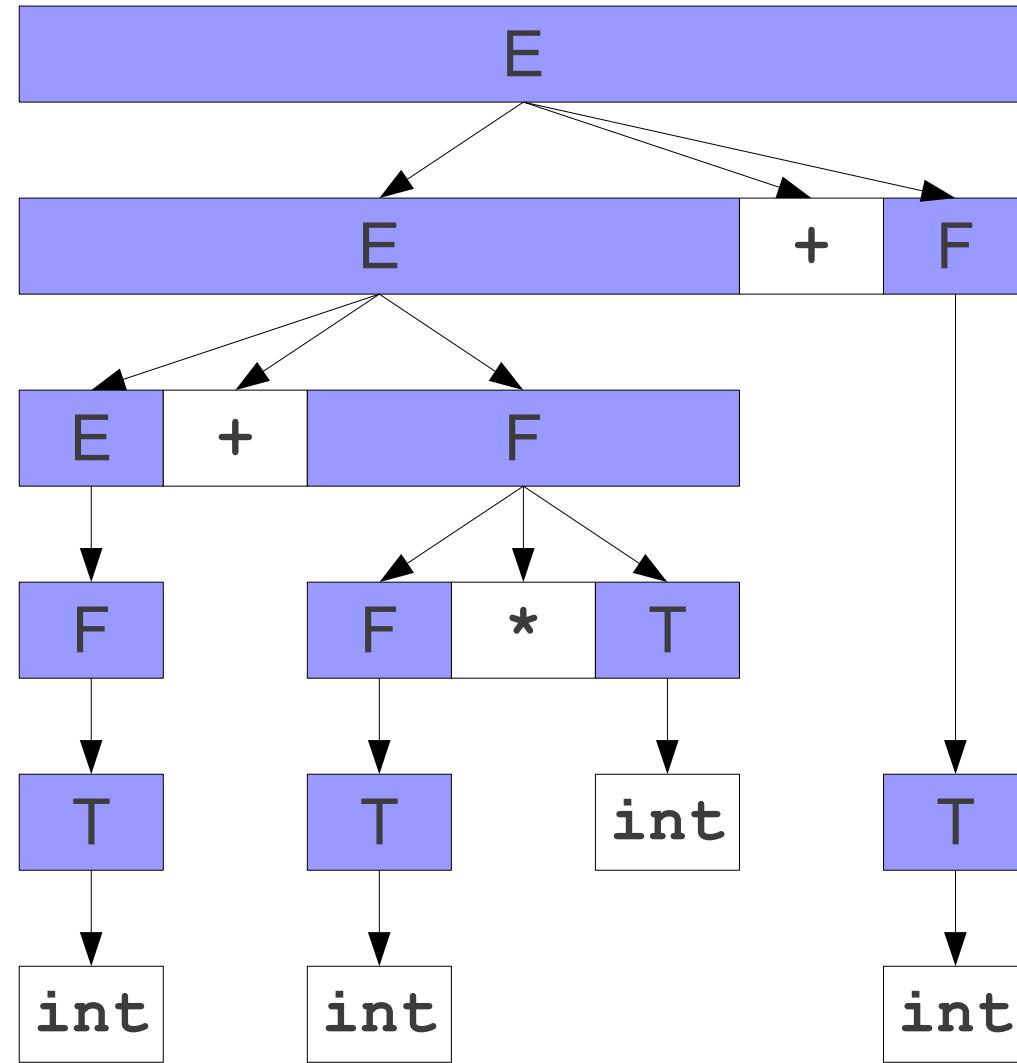
- When using a shift/reduce parser, we must decide whether to shift or reduce at each point.
- We only want to reduce when we know we have a handle.
- **Question:** How can we tell that we might be looking at a handle?

# Exploring the Left Side

- The handle will always appear at the end of string in the left side of the parser.
- Can *any* string appear on the left side of the parser, or are there restrictions on what sorts of strings can appear there?
- If we can find a pattern to the strings that can appear on the left side, we might be able to exploit it to detect handles.

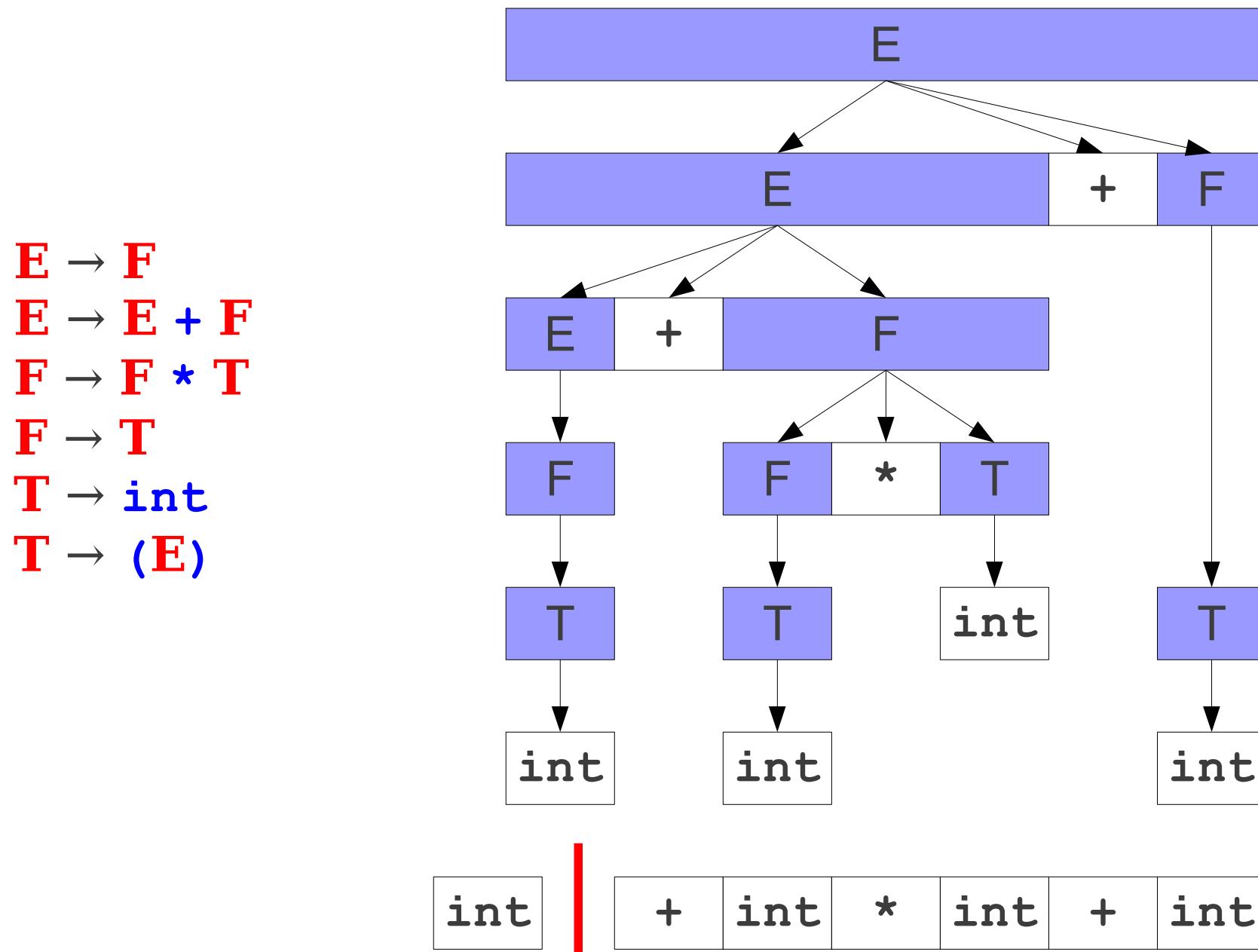
# Another Look at Handles

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



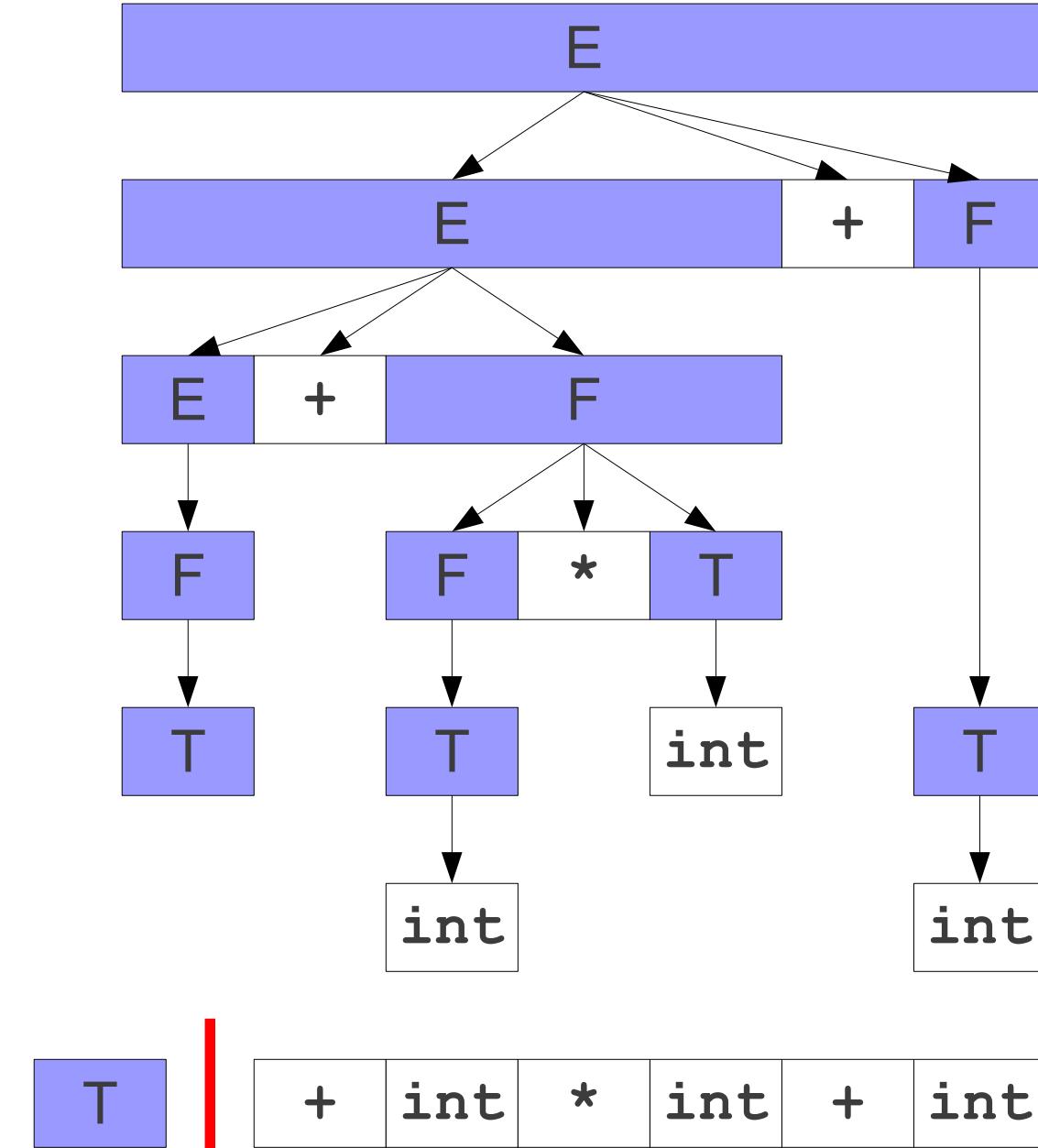
| int + int \* int + int |

# Another Look at Handles



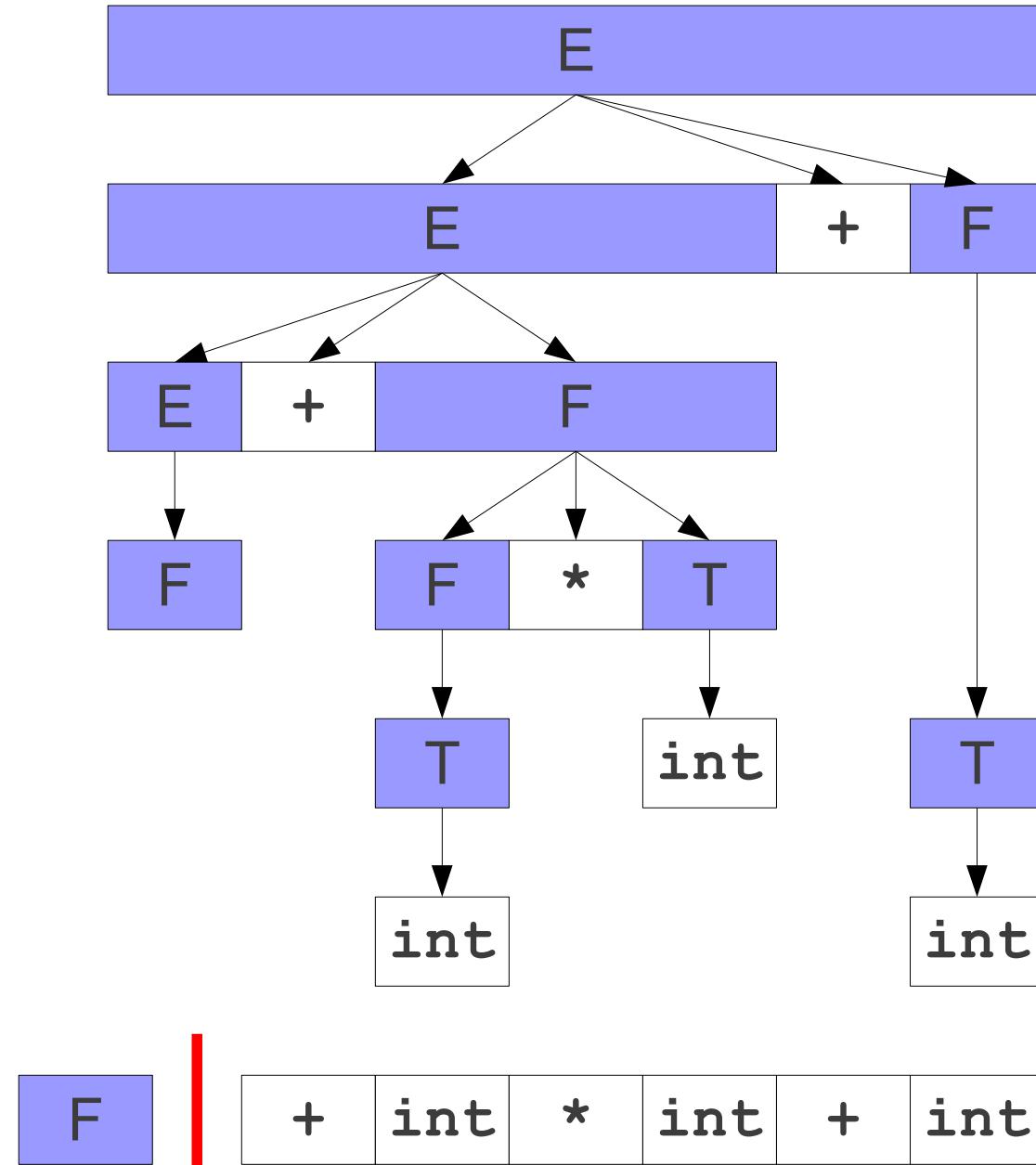
# Another Look at Handles

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



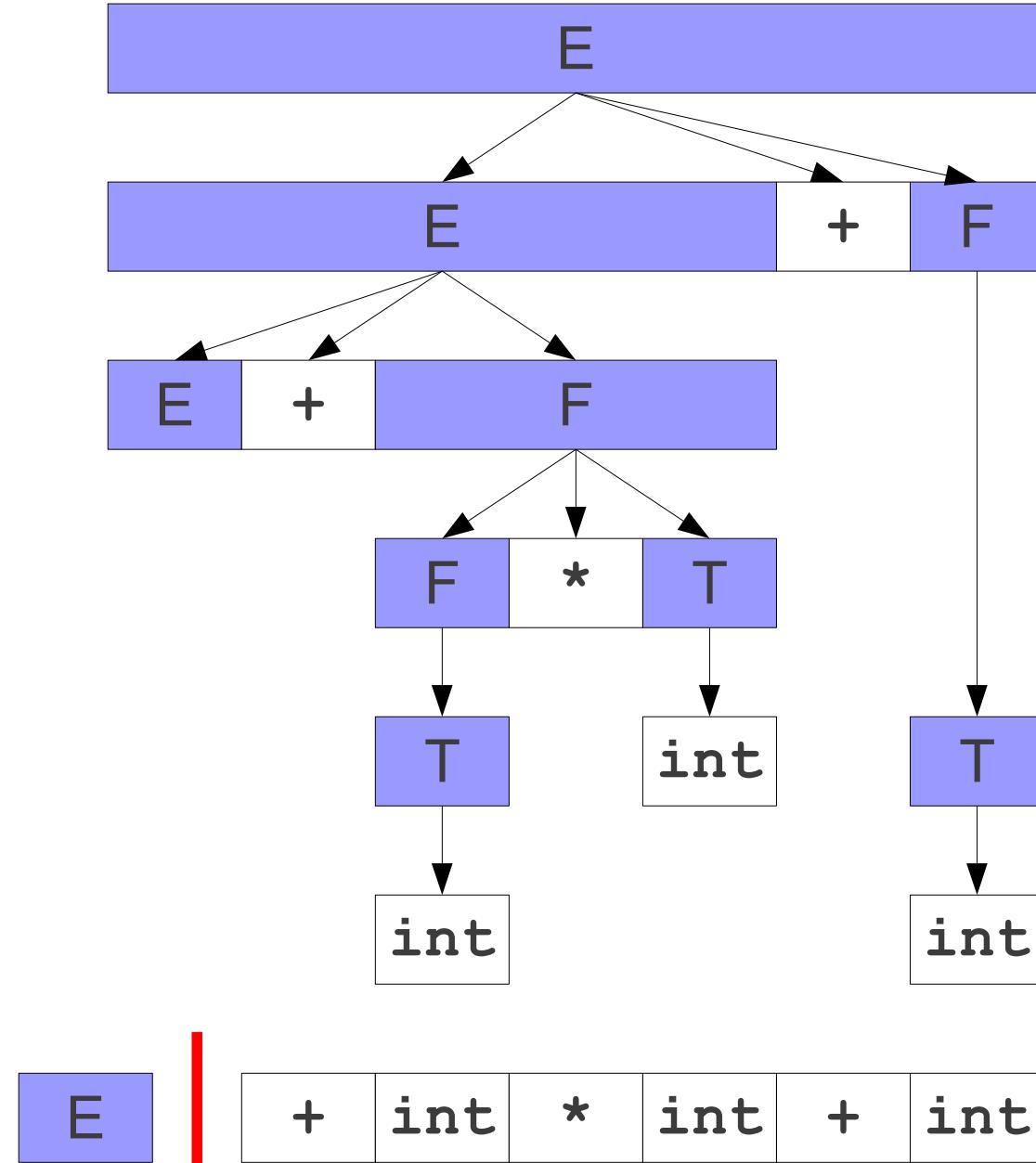
# Another Look at Handles

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

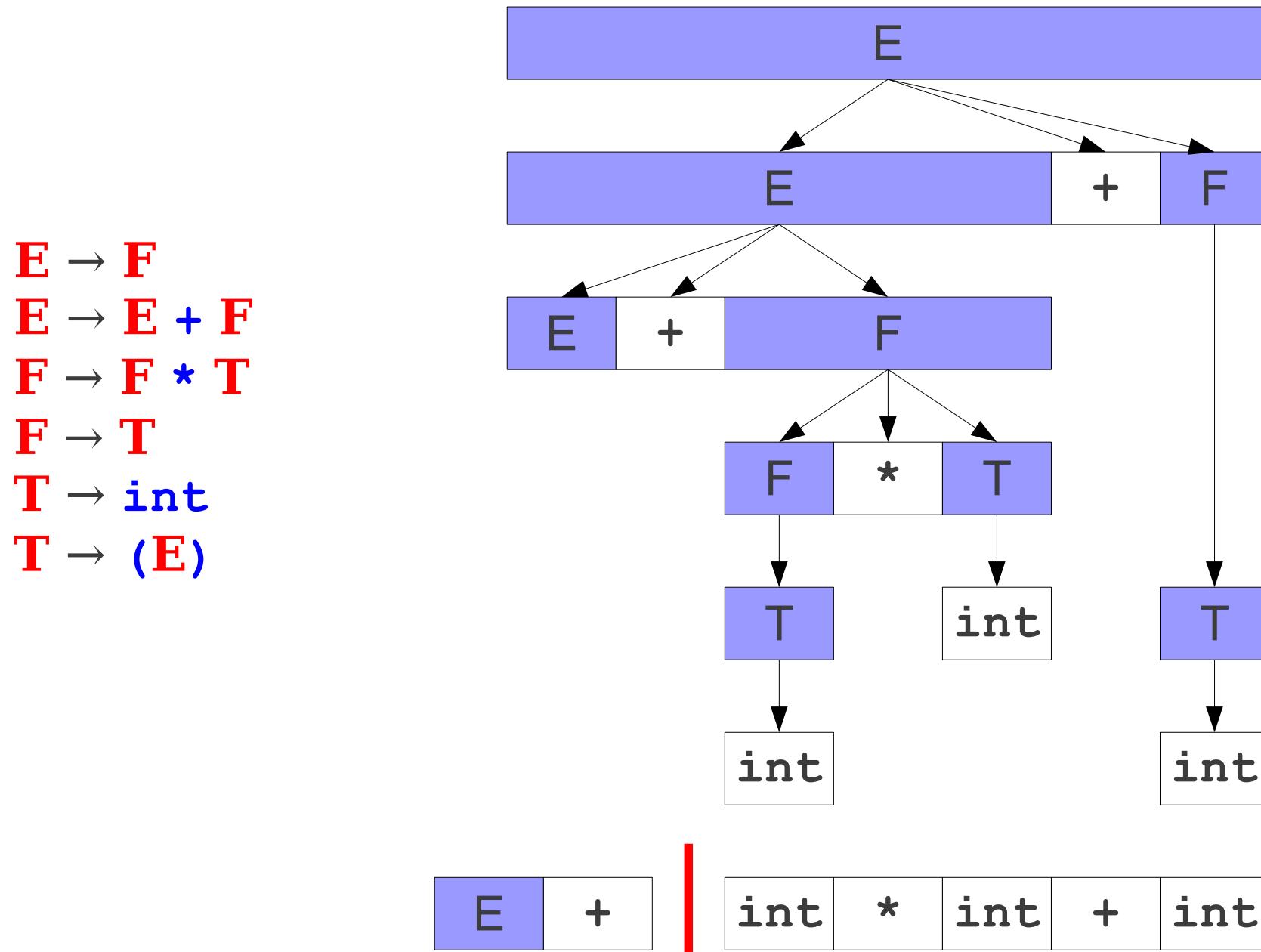


# Another Look at Handles

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

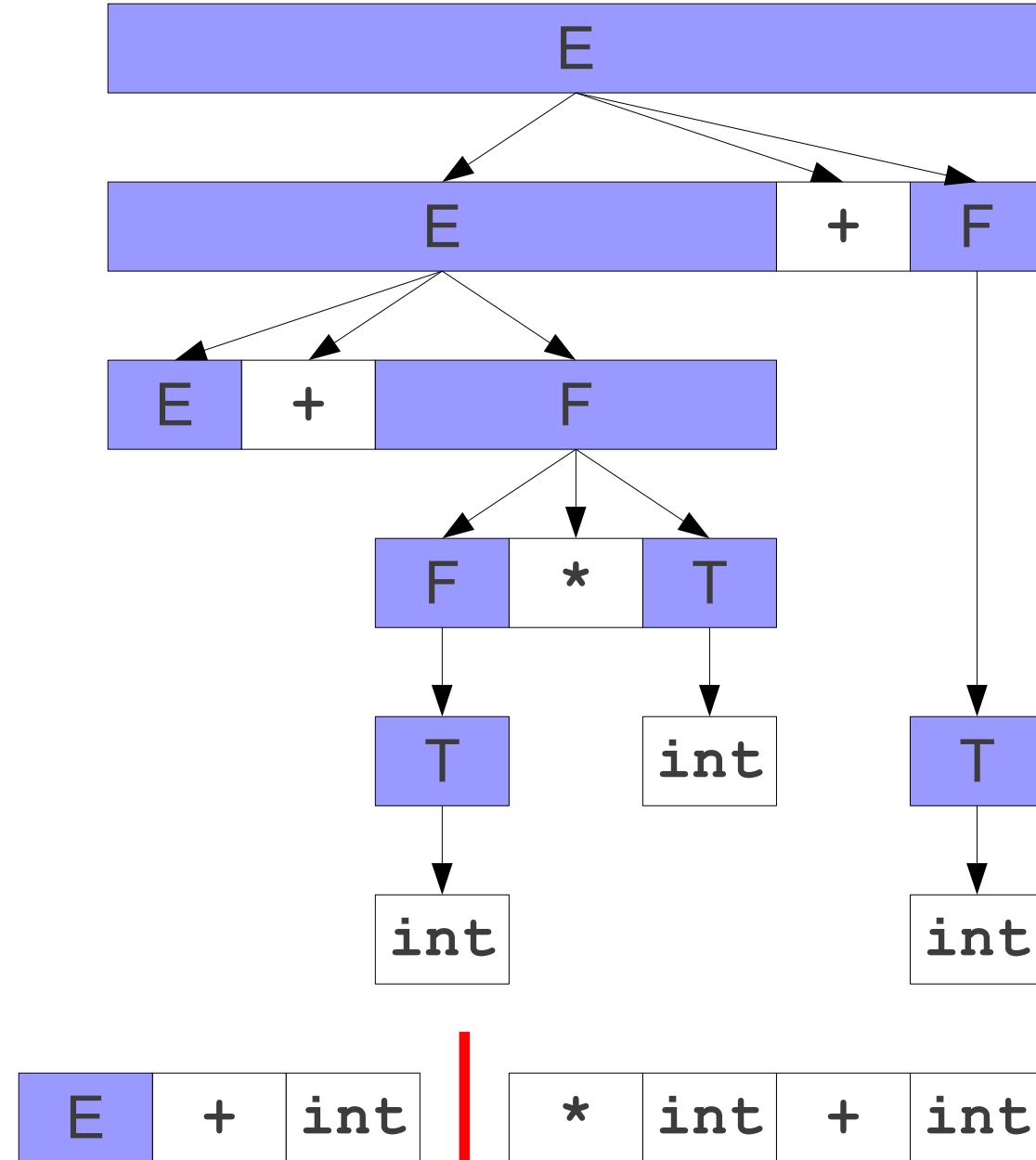


# Another Look at Handles



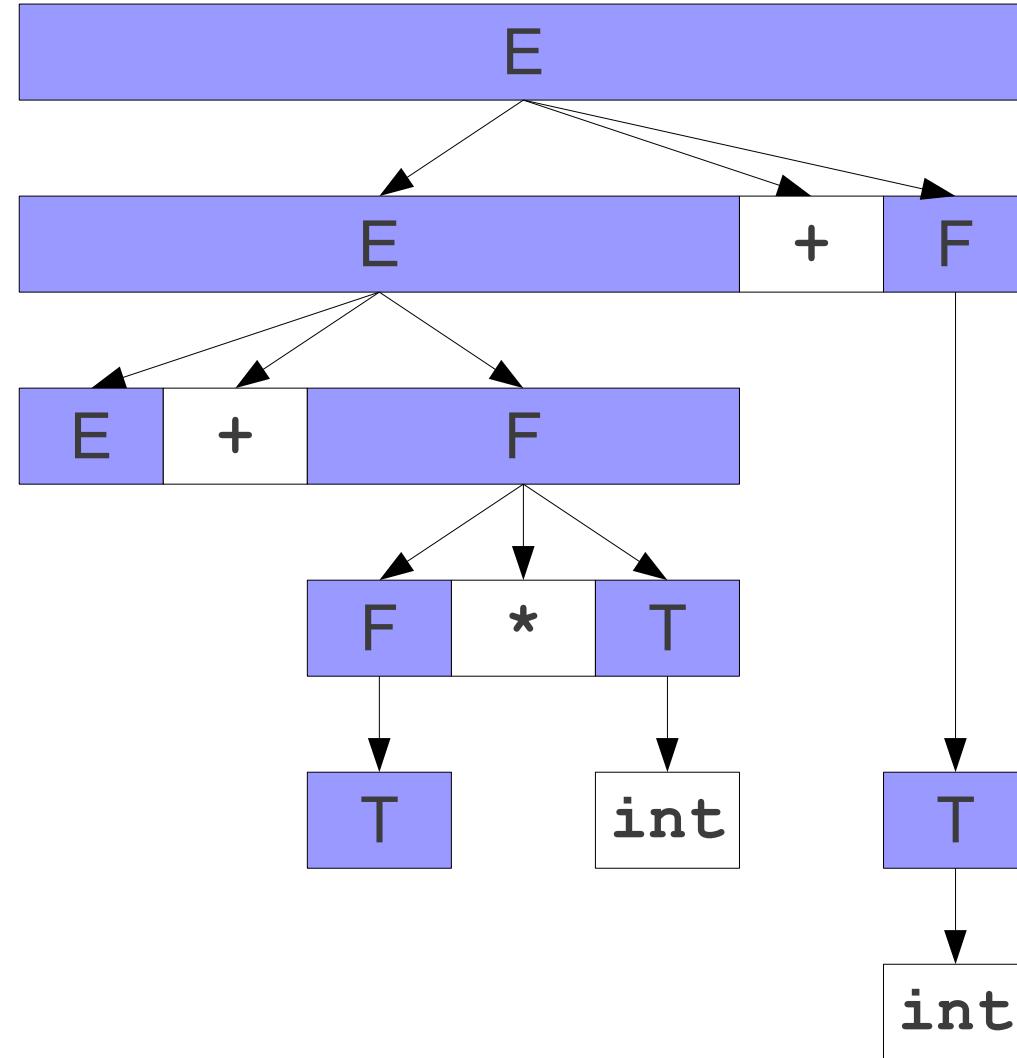
# Another Look at Handles

**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**



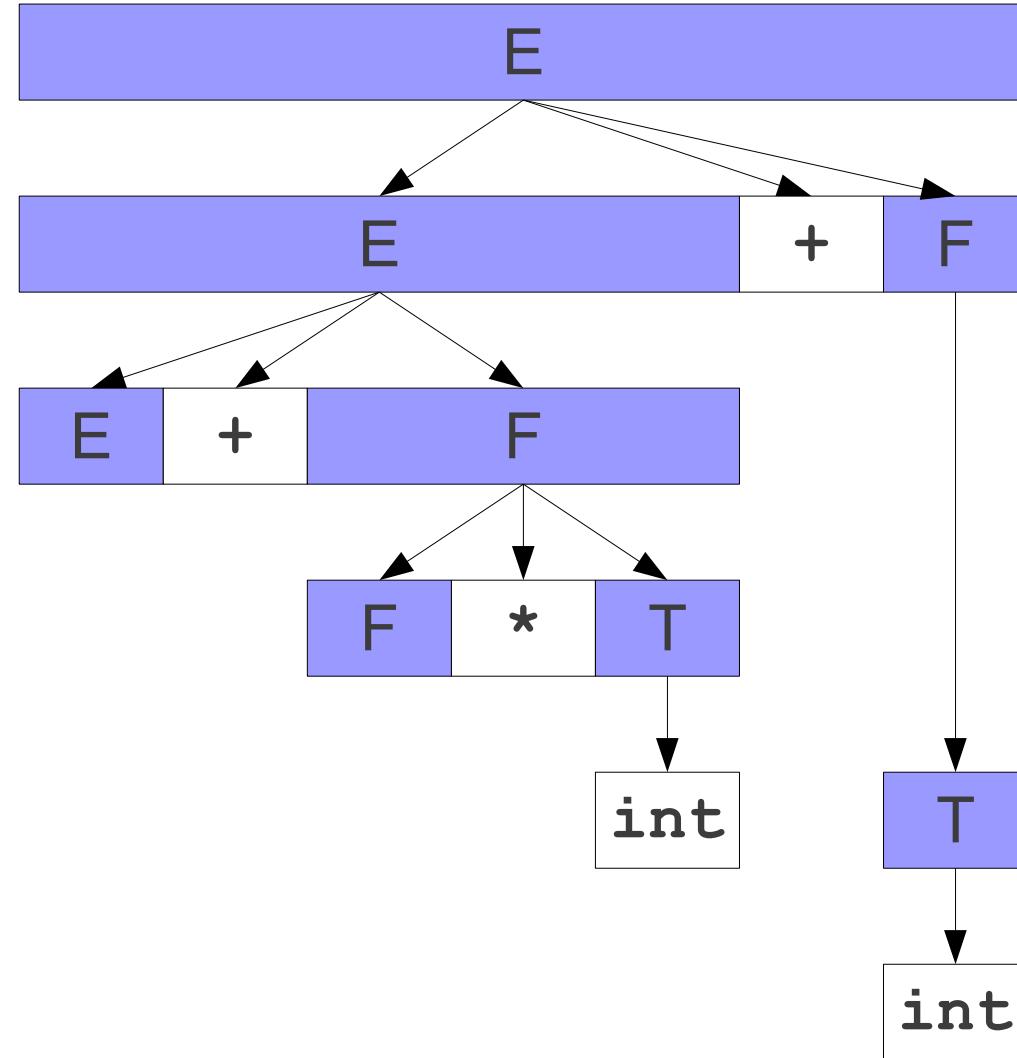
# Another Look at Handles

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



# Another Look at Handles

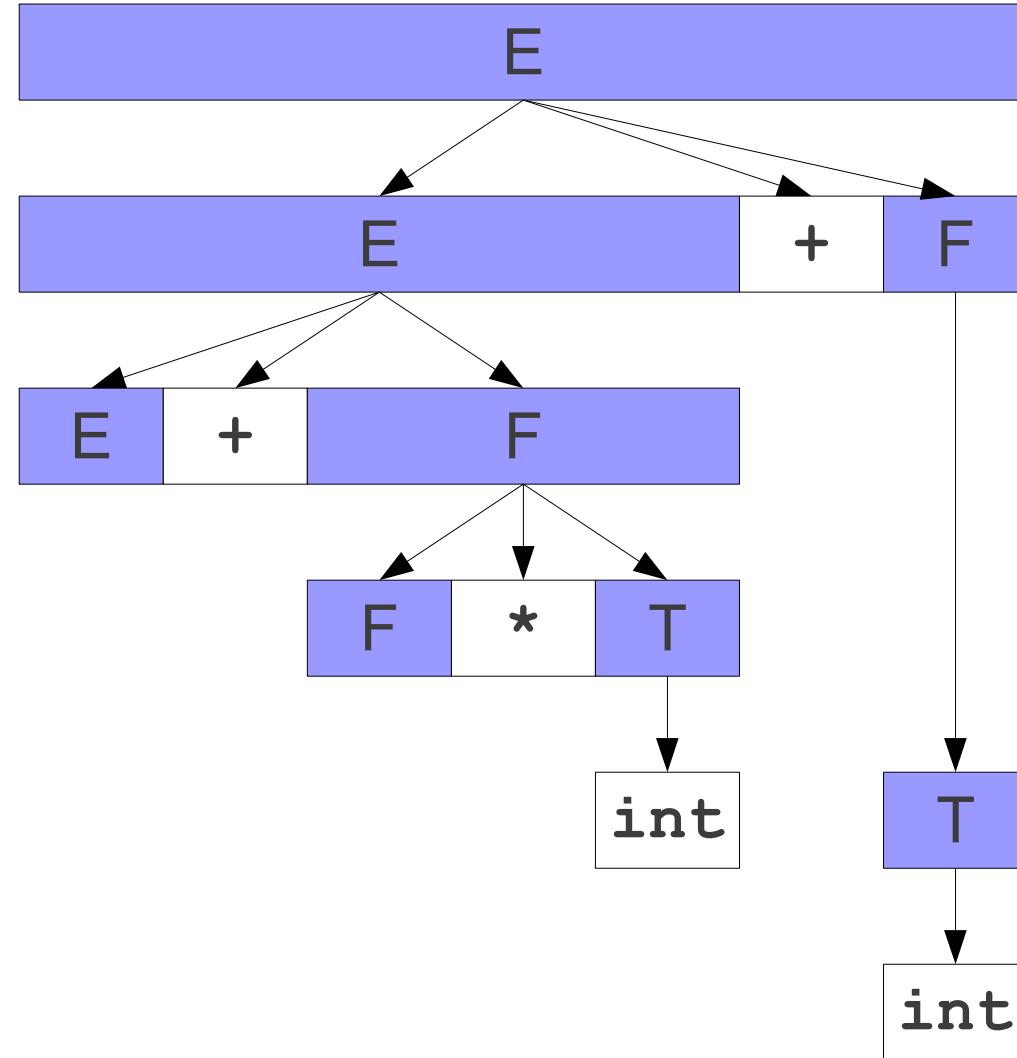
$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



$E + F$  |  $* \text{int} + \text{int}$

# Another Look at Handles

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

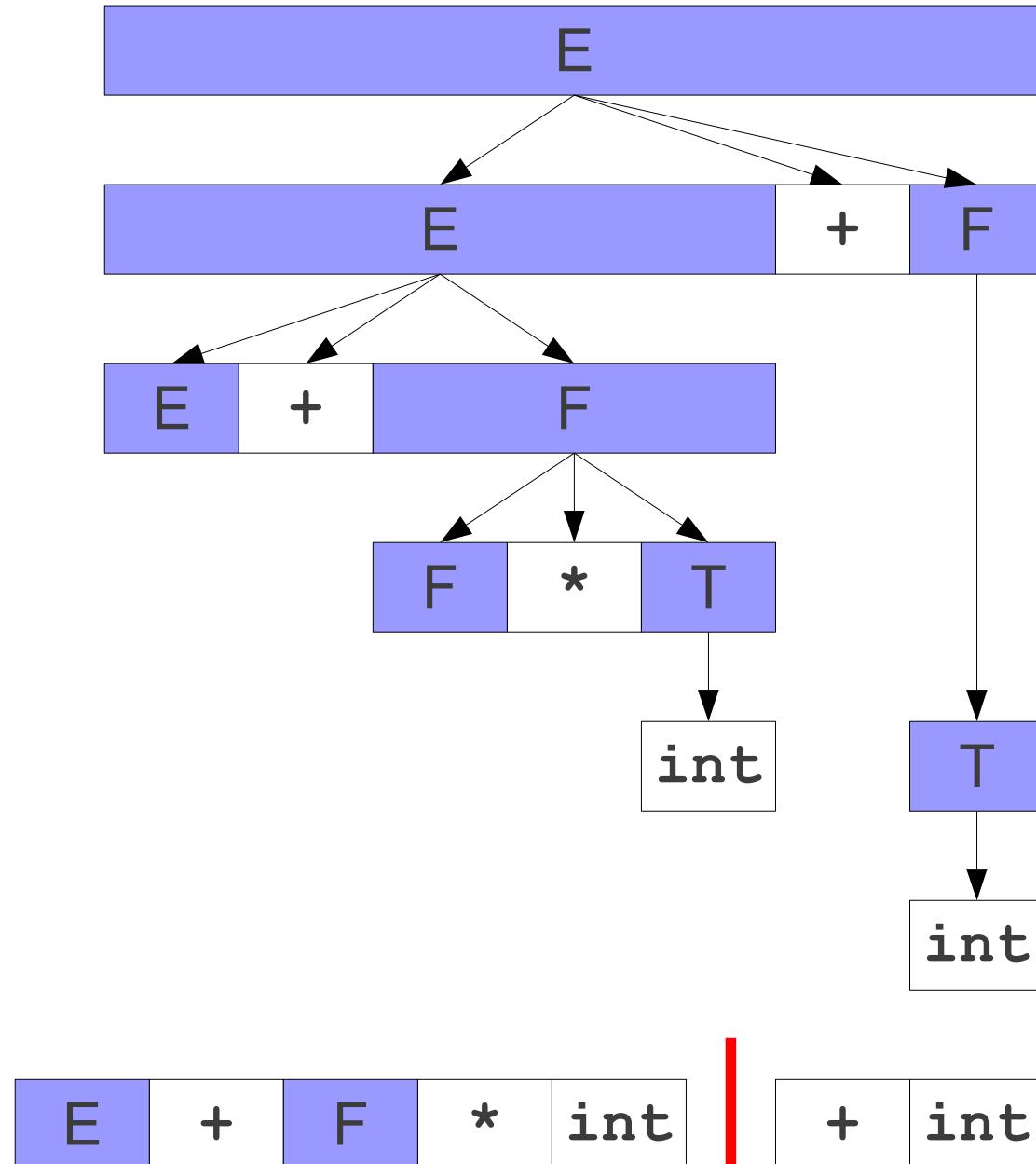


$E + F *$

$\text{int} + \text{int}$

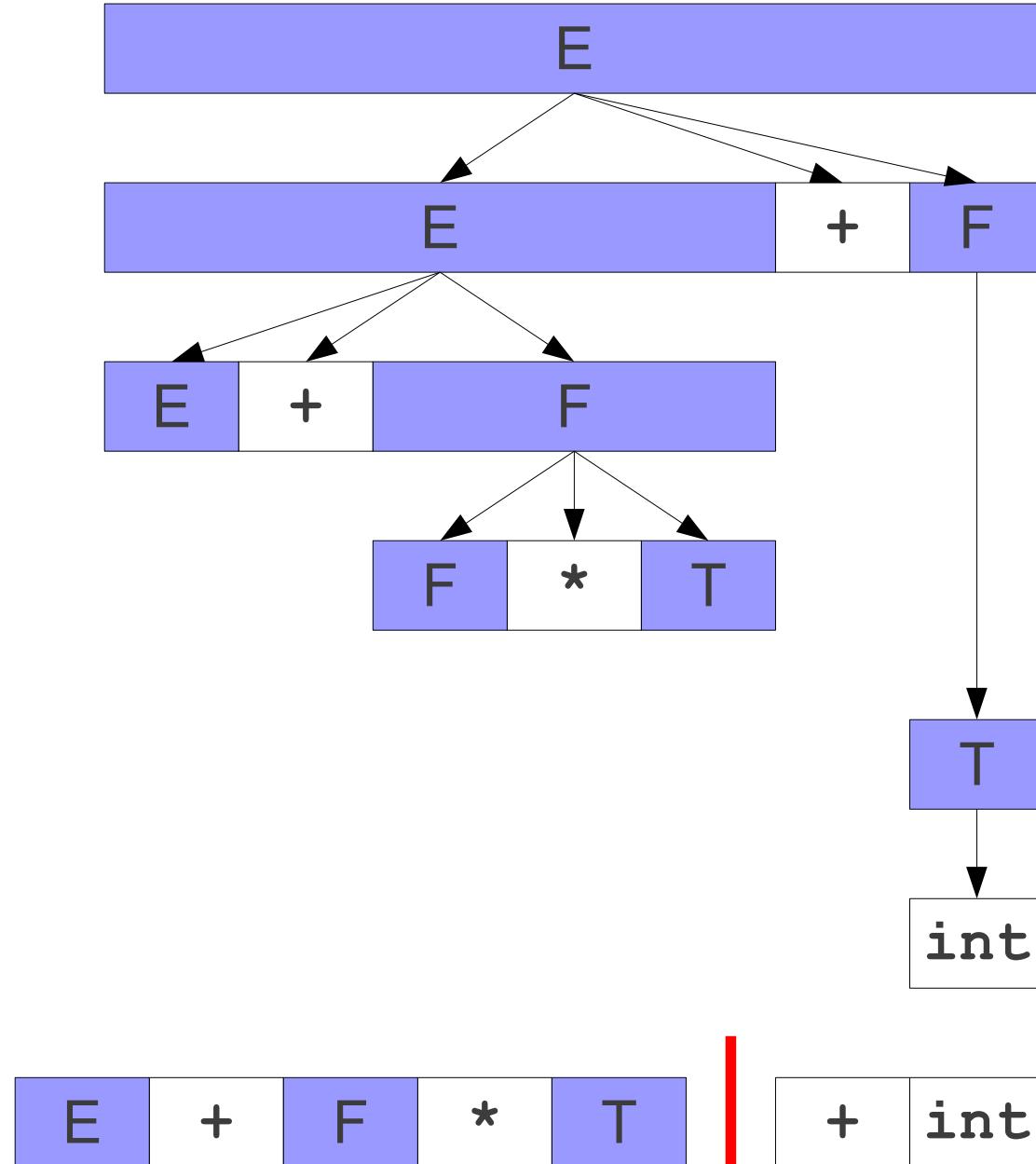
# Another Look at Handles

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



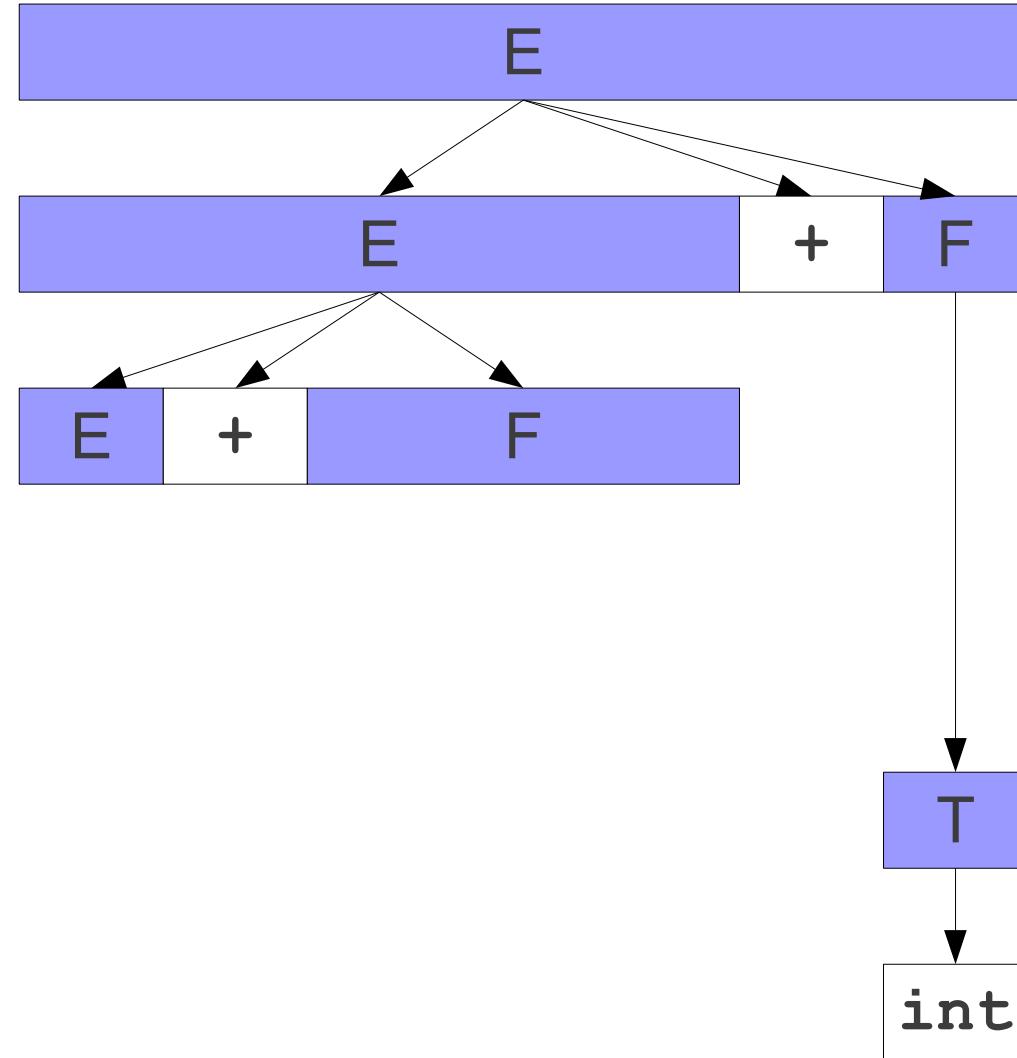
# Another Look at Handles

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

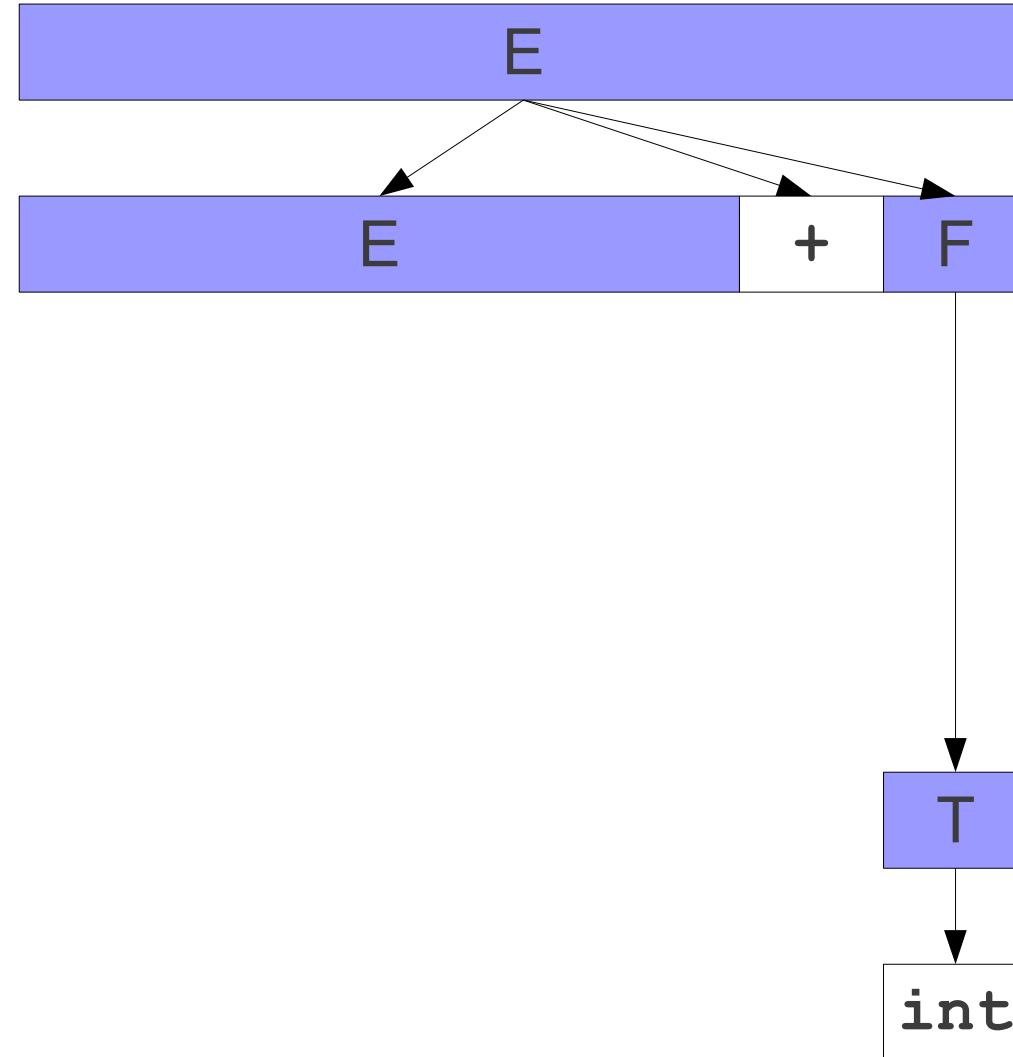


# Another Look at Handles

$E \rightarrow F$   
 $E \rightarrow E + F$   
 $F \rightarrow F * T$   
 $F \rightarrow T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



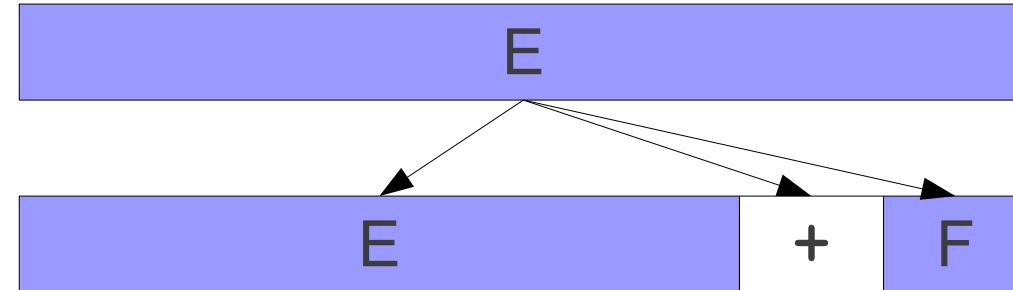
# Another Look at Handles



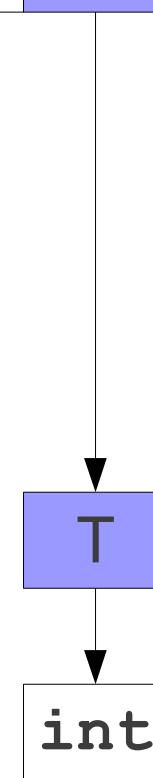
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**



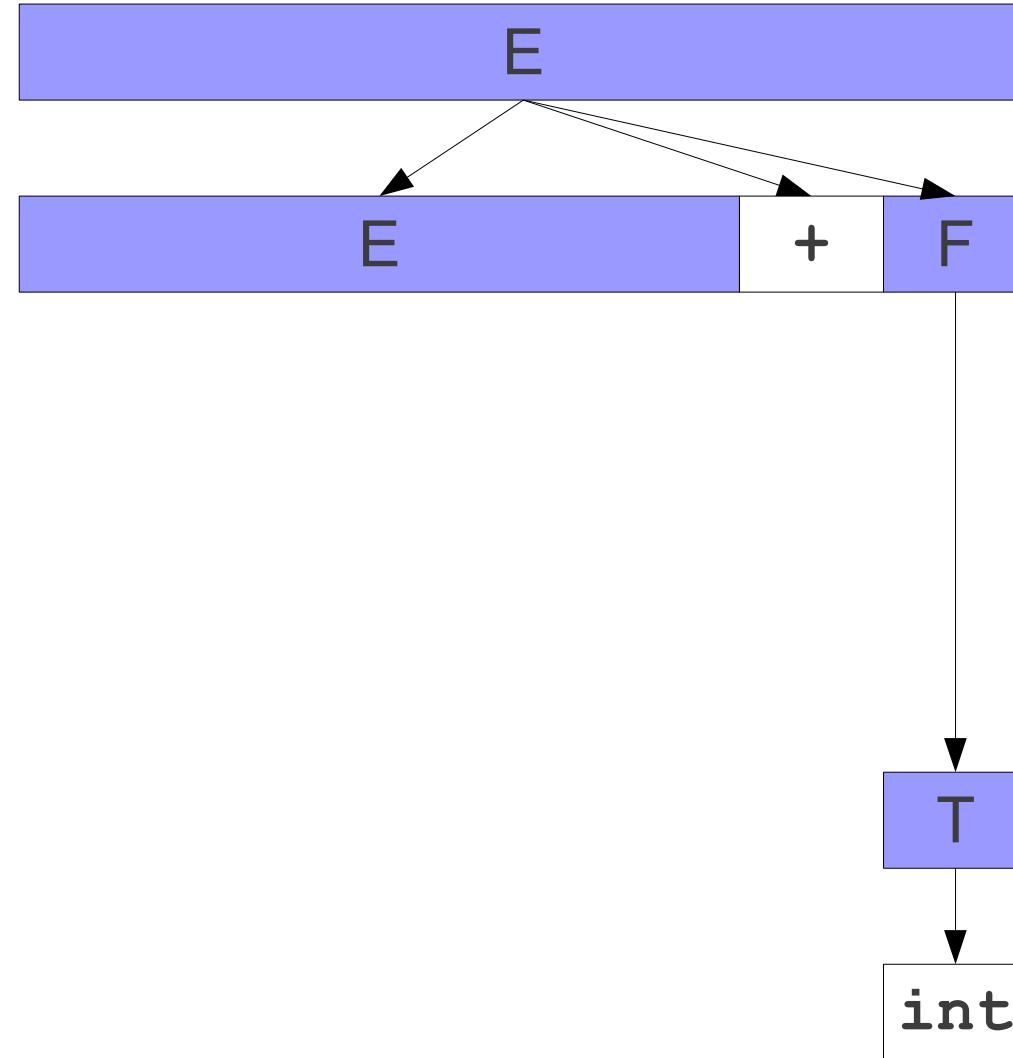
# Another Look at Handles



**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**



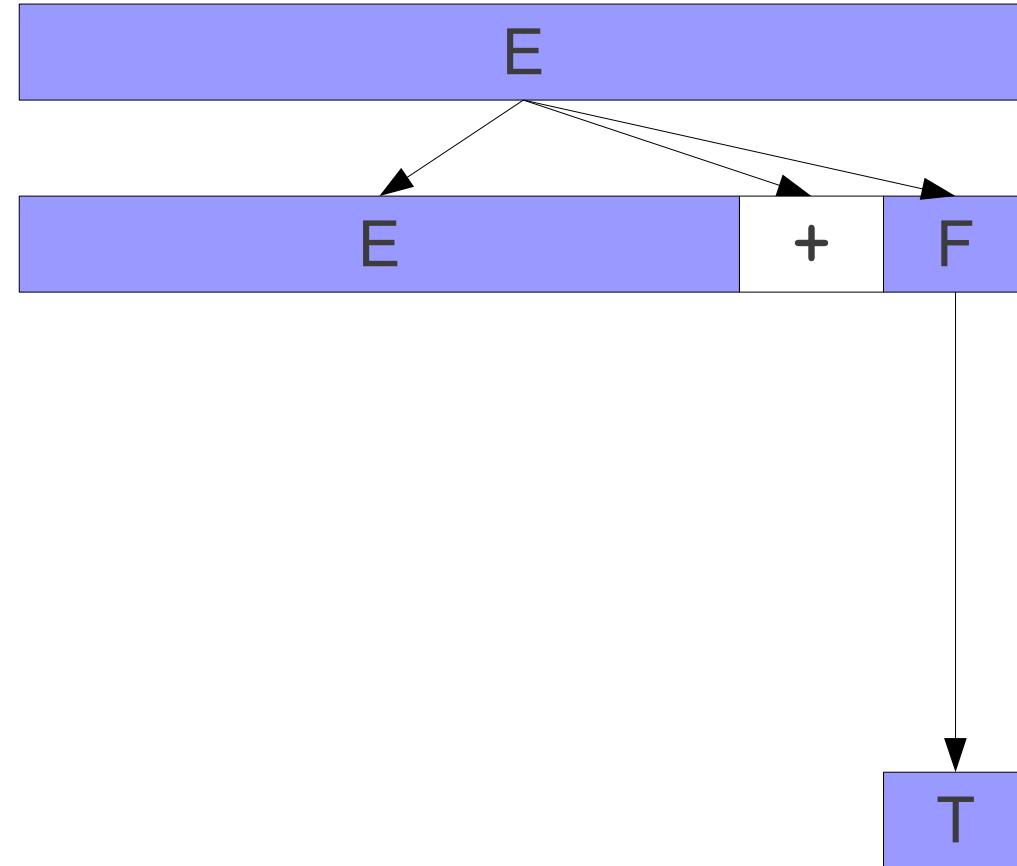
# Another Look at Handles



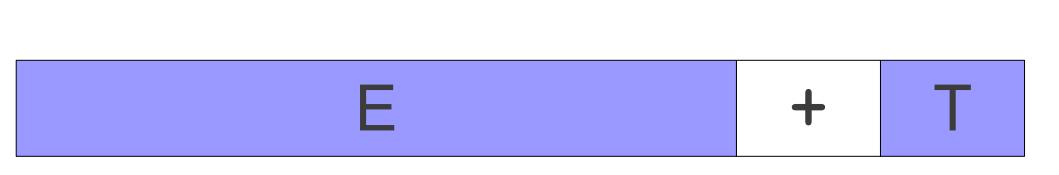
**E      +      int**



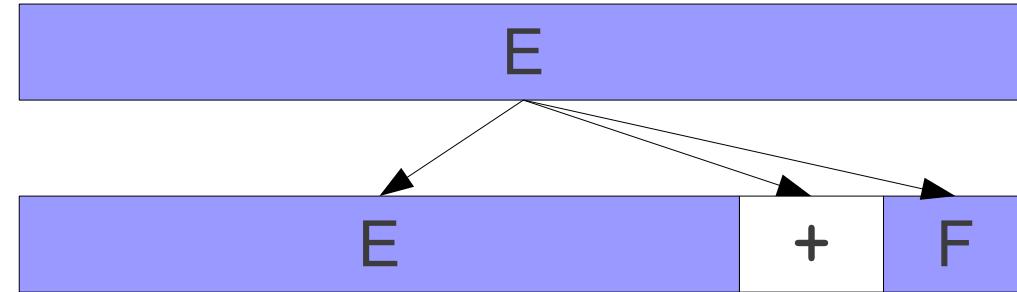
# Another Look at Handles



**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**



# Another Look at Handles



**E → F**

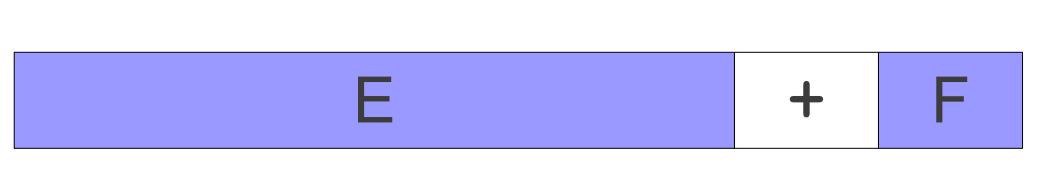
**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**



# Another Look at Handles

E

E → F

E → E + F

F → F \* T

F → T

T → int

T → (E)

E



# Tracking Our Position

$E \rightarrow F$

$E \rightarrow E + F$

$F \rightarrow F * T$

$F \rightarrow T$

$T \rightarrow \text{int}$

$T \rightarrow (E)$

int	+	int	*	int	+	int
-----	---	-----	---	-----	---	-----

# Tracking Our Position

**S** → **E**

**E** → **F**

**E** → **E** + **F**

**F** → **F** \* **T**

**F** → **T**

**T** → **int**

**T** → (**E**)

int	+	int	*	int	+	int
-----	---	-----	---	-----	---	-----

# Tracking Our Position

S → · E

S → E

E → F

E → E + F

F → F \* T

F → T

T → int

T → (E)

| int + int \* int + int

# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$

int	+	int	*	int	+	int
-----	---	-----	---	-----	---	-----

# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot E + F$

| int + int \* int + int

# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot F$

| int + int \* int + int

# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot F$
$F \rightarrow \cdot T$

| int + int \* int + int

# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot F$
$F \rightarrow \cdot T$
$T \rightarrow \cdot \text{int}$

| int + int \* int + int

# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot F$
$F \rightarrow \cdot T$
$T \rightarrow \text{int} \cdot$

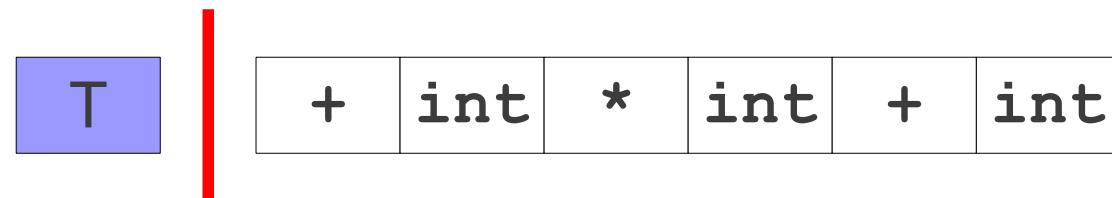
int

+ int \* int + int

# Tracking Our Position

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot F$
$F \rightarrow \cdot T$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot F$
$F \rightarrow T \cdot$

T

+

int	*	int	+	int
-----	---	-----	---	-----

# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

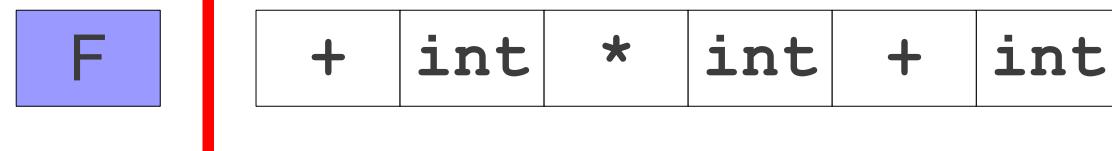
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot F$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot E + F$
$E \rightarrow F \cdot$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

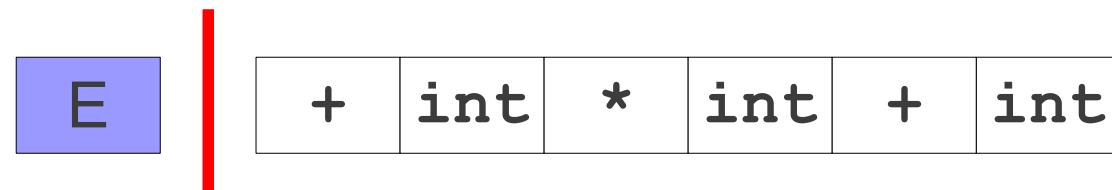
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow \cdot E + F$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

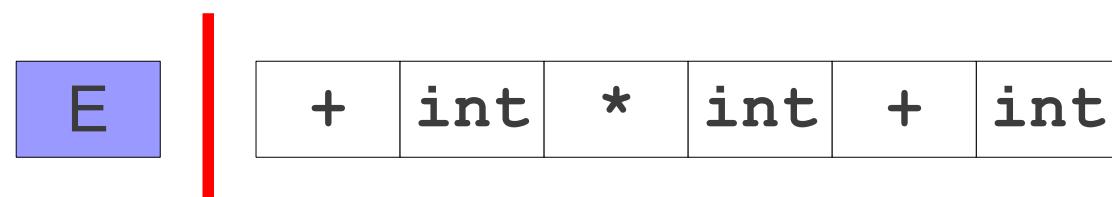
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E \cdot + F$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$

E +

int \* int + int

# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot F * T$

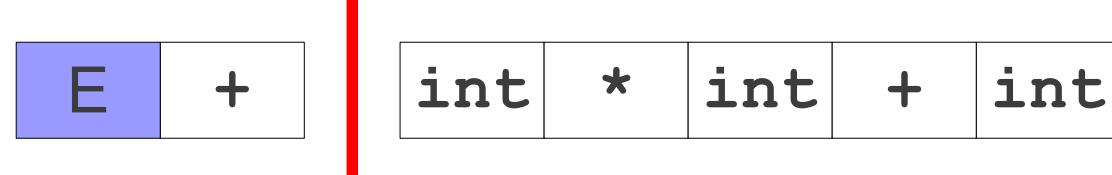
E +

int \* int + int

# Tracking Our Position

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot F * T$
$F \rightarrow \cdot T$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot F * T$
$F \rightarrow \cdot T$
$T \rightarrow \cdot \text{int}$

E +

int \* int + int

# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

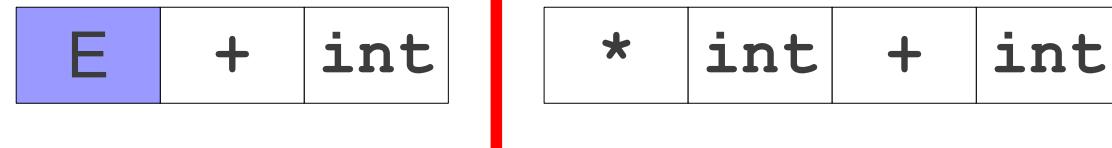
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot F * T$
$F \rightarrow \cdot T$
$T \rightarrow \text{int} \cdot$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

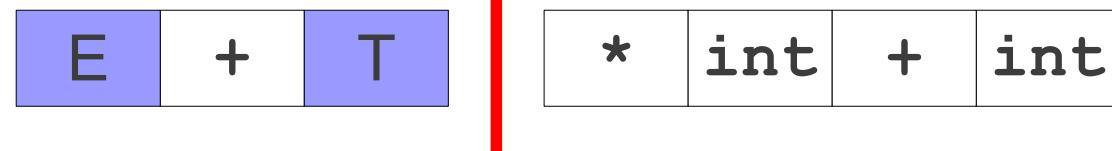
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

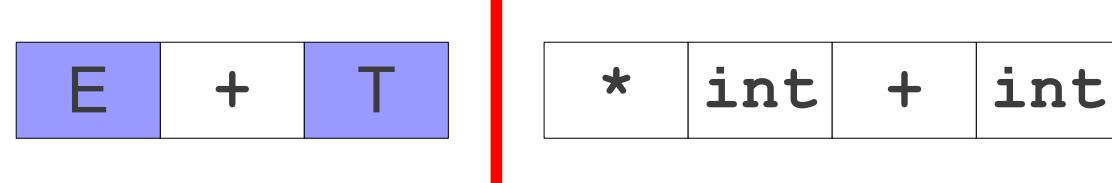
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot F * T$
$F \rightarrow \cdot T$



# Tracking Our Position

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot F * T$
$F \rightarrow T \cdot$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

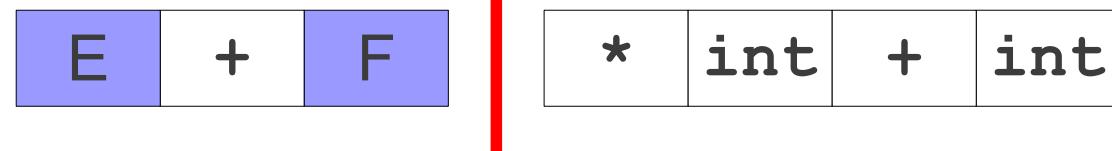
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot F * T$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

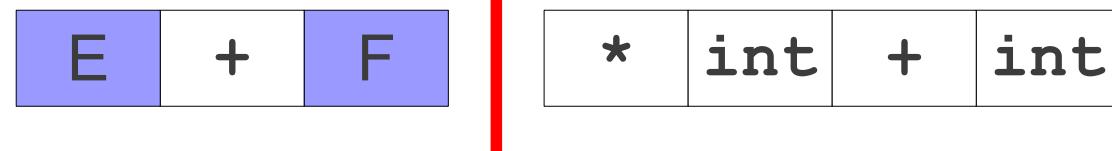
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow F \cdot * T$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

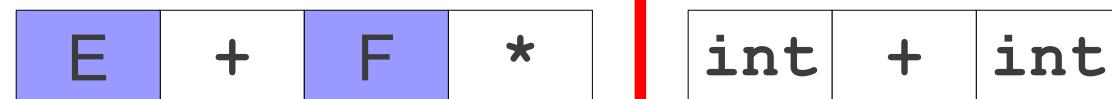
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

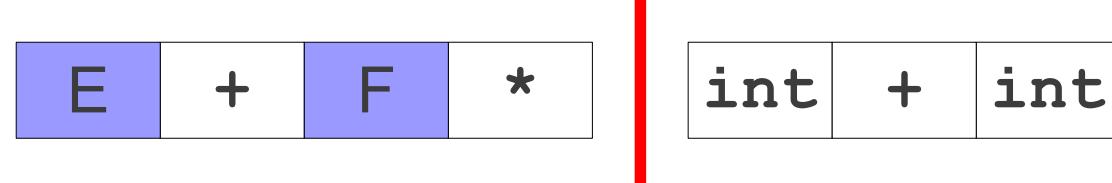
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow F * \cdot T$



# Tracking Our Position

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow F * \cdot T$
$T \rightarrow \cdot \text{int}$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

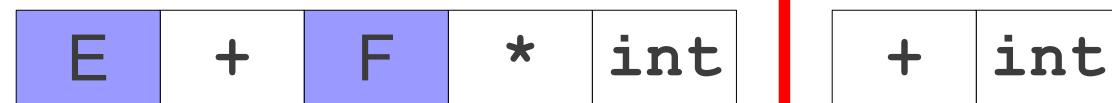
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow F * \cdot T$
$T \rightarrow \text{int} \cdot$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

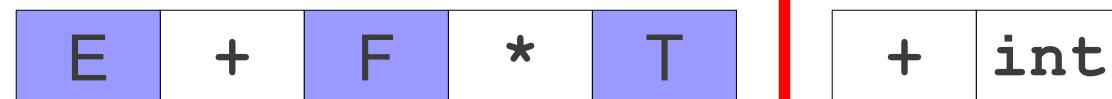
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow F * \cdot T$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

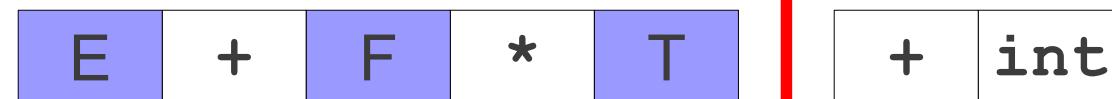
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$
$F \rightarrow F * T \cdot$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

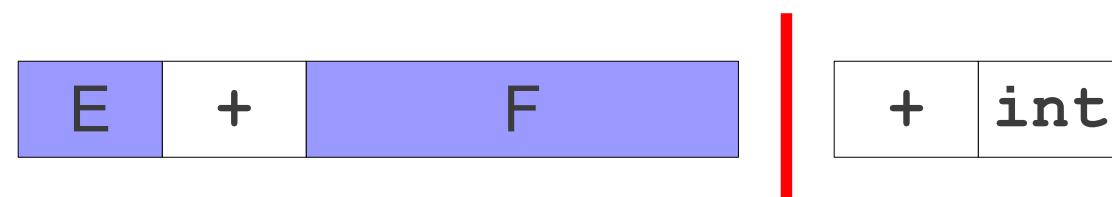
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
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# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

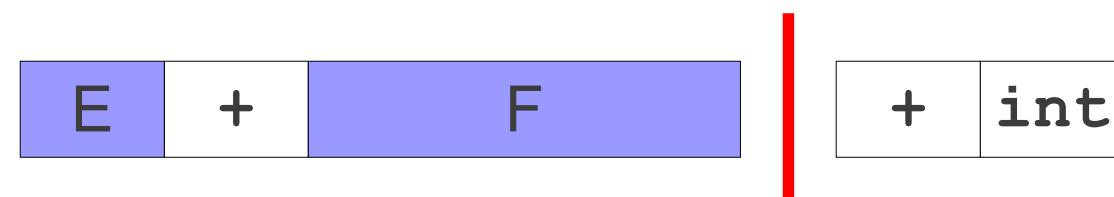
**F → F \* T**

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**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + F \cdot$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

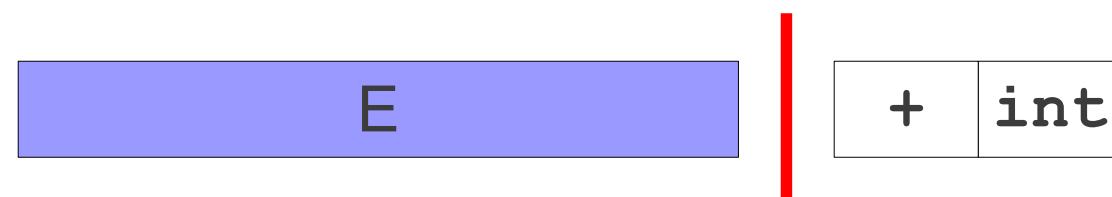
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**T → (E)**

$S \rightarrow \cdot E$
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# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

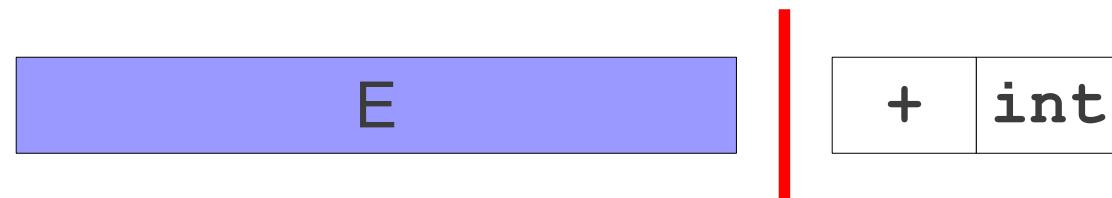
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow E \cdot + F$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

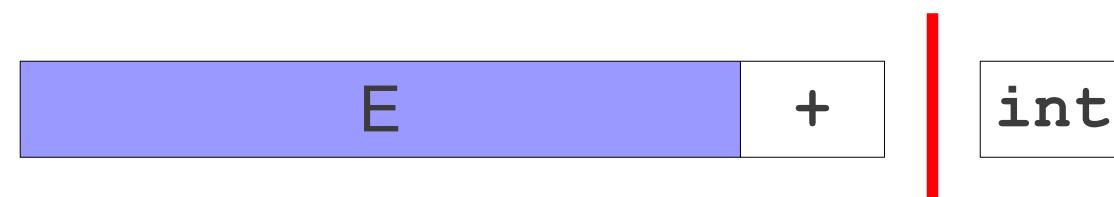
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

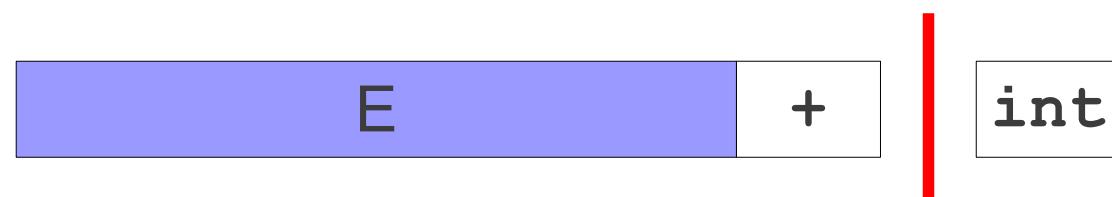
$S \rightarrow \cdot E$
$E \rightarrow E + \cdot F$



# Tracking Our Position

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot T$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

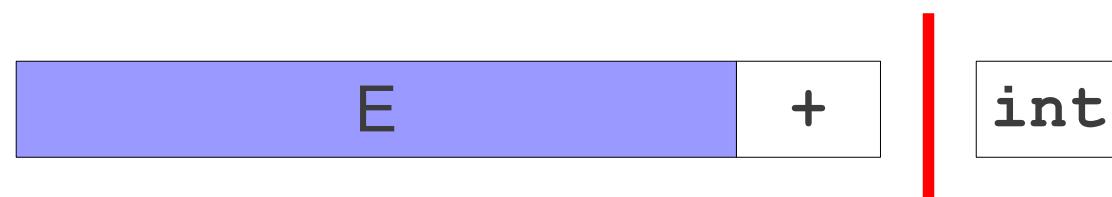
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot T$
$T \rightarrow \cdot \text{int}$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot T$
$T \rightarrow \text{int} \cdot$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow E + \cdot F$
$F \rightarrow \cdot T$



# Tracking Our Position

**S → E**

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**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow E + \cdot F$
$F \rightarrow T \cdot$



# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow E + \cdot F$

E                    +            F

# Tracking Our Position

**S → E**

**E → F**

**E → E + F**

**F → F \* T**

**F → T**

**T → int**

**T → (E)**

$S \rightarrow \cdot E$
$E \rightarrow E + F \cdot$



# Tracking Our Position

S → · E

S → E

E → F

E → E + F

F → F \* T

F → T

T → int

T → (E)

E

# Tracking Our Position

S → E ·

S → E

E → F

E → E + F

F → F \* T

F → T

T → int

T → (E)

E

# Generating Left-Hand Sides

- At any instant in time, the contents of the left side of the parser can be described using the following process:
  - Trace out, from the start symbol, the series of productions that have not yet been completed and where we are in each production.
  - For each production, in order, output all of the symbols up to the point where we change from one production to the next.

# Recognizing Left-Hand Sides

- Given that we have a procedure for *generating* left-hand sides, can we build a procedure for *recognizing* those left-hand sides?
- Idea: At each point, track
  - Which production we are in, and
  - Where we are in that production.
- At each point, we can do one of two things:
  - Match the next symbol of the candidate left-hand side with the next symbol in the current production, or
  - If the next symbol of the candidate left-hand side is a nonterminal, nondeterministically guess which production to try next.

# Recognizing Left-Hand Sides

**S** → **E**

**E** → **F**

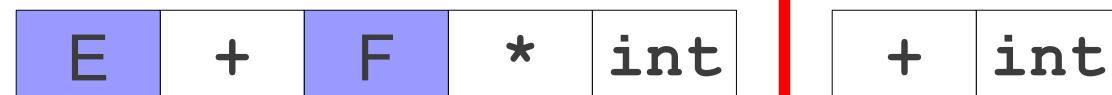
**E** → **E** + **F**

**F** → **F** \* **T**

**F** → **T**

**T** → **int**

**T** → ( **E** )



# Recognizing Left-Hand Sides

$S \rightarrow \cdot E$

$S \rightarrow E$

$E \rightarrow F$

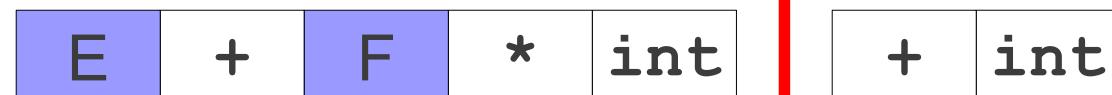
$E \rightarrow E + F$

$F \rightarrow F * T$

$F \rightarrow T$

$T \rightarrow \text{int}$

$T \rightarrow (E)$



# Recognizing Left-Hand Sides

$S \rightarrow \cdot E$

$S \rightarrow E$

$E \rightarrow F$

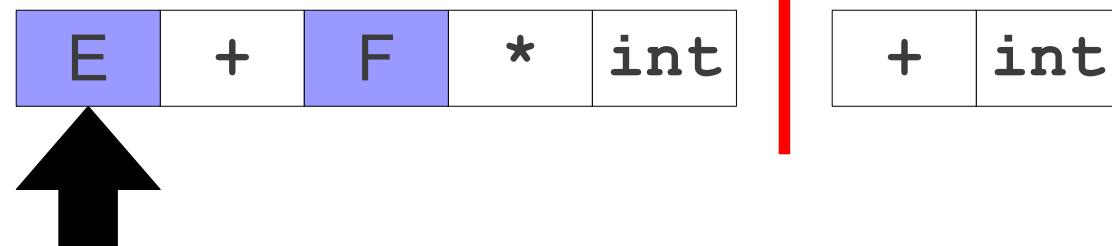
$E \rightarrow E + F$

$F \rightarrow F * T$

$F \rightarrow T$

$T \rightarrow \text{int}$

$T \rightarrow (E)$



# Recognizing Left-Hand Sides

**S → E**

**E → F**

**E → E + F**

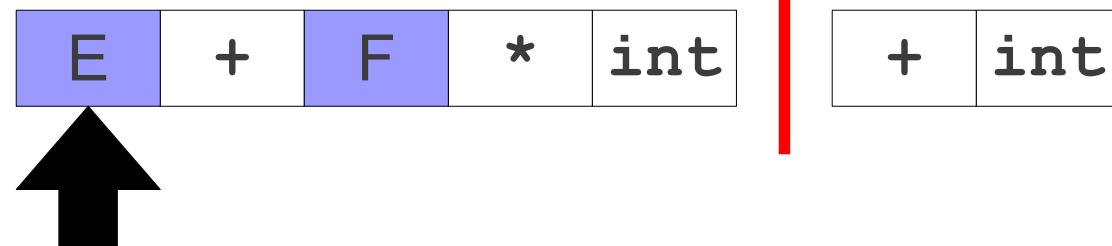
**F → F \* T**

**F → T**

**T → int**

**T → (E)**

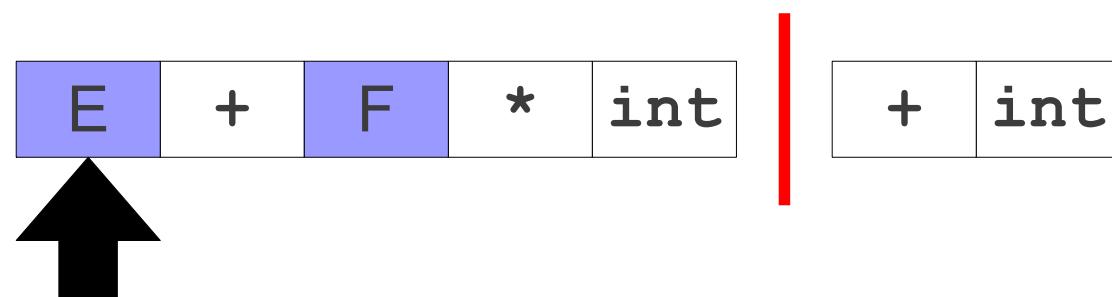
S → · E  
E → · E + F



# Recognizing Left-Hand Sides

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

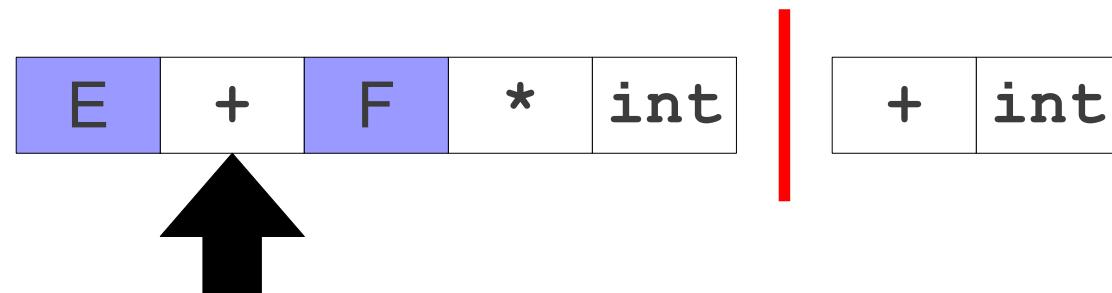
S → · E
E → · E + F
E → · E + F



# Recognizing Left-Hand Sides

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

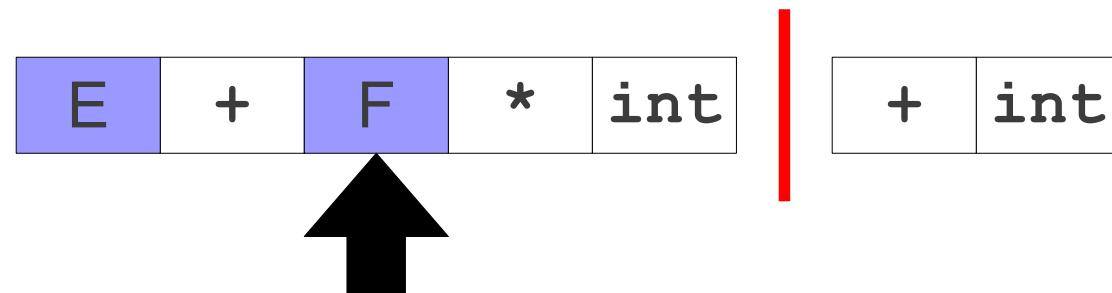
S → · E
E → · E + F
E → E · + F



# Recognizing Left-Hand Sides

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

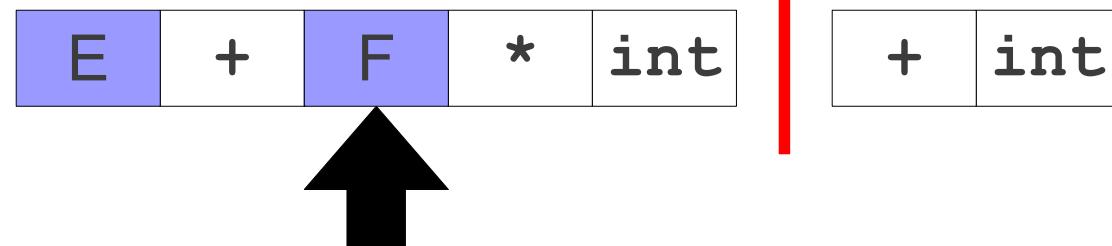
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + F$
$E \rightarrow E + \cdot F$



# Recognizing Left-Hand Sides

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

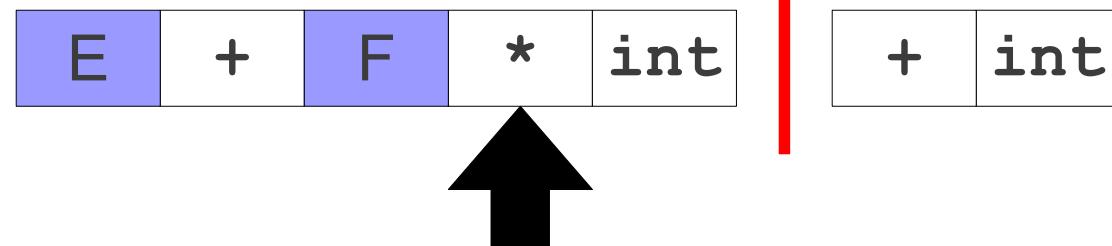
S → · E
E → · E + F
E → E + · F
F → · F * T



# Recognizing Left-Hand Sides

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

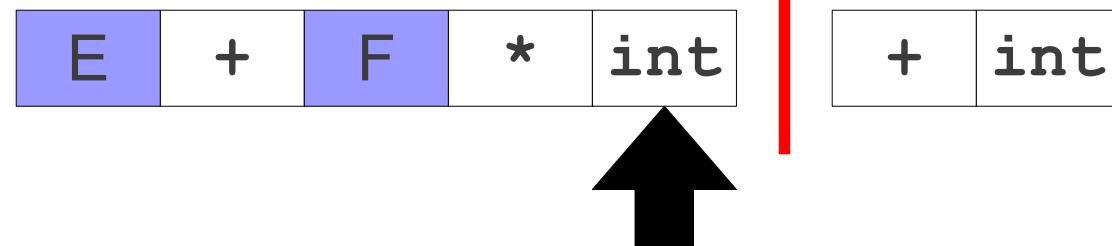
S → · E
E → · E + F
E → E + · F
F → F · * T



# Recognizing Left-Hand Sides

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

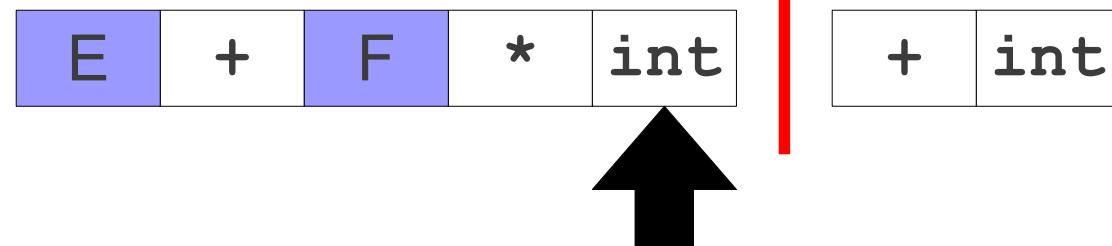
S → · E
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E → E + · F
F → F * · T



# Recognizing Left-Hand Sides

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

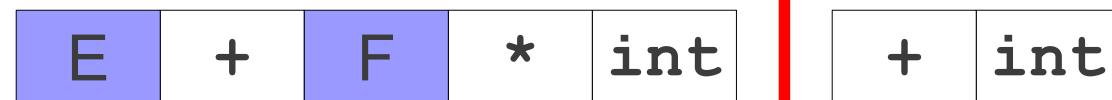
S → · E
E → · E + F
E → E + · F
F → F * · T
<b>T → · int</b>



# Recognizing Left-Hand Sides

**S → E**  
**E → F**  
**E → E + F**  
**F → F \* T**  
**F → T**  
**T → int**  
**T → (E)**

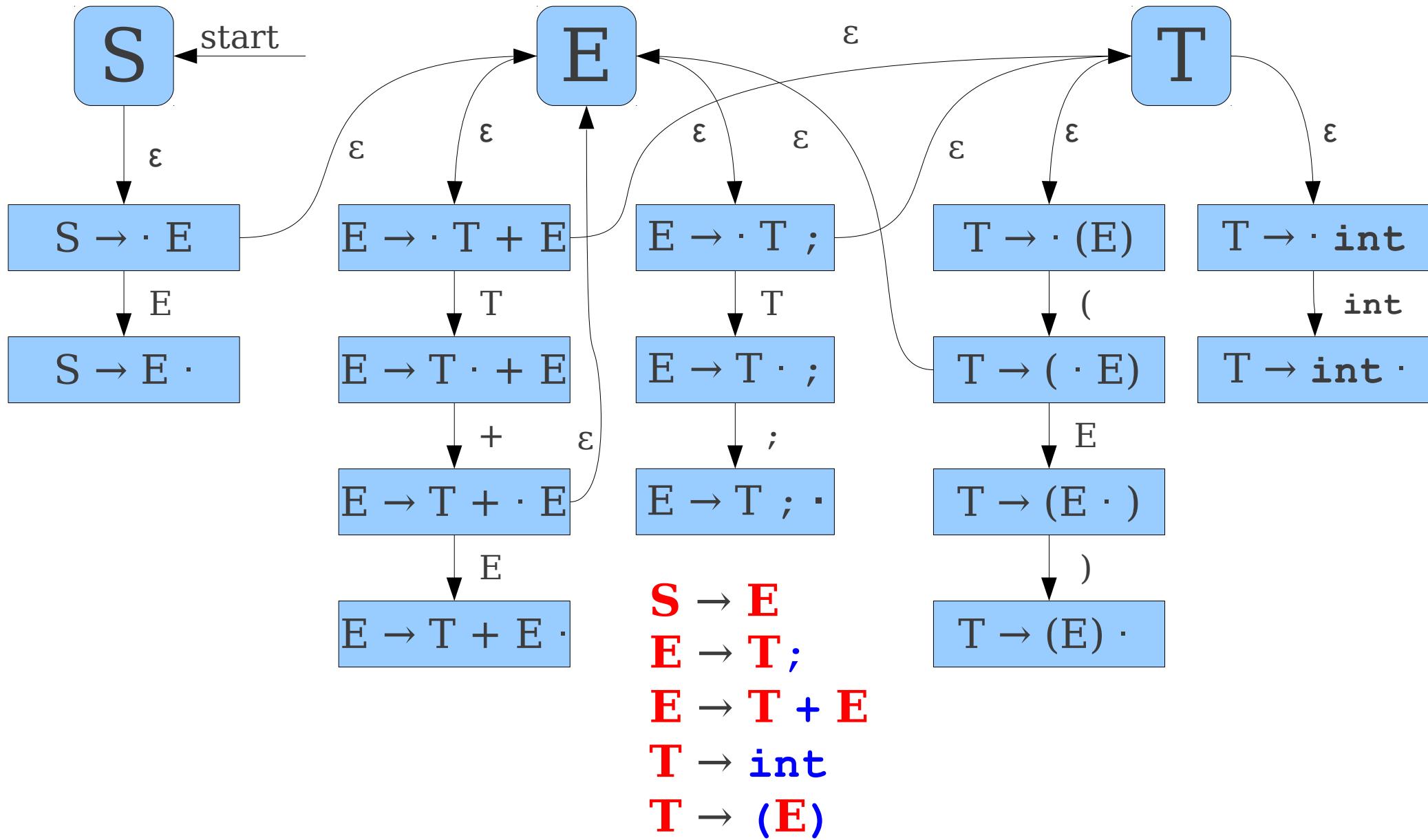
S → · E
E → · E + F
E → E + · F
F → F * · T
<b>T → int ·</b>



# An Important Result

- There are only finitely many productions, and within those productions only finitely many positions.
- At any point in time, we only need to track where we are in one production.
- There are only finitely many options we can take at any one point.
- **We can use a finite automaton as our recognizer.**

# An Automaton for Left Areas



# Constructing the Automaton

- Create a state for each nonterminal.
- For each production  $\mathbf{A} \rightarrow \gamma$ :
  - Construct states  $\mathbf{A} \rightarrow \alpha \cdot \omega$  for each possible way of splitting  $\gamma$  into two substrings  $\alpha$  and  $\omega$ .
  - Add transitions on  $x$  between  $\mathbf{A} \rightarrow \alpha \cdot x\omega$  and  $\mathbf{A} \rightarrow \alpha x \cdot \omega$ .
- For each state  $\mathbf{A} \rightarrow \alpha \cdot \mathbf{B}\omega$  for nonterminal  $\mathbf{B}$ , add an  $\epsilon$ -transition from  $\mathbf{A} \rightarrow \alpha \cdot \mathbf{B}\omega$  to  $\mathbf{B}$ .

# Why This Matters

- Our initial goal was to find handles.
- When running this automaton, if we ever end up in a state with a rule of the form

$$\textcolor{red}{A} \rightarrow \omega \cdot$$

- Then we might be looking at a handle.
- This automaton can be used to discover possible handle locations!

# Adding Determinism

- Typically, this handle-finding automaton is implemented deterministically.
- We could construct a deterministic parsing automaton by constructing the nondeterministic automaton and applying the subset construction, but there is a more direct approach.

# A Deterministic Automaton

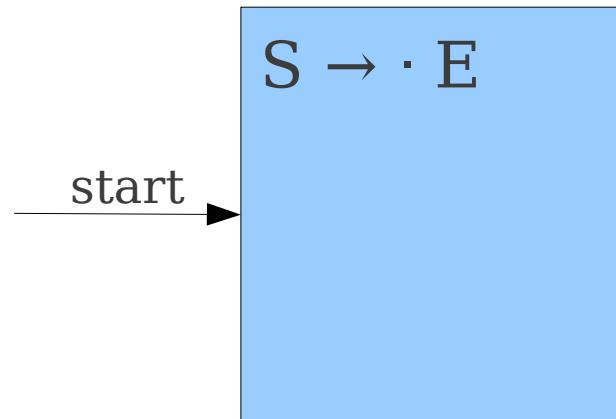
$S \rightarrow E$

$E \rightarrow T ;$

$E \rightarrow T + E$

$T \rightarrow \text{int}$

$T \rightarrow (E)$



# A Deterministic Automaton

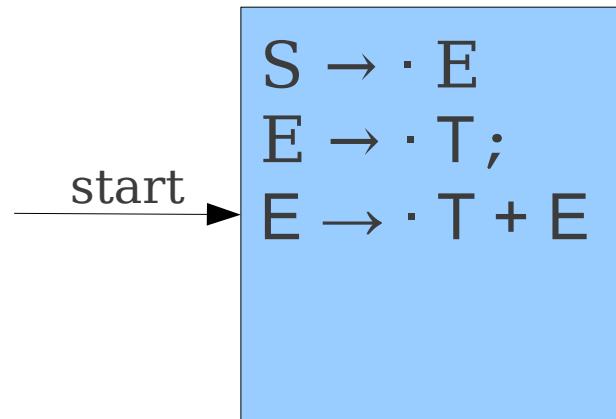
**S** → **E**

**E** → **T**;

**E** → **T** + **E**

**T** → int

**T** → (E)



# A Deterministic Automaton

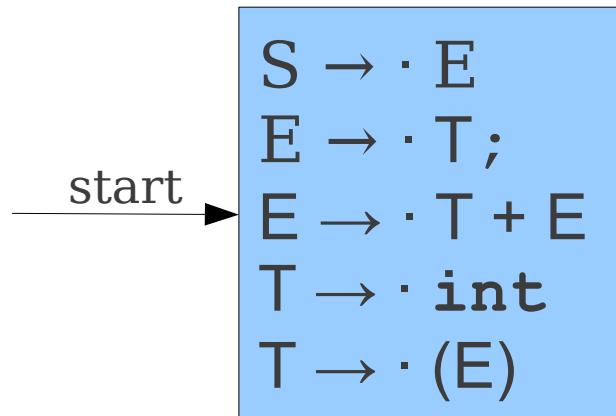
**S** → **E**

**E** → **T** ;

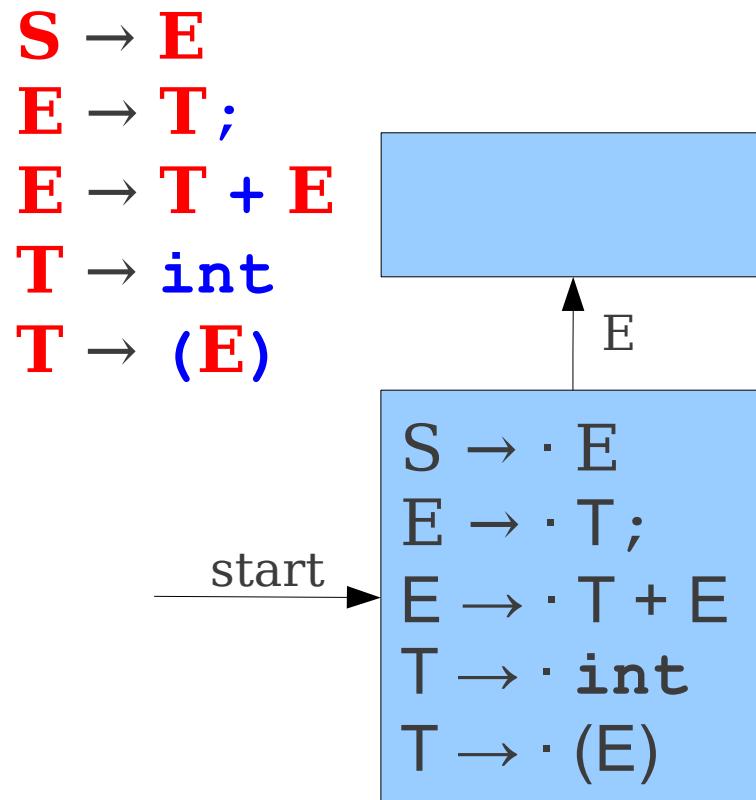
**E** → **T** + **E**

**T** → int

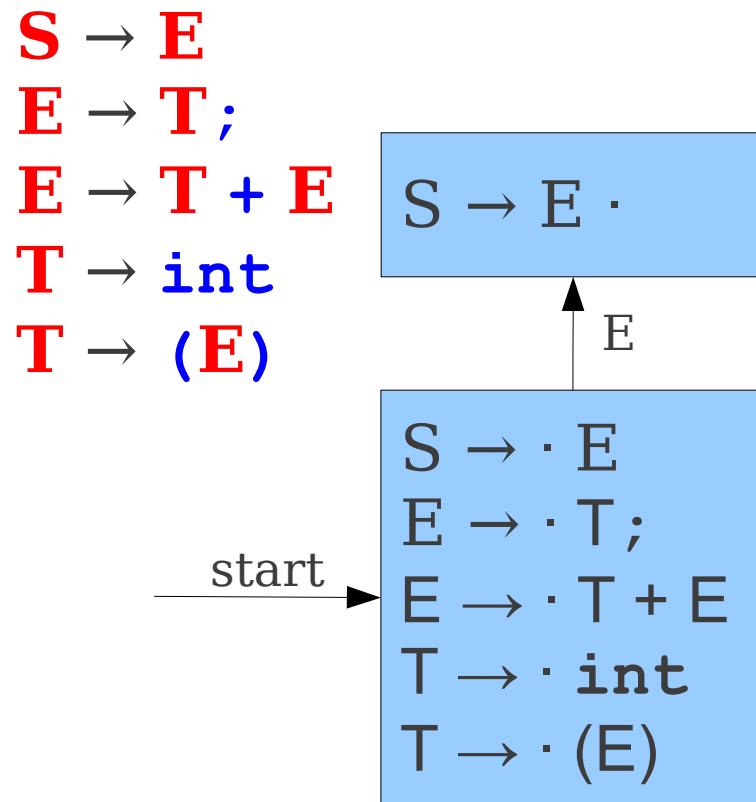
**T** → ( **E** )



# A Deterministic Automaton

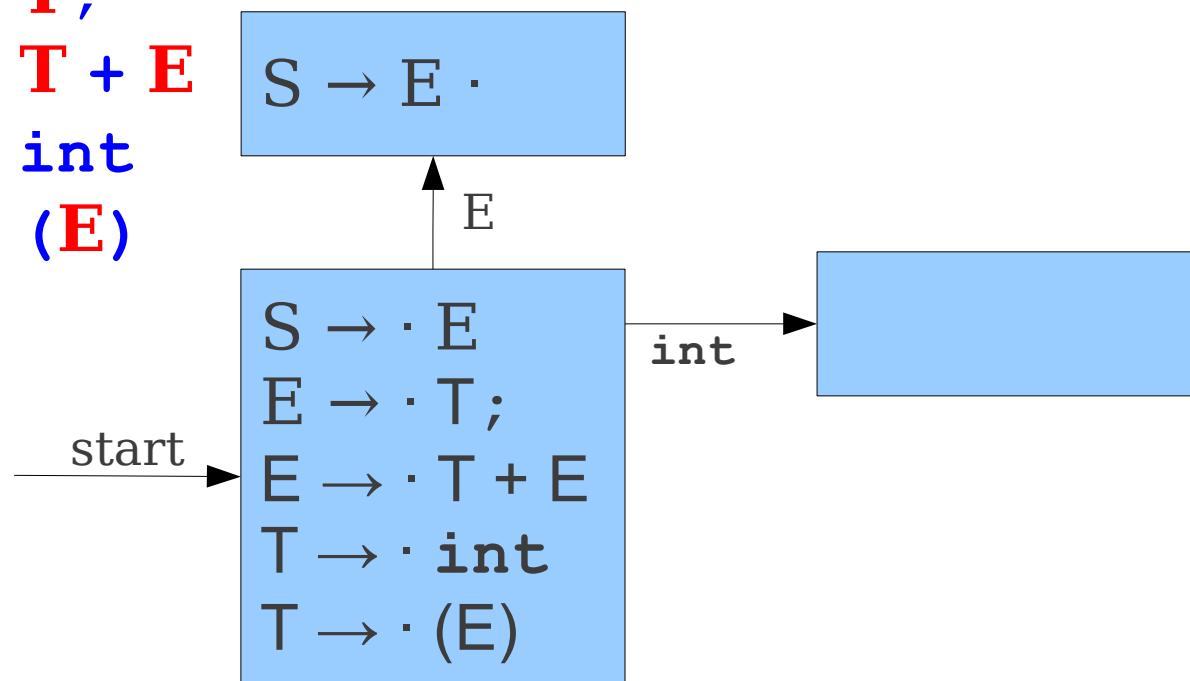


# A Deterministic Automaton

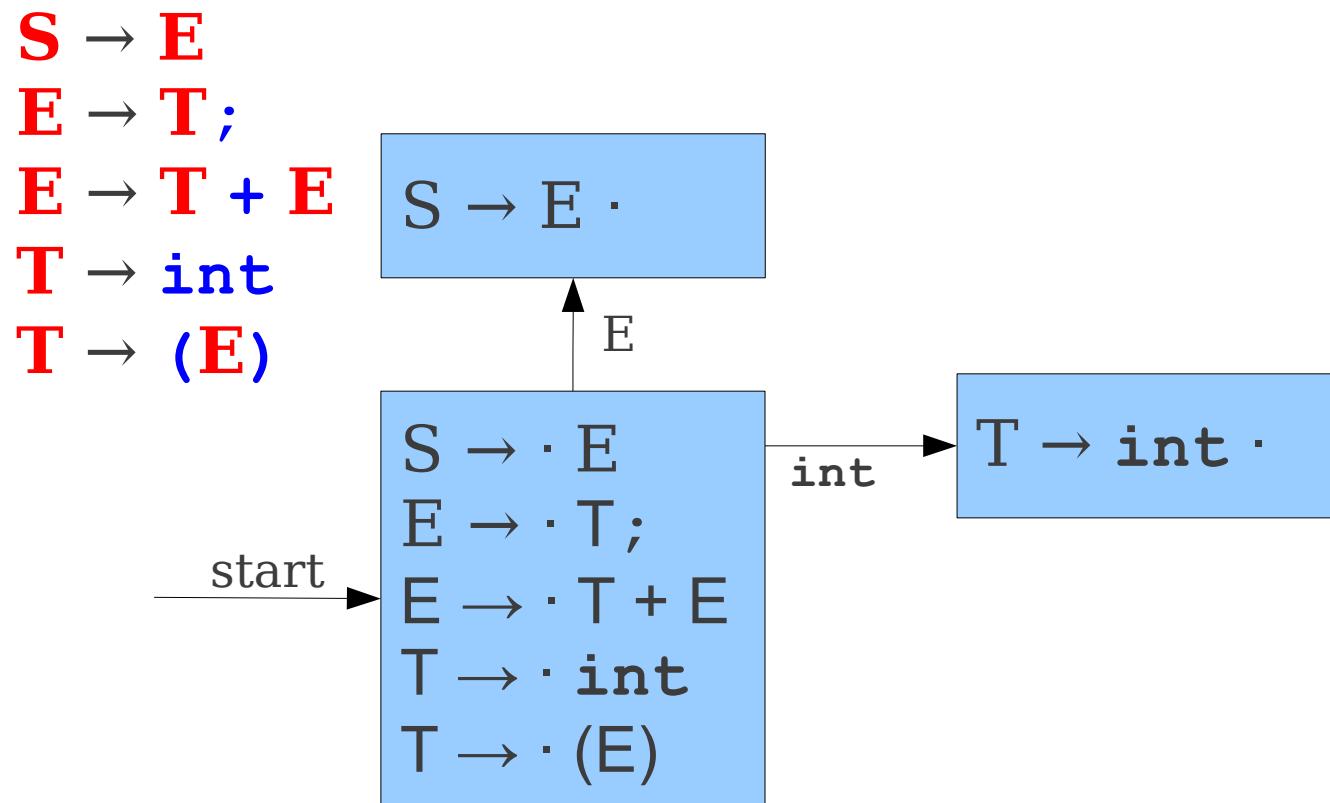


# A Deterministic Automaton

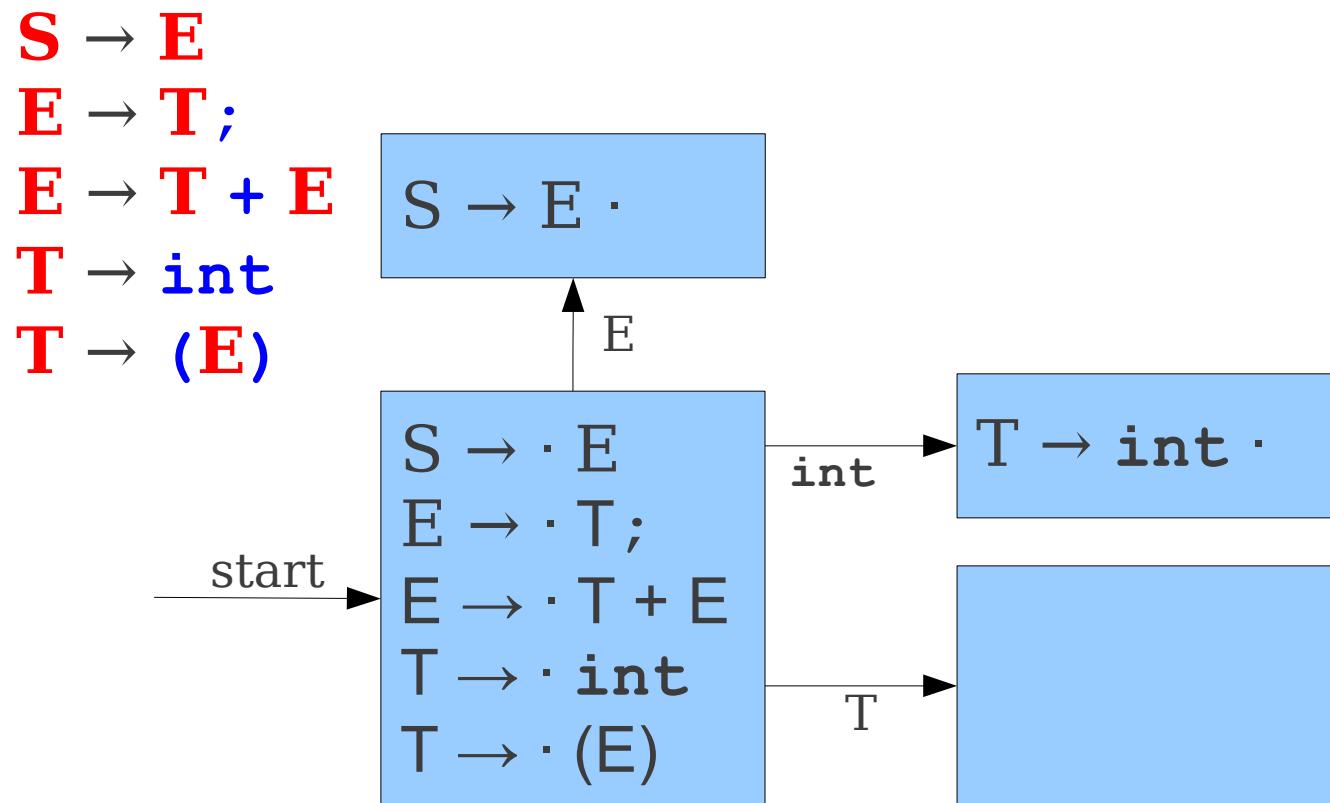
$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



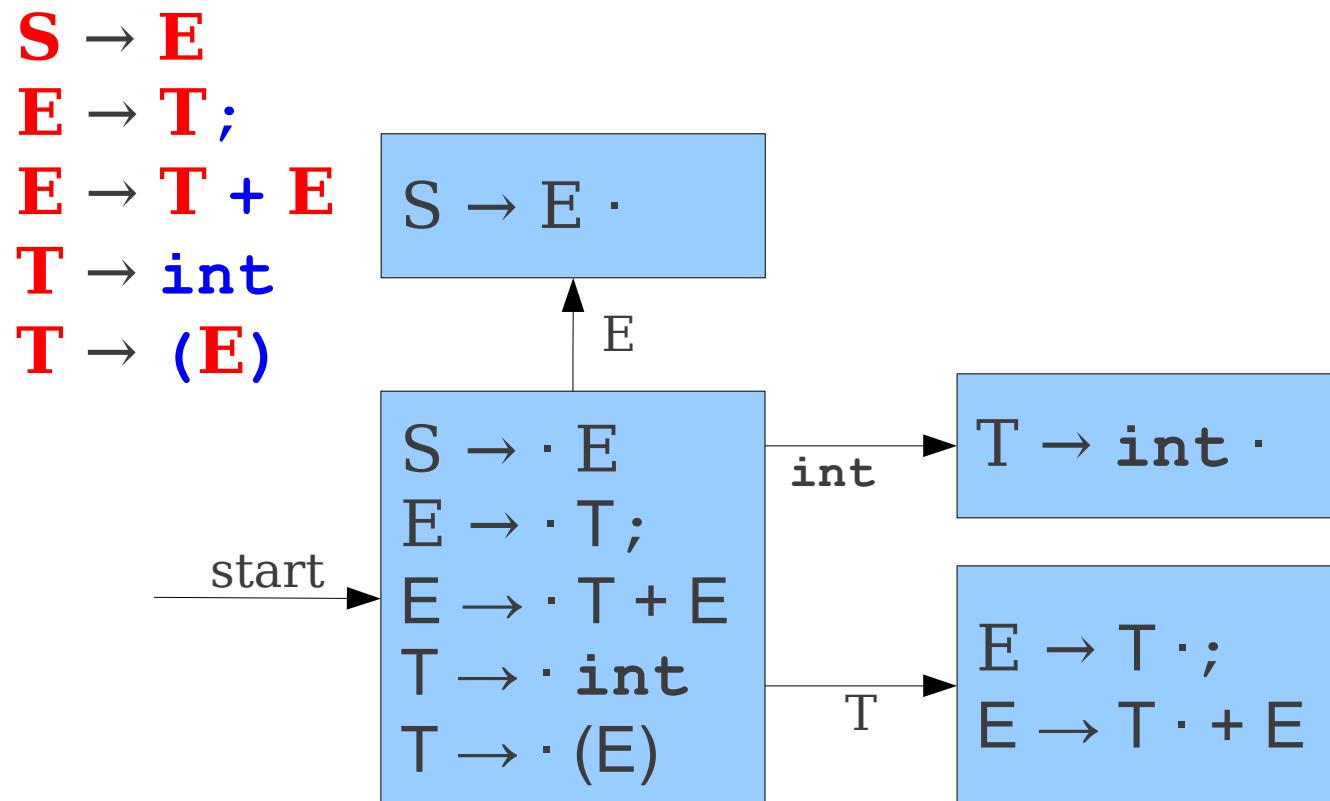
# A Deterministic Automaton



# A Deterministic Automaton

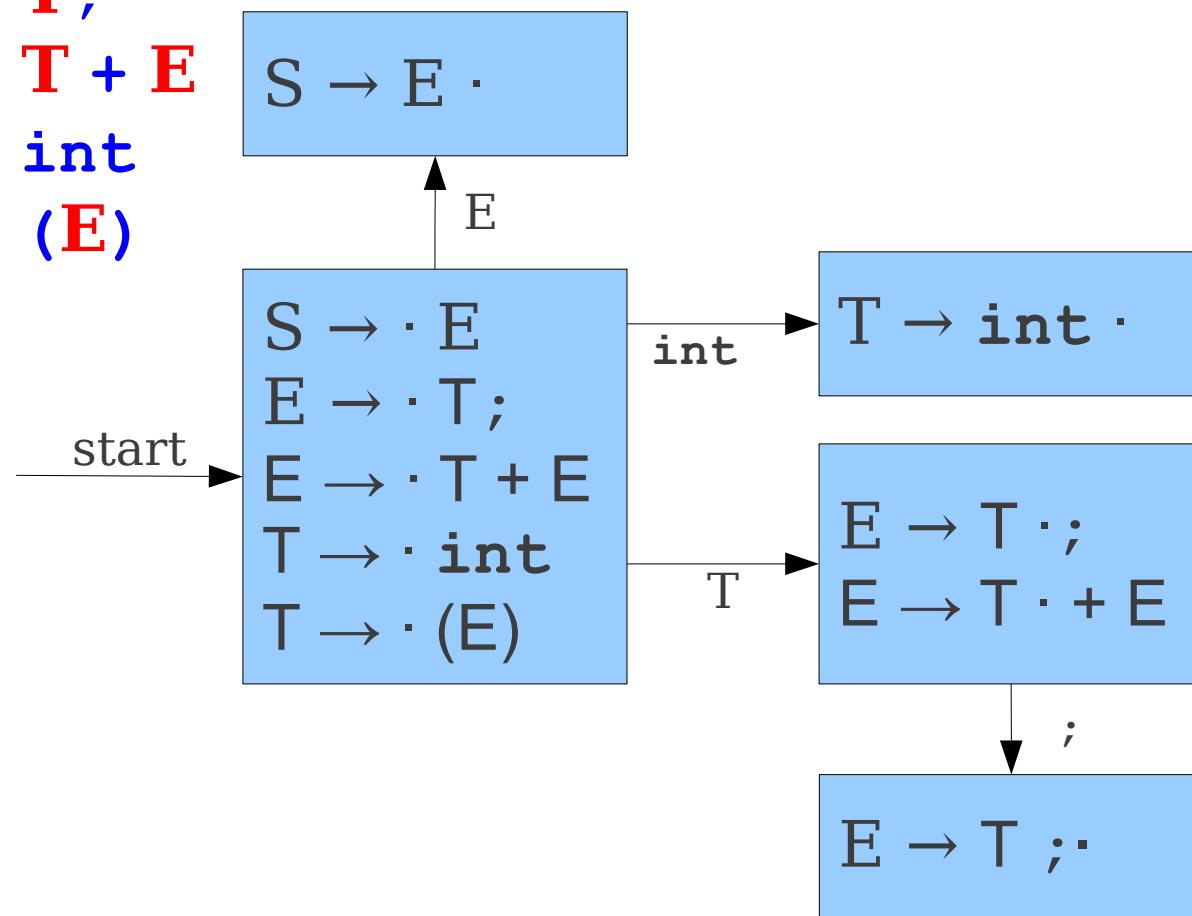


# A Deterministic Automaton



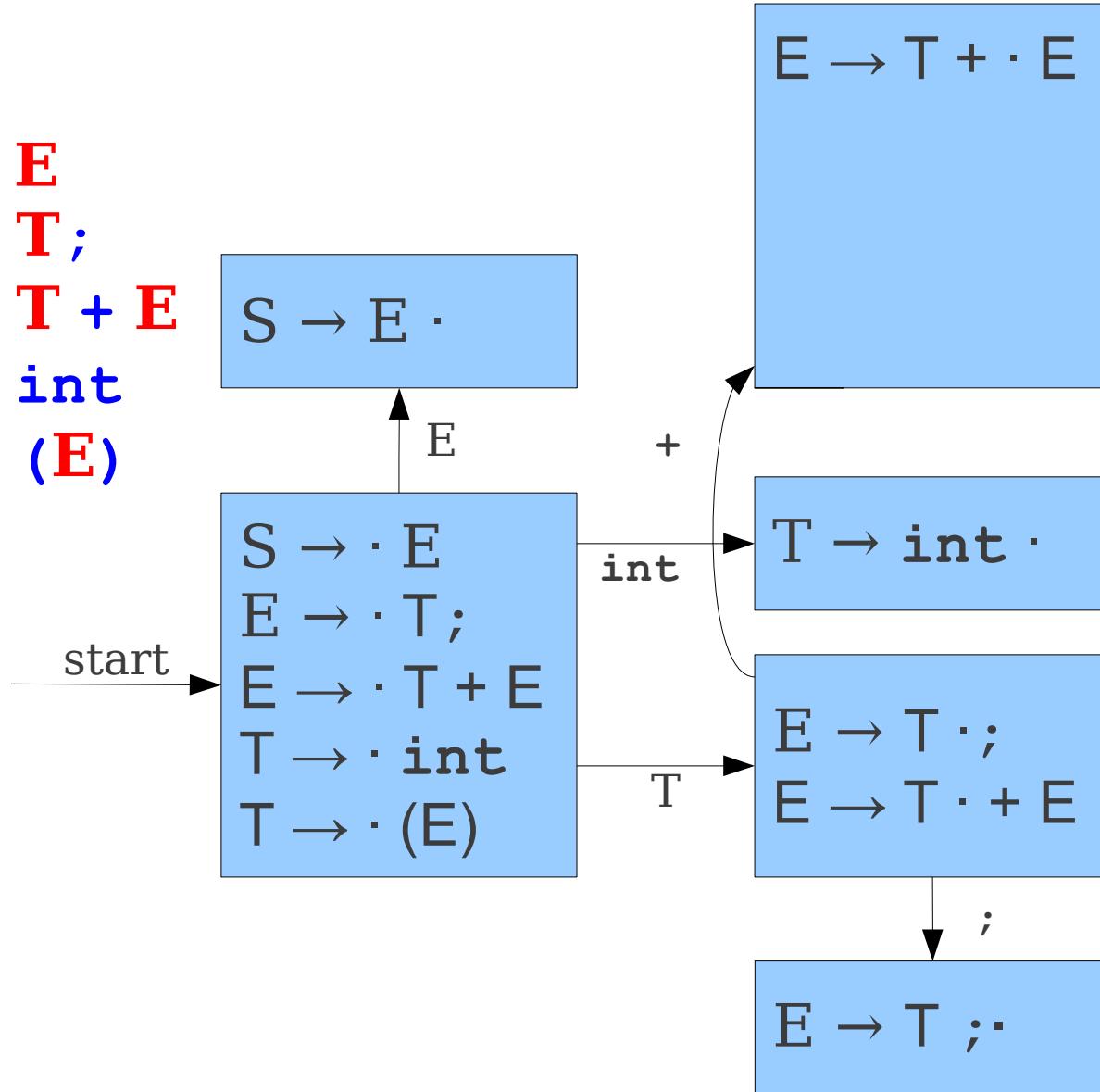
# A Deterministic Automaton

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



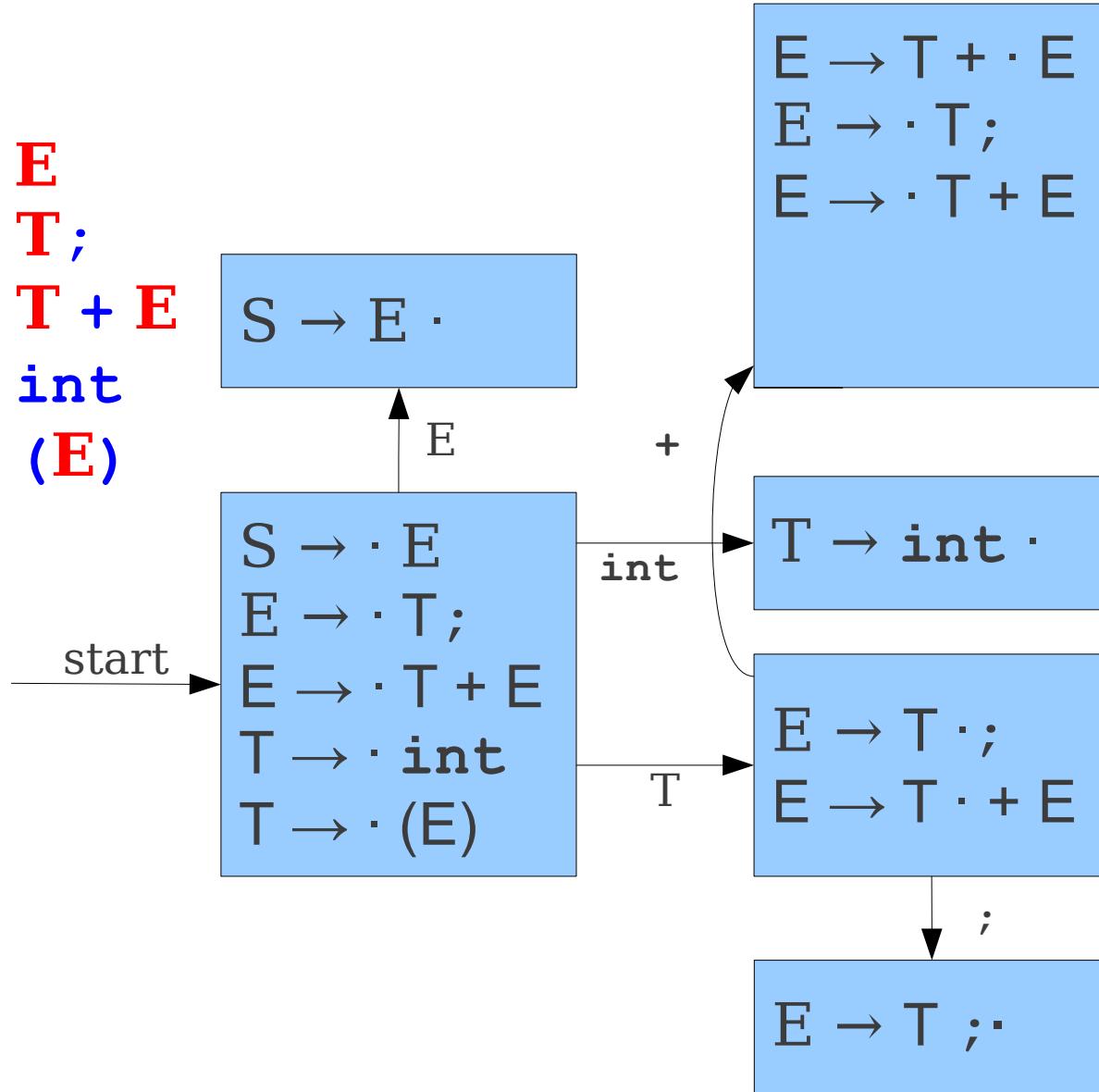
# A Deterministic Automaton

**S** → **E**  
**E** → **T**;  
**E** → **T** + **E**  
**T** → **int**  
**T** → **(E)**



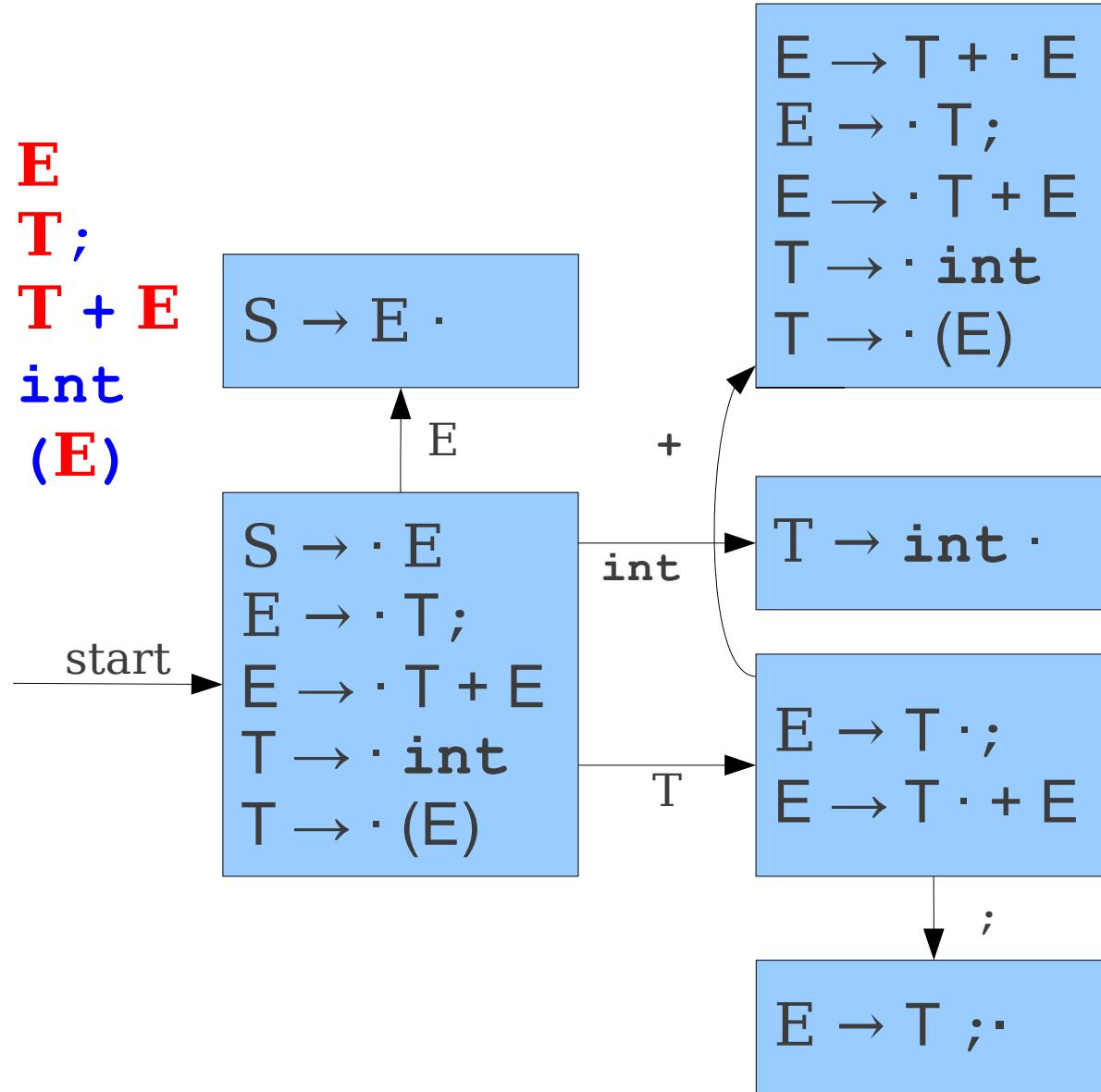
# A Deterministic Automaton

**S** → **E**  
**E** → **T**;  
**E** → **T** + **E**  
**T** → **int**  
**T** → **(E)**

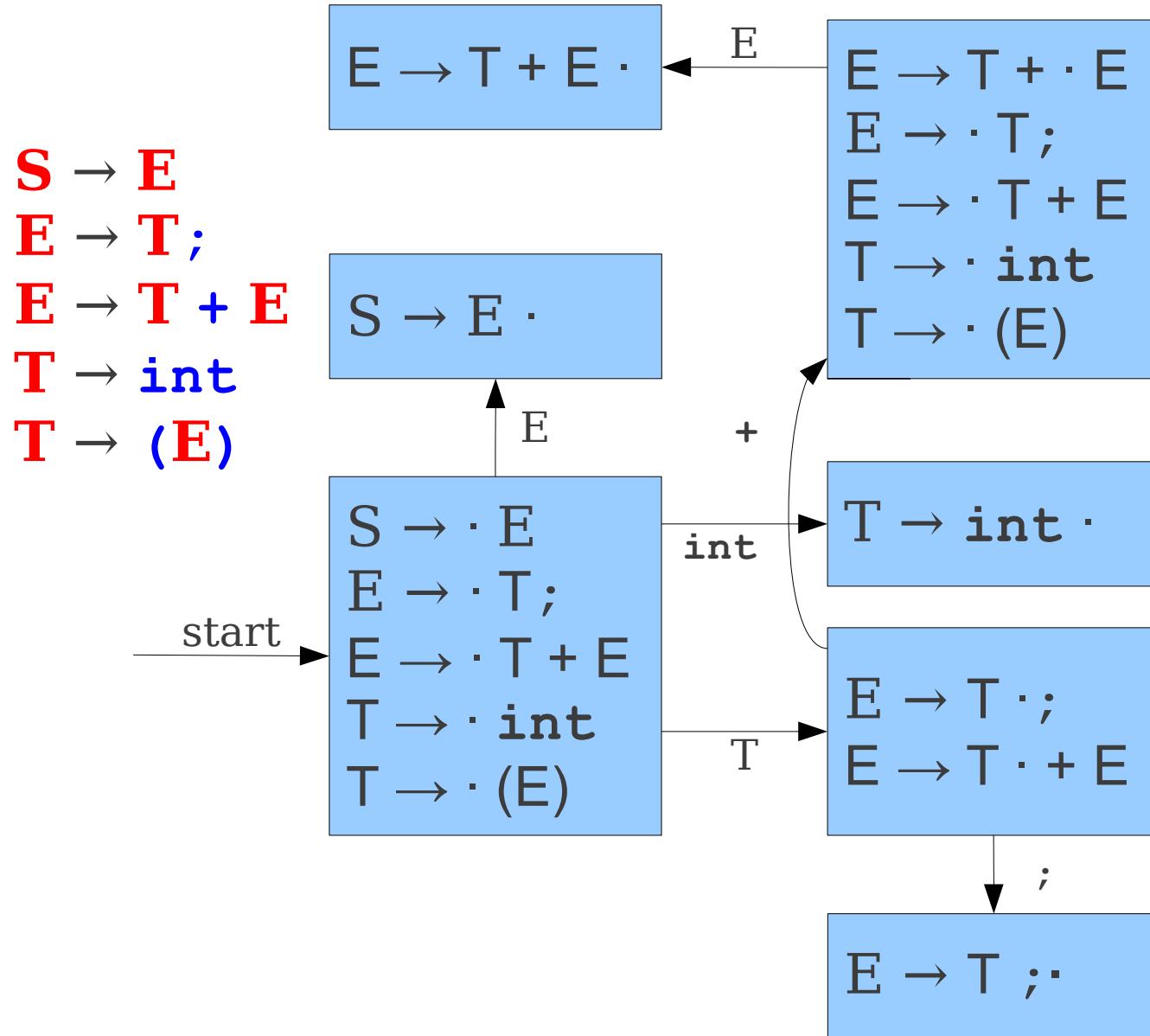


# A Deterministic Automaton

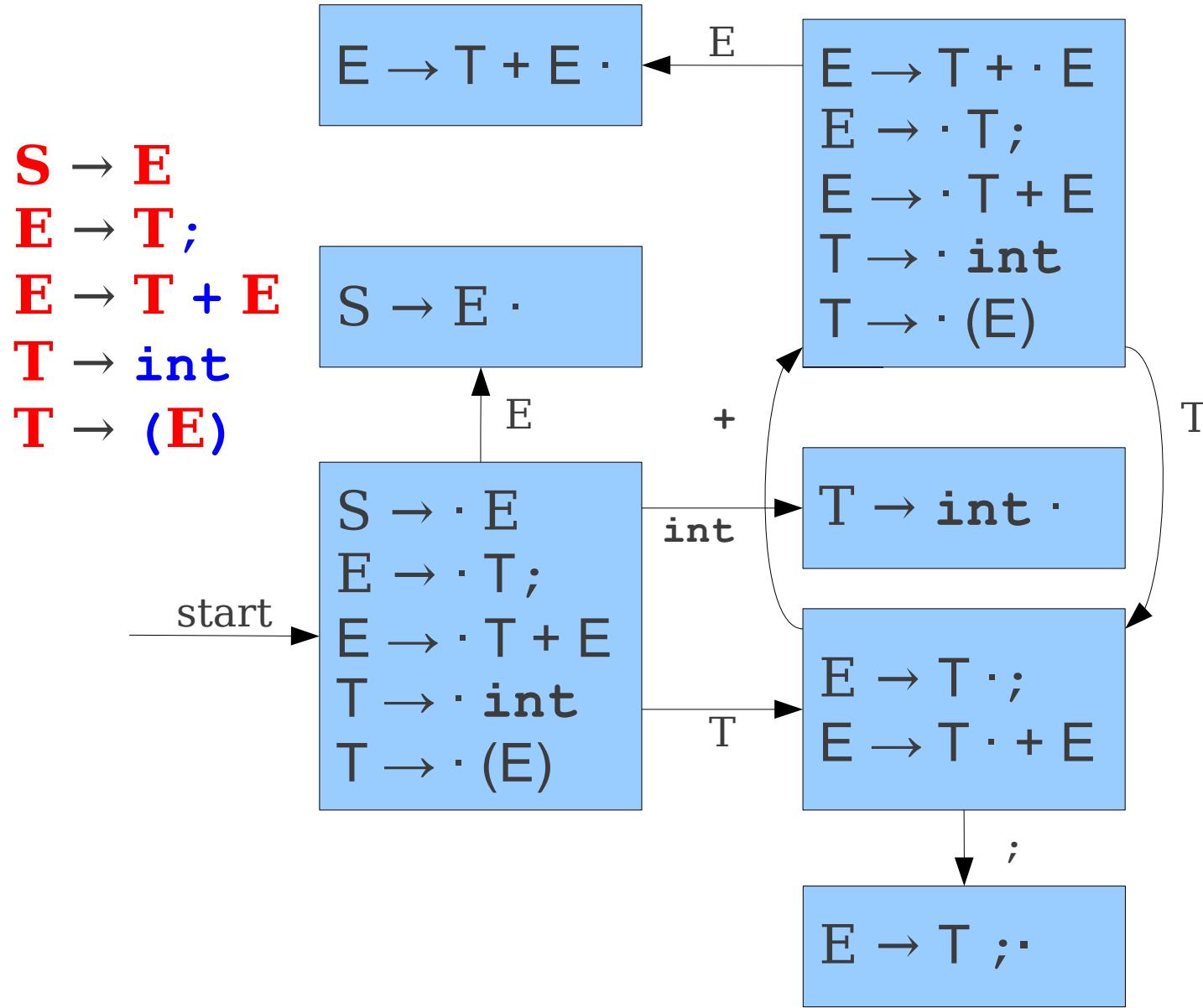
**S** → **E**  
**E** → **T**;  
**E** → **T** + **E**  
**T** → **int**  
**T** → (**E**)



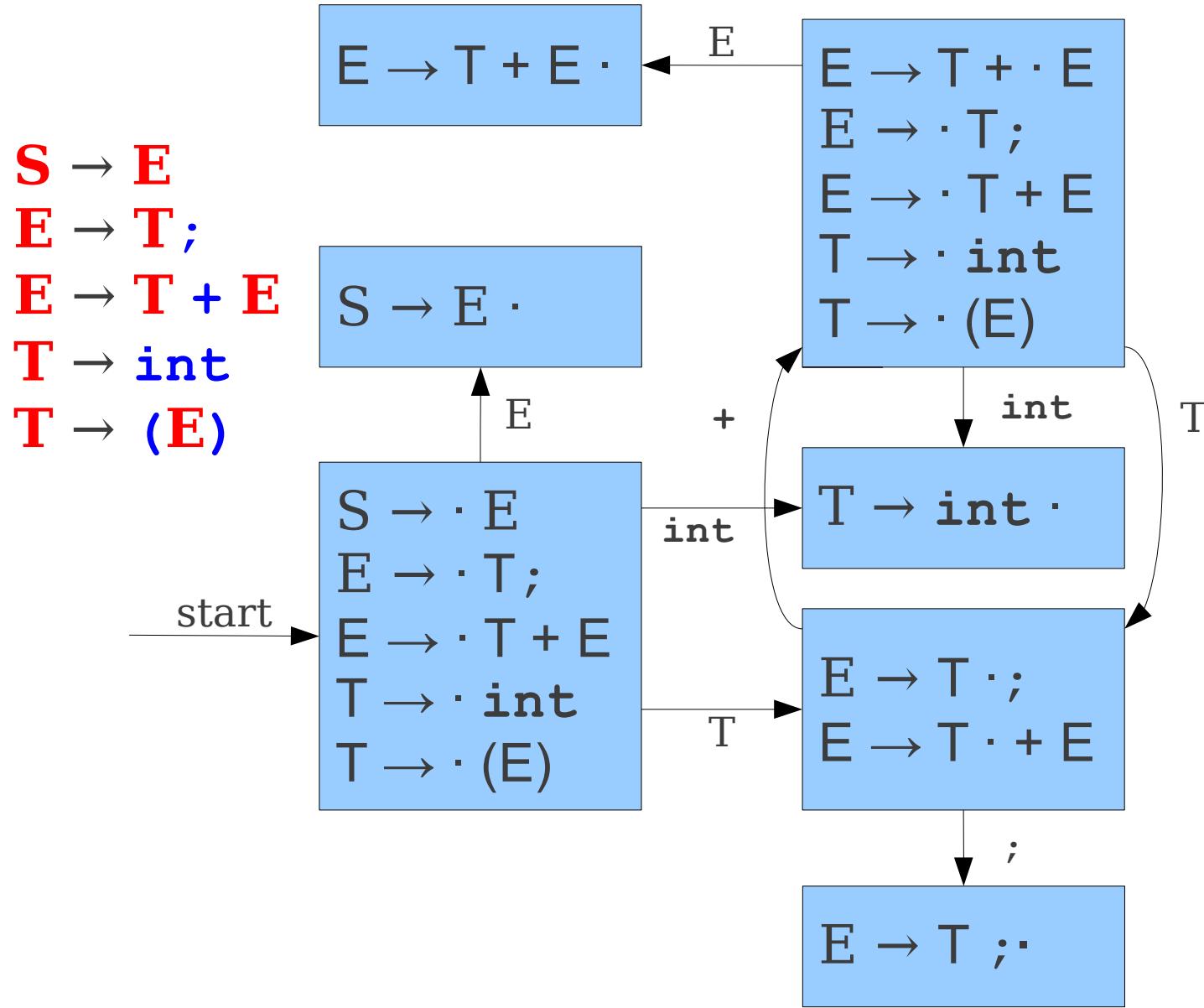
# A Deterministic Automaton



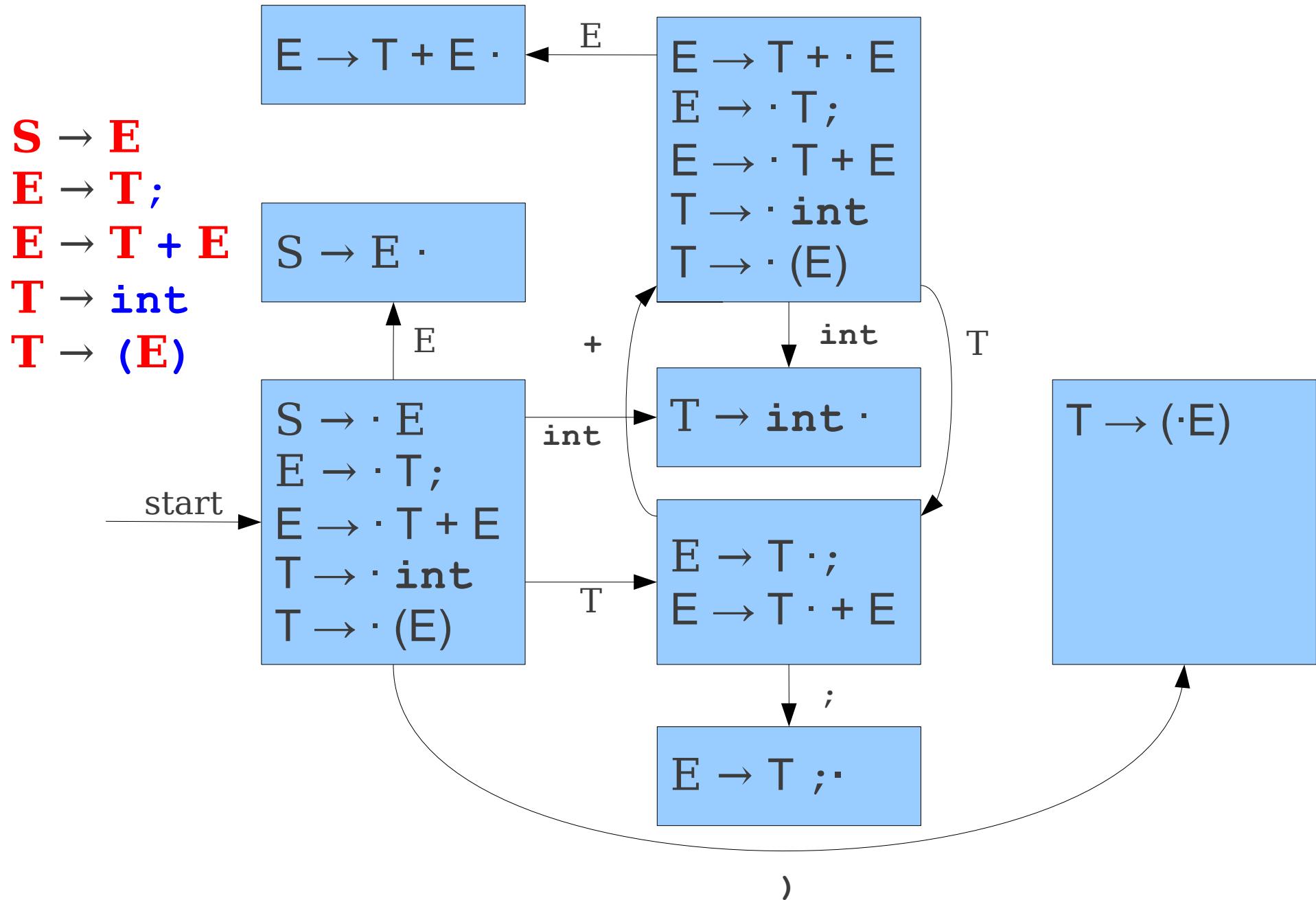
# A Deterministic Automaton



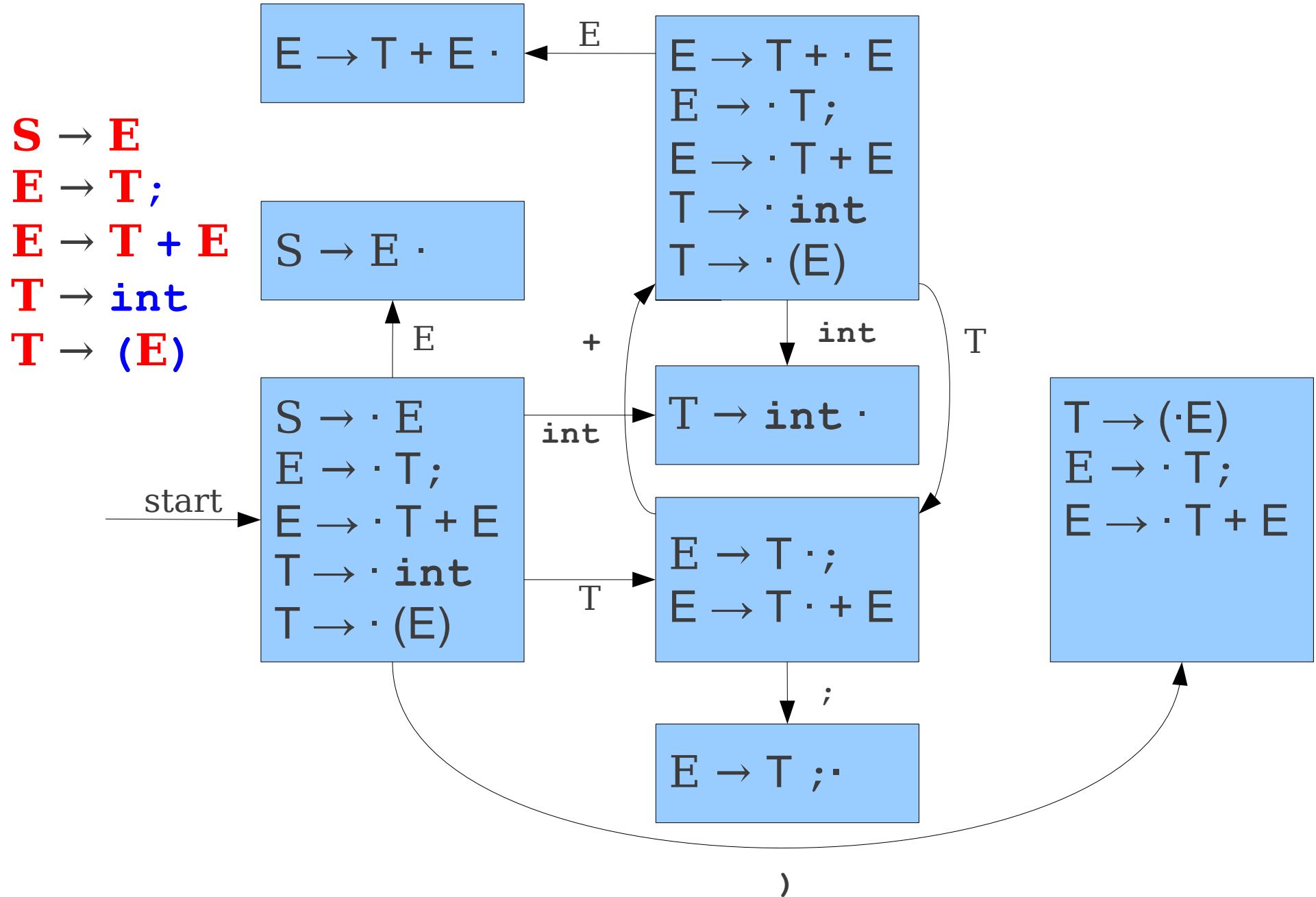
# A Deterministic Automaton



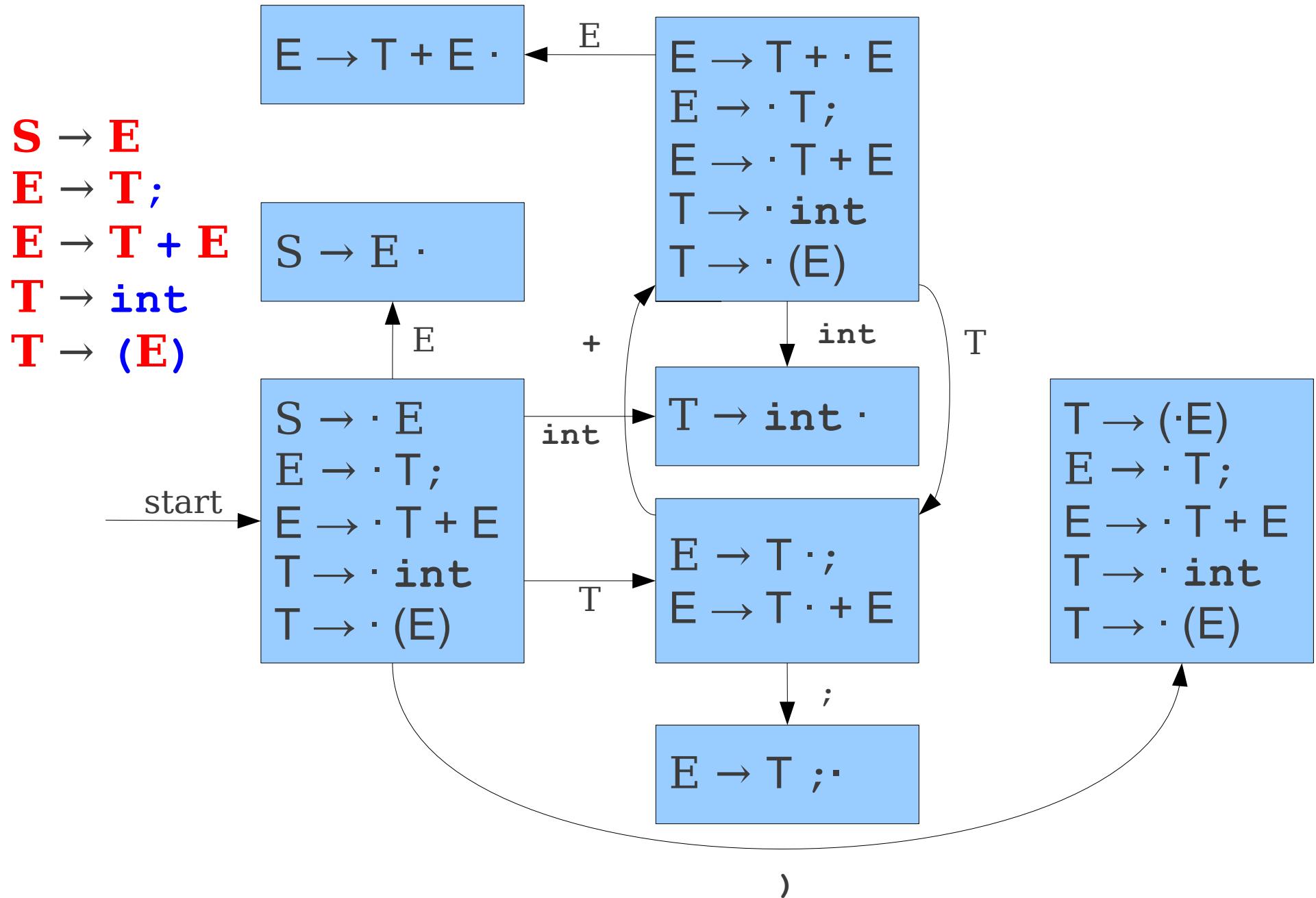
# A Deterministic Automaton



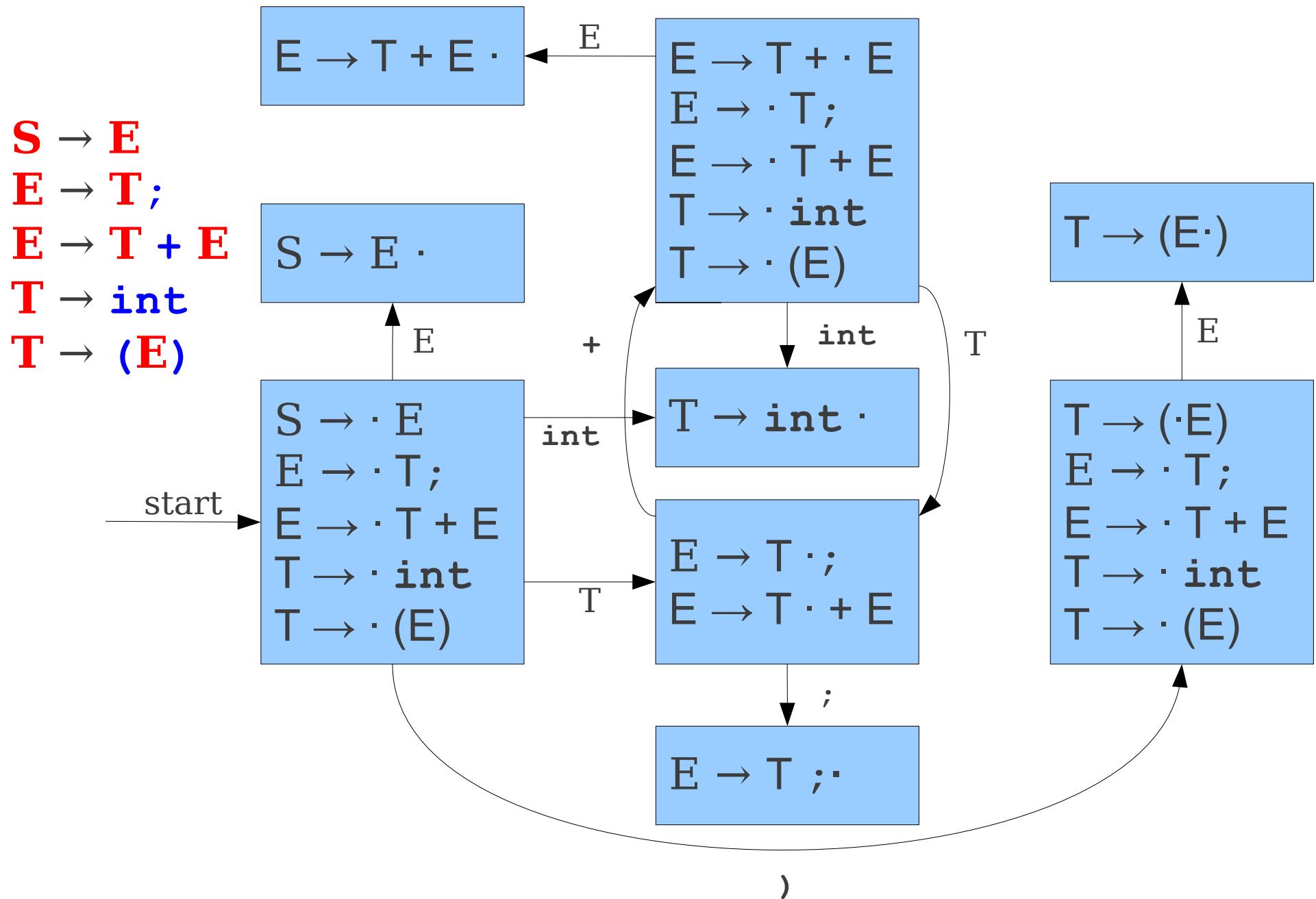
# A Deterministic Automaton



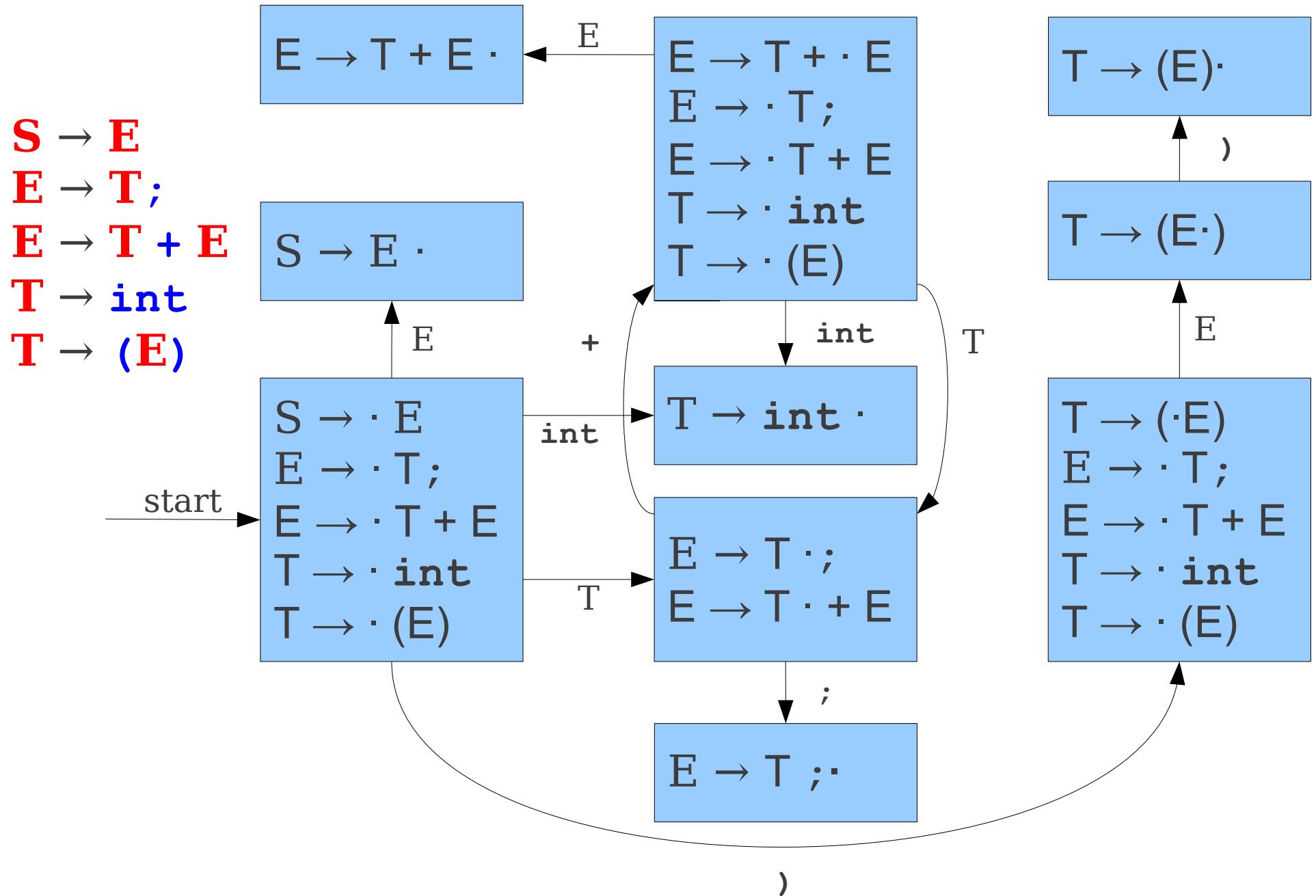
# A Deterministic Automaton



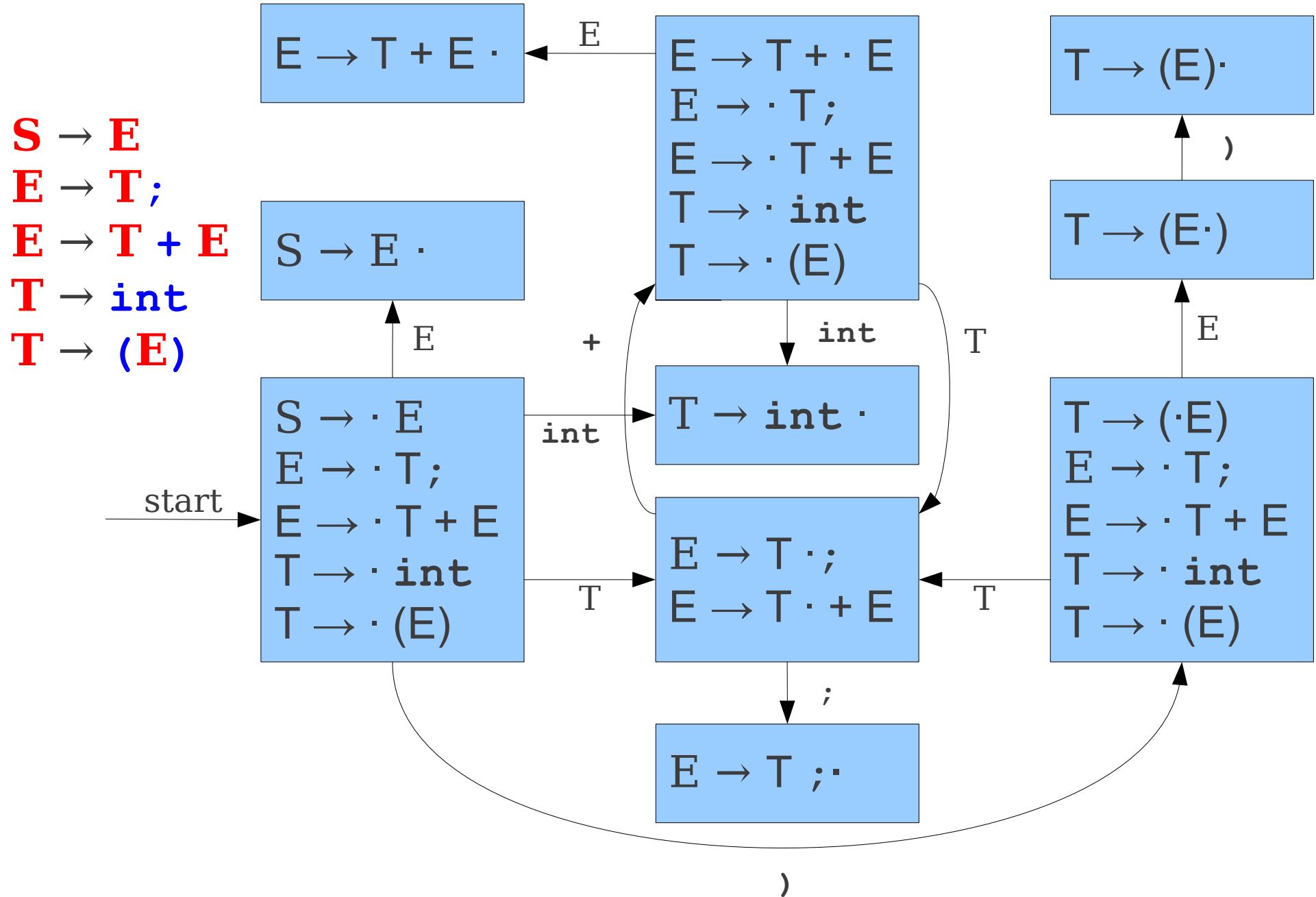
# A Deterministic Automaton



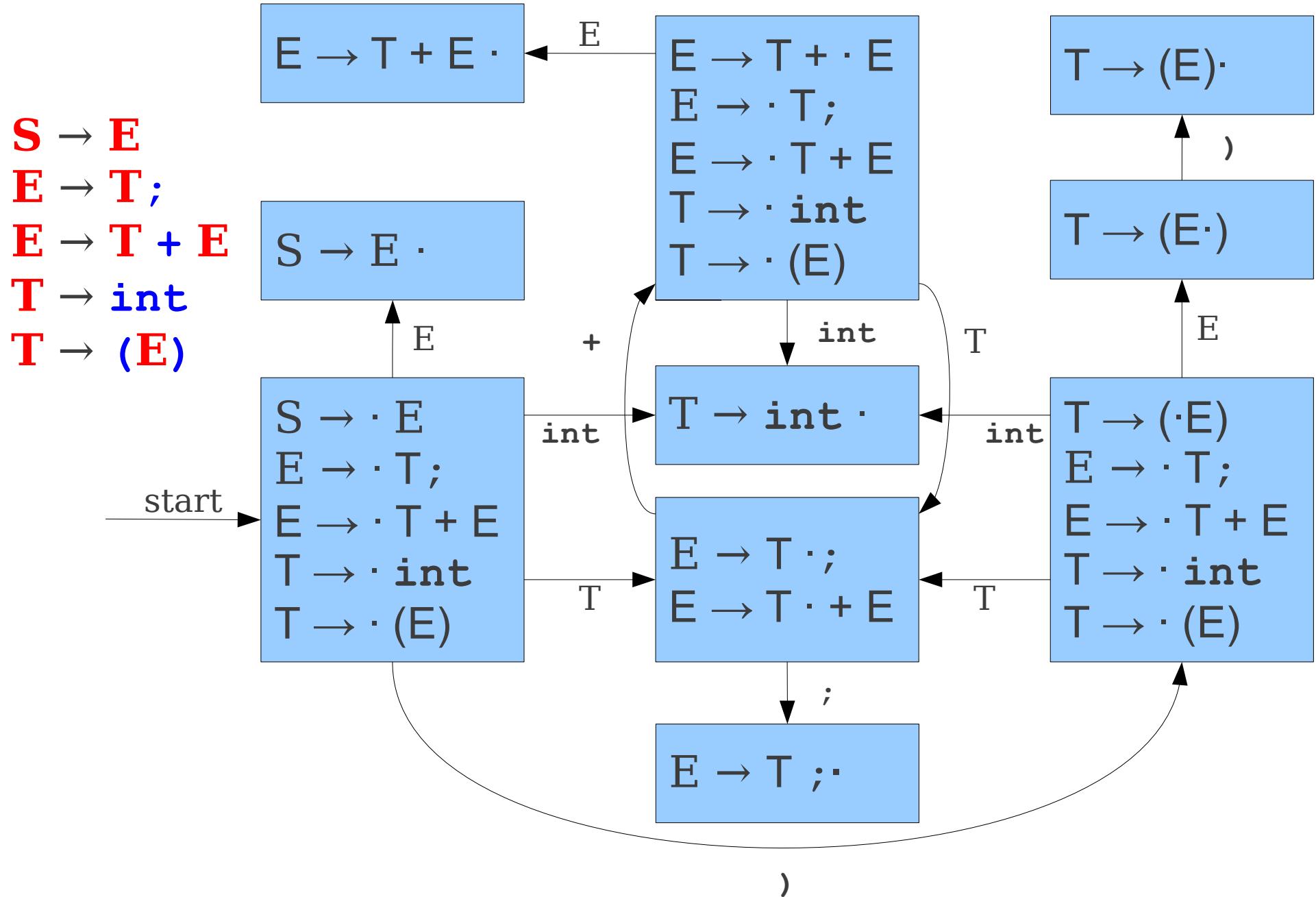
# A Deterministic Automaton



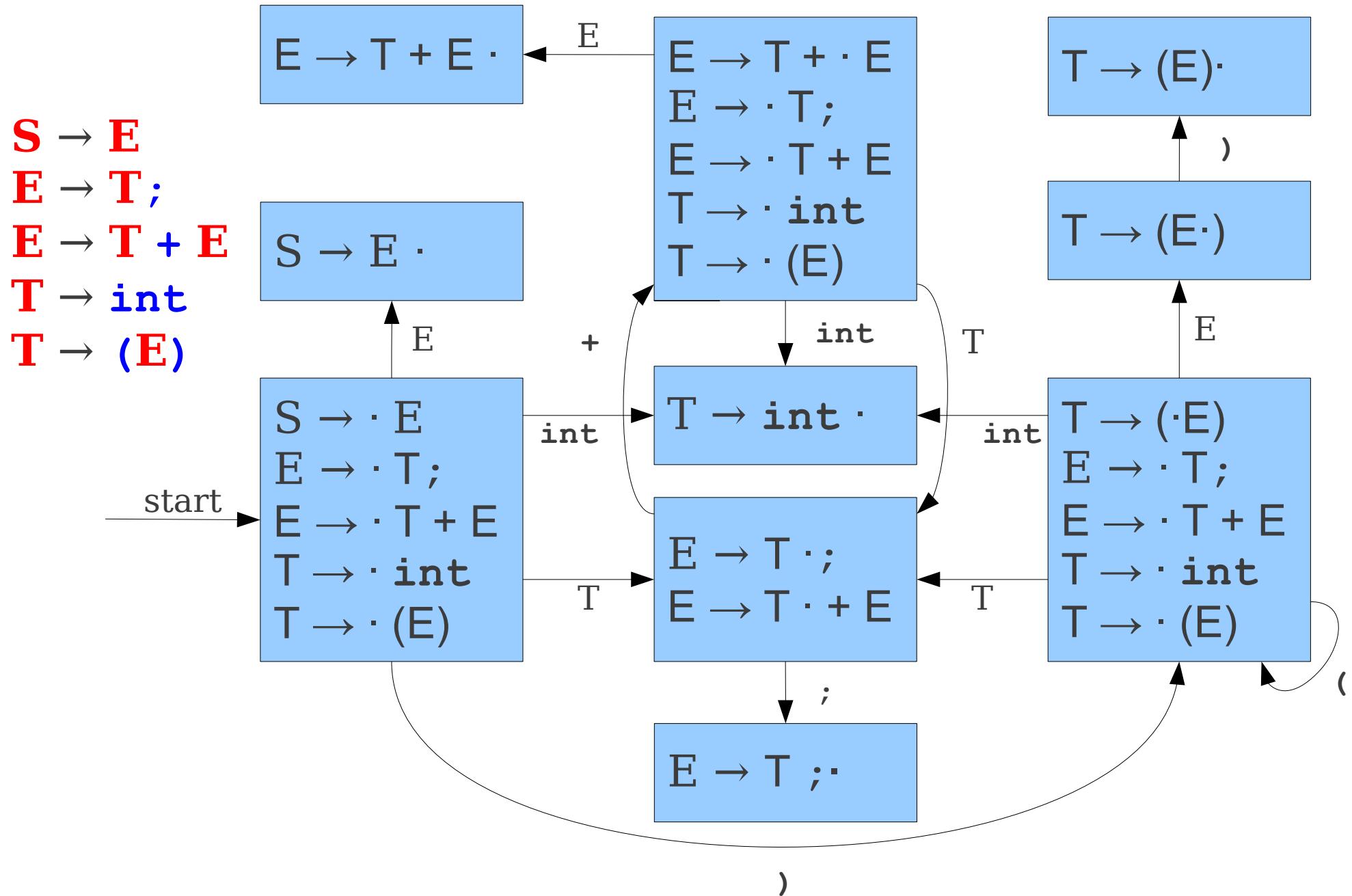
# A Deterministic Automaton



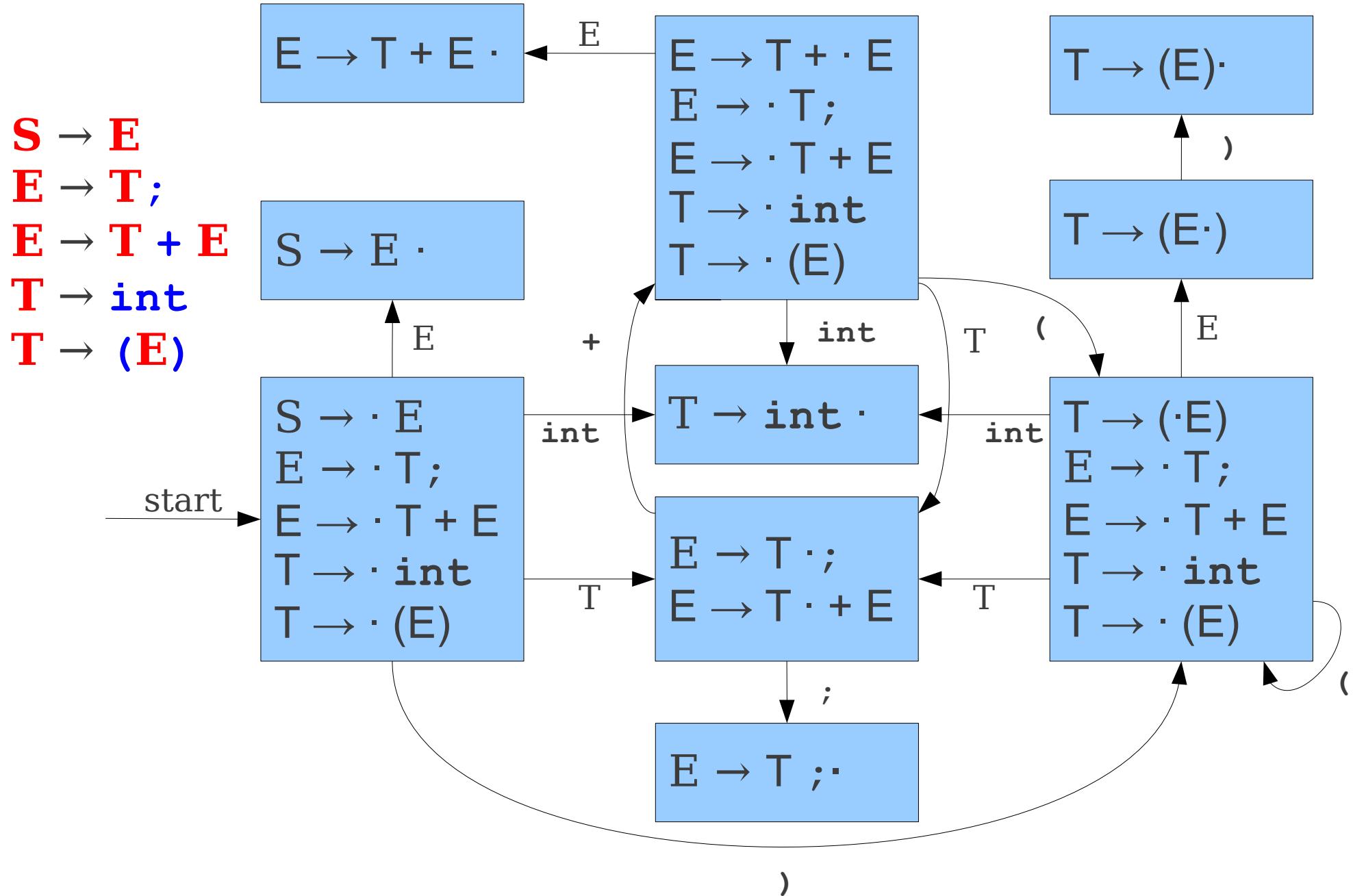
# A Deterministic Automaton



# A Deterministic Automaton



# A Deterministic Automaton



# Constructing the Automaton II

- Begin in a state containing  $\mathbf{S} \rightarrow \cdot \mathbf{A}$ , where  $\mathbf{S}$  is the augmented start symbol.
- Compute the **closure** of the state:
  - If  $\mathbf{A} \rightarrow \alpha \cdot \mathbf{B}\omega$  is in the state, add  $\mathbf{B} \rightarrow \cdot \gamma$  to the state for each production  $\mathbf{B} \rightarrow \gamma$ .
  - Yet another fixed-point iteration!
- Repeat until no new states are added:
  - If a state contains a production  $\mathbf{A} \rightarrow \alpha \cdot \mathbf{x}\omega$  for symbol  $\mathbf{x}$ , add a transition on  $\mathbf{x}$  from that state to the state containing the closure of  $\mathbf{A} \rightarrow \alpha\mathbf{x} \cdot \omega$
  - This is equivalent to a subset construction on the NFA.

# Handle-Finding Automata

- Handling-finding automata can be very large.
- NFA has states proportional to the size of the grammar, so DFA can have size exponential in the size of the grammar.
  - There are grammars that can exhibit this worst-case.
- Automata are almost always generated by tools like **bison**.

# Finding Handles

- Where do we look for handles?
  - **At the top of the stack.**
- How do we search for handles?
  - **Build a handle-finding automaton.**
- How do we recognize handles?
  - Once we've found a possible handle, how do we confirm that it's correct?

# Question Three:

How do we recognize handles?

# Handle Recognition

- Our automaton will tell us all places where a handle might be.
- However, if the automaton says that there might be a handle at a given point, we need a way to confirm this.
- We'll thus use **predictive bottom-up parsing**:
  - Have a deterministic procedure for guessing where handles are.
- There are many predictive algorithms, each of which recognize different grammars.

# Our First Algorithm: **LR(0)**

- Bottom-up predictive parsing with:
  - **L**: Left-to-right scan of the input.
  - **R**: Rightmost derivation.
  - **(0)**: Zero tokens of lookahead.
- Use the handle-finding automaton, without any lookahead, to predict where handles are.

# LR(0) Parsing

**S** → **E**

**E** → **T** ;

**E** → **T** + **E**

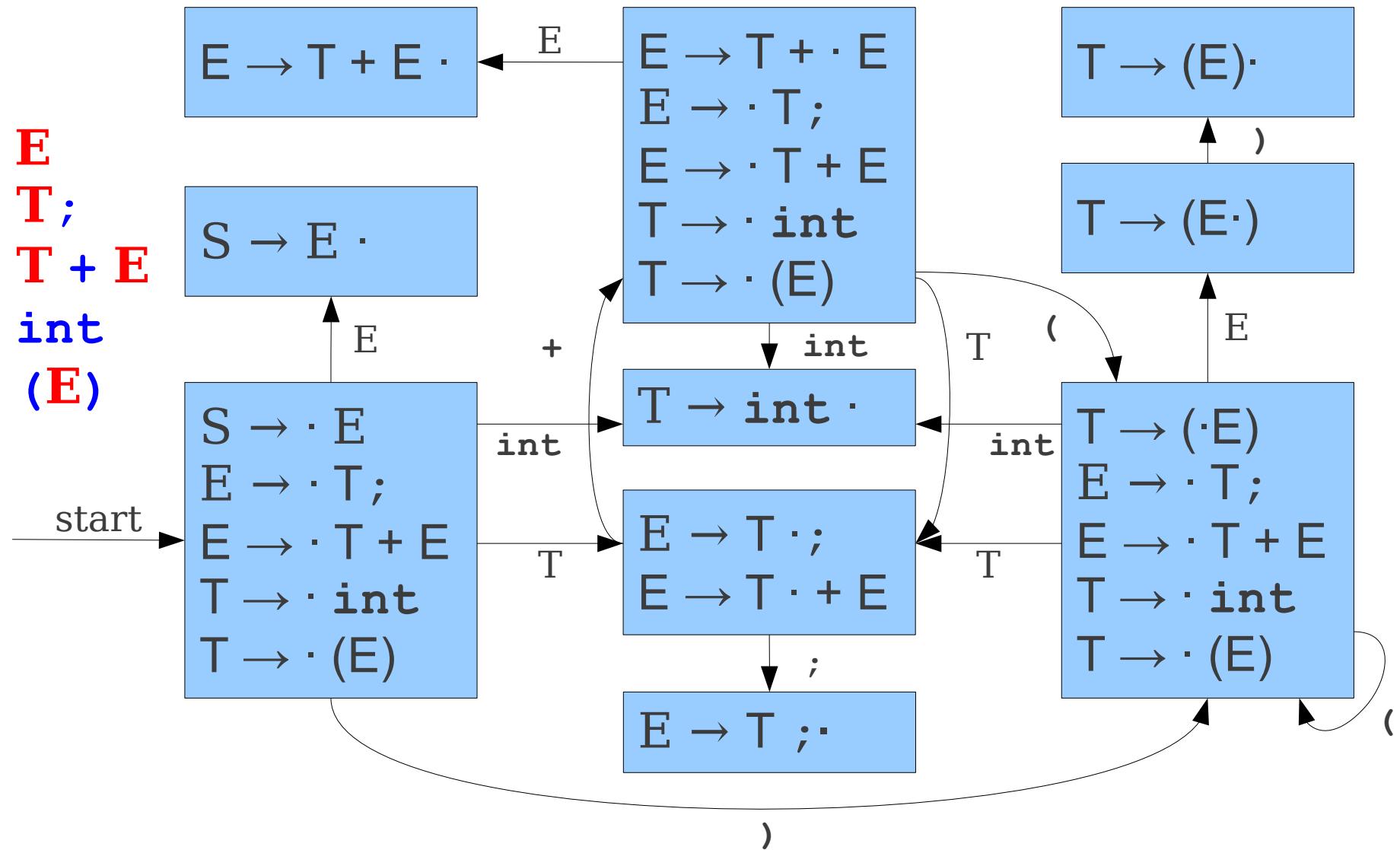
**T** → int

**T** → ( **E** )

int	+	(	int	+	int	;	)	;
-----	---	---	-----	---	-----	---	---	---

# LR(0) Parsing

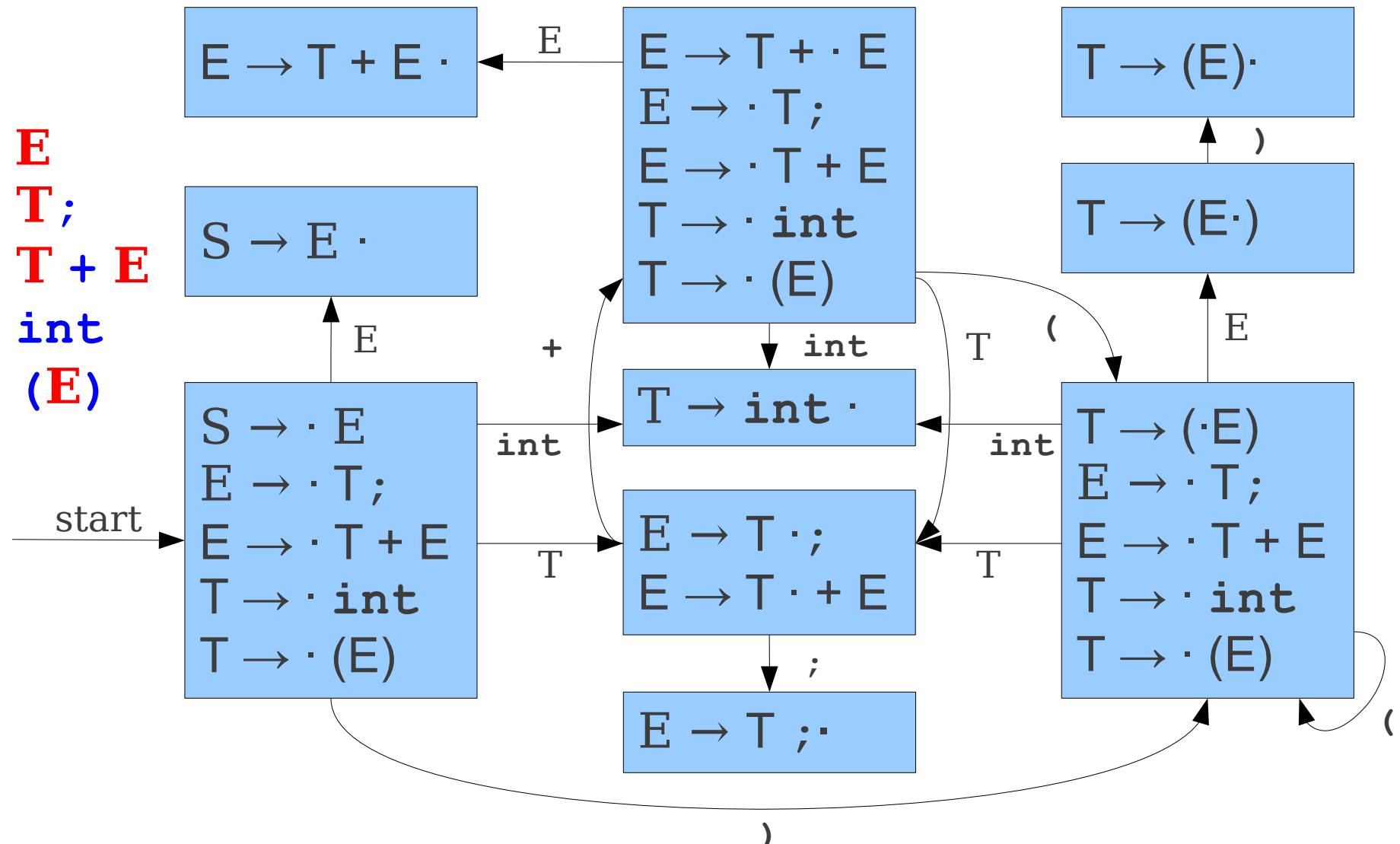
$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



int	+	(	int	+	int	;	)	;
-----	---	---	-----	---	-----	---	---	---

# LR(0) Parsing

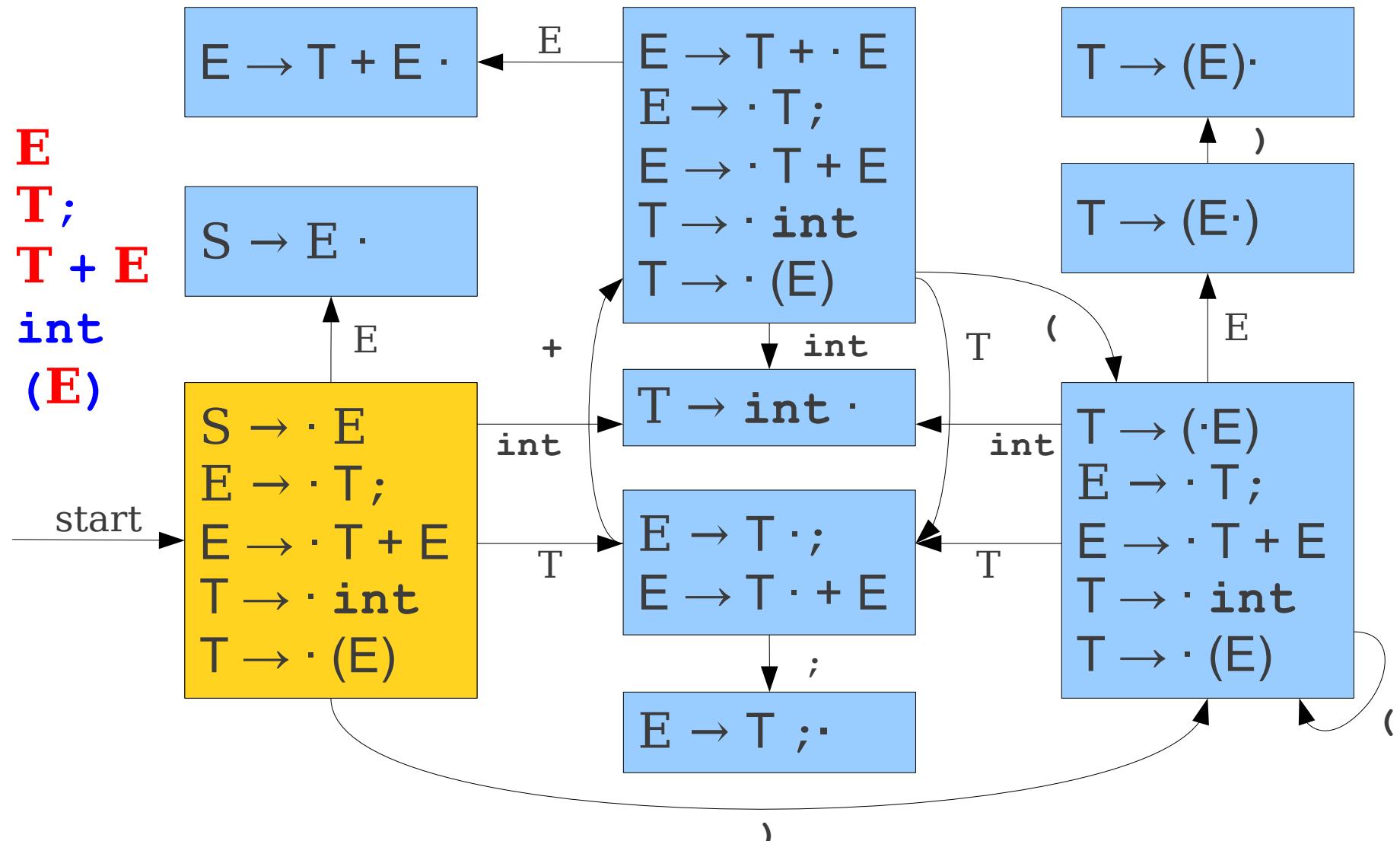
$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



int	+	(	int	+	int	;	)	;
-----	---	---	-----	---	-----	---	---	---

# LR(0) Parsing

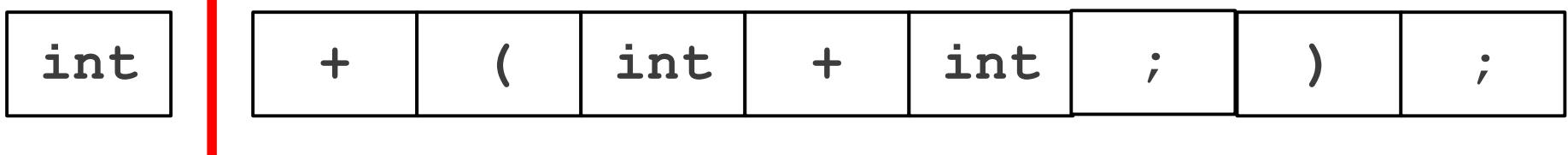
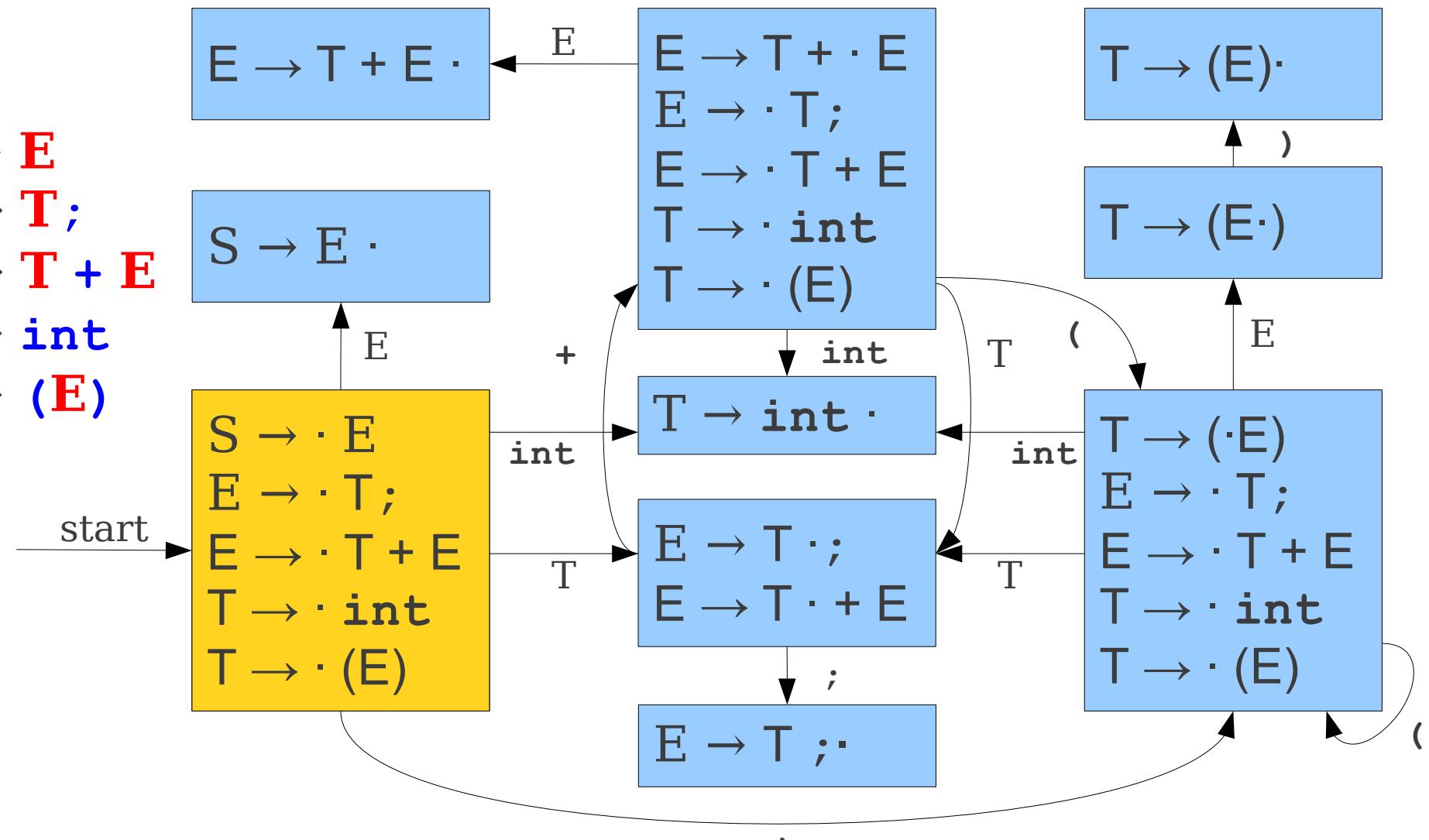
$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



int	+	(	int	+	int	;	)	;
-----	---	---	-----	---	-----	---	---	---

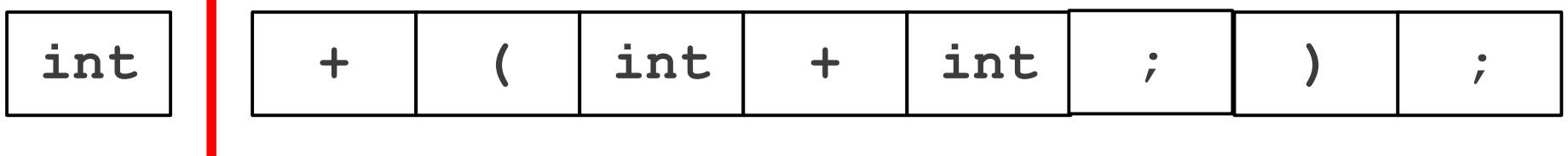
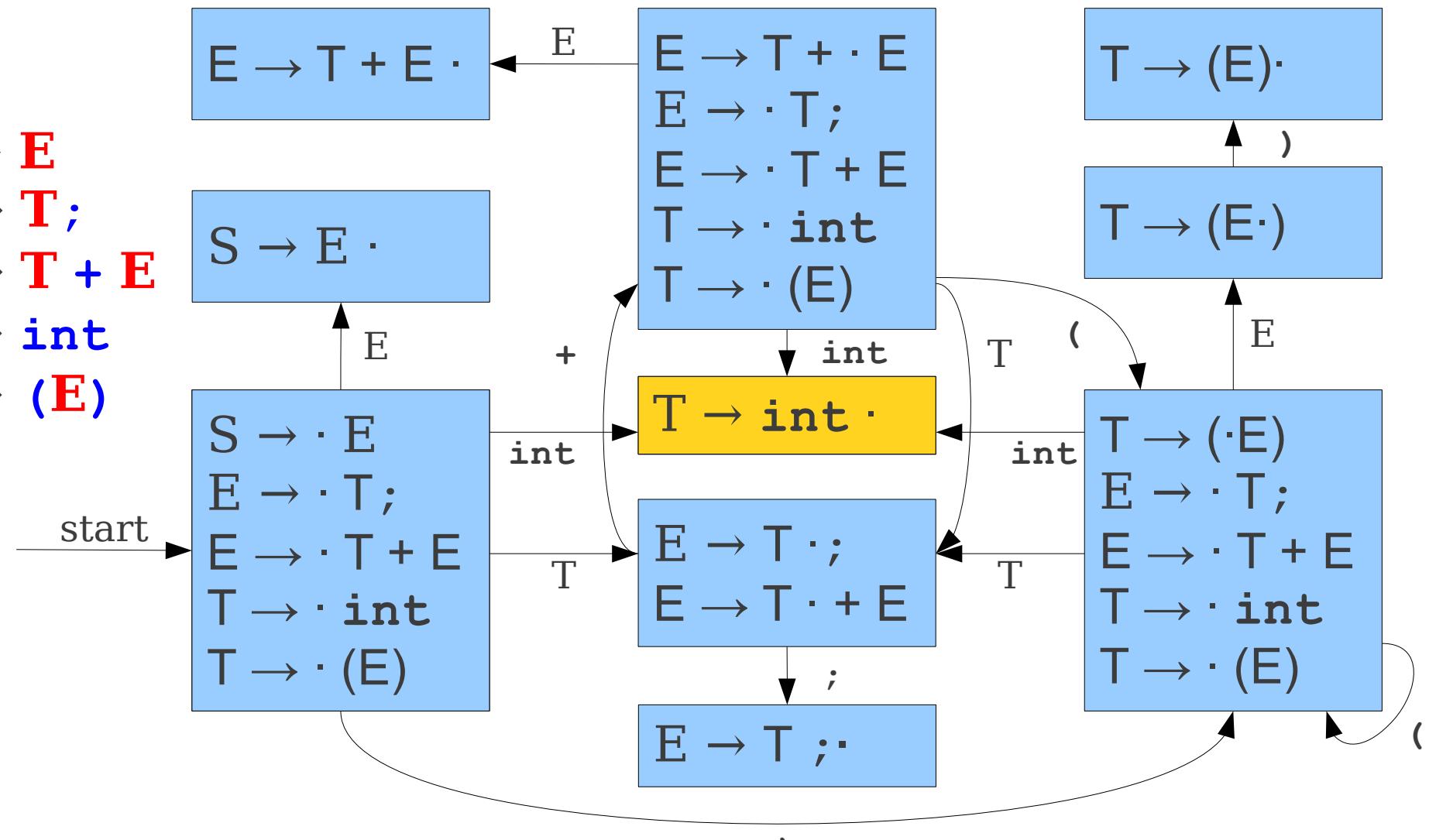
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



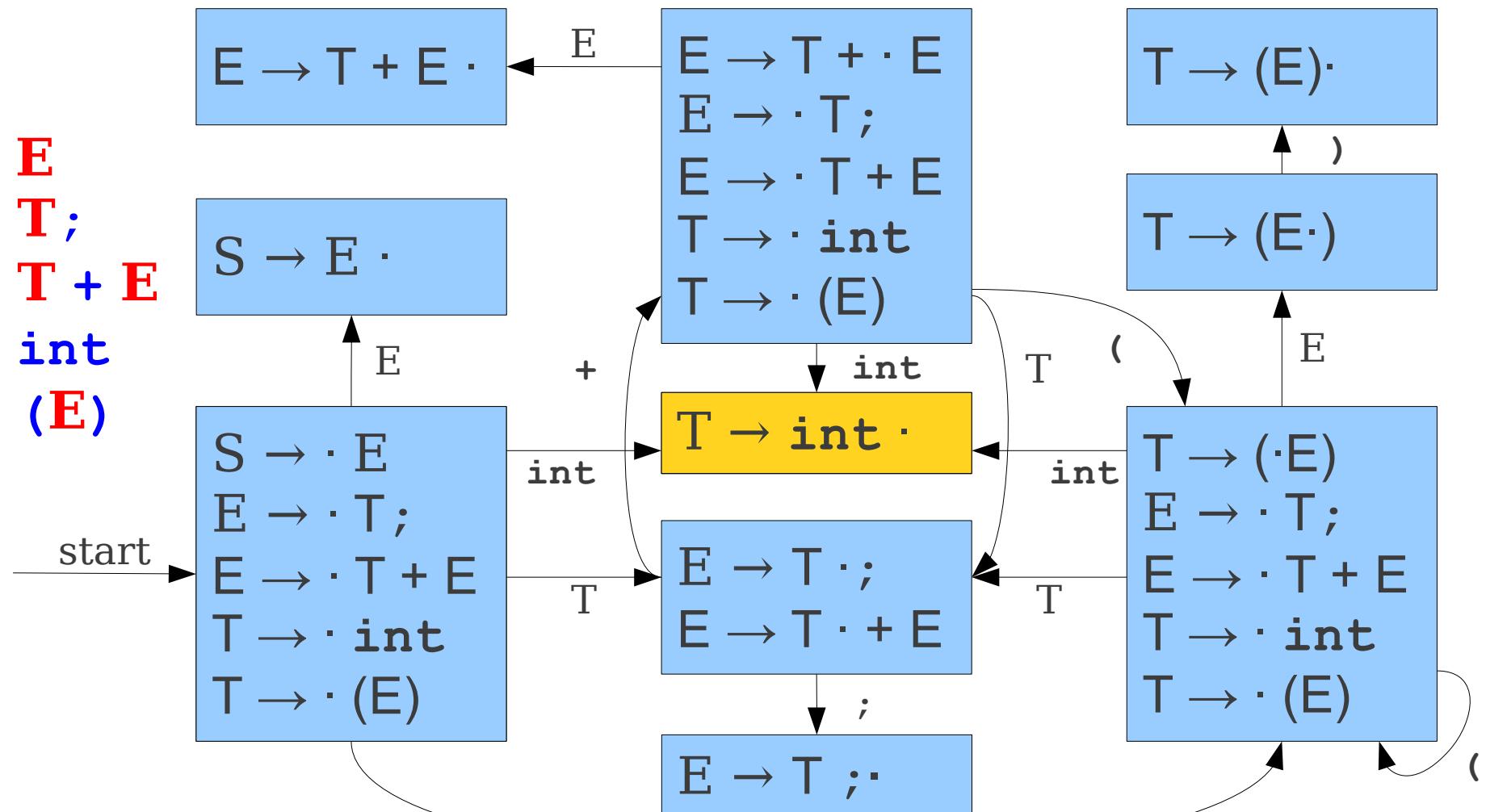
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



# LR(0) Parsing

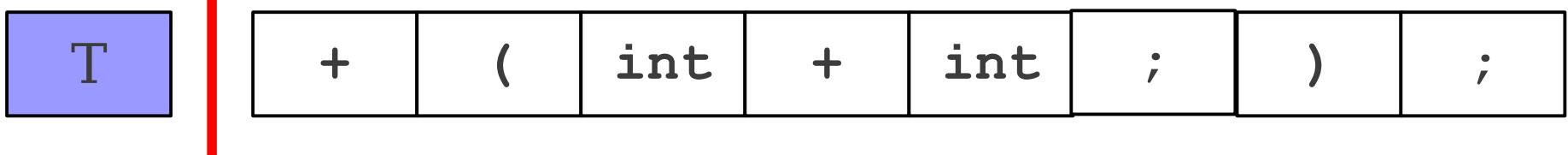
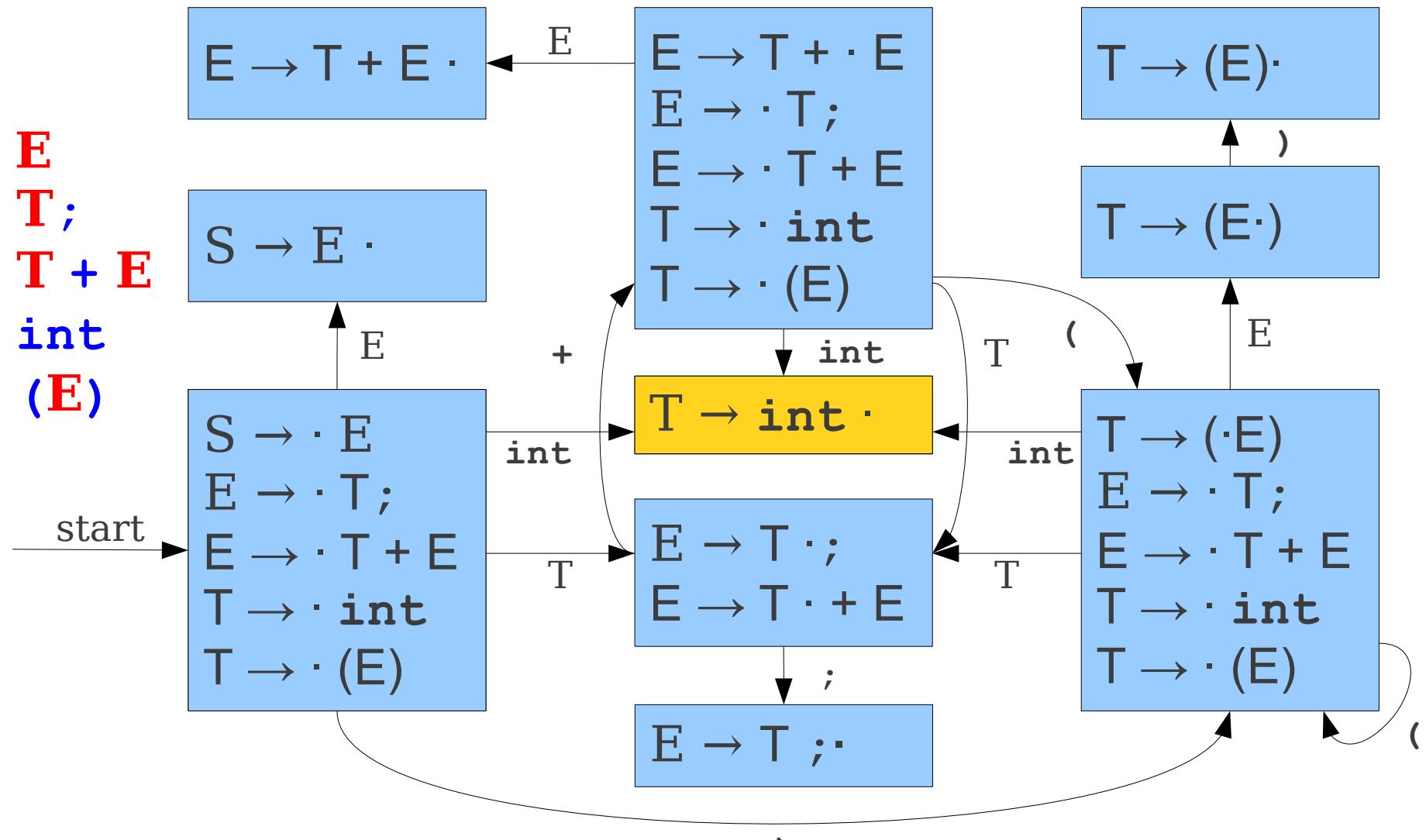
$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



+	(	int	+	int	;	)	;
---	---	-----	---	-----	---	---	---

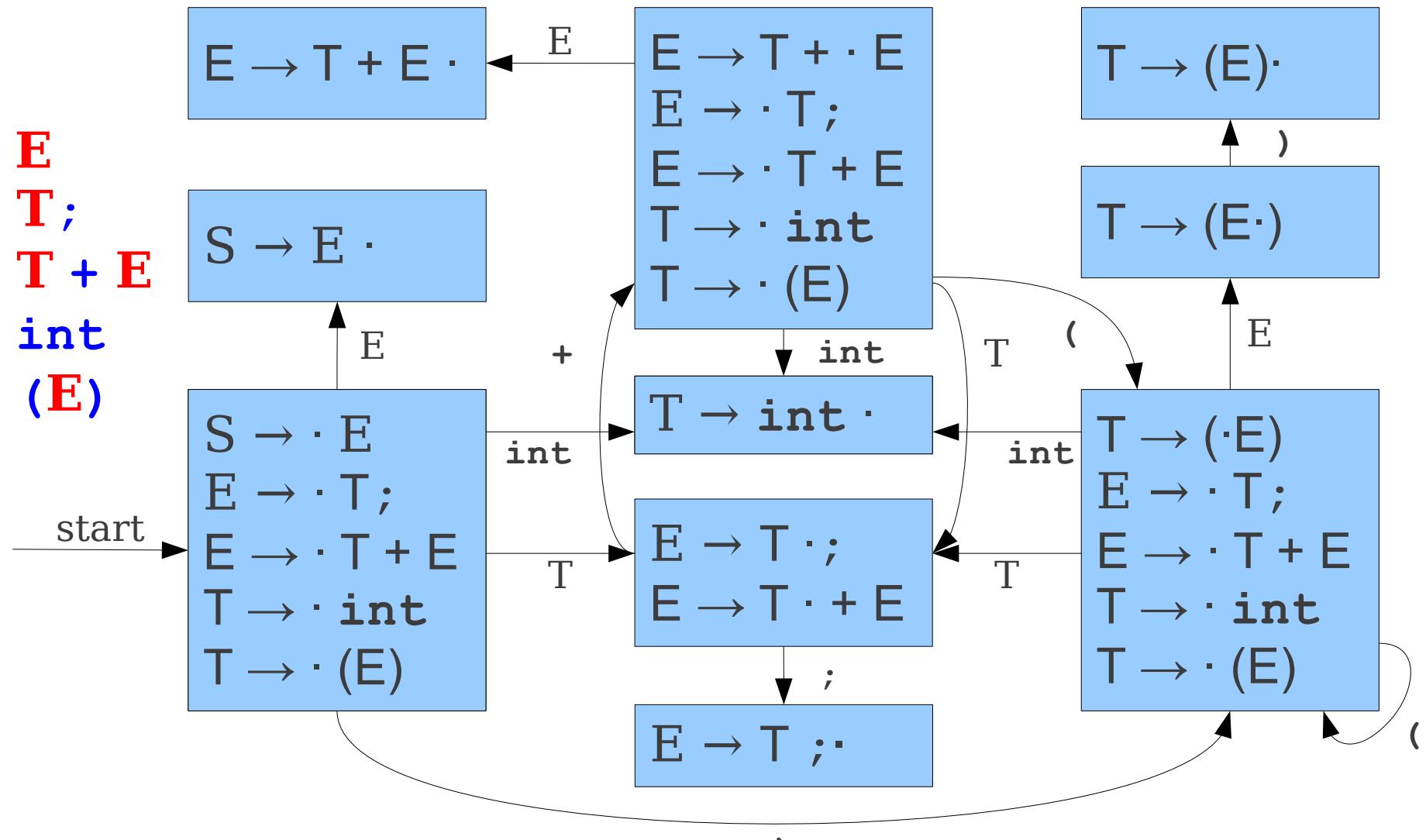
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

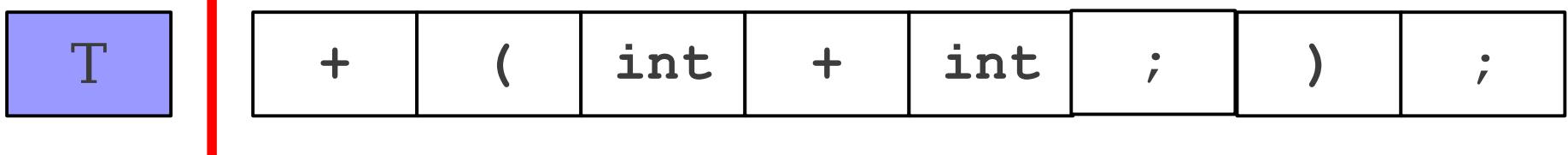
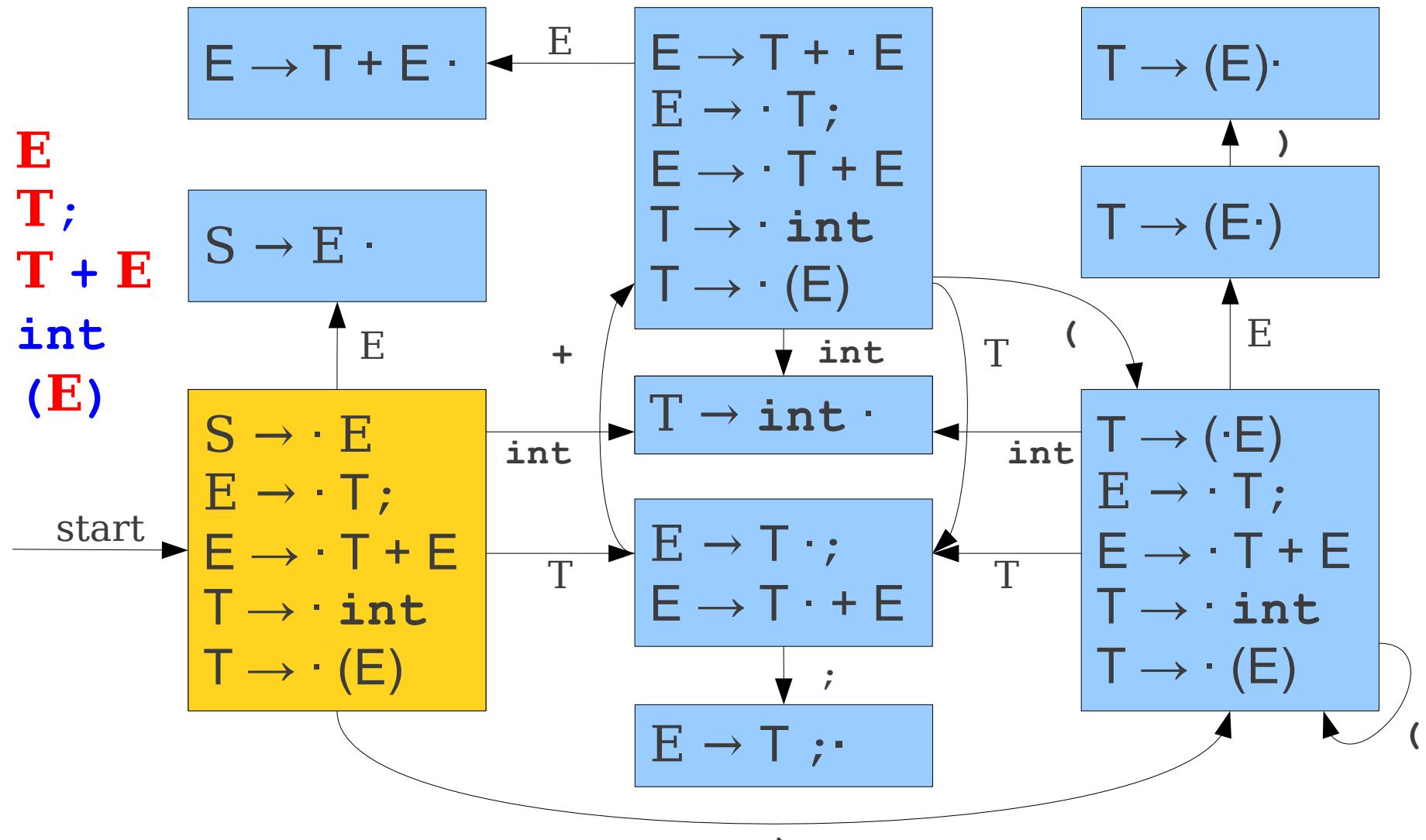


T

+   (   int   +   int   ;   )   ;
-----------------------------------

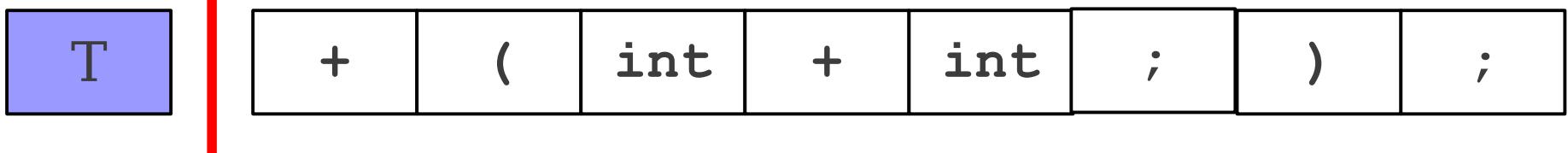
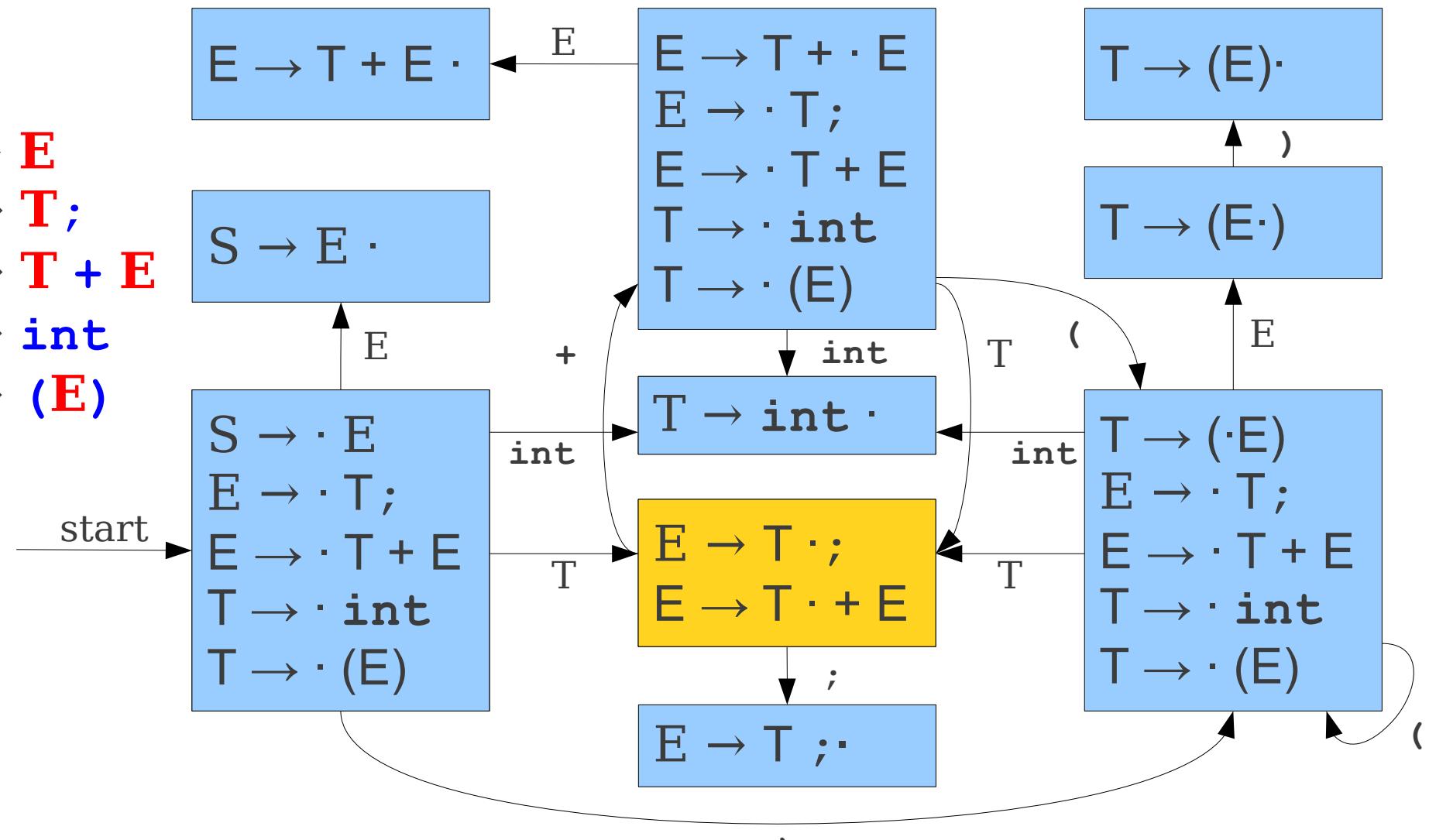
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



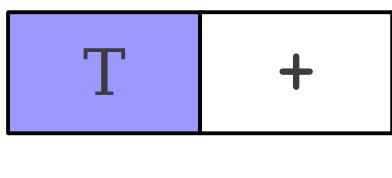
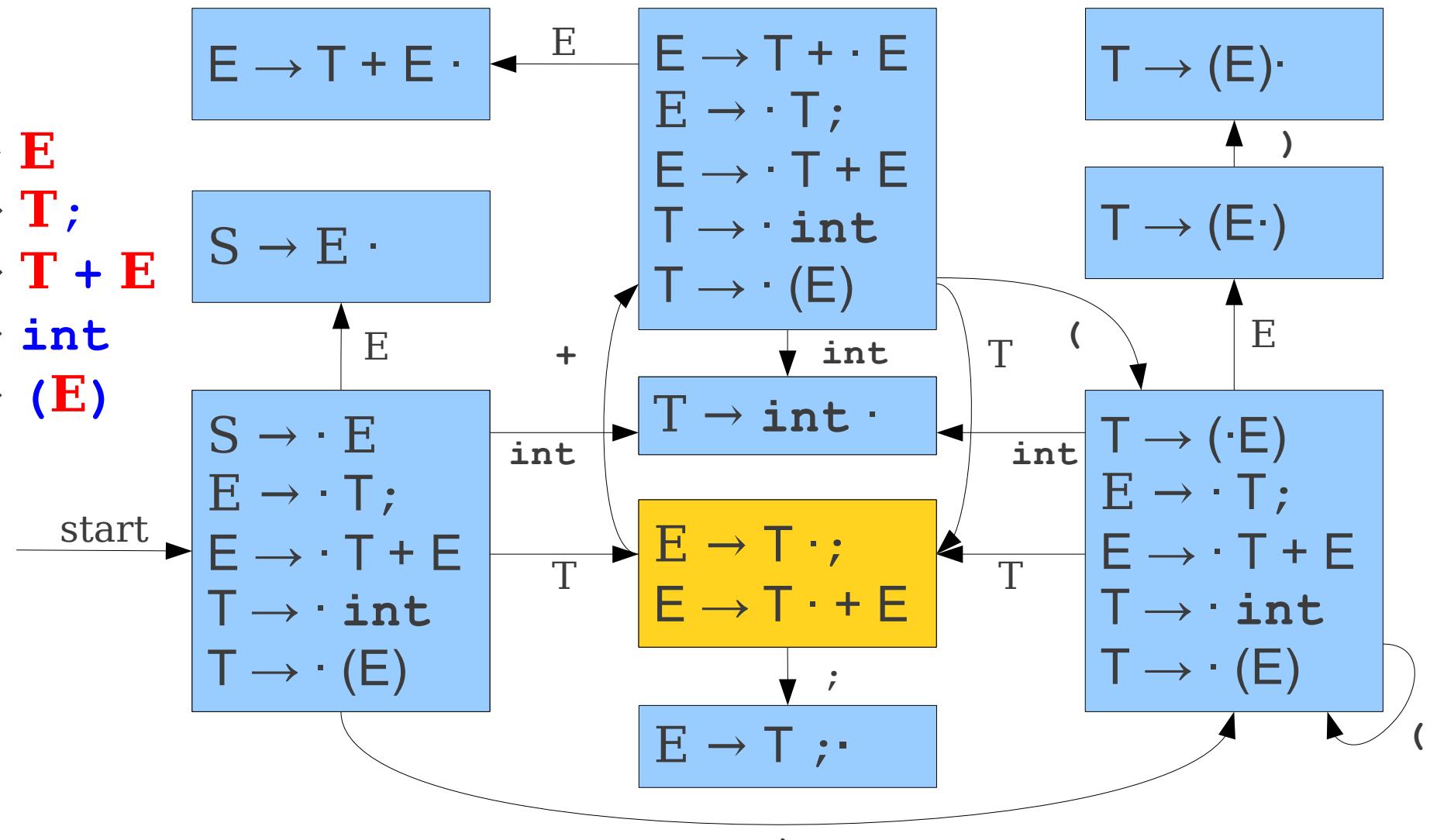
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



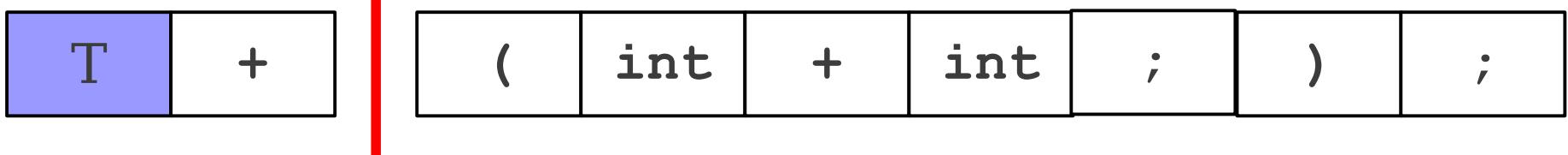
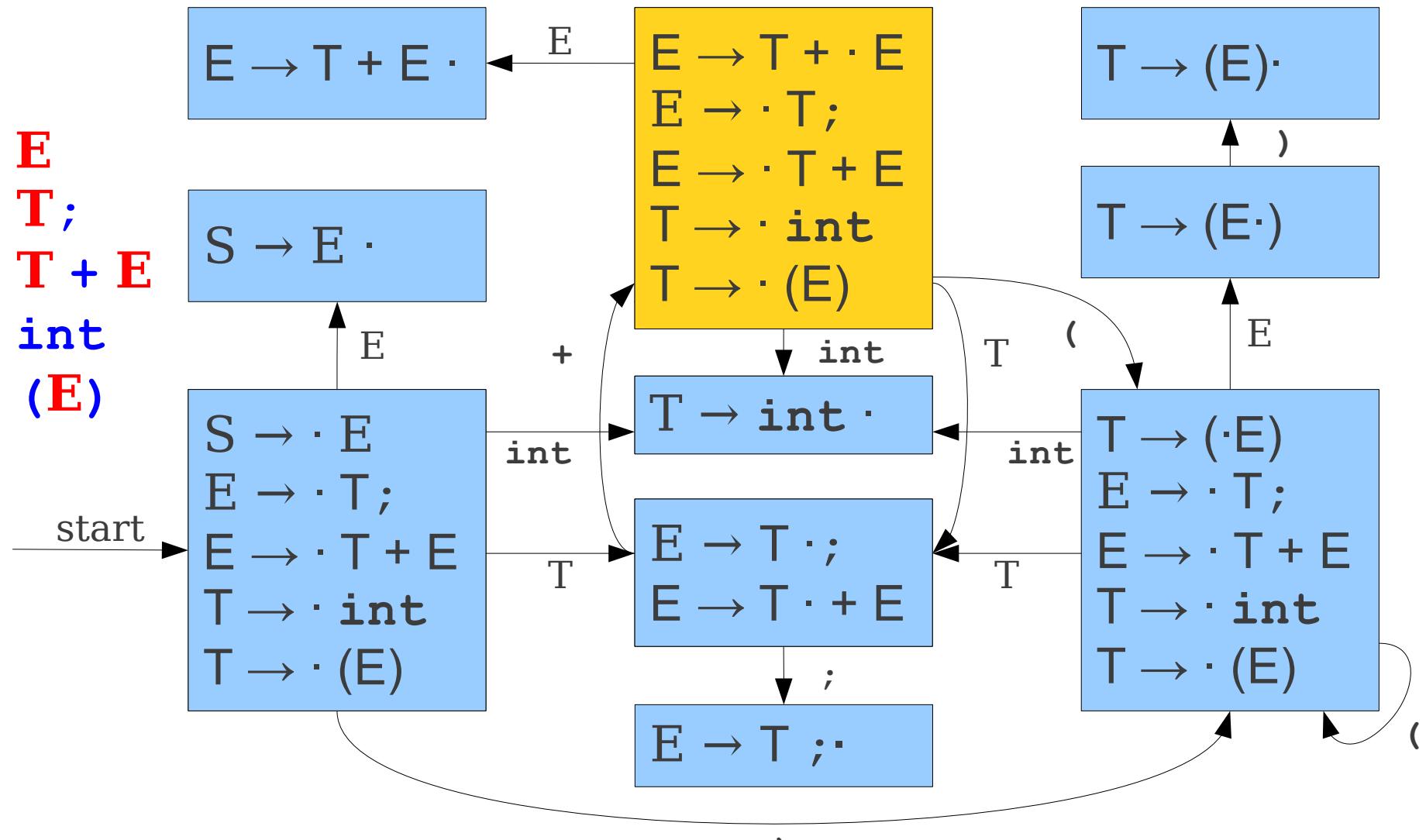
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



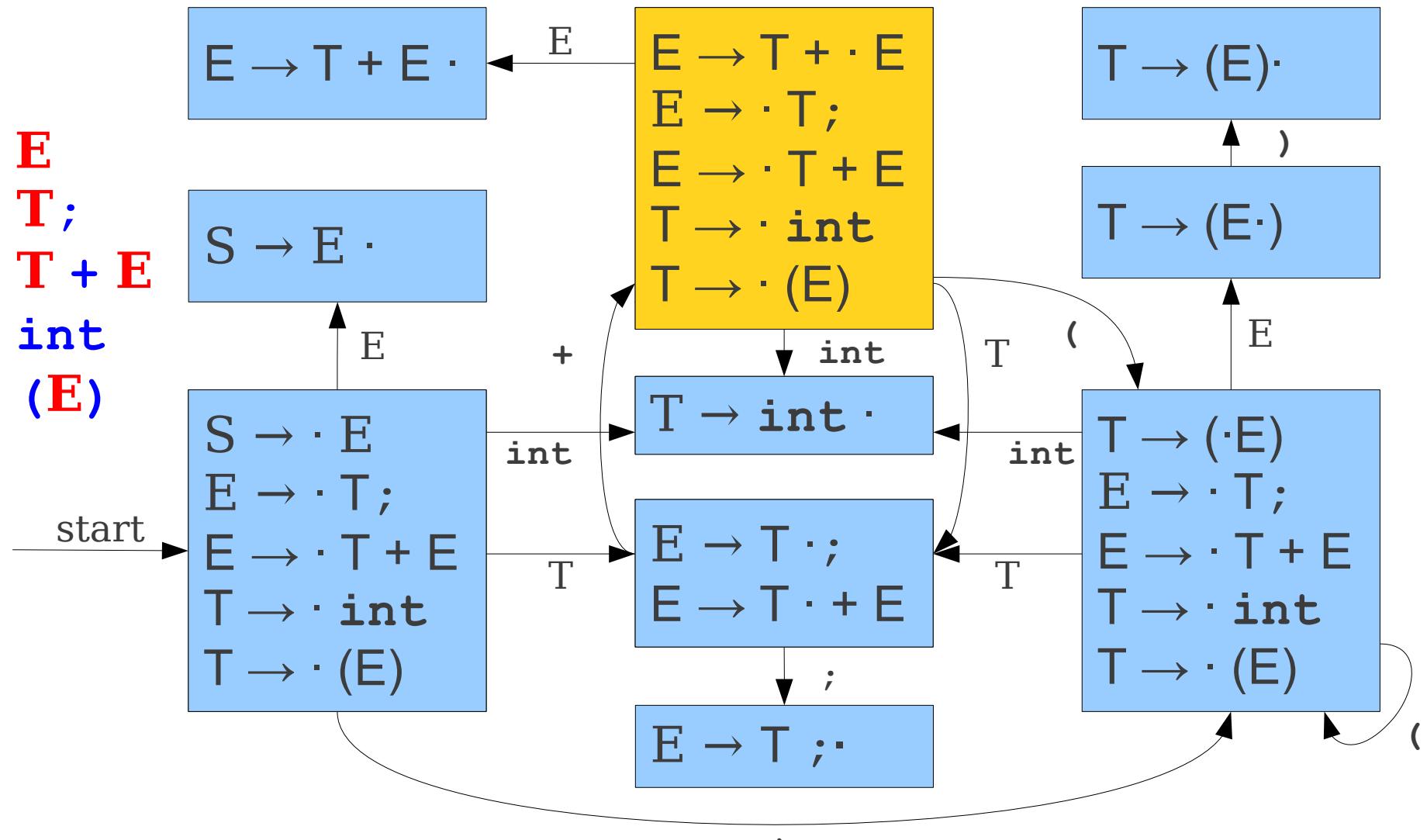
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

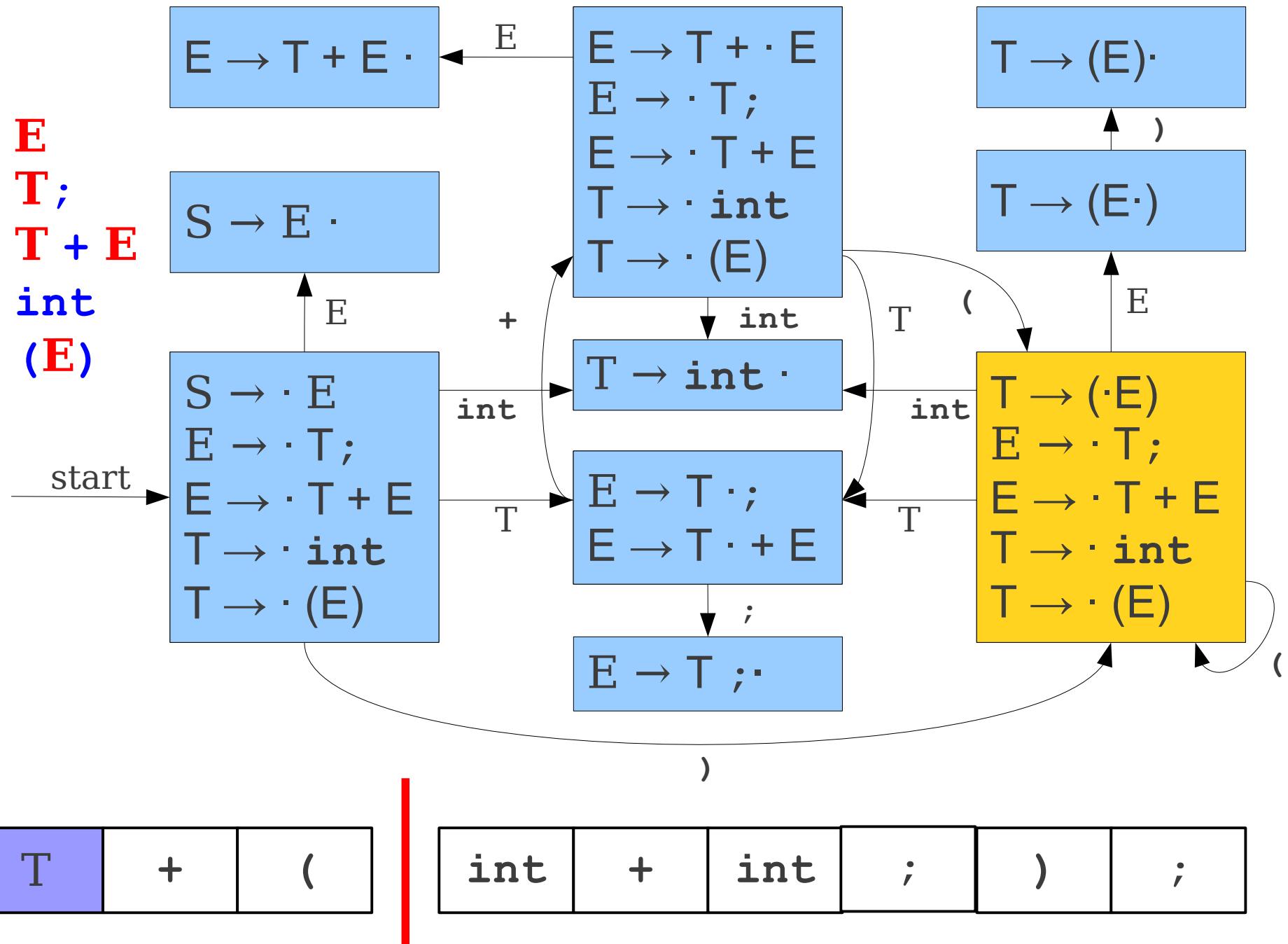


T	+	(
---	---	---

int	+	int	;	)	;
-----	---	-----	---	---	---

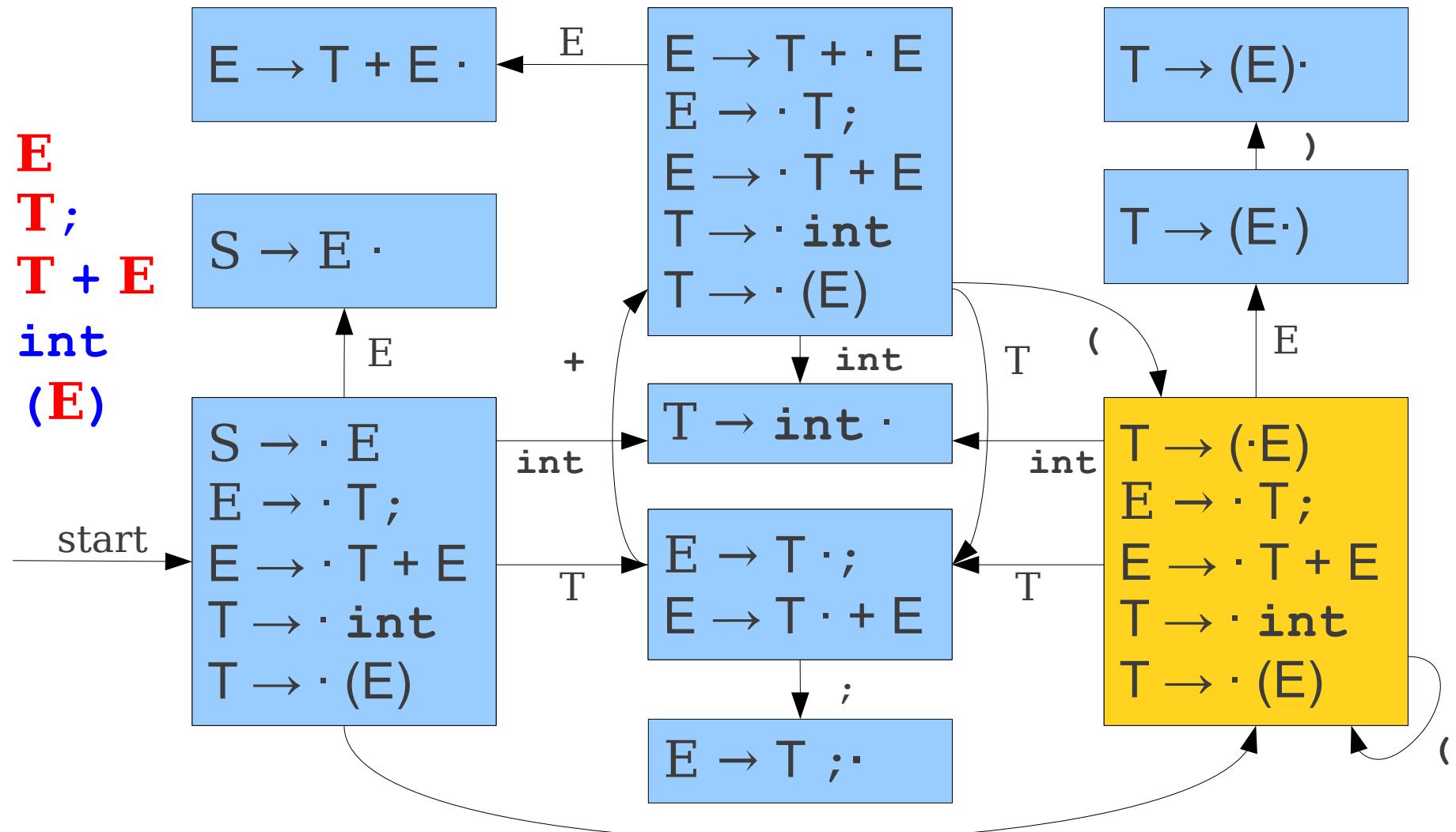
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

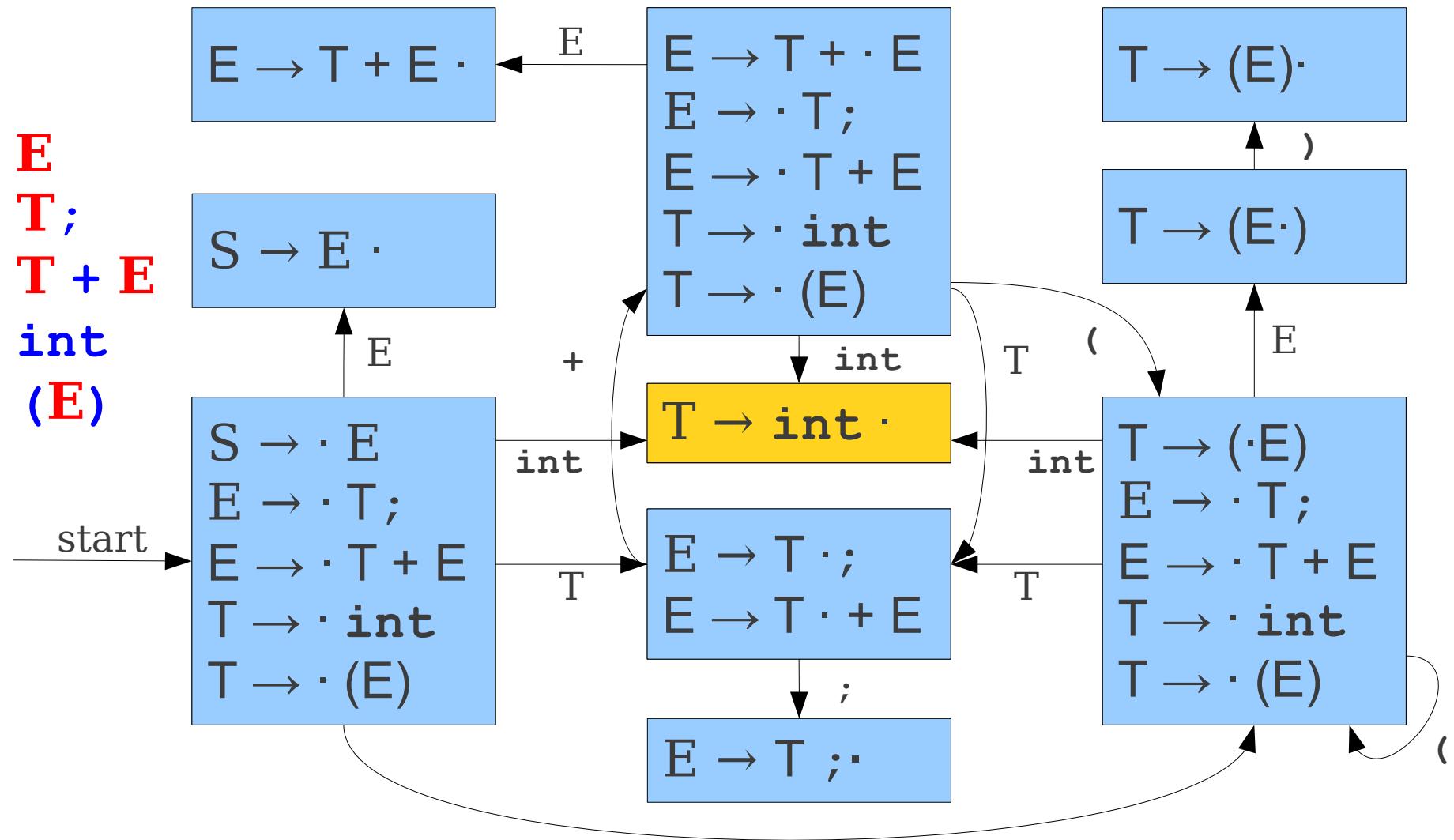


T	+	(	int
---	---	---	-----

+	int	;	)	;
---	-----	---	---	---

# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

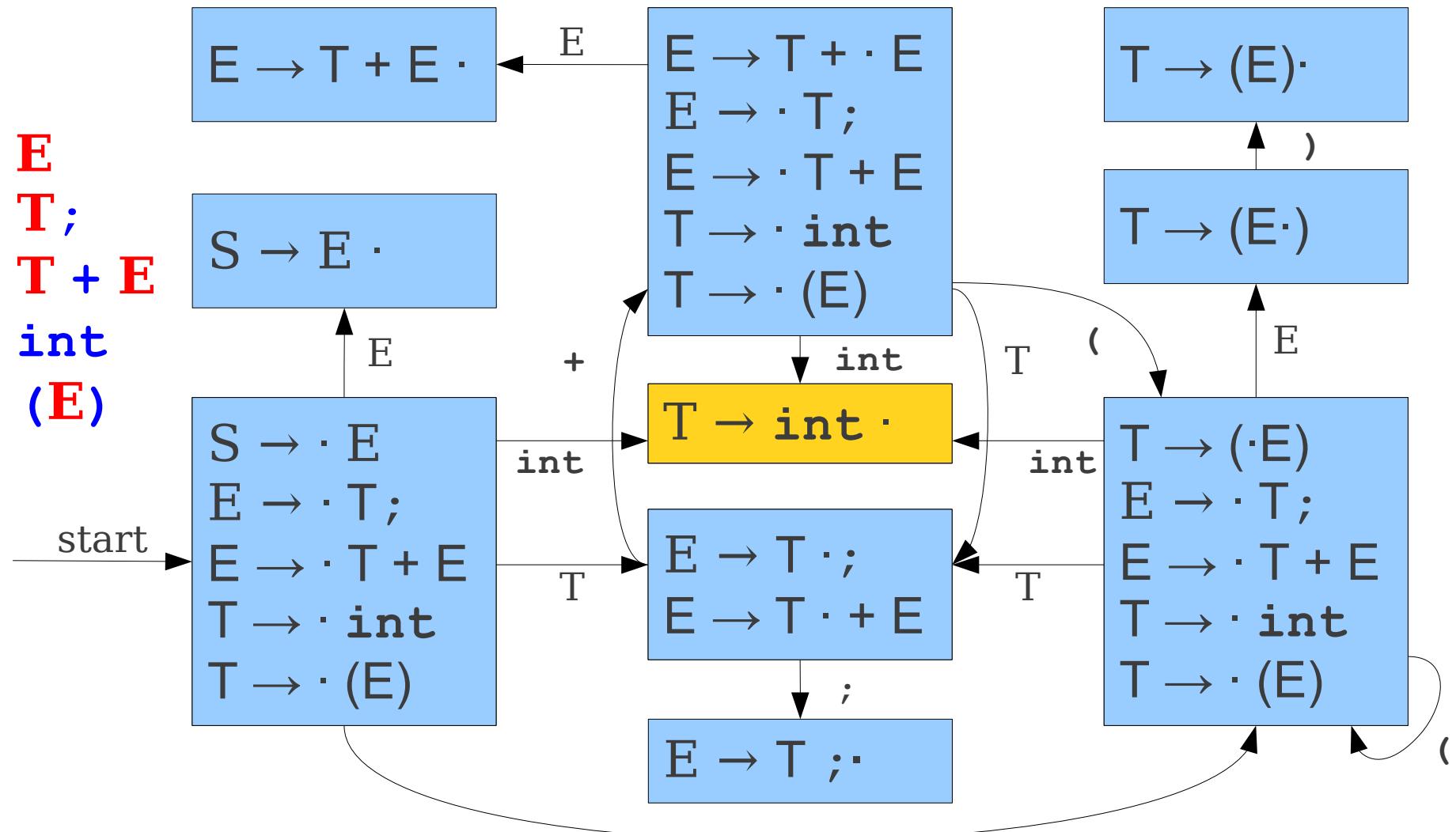


T	+	(	int
---	---	---	-----

+	int	;	)	;
---	-----	---	---	---

# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

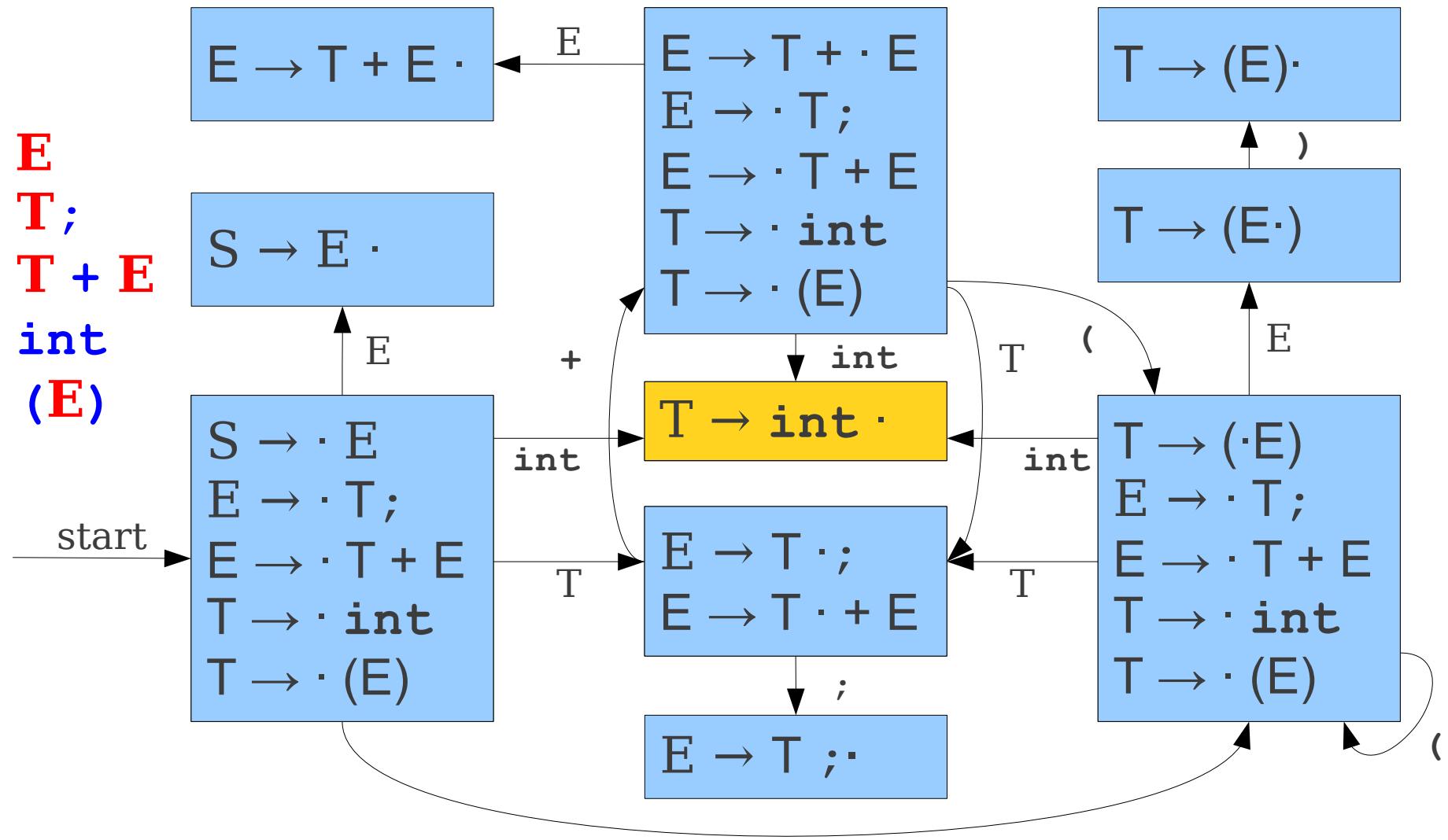


T	+	(
---	---	---

+ <span style="color:red"> </span>	int	;	)	;
------------------------------------	-----	---	---	---

# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

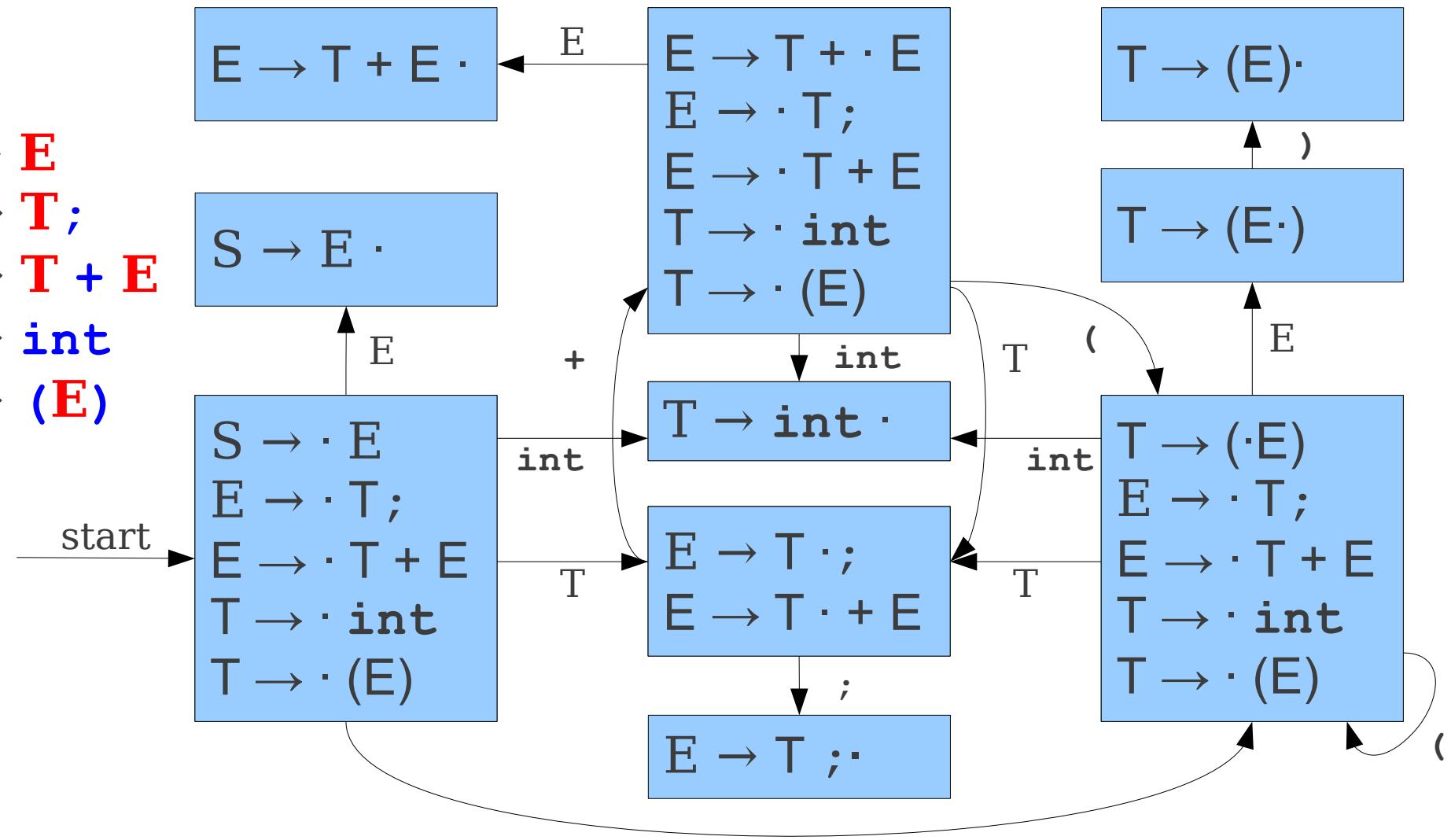


T	+	(	T
---	---	---	---

+	int	;	)	;
---	-----	---	---	---

# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

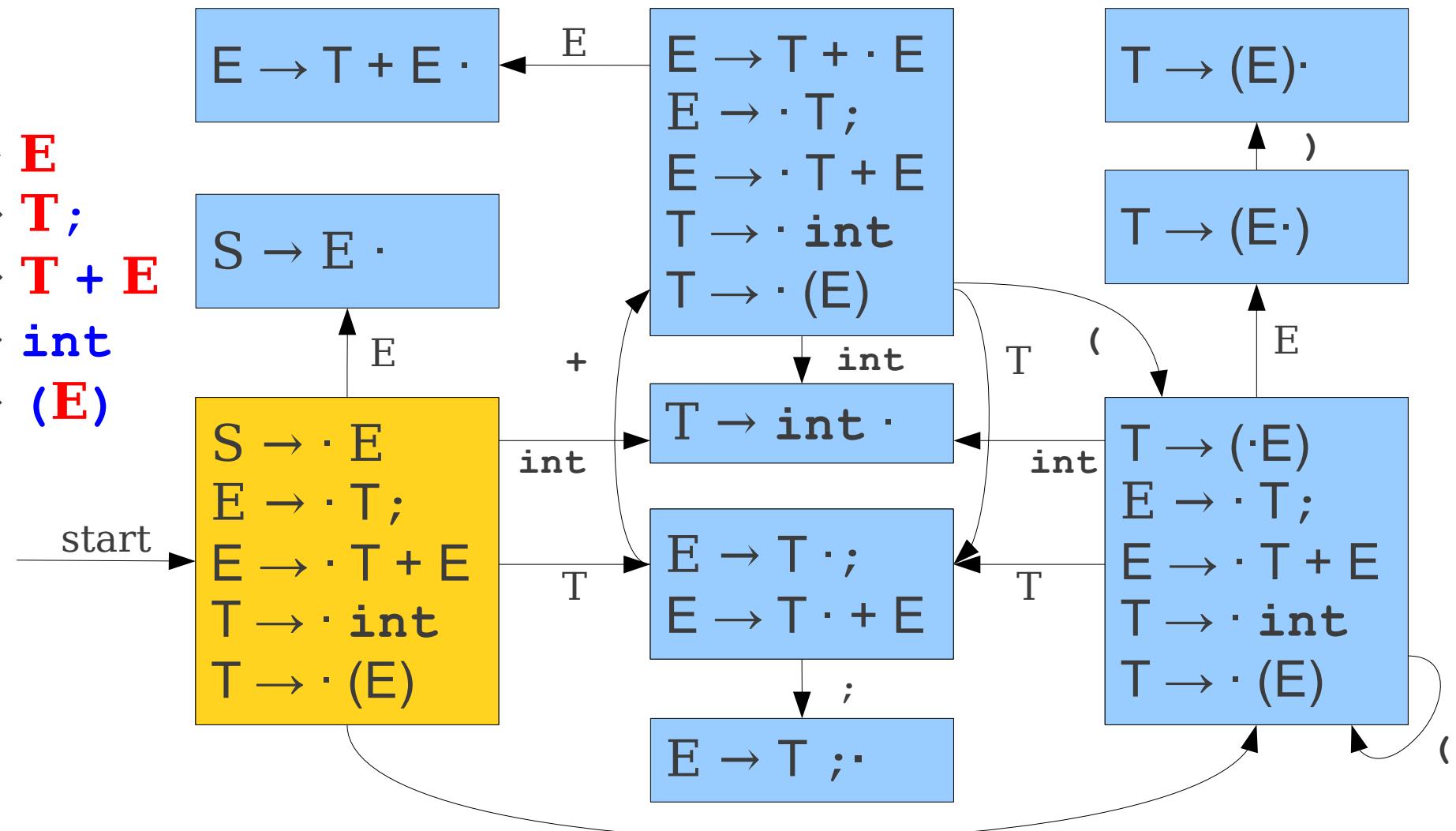


T	+	(	T
---	---	---	---

+	int	;	)	;
---	-----	---	---	---

# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

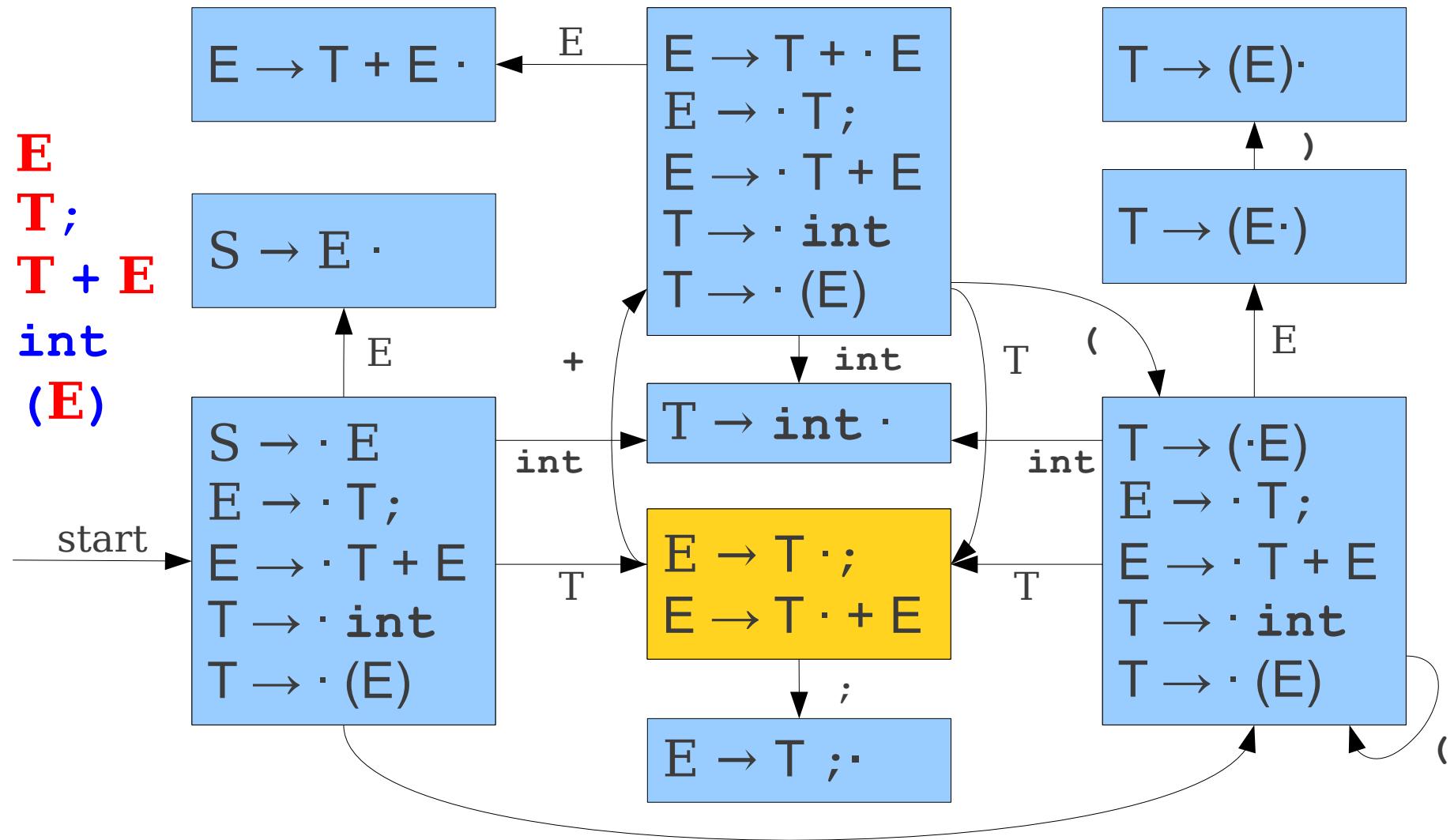


T	+	(	T
---	---	---	---

+	int	;	)	;
---	-----	---	---	---

# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

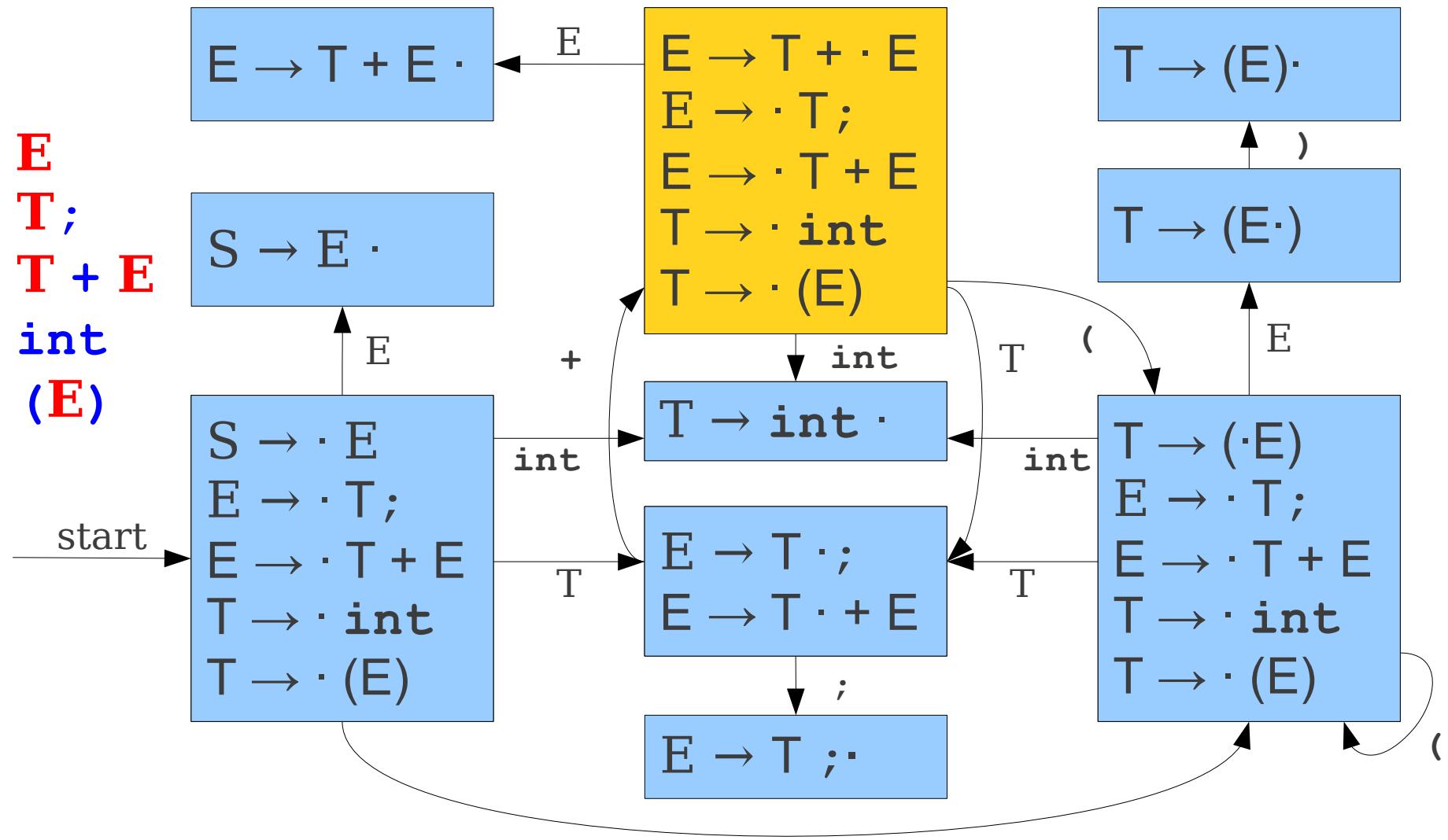


T	+	(	T
---	---	---	---

+	int	;	)	;
---	-----	---	---	---

# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

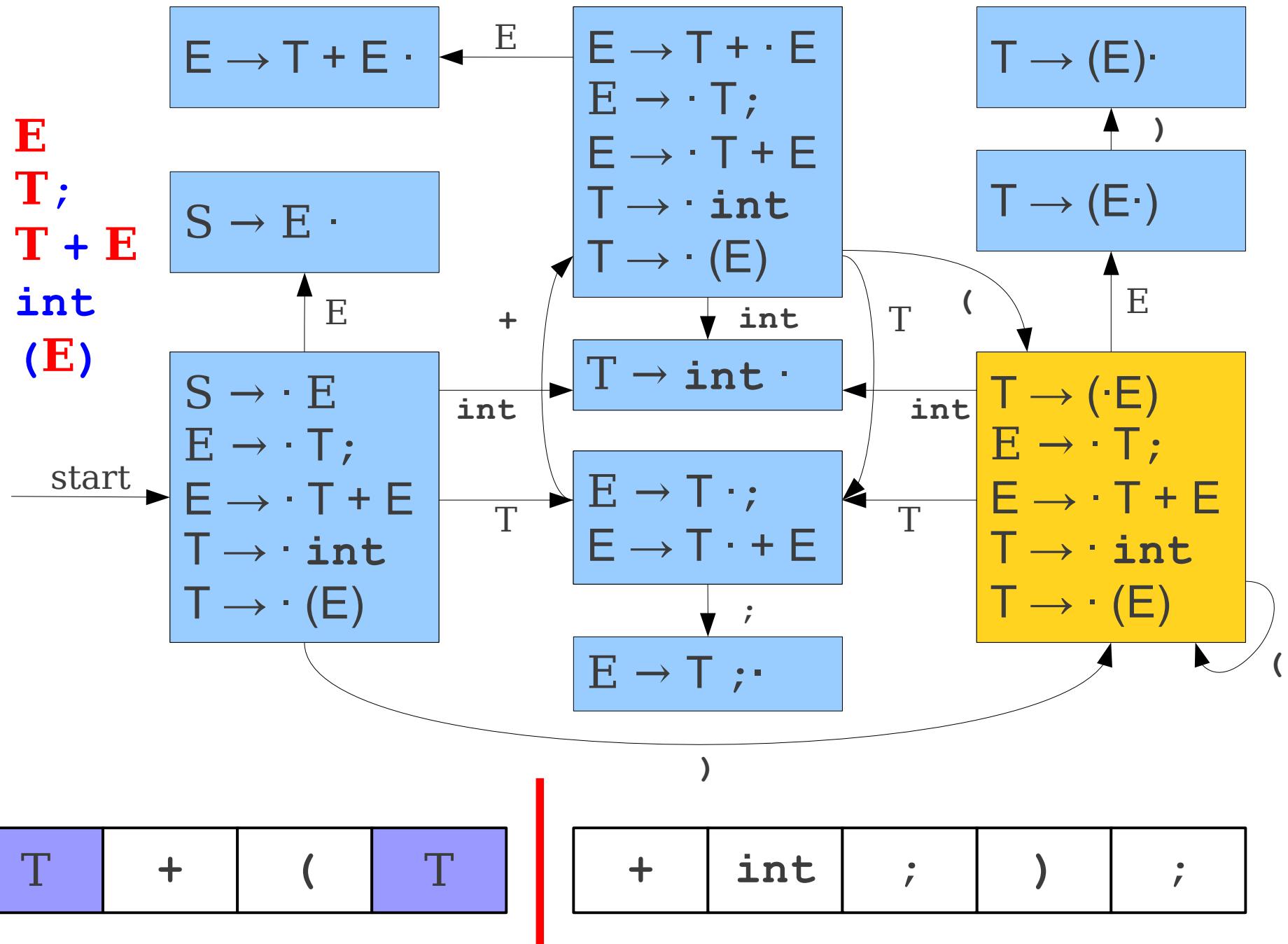


T	+	(	T
---	---	---	---

+	int	;	)	;
---	-----	---	---	---

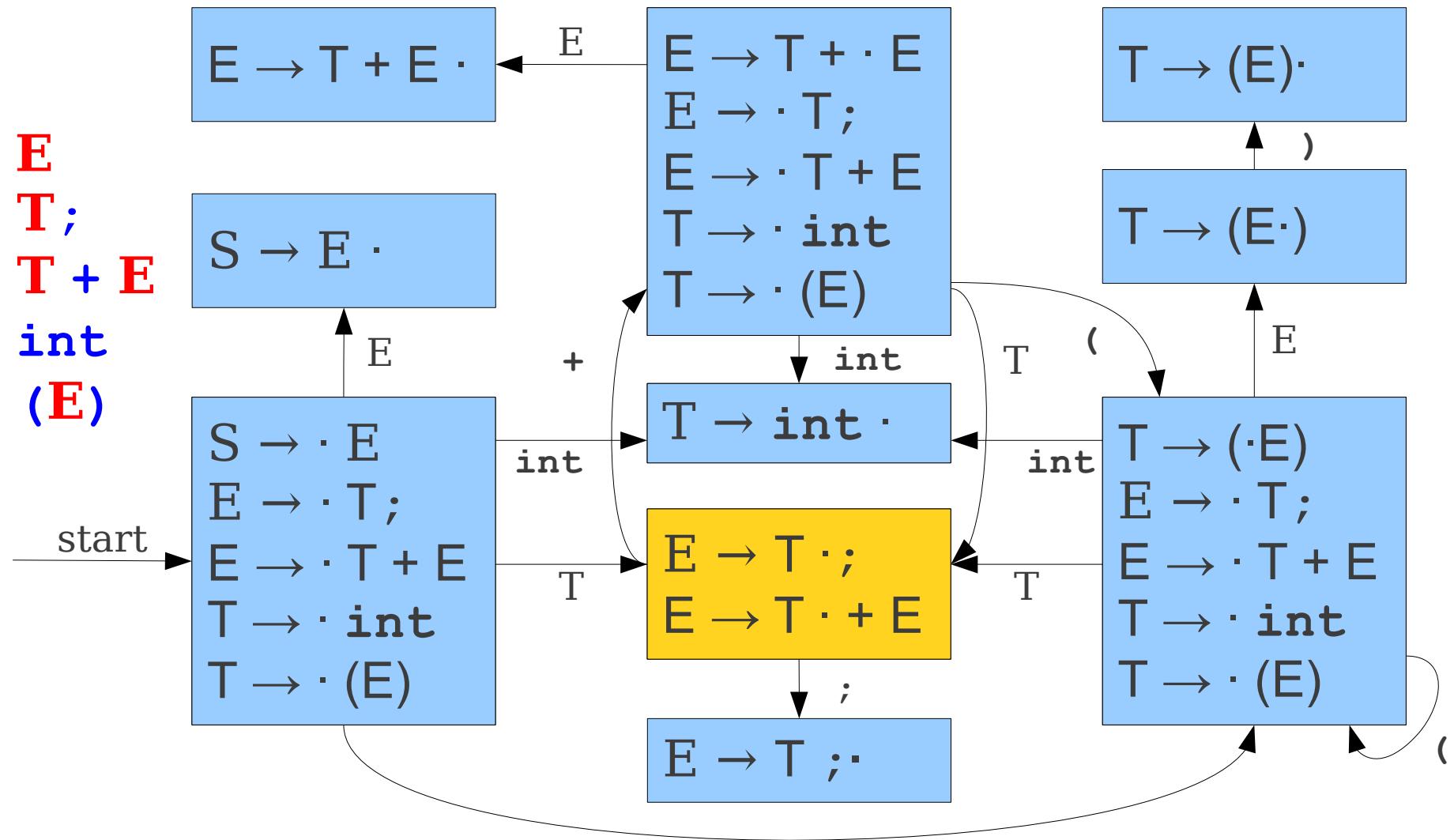
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

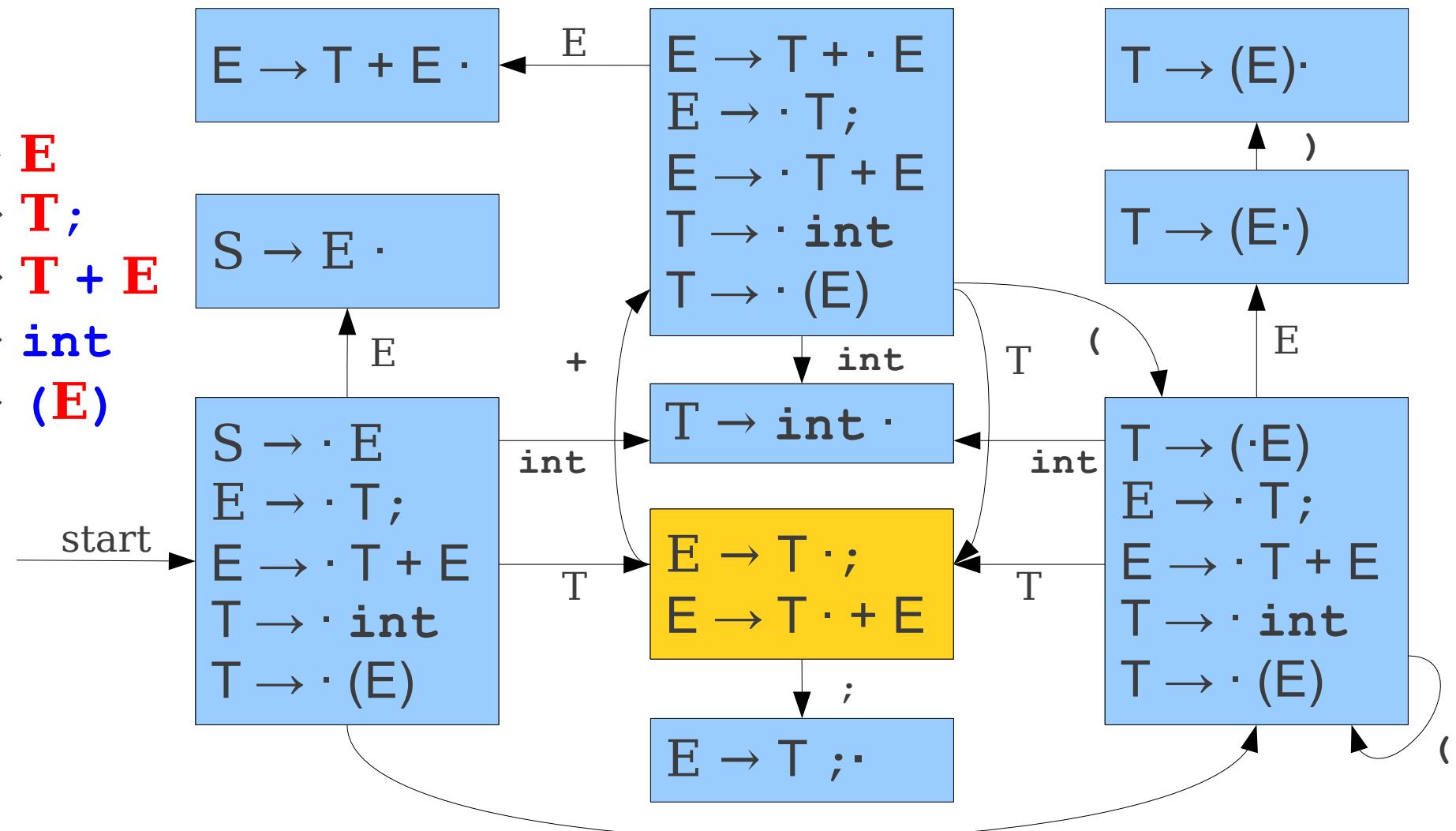


T	+	(	T
---	---	---	---

+	int	;	)	;
---	-----	---	---	---

# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



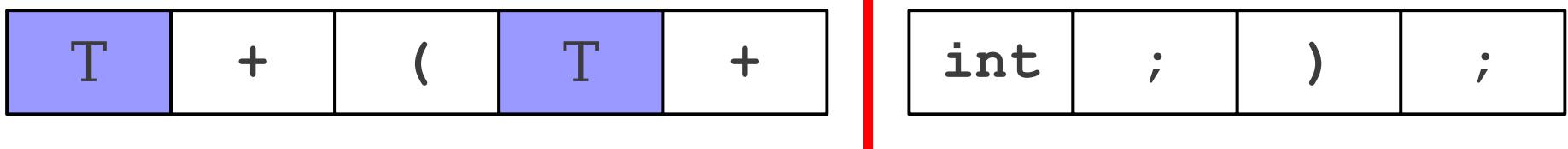
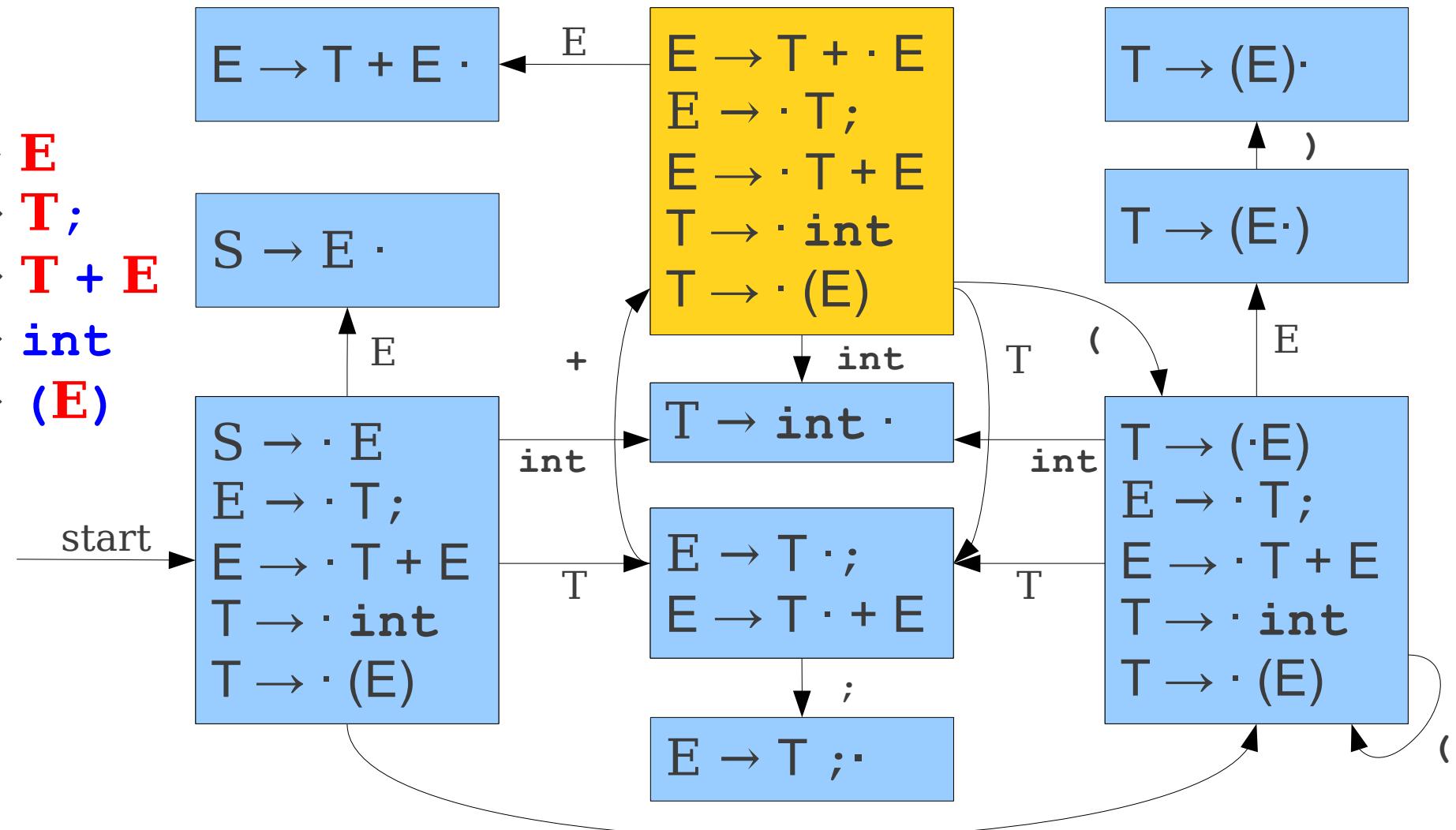
T	+	(	T	+
---	---	---	---	---

)

int	;	)	;
-----	---	---	---

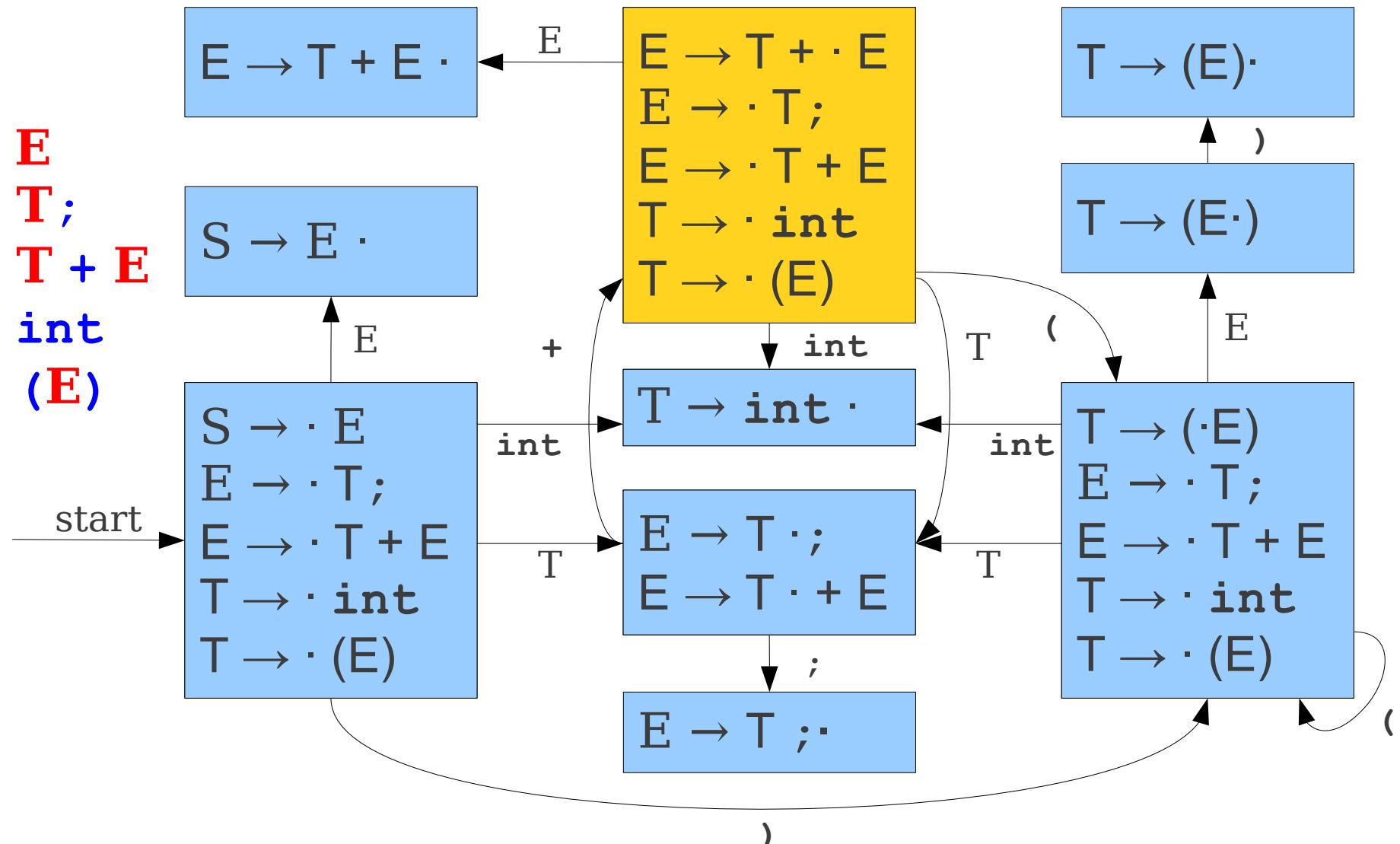
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



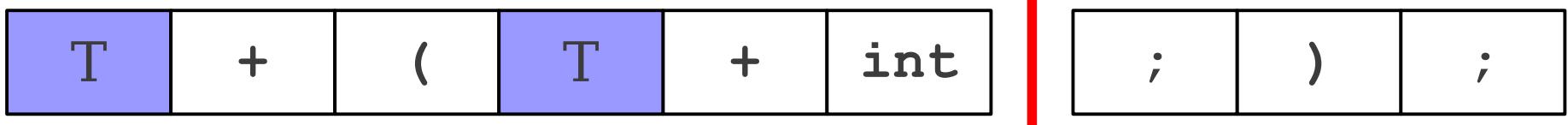
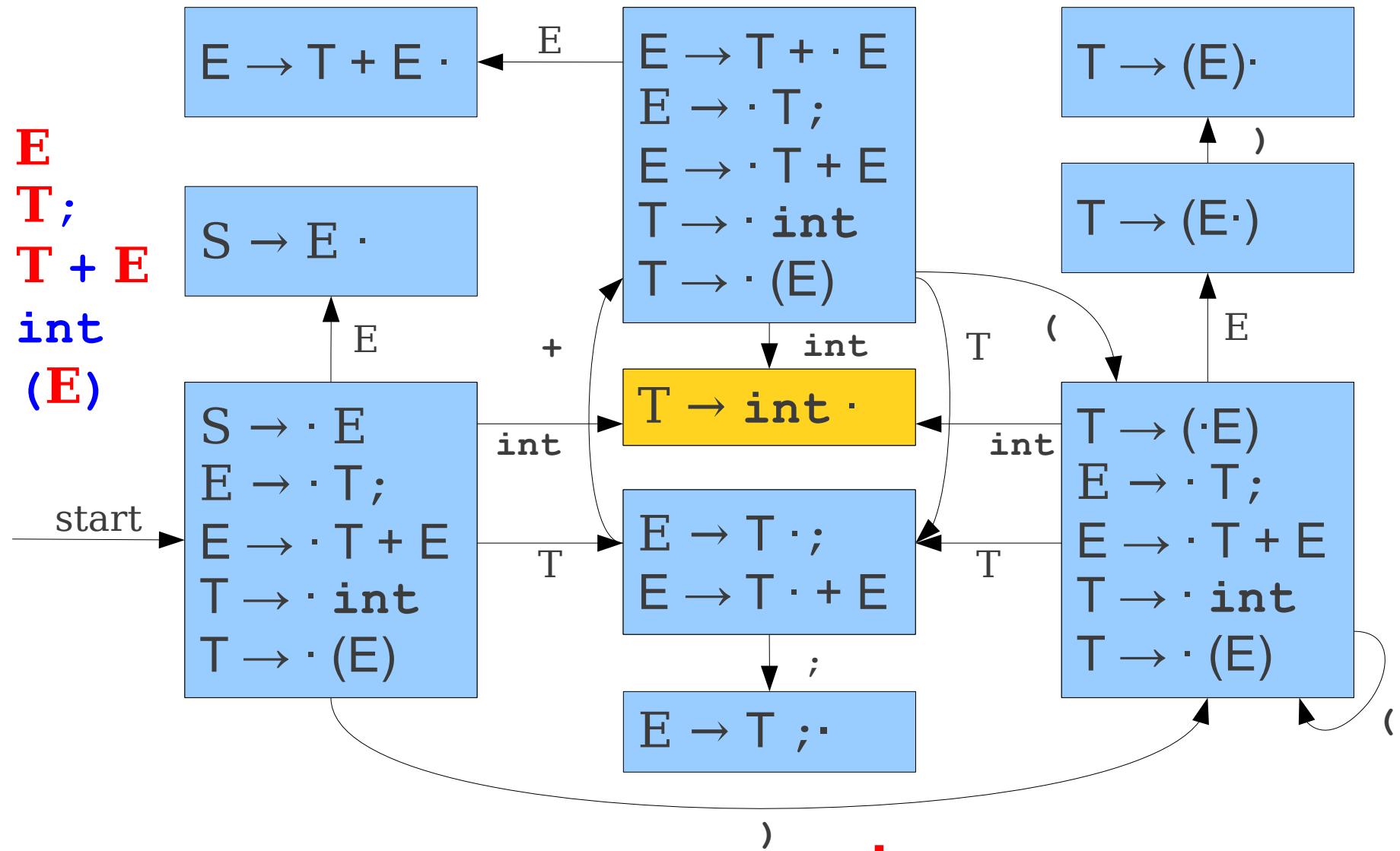
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



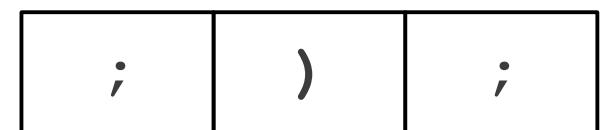
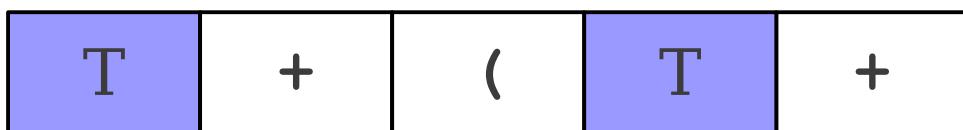
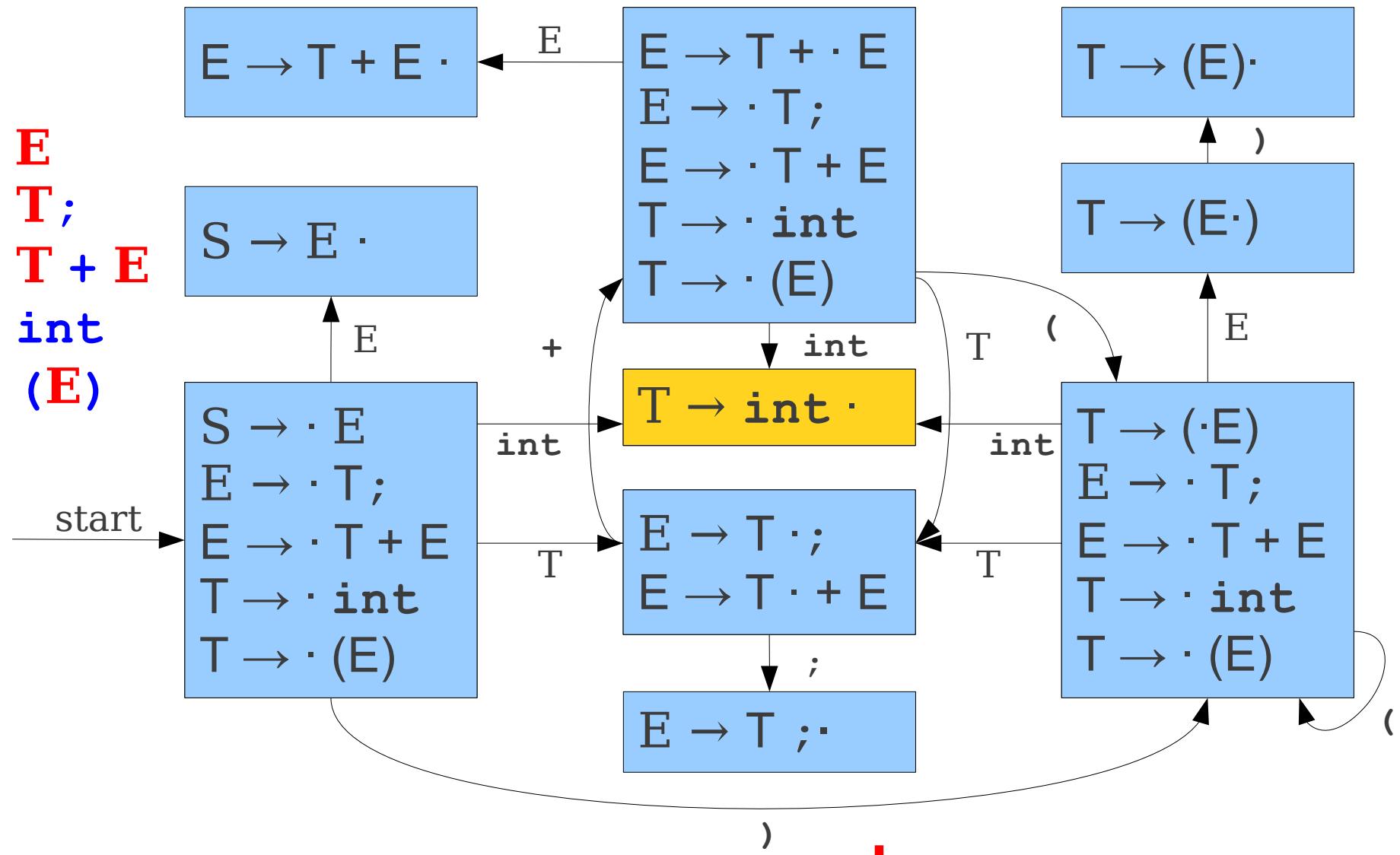
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



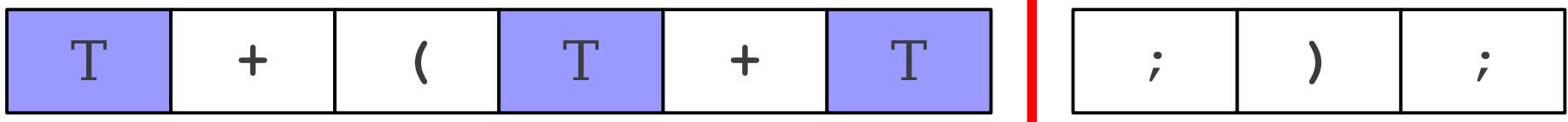
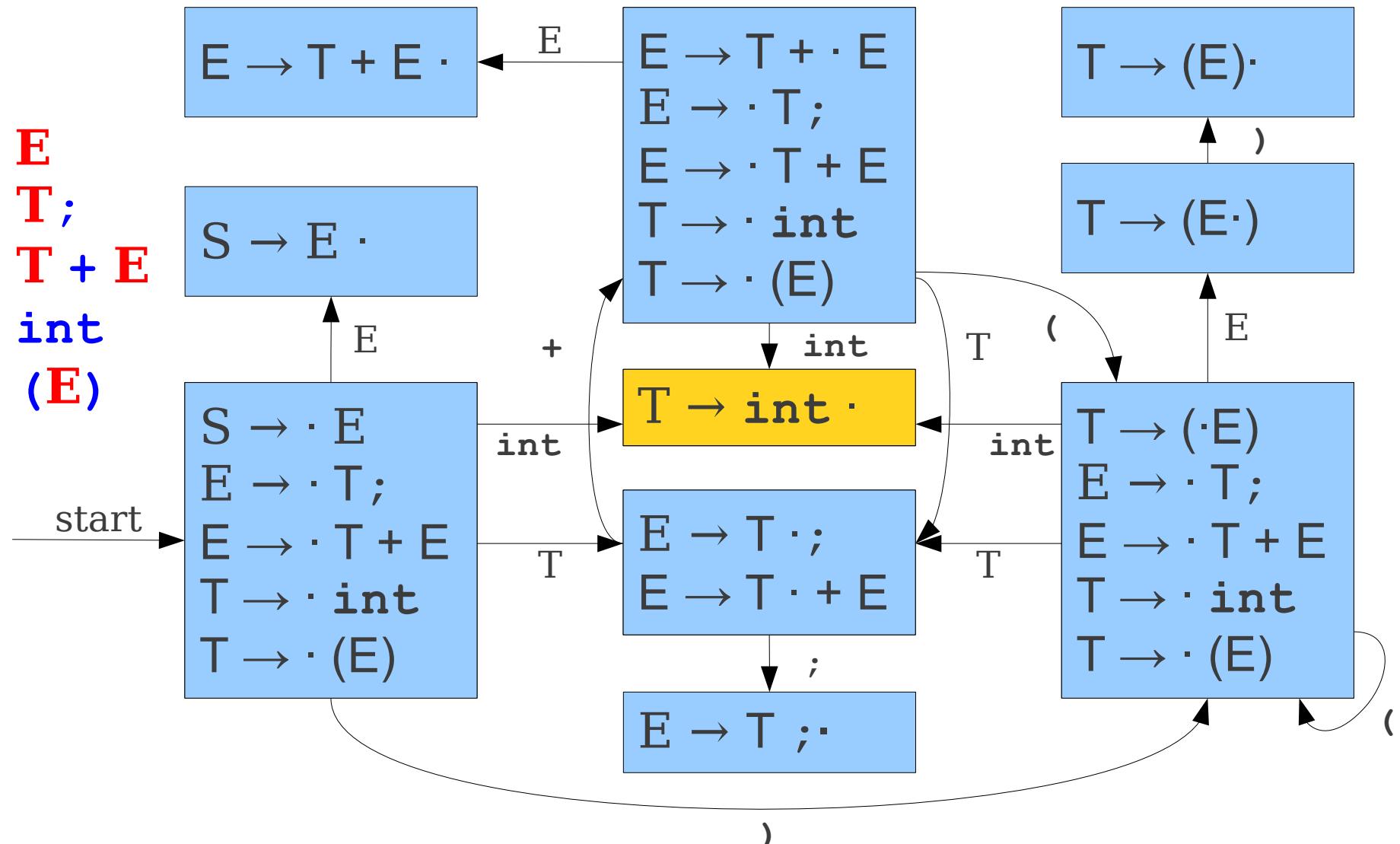
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



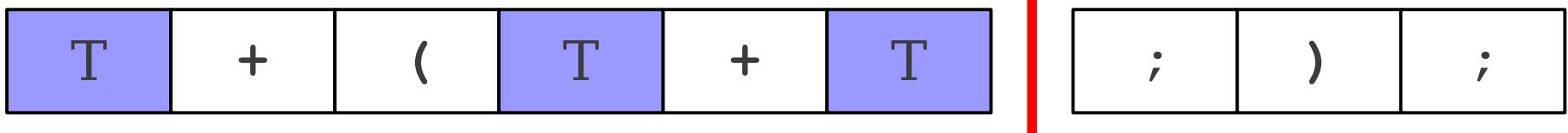
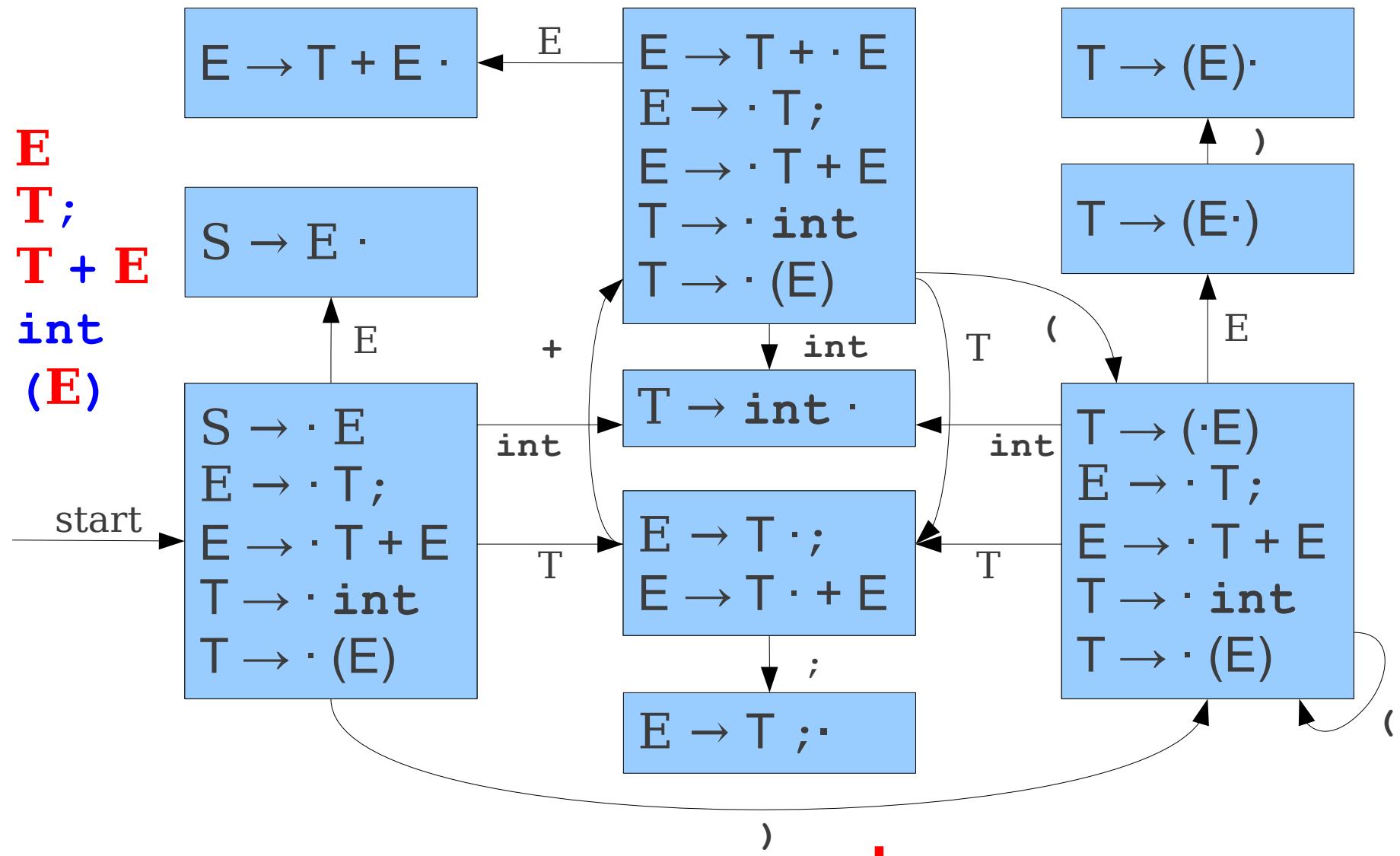
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

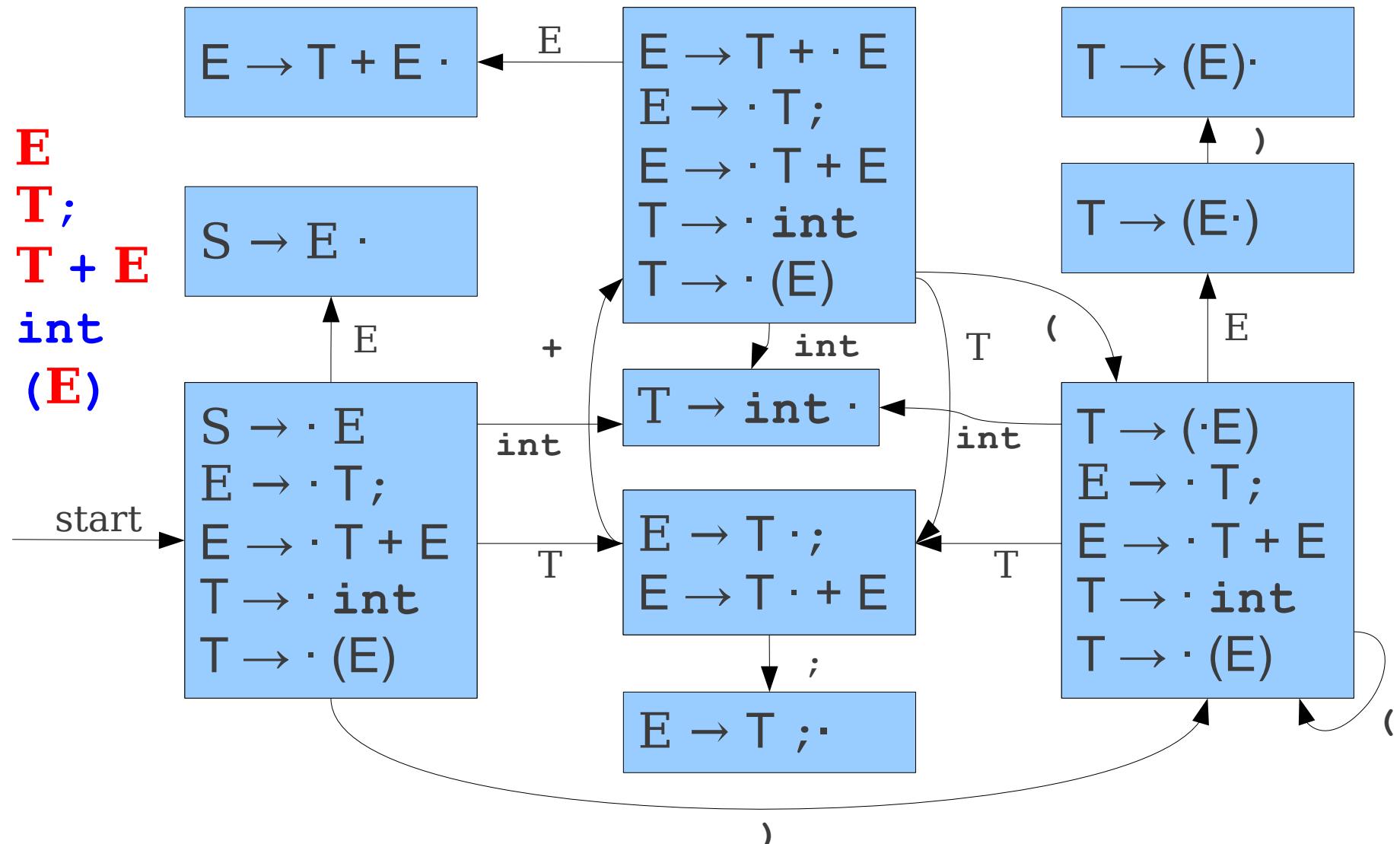


# An Optimization

- Rather than restart the automaton on each reduction, remember what state we were in for each symbol.
- When applying a reduction, restart the automaton from the last known good state.

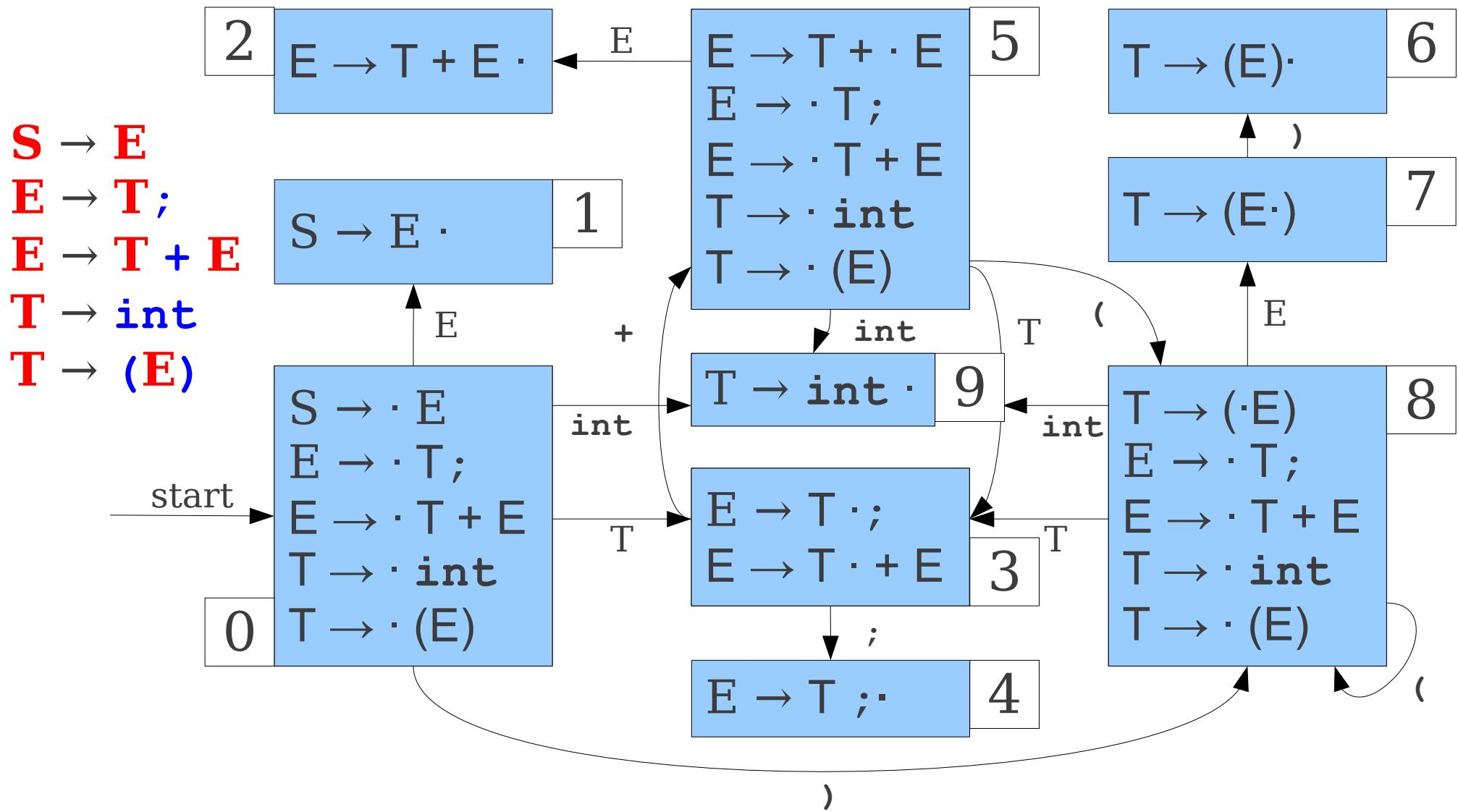
# LR(0) Parsing

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



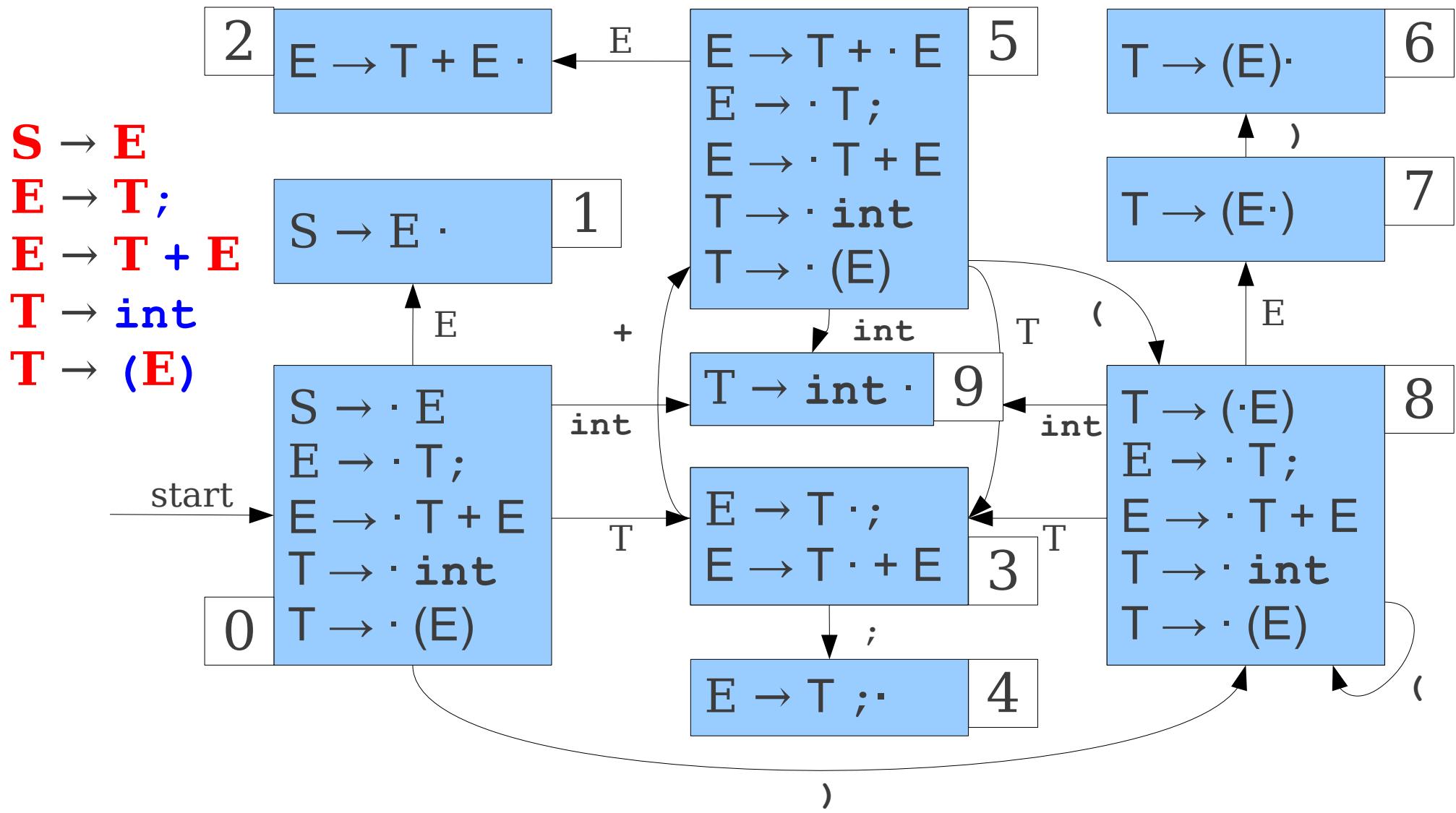
int	+	(	int	+	int	;	)	;
-----	---	---	-----	---	-----	---	---	---

# LR(0) Parsing



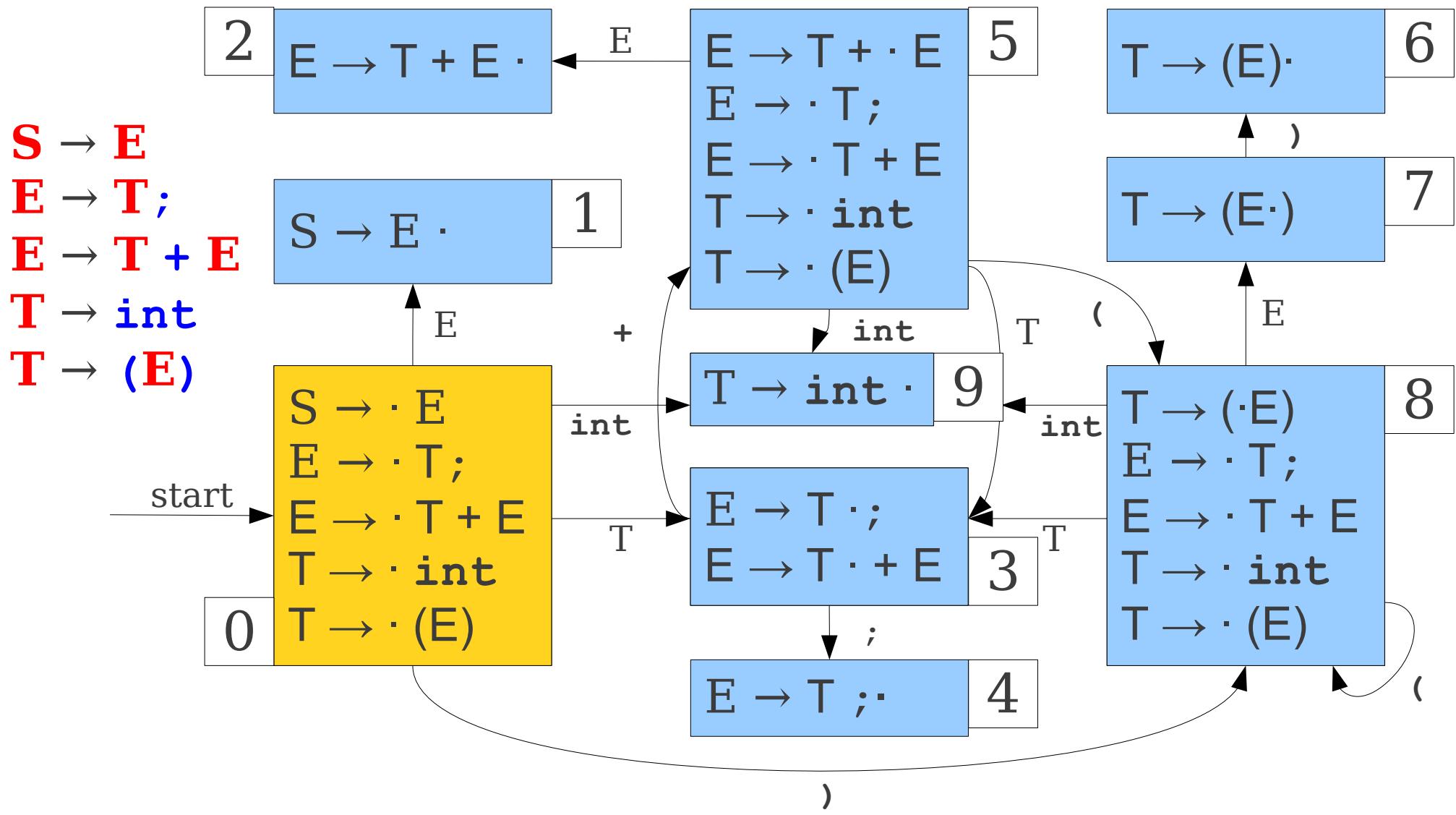
int	+	(	int	+	int	;	)	;
-----	---	---	-----	---	-----	---	---	---

# LR(0) Parsing



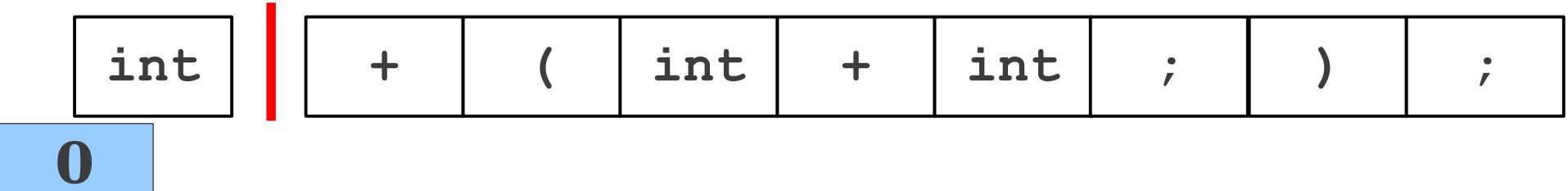
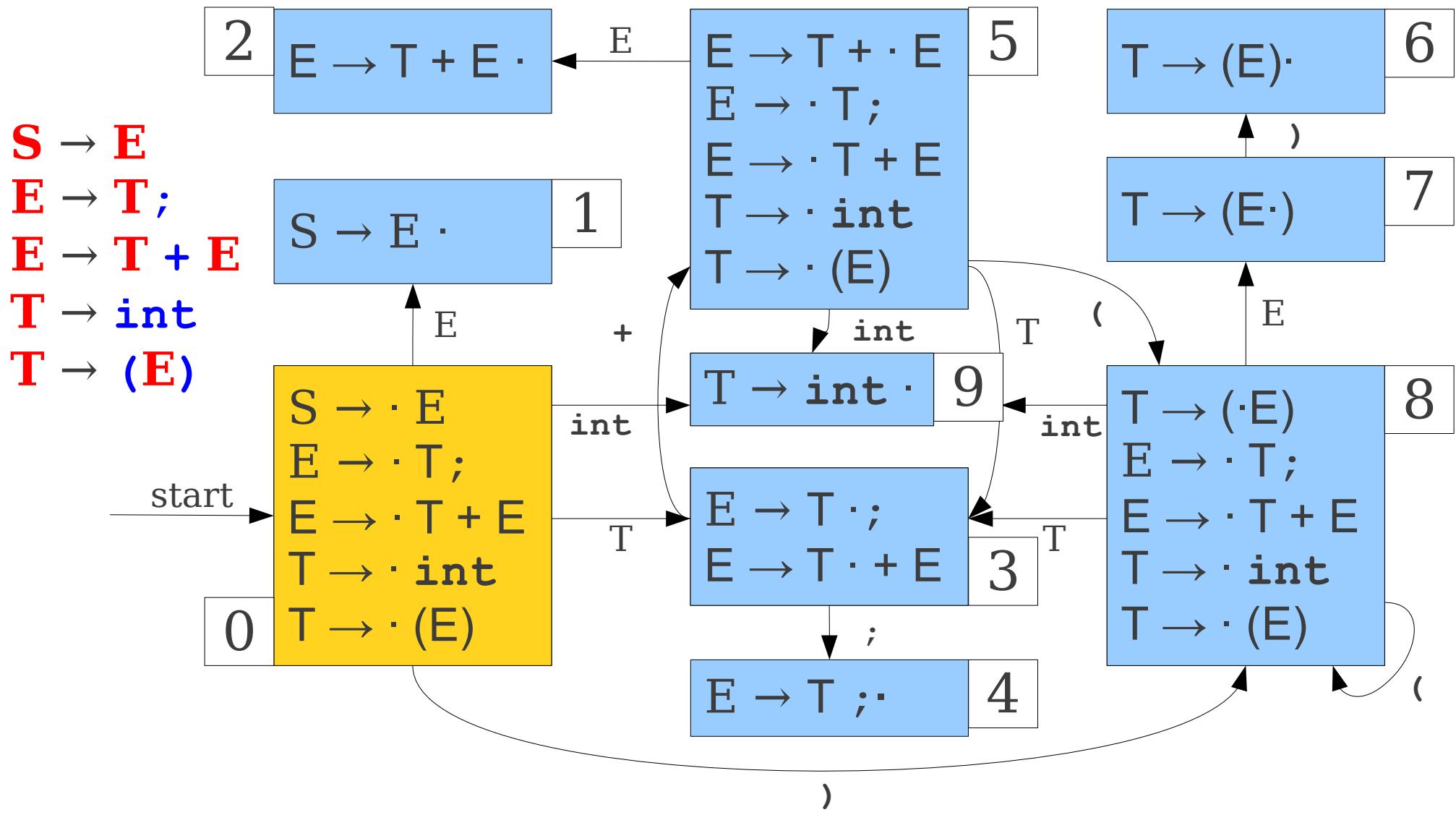
0	int	+	(	int	+	int	;	)	;
---	-----	---	---	-----	---	-----	---	---	---

# LR(0) Parsing

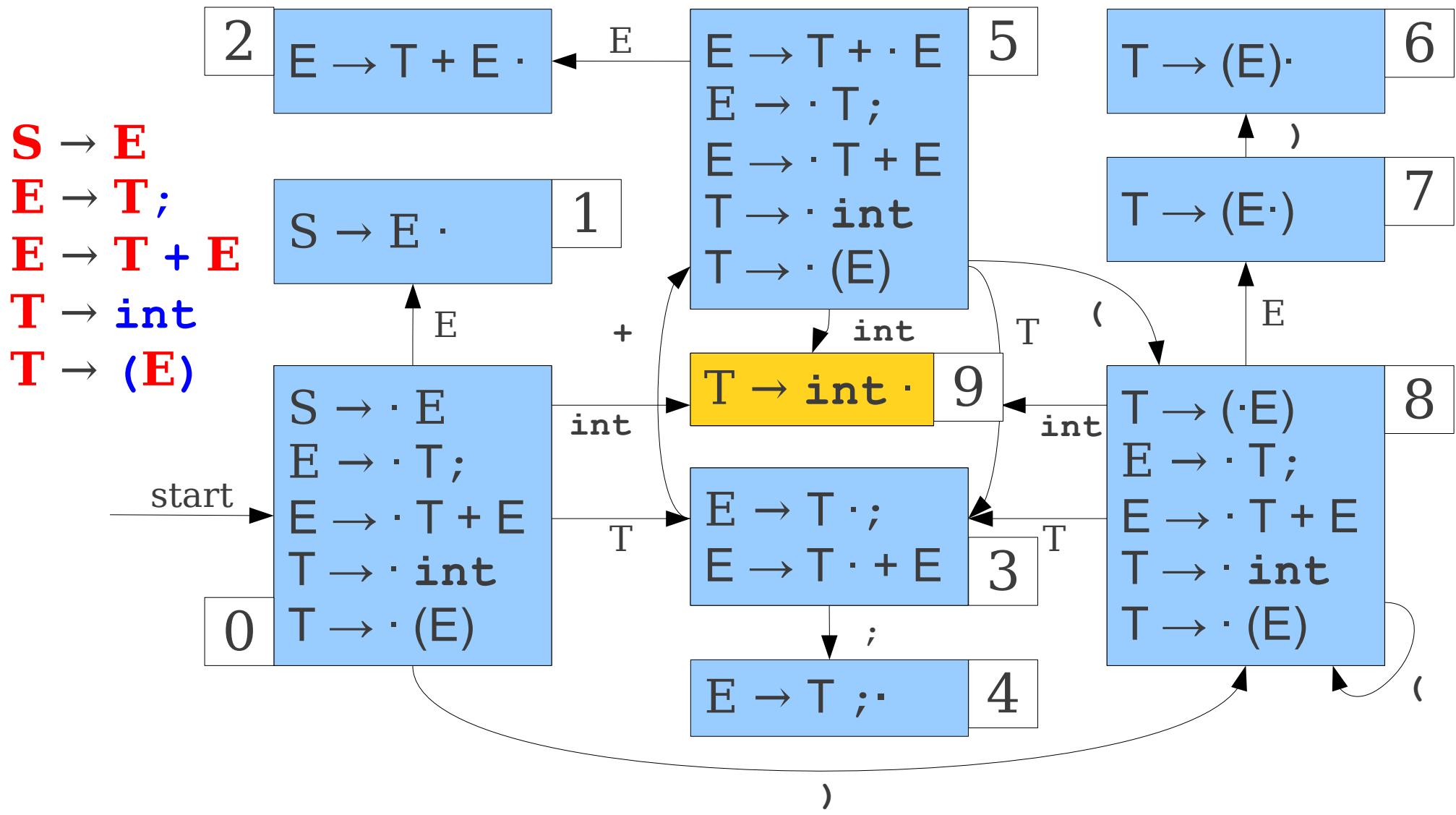


0	int	+	(	int	+	int	;	)	;
---	-----	---	---	-----	---	-----	---	---	---

# LR(0) Parsing



# LR(0) Parsing



int

+

(

int

+

int

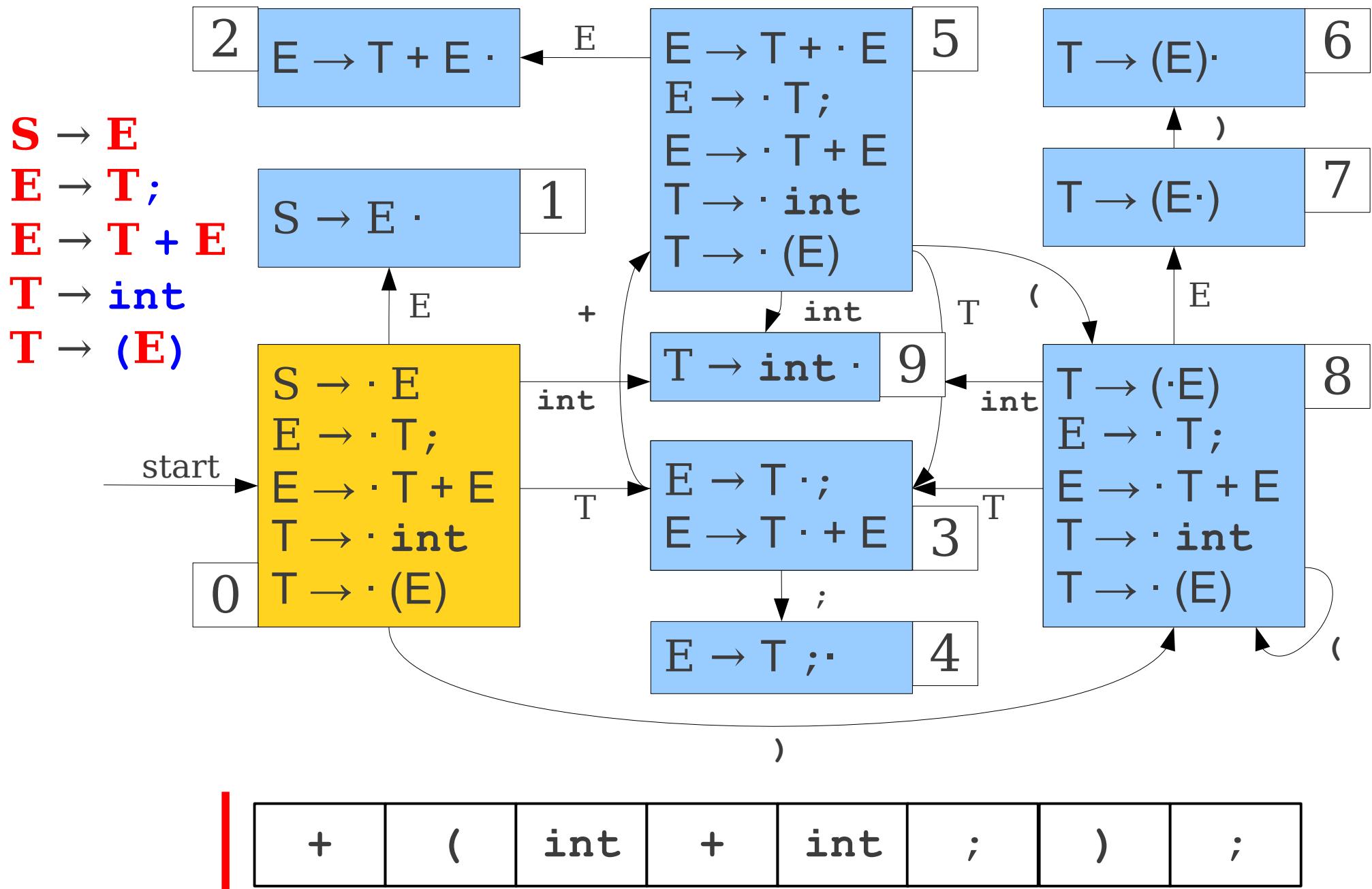
;

)

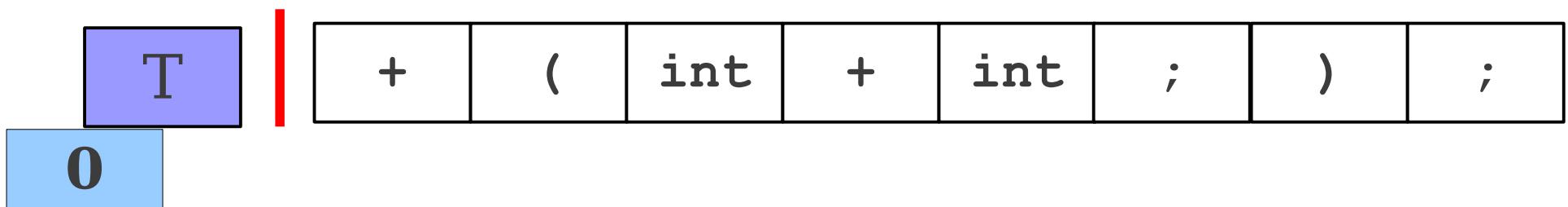
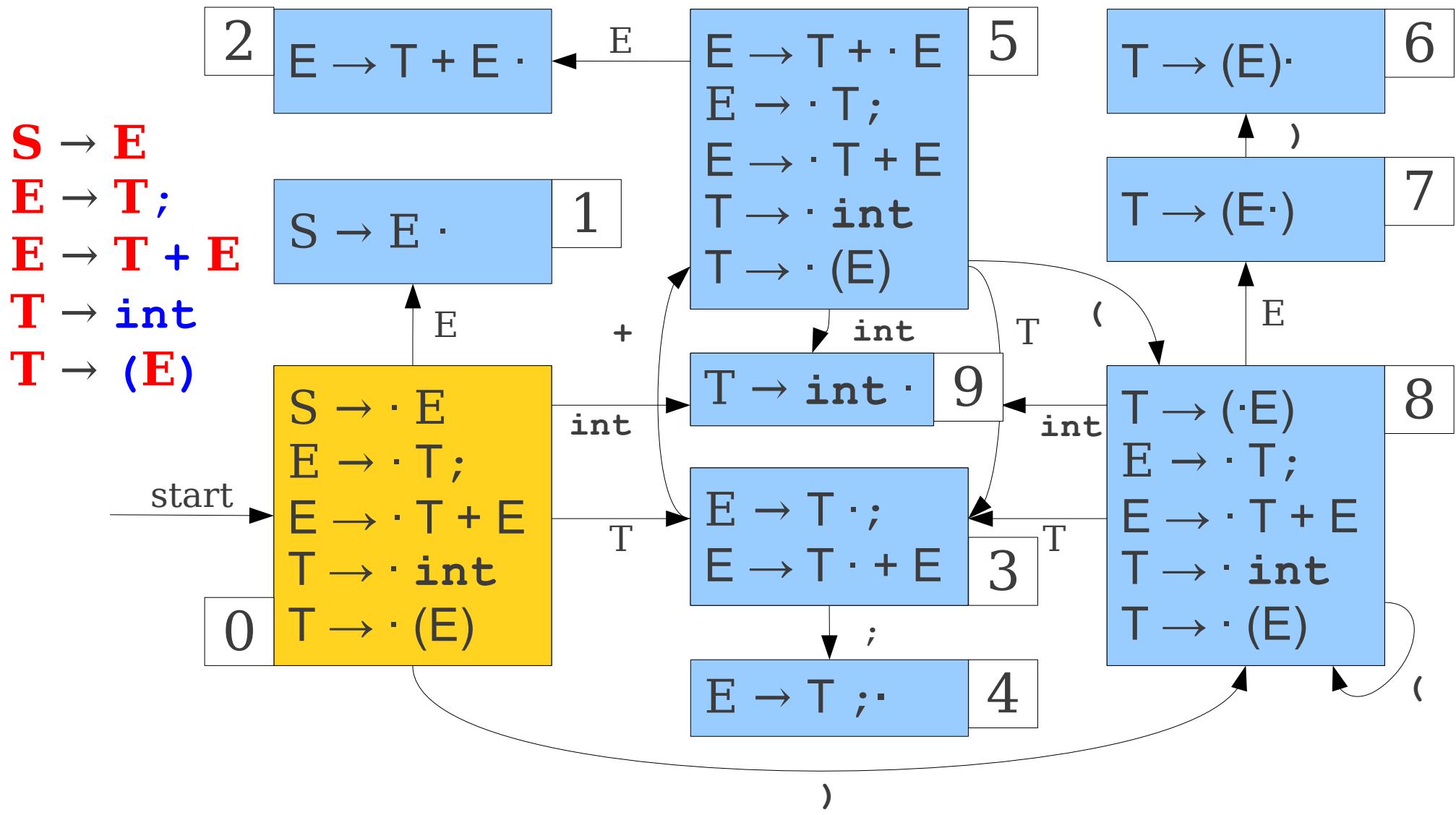
;

0

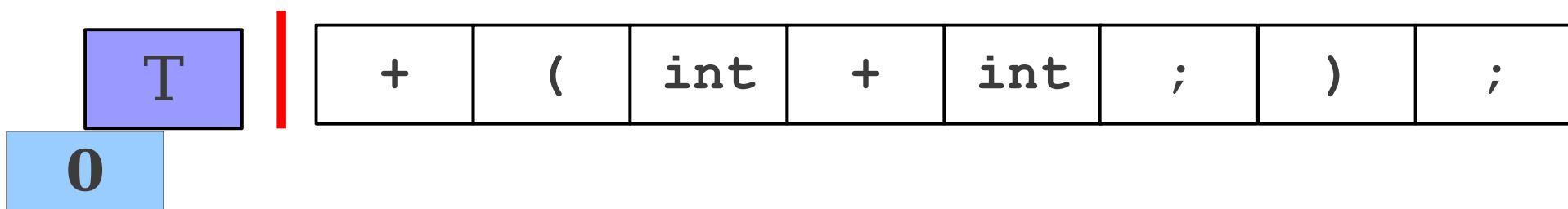
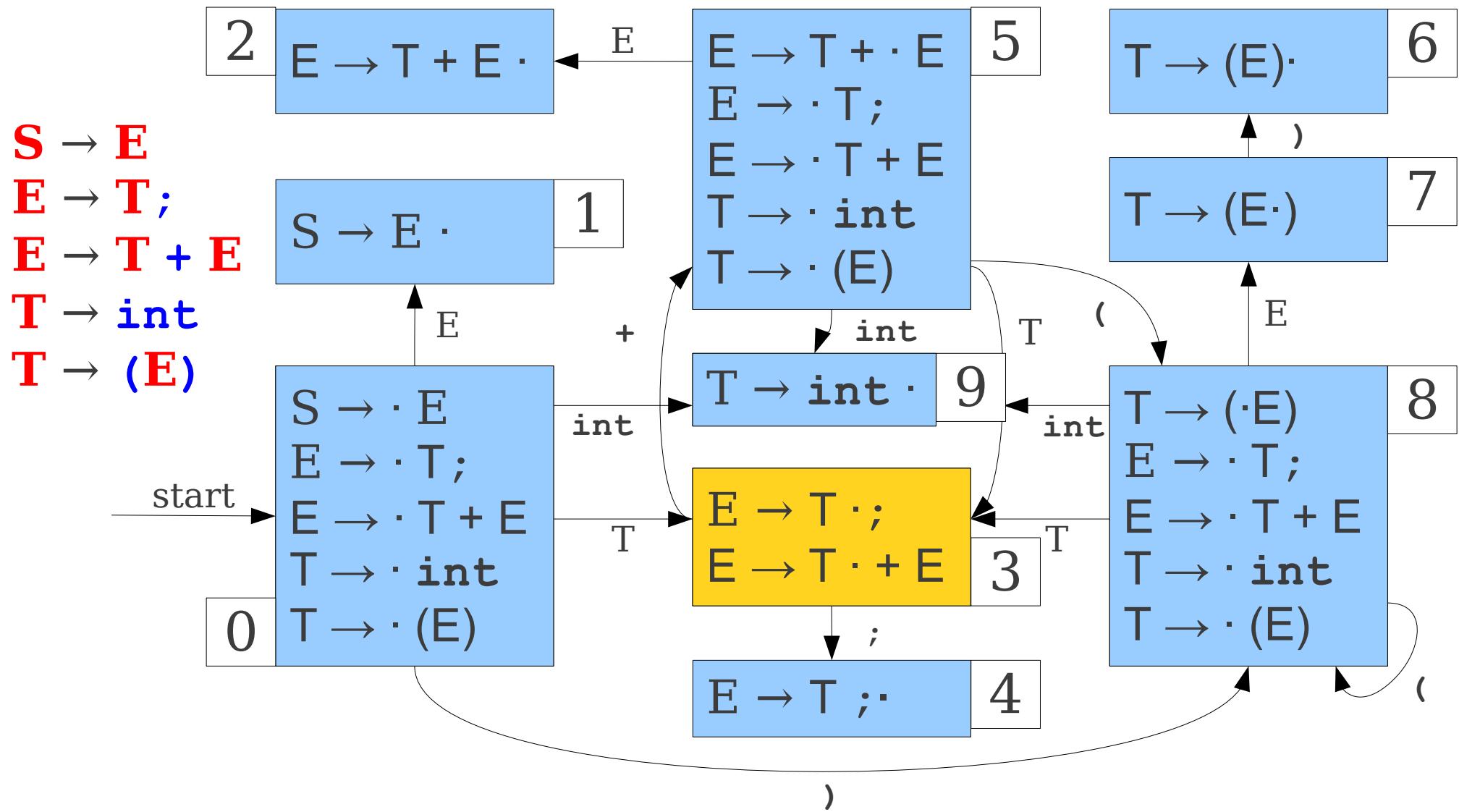
# LR(0) Parsing



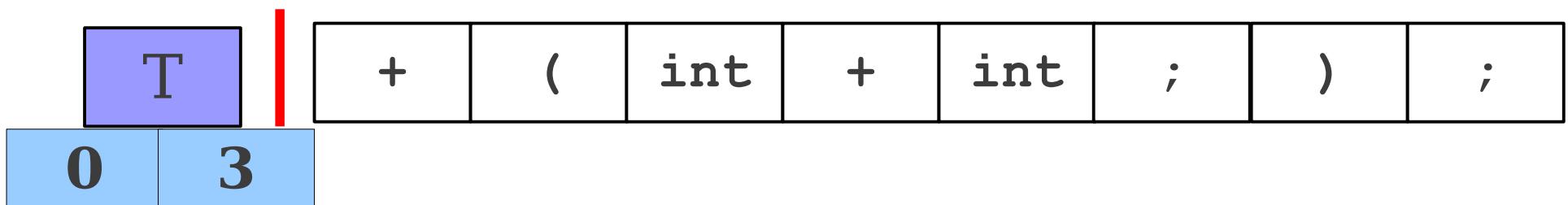
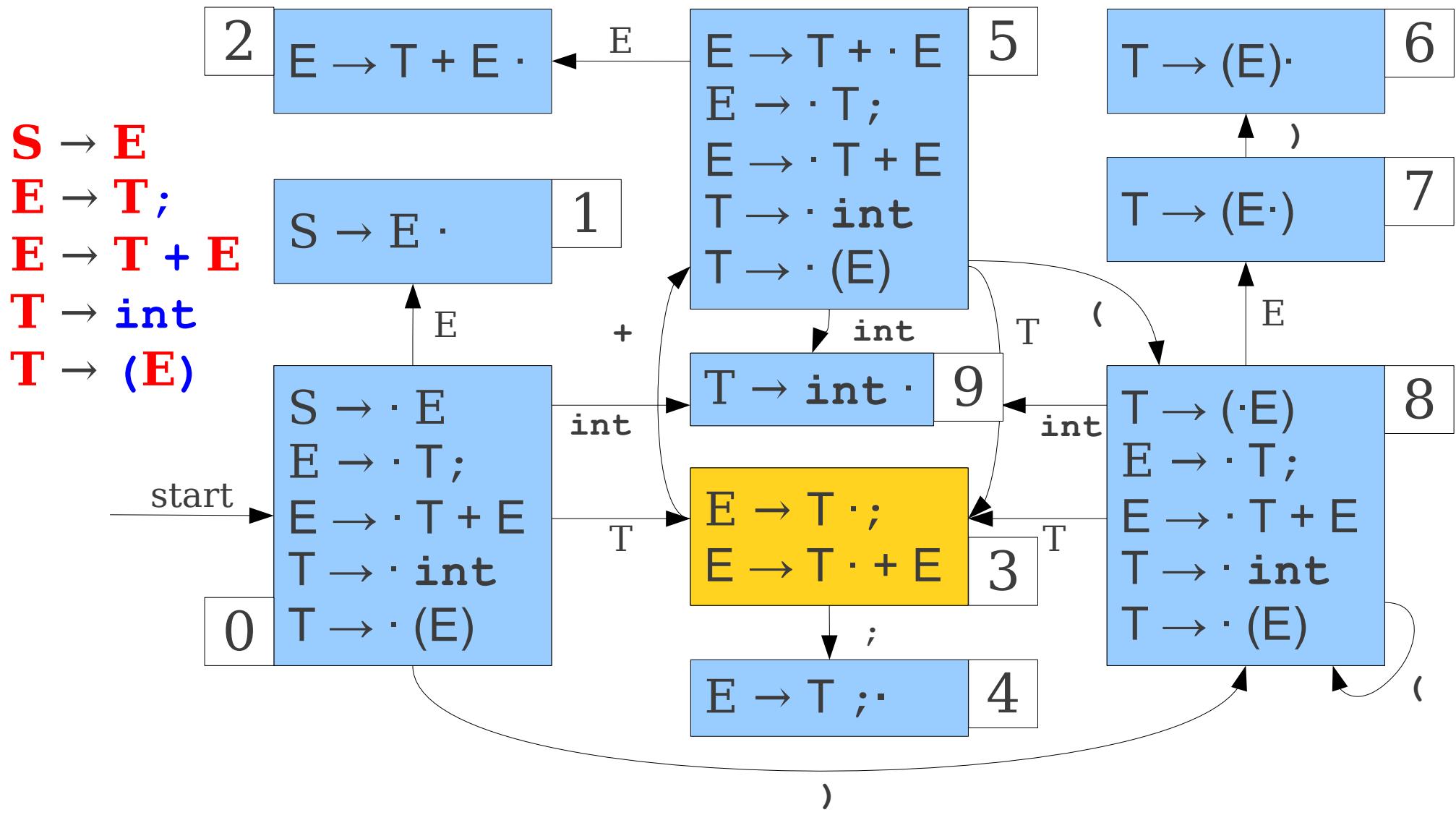
# LR(0) Parsing



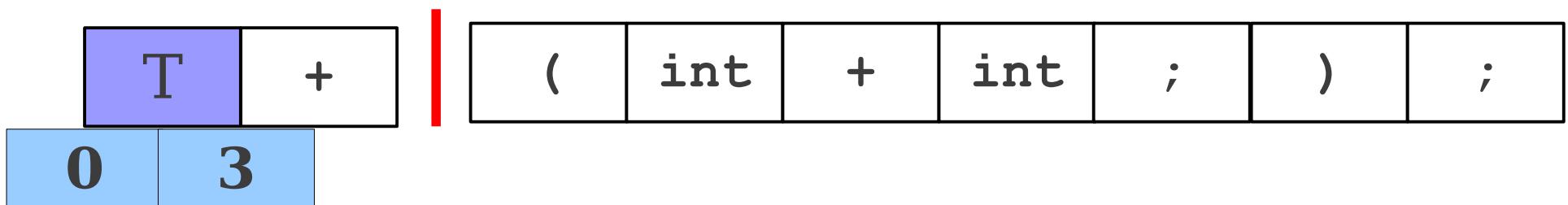
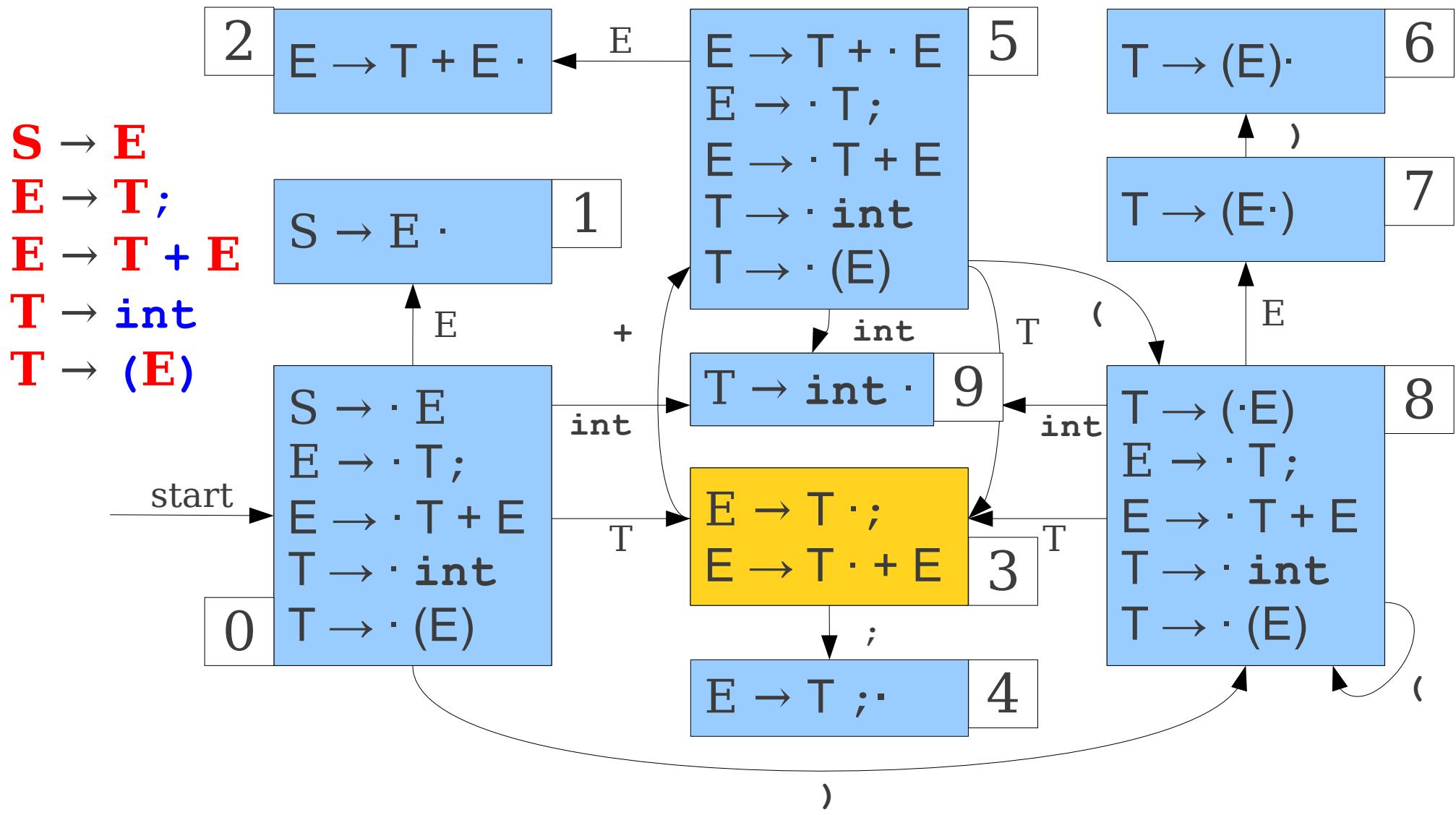
# LR(0) Parsing



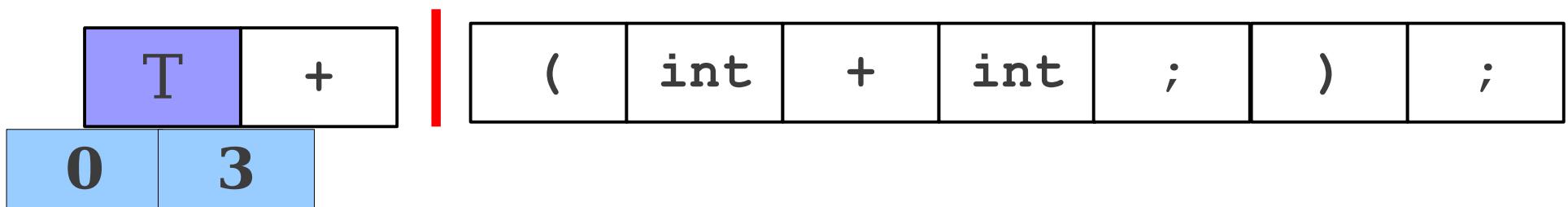
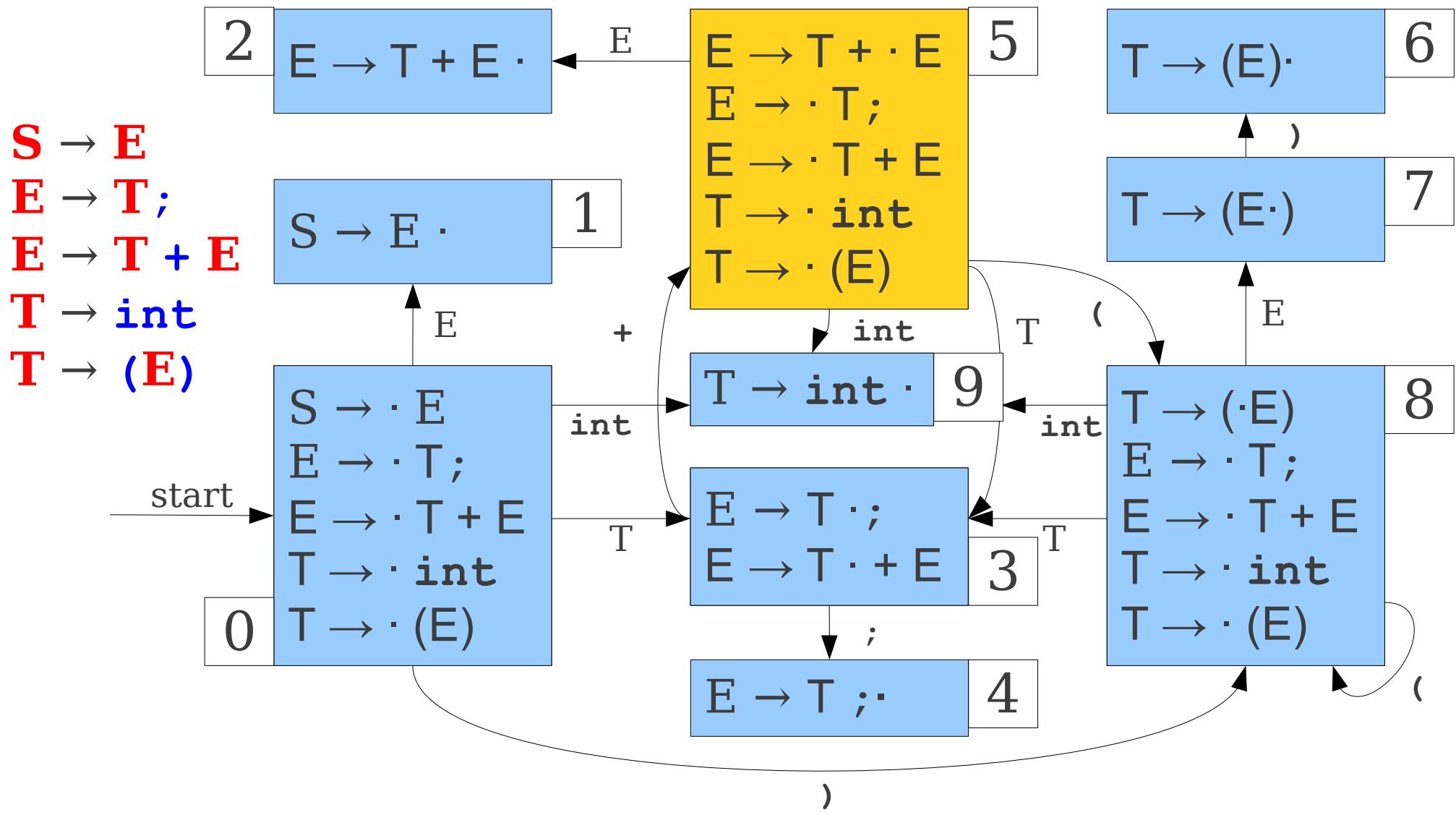
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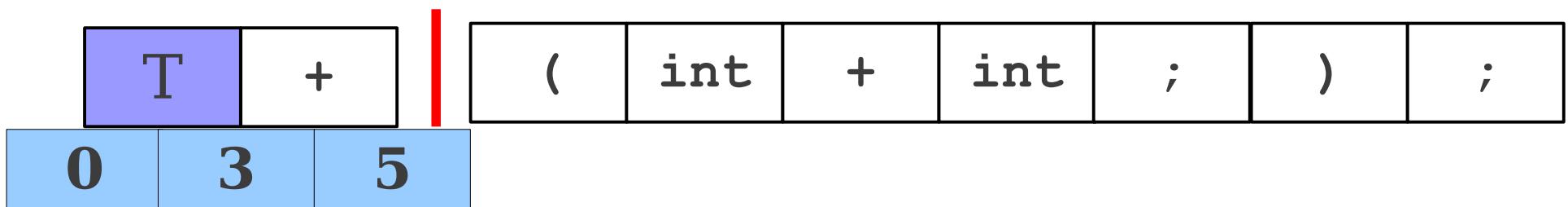
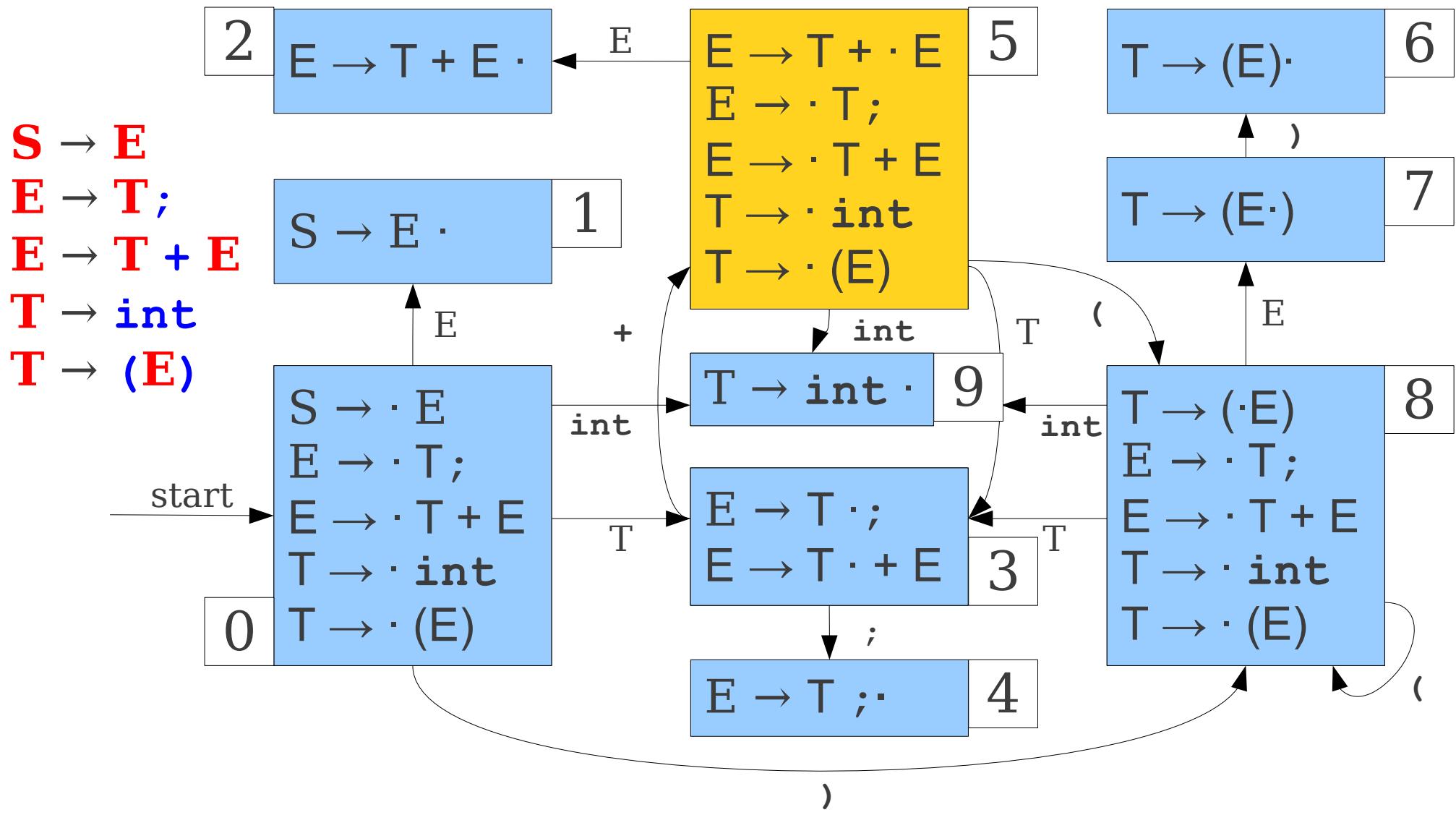
# LR(0) Parsing



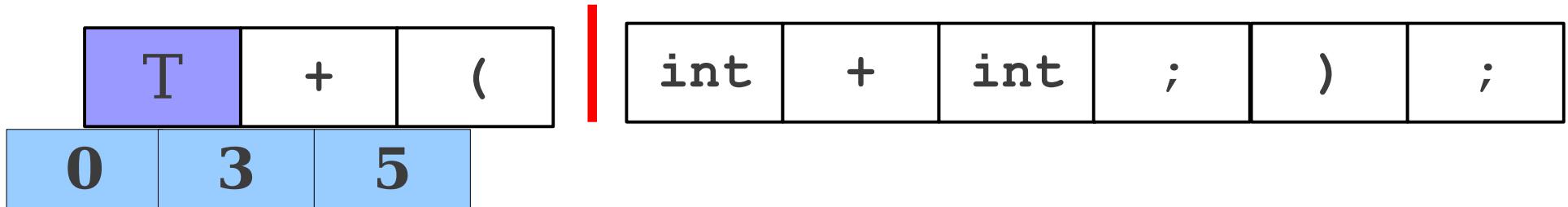
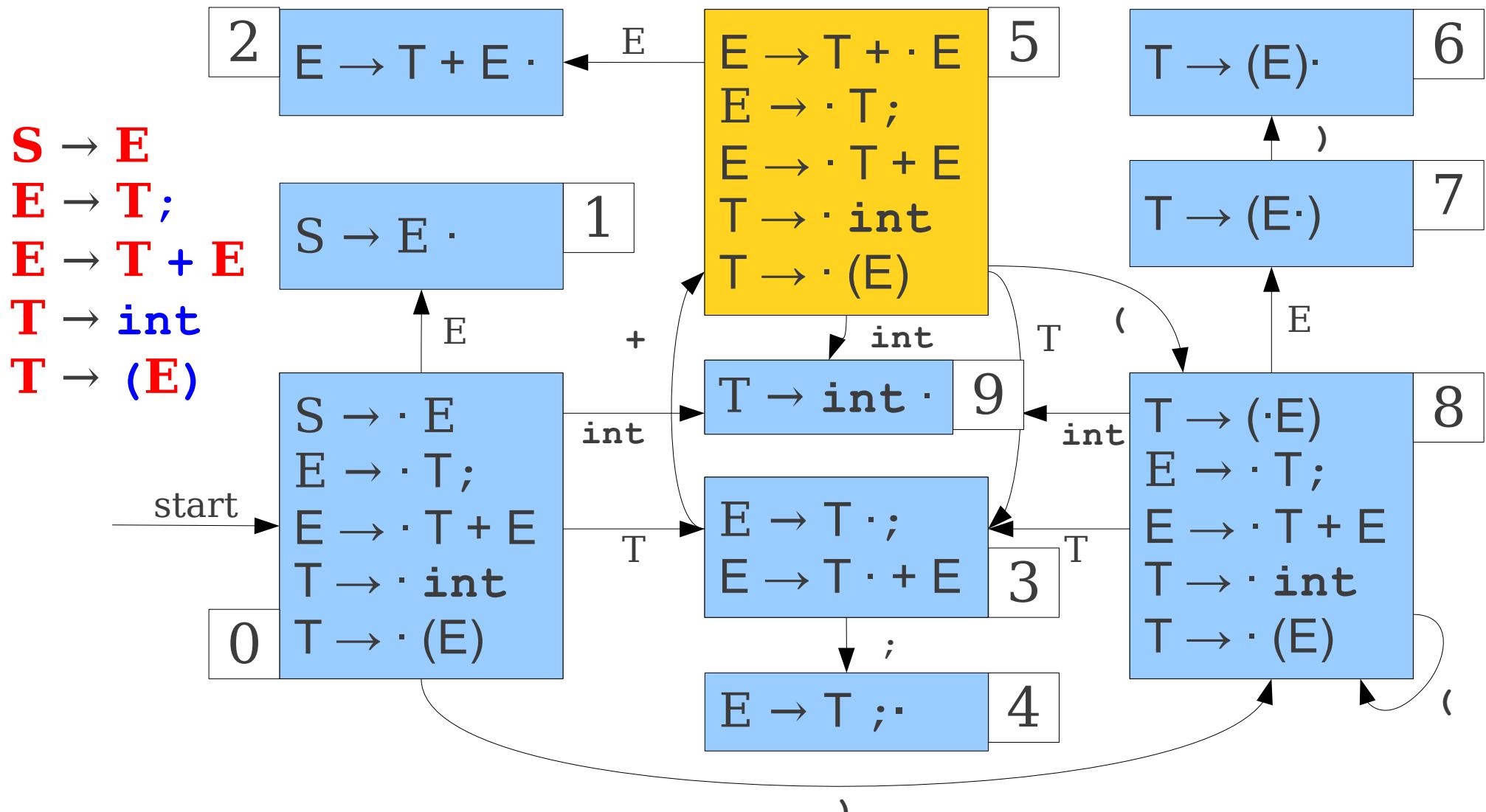
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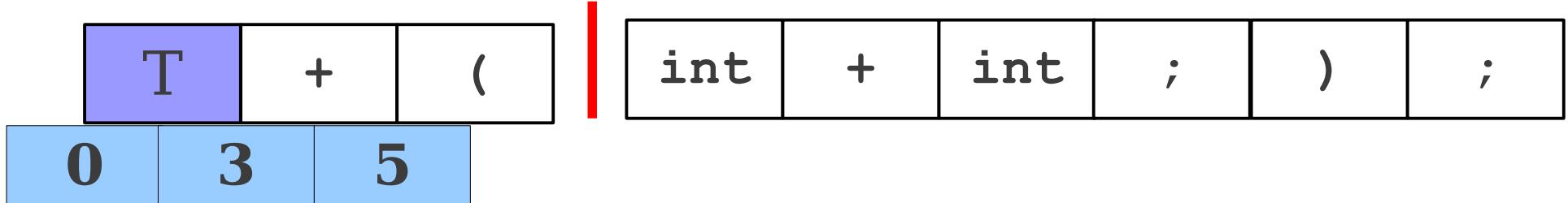
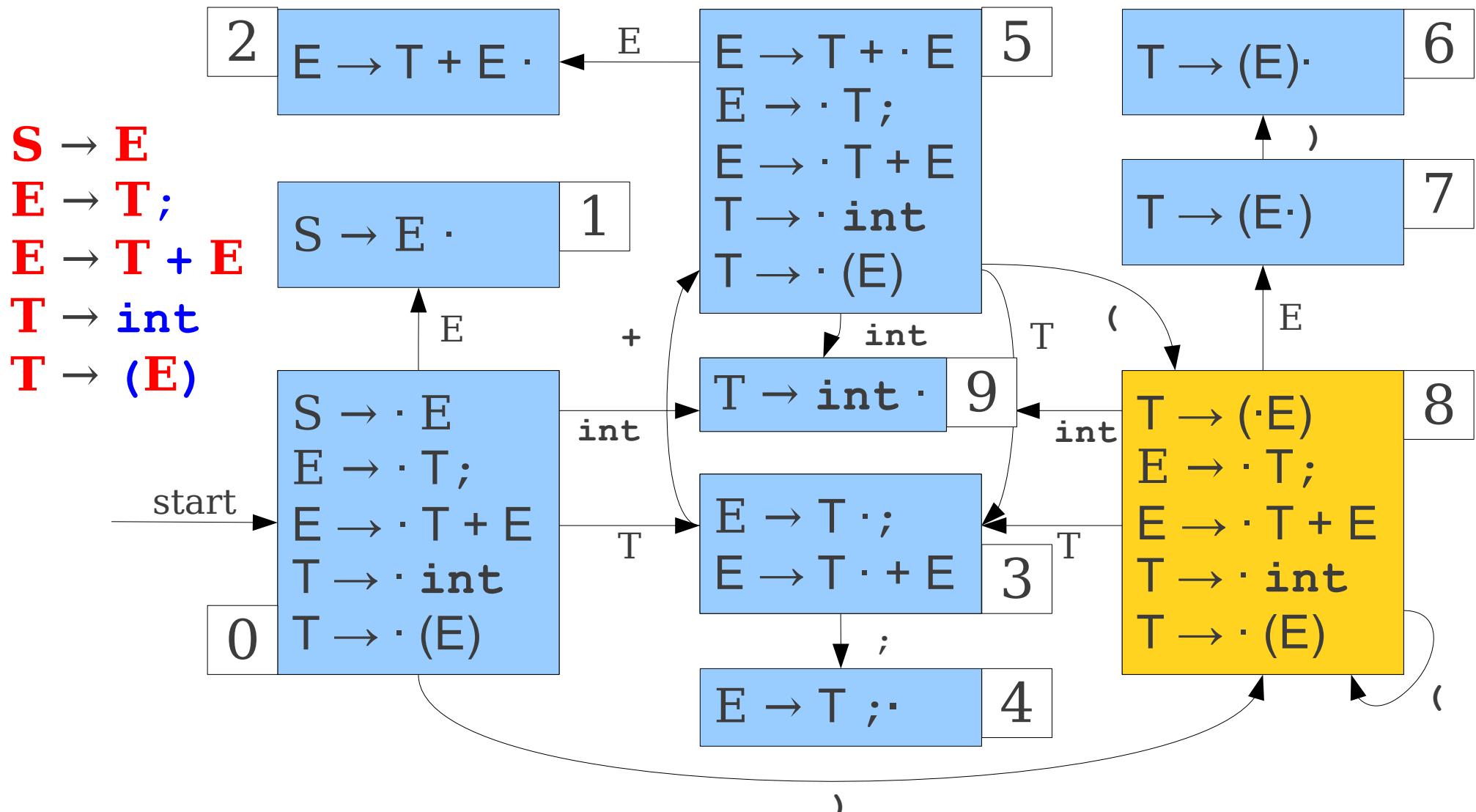
# LR(0) Parsing



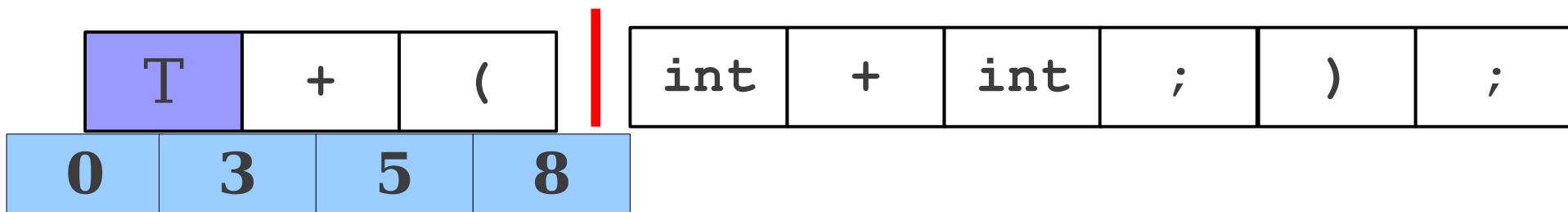
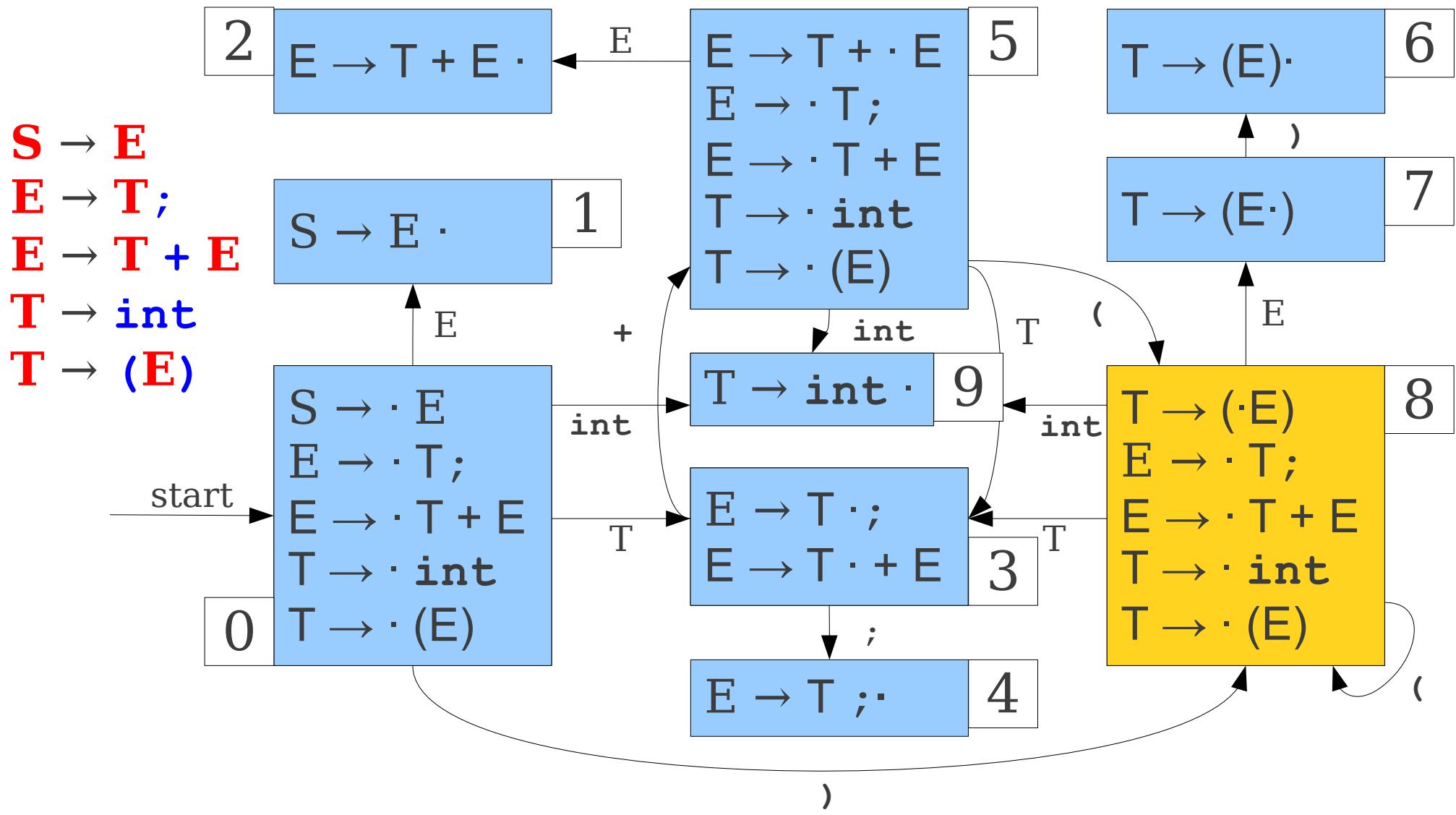
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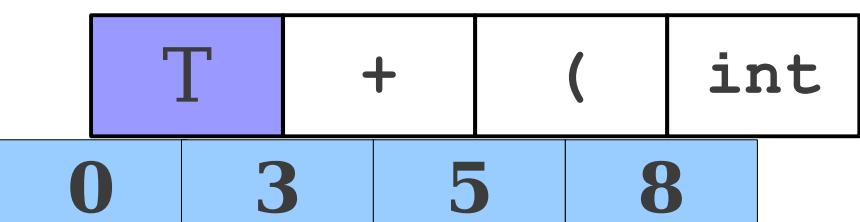
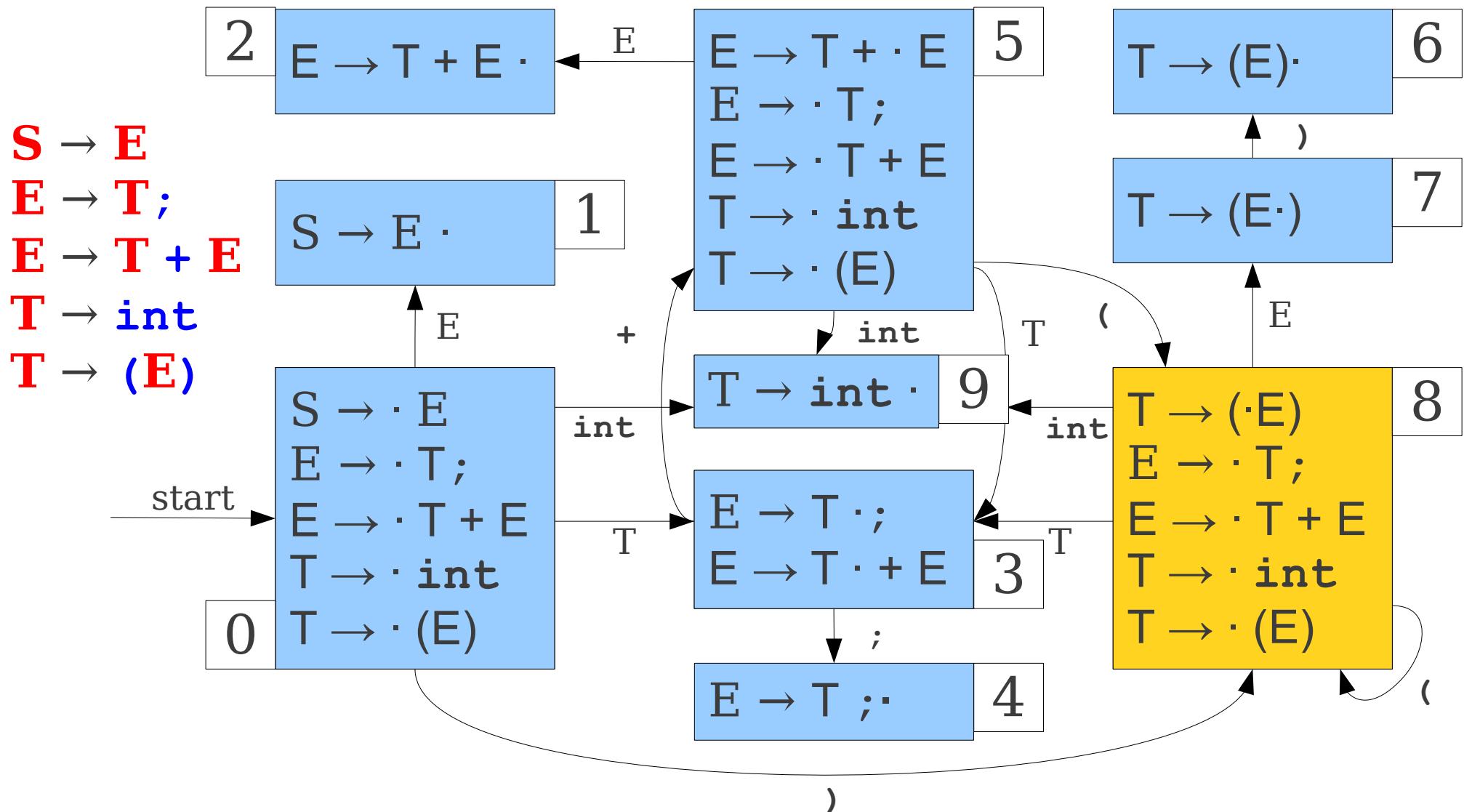
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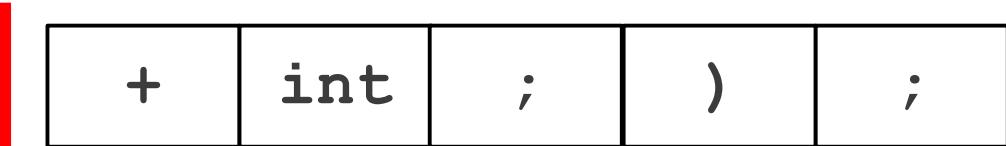
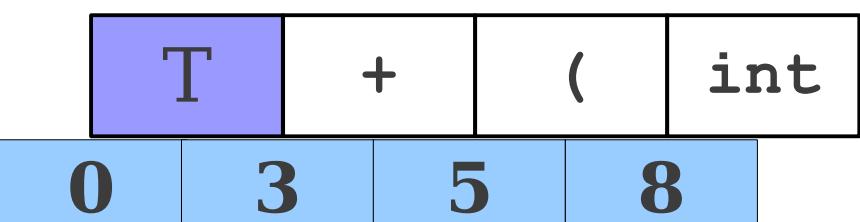
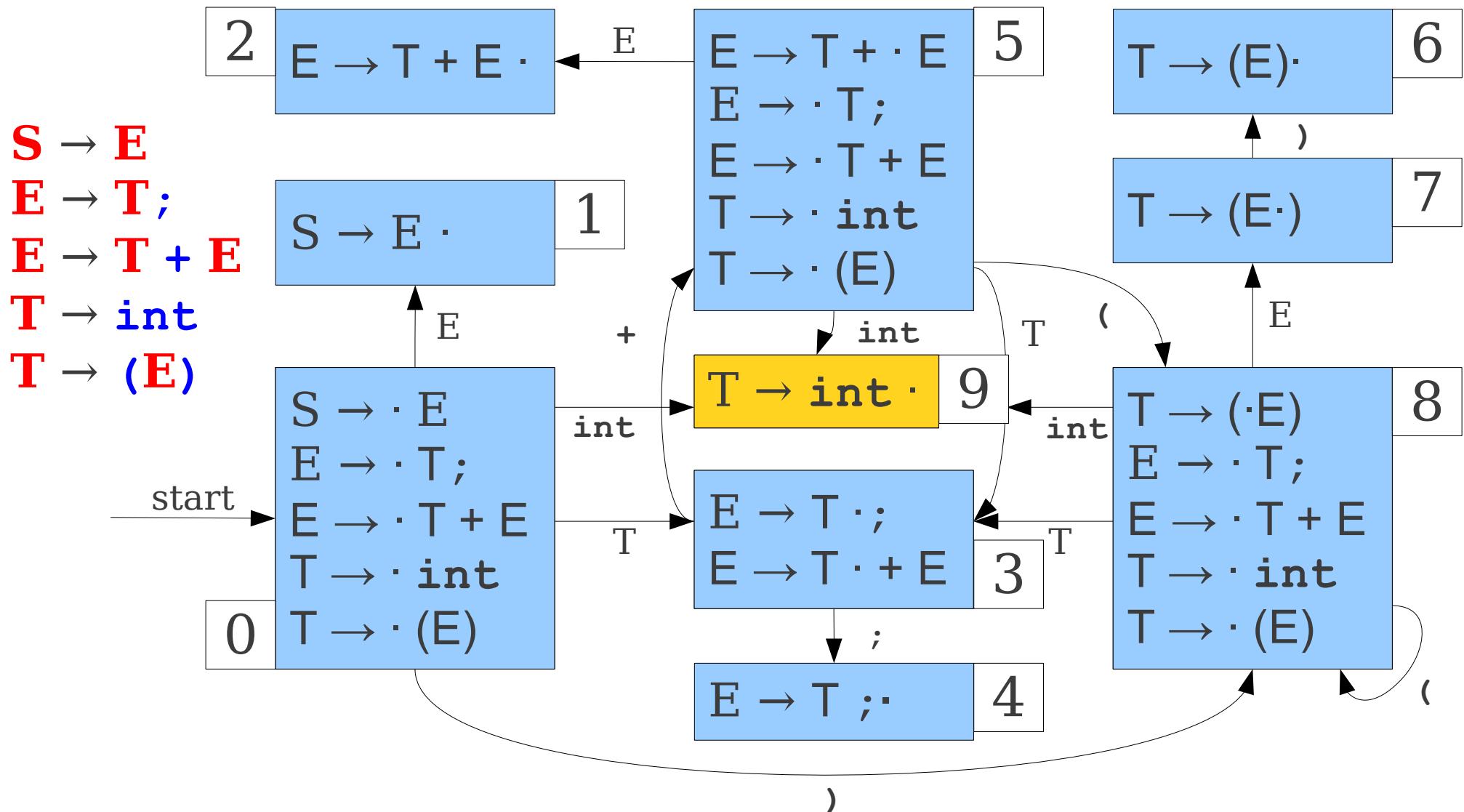
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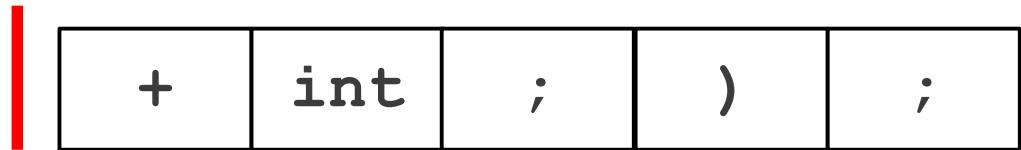
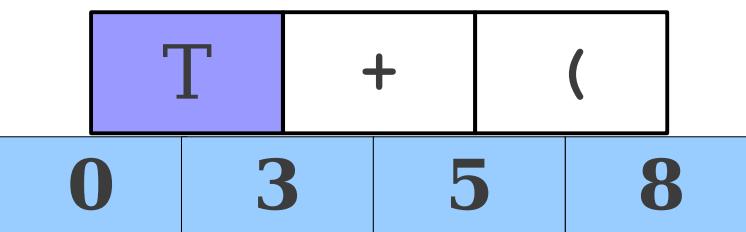
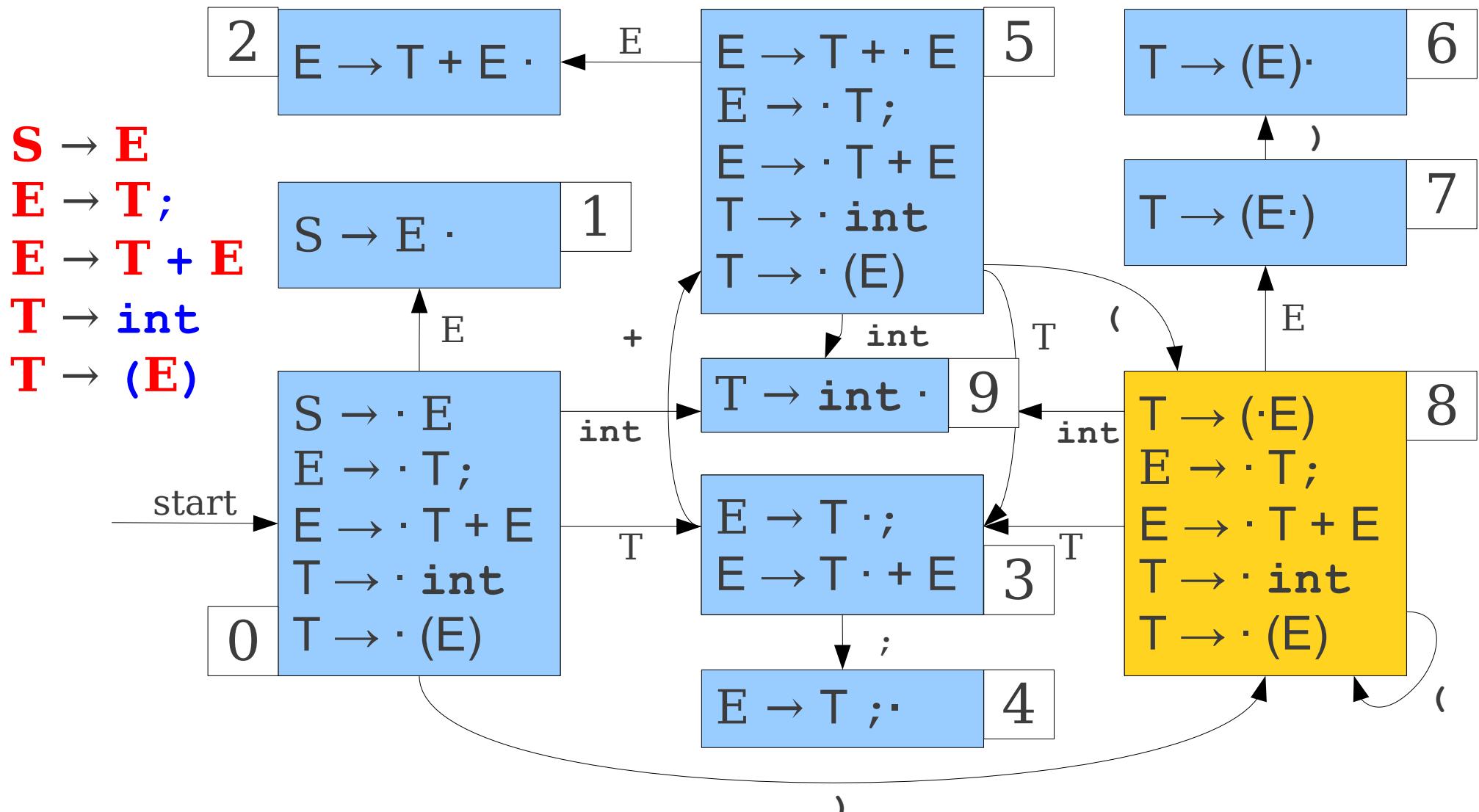
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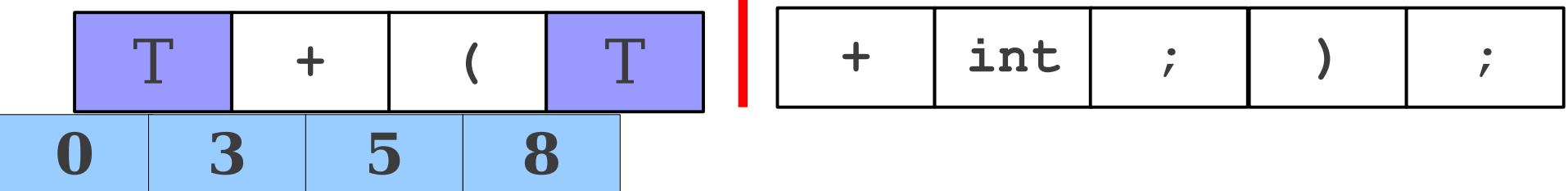
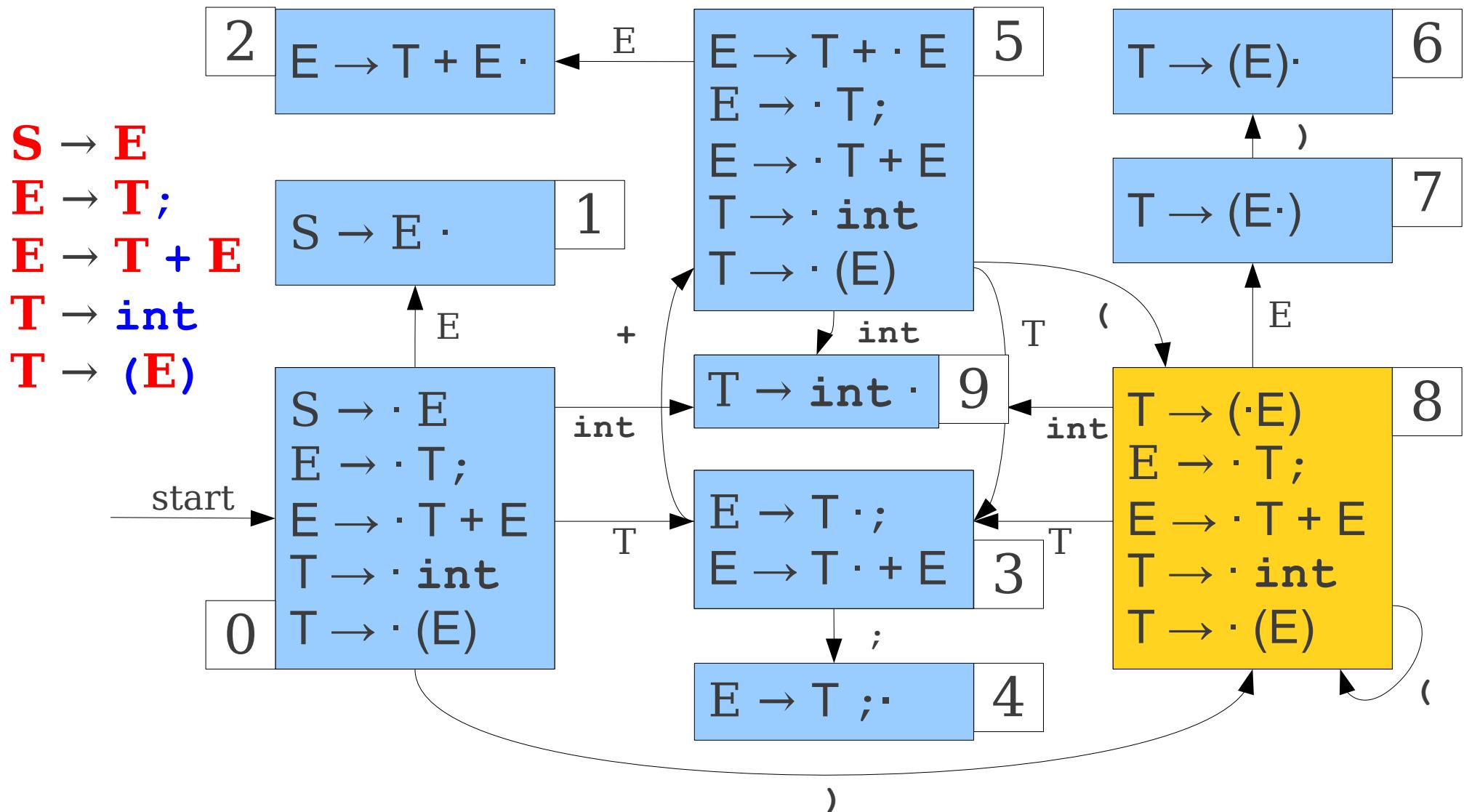
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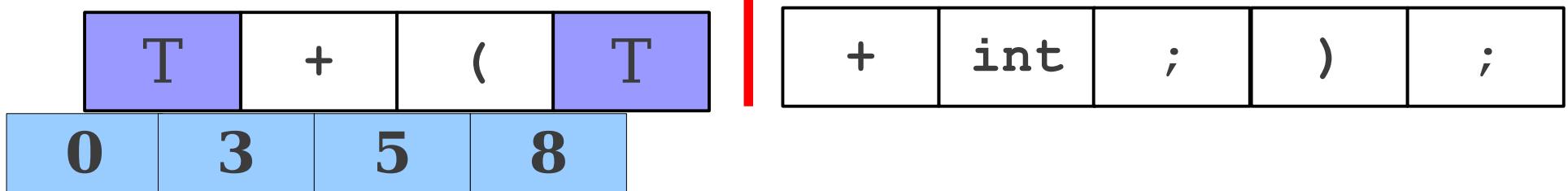
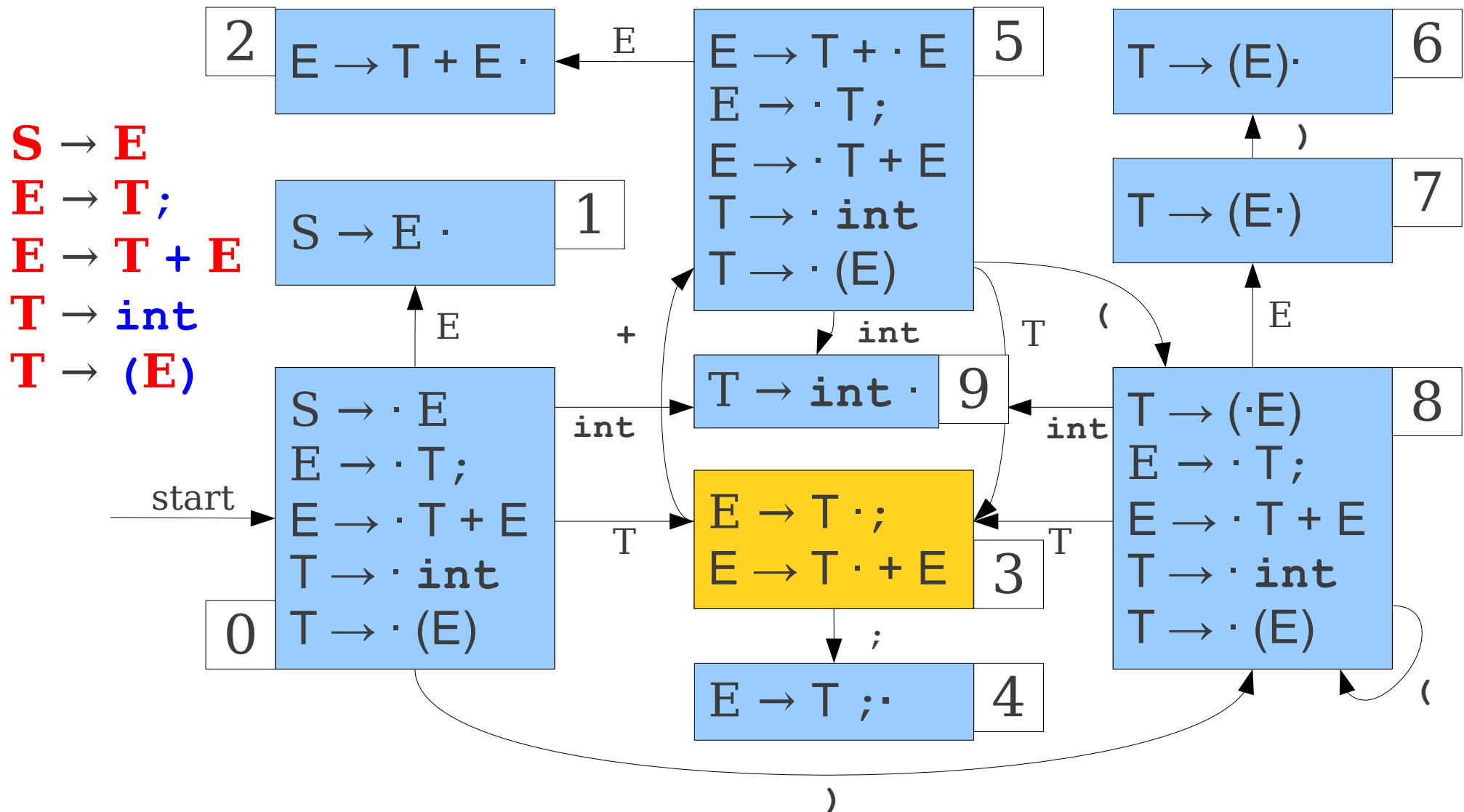
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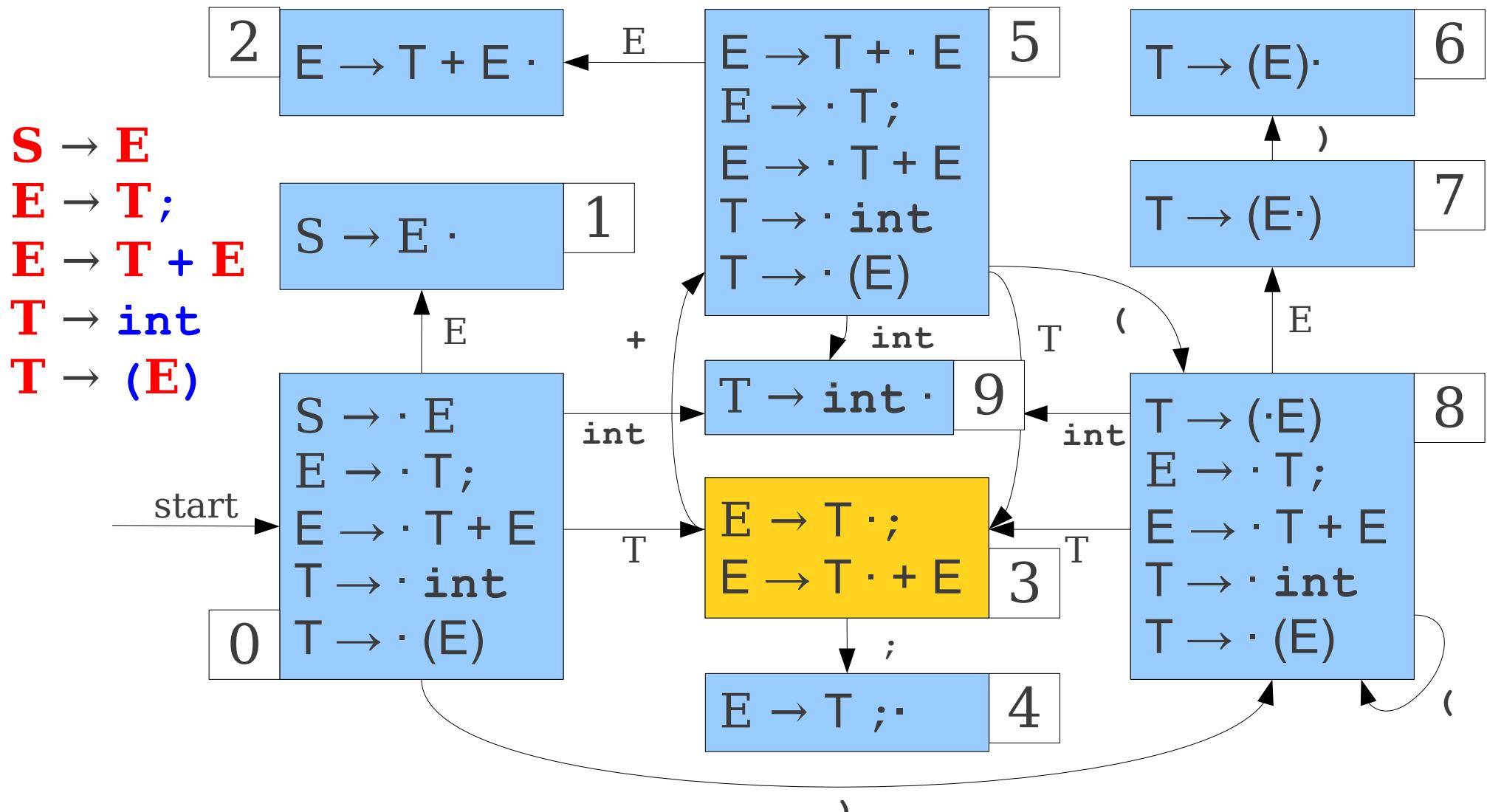
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# LR(0) Parsing

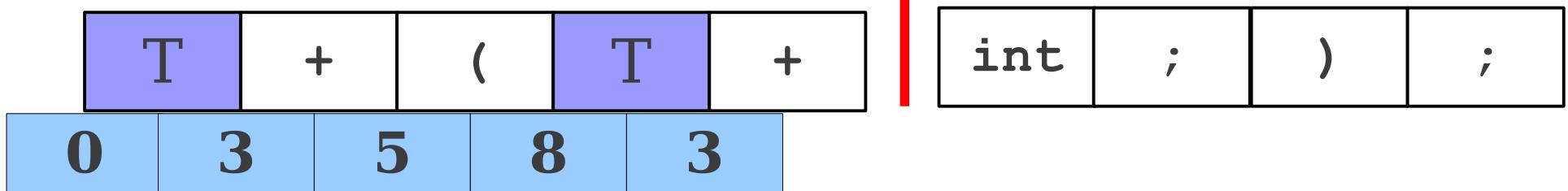
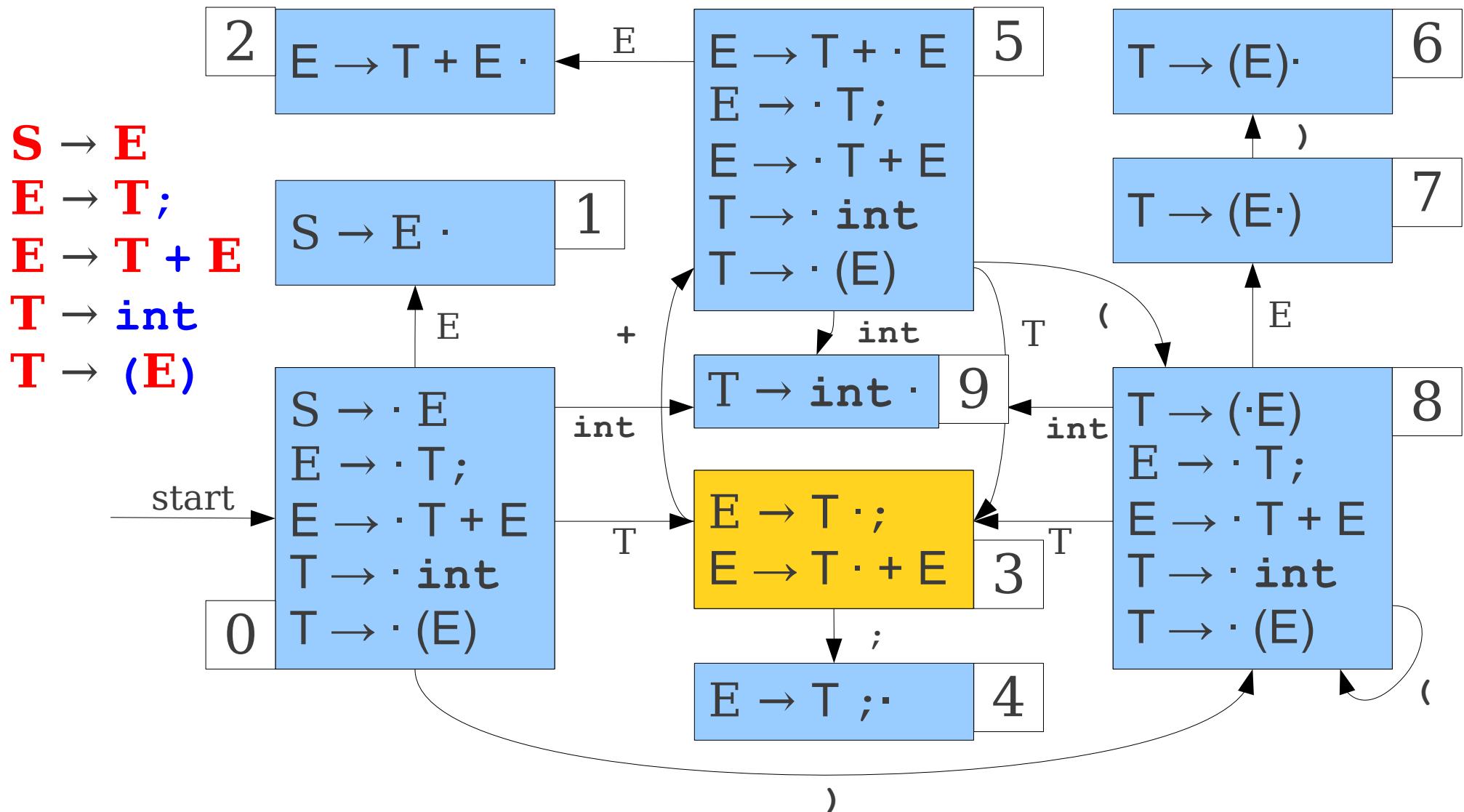


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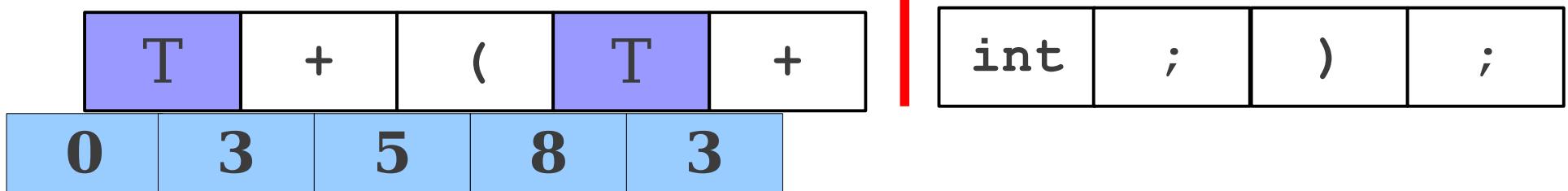
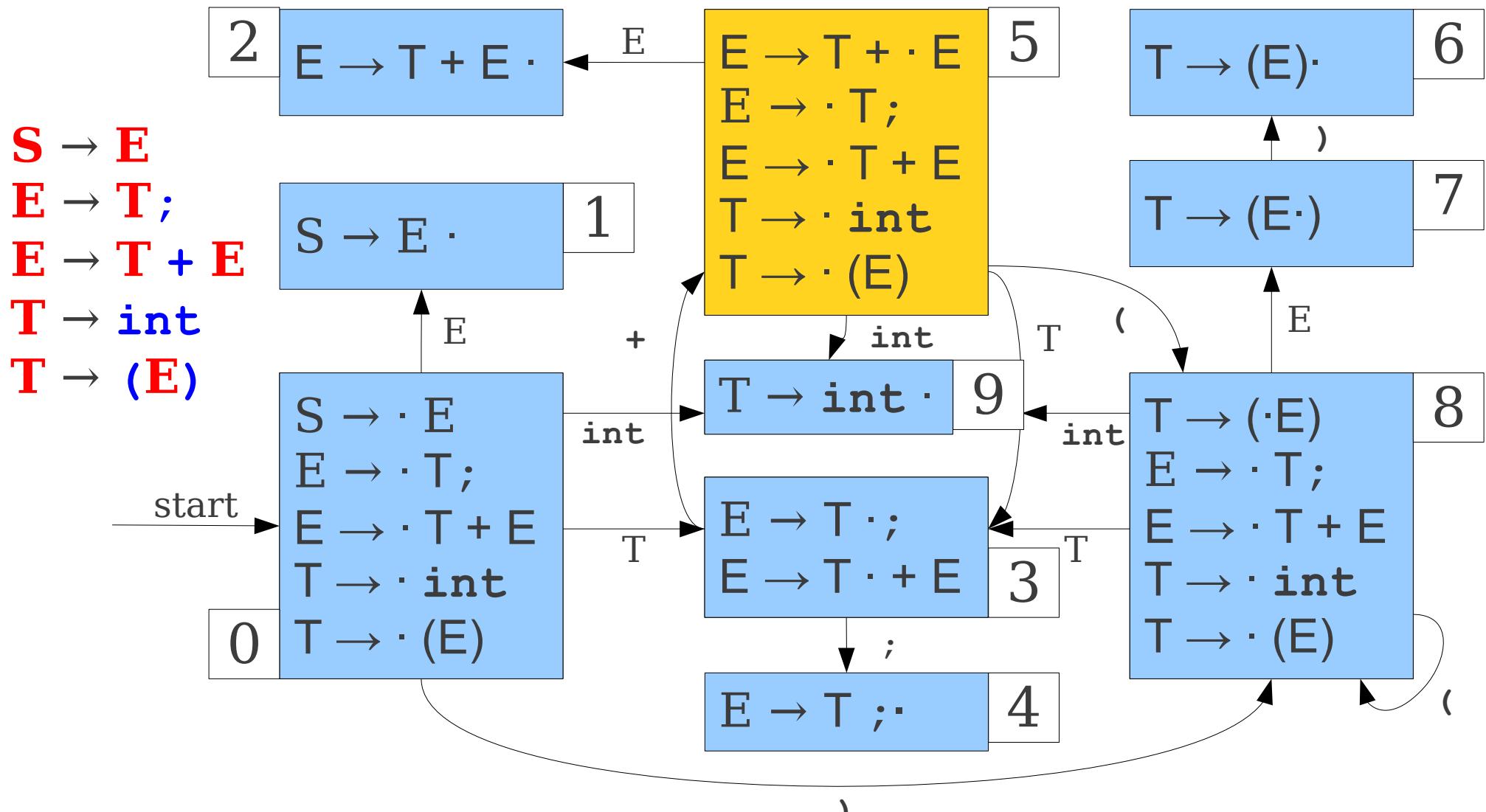


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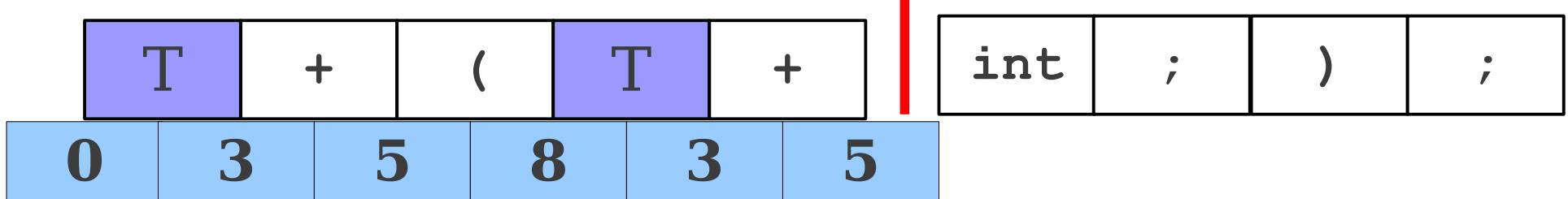
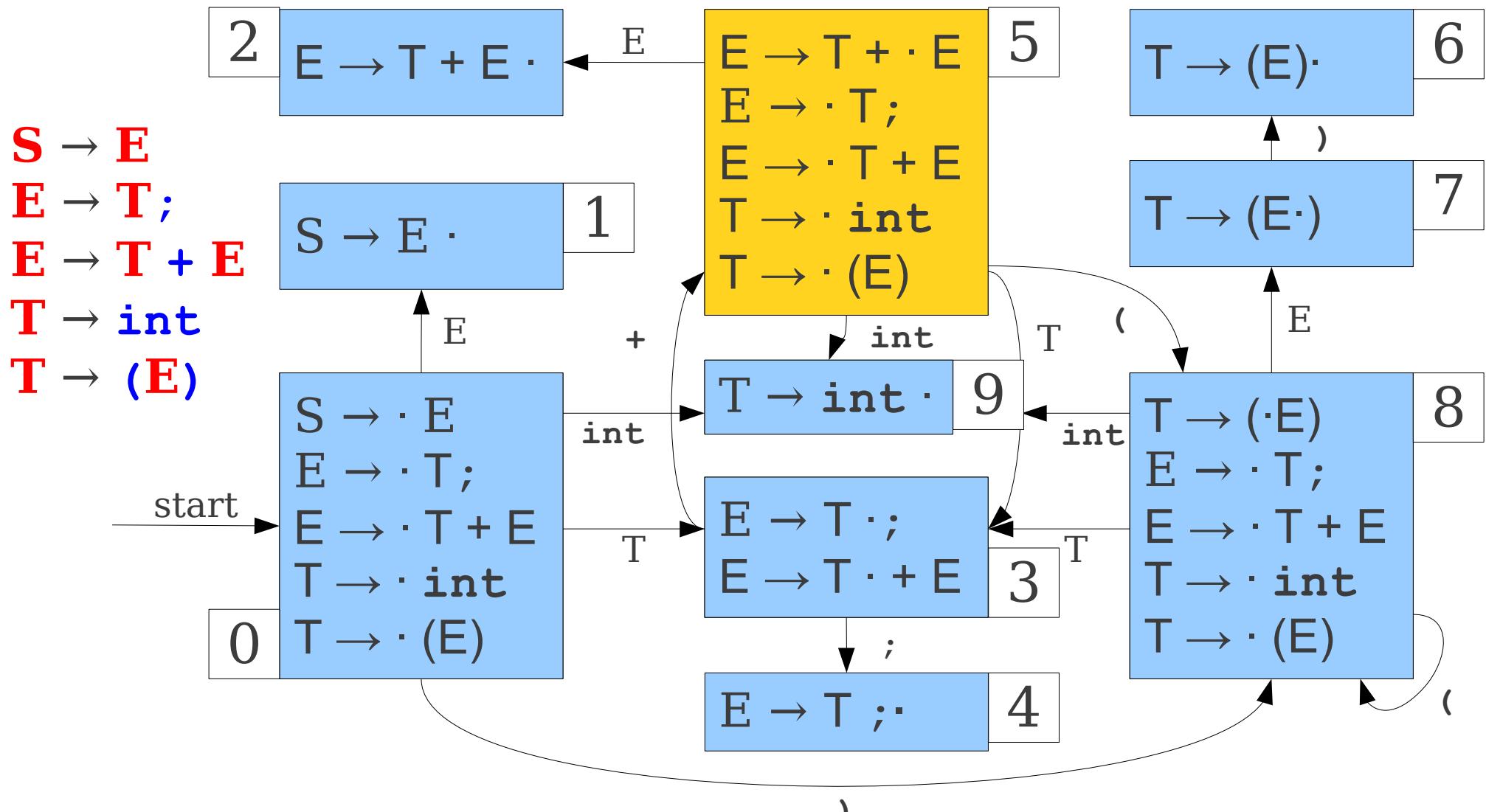
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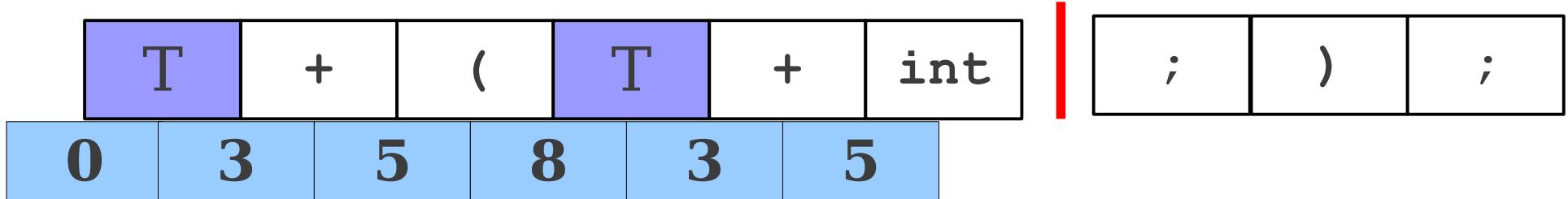
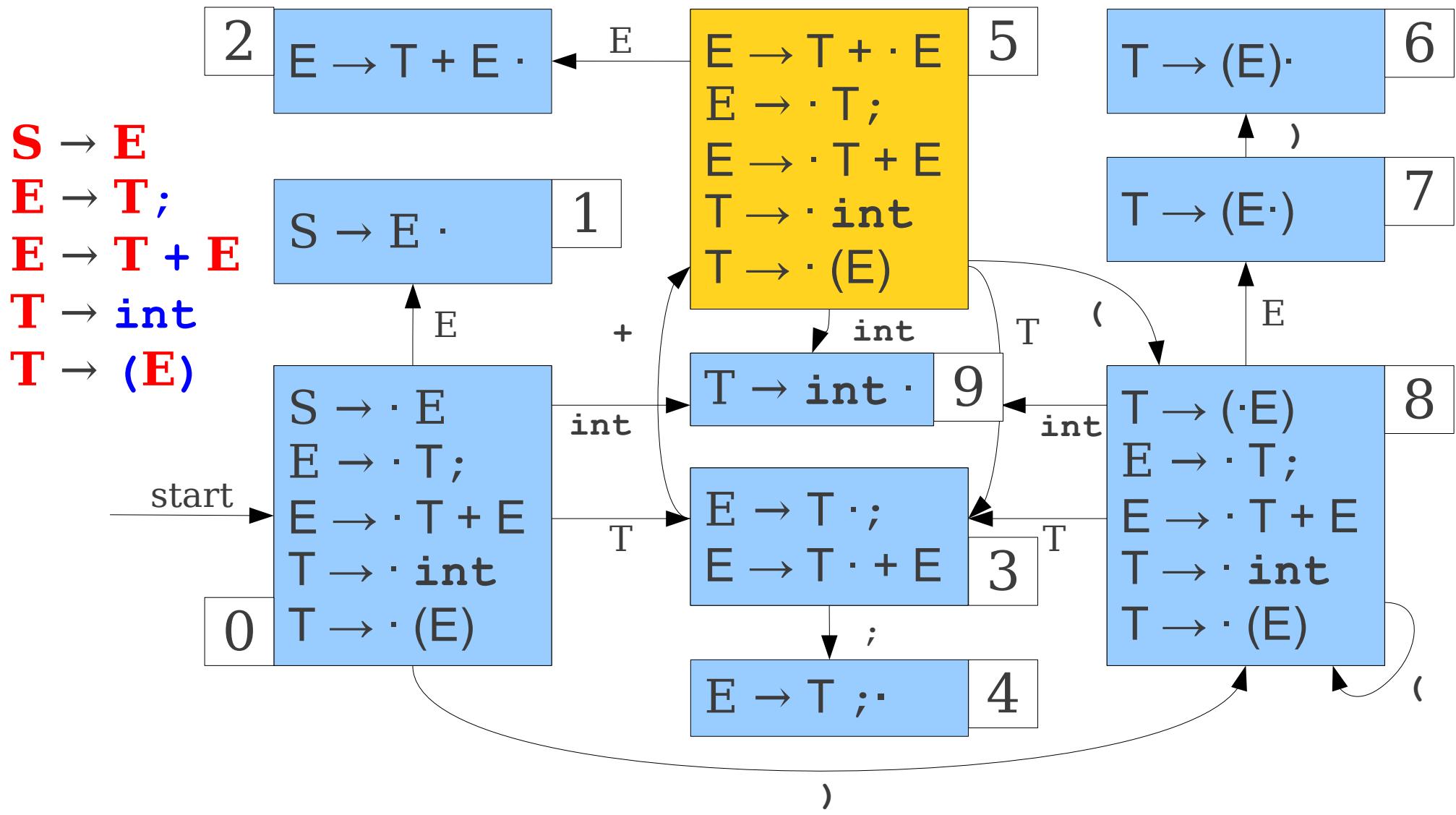
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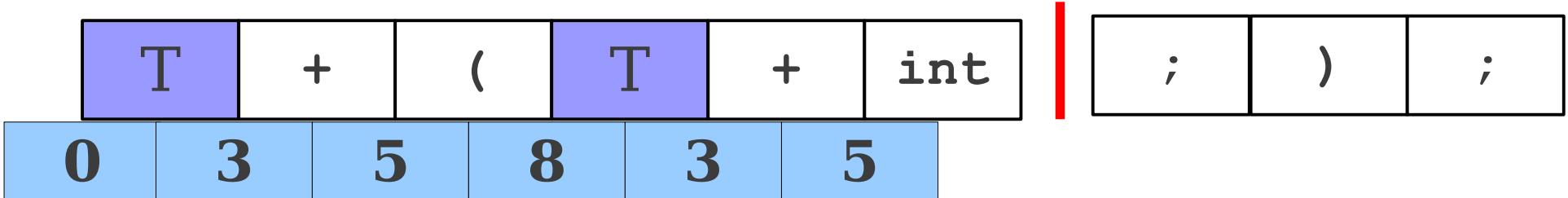
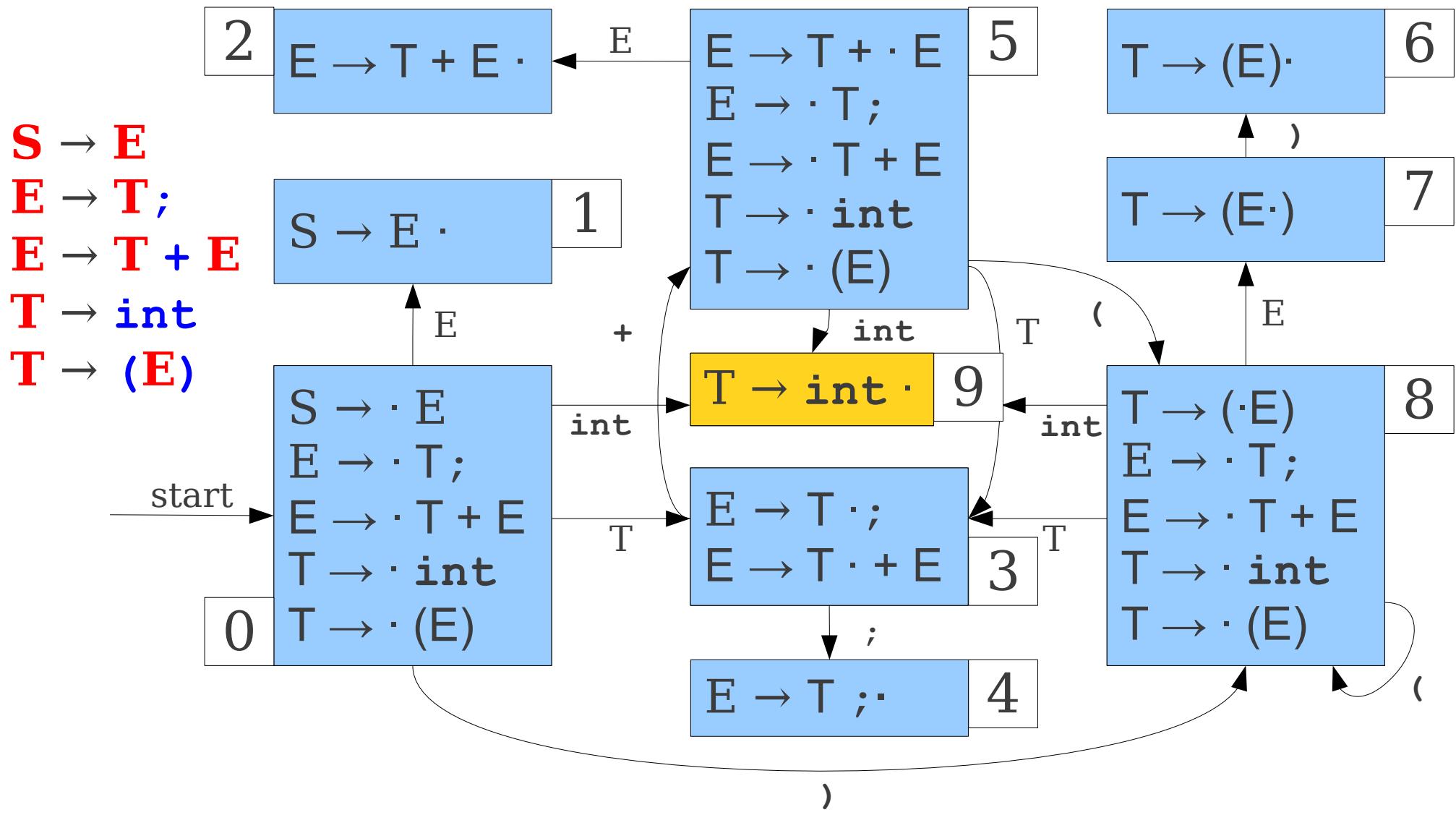
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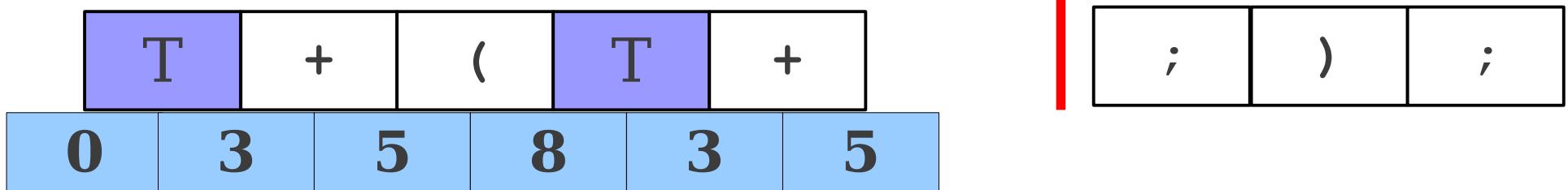
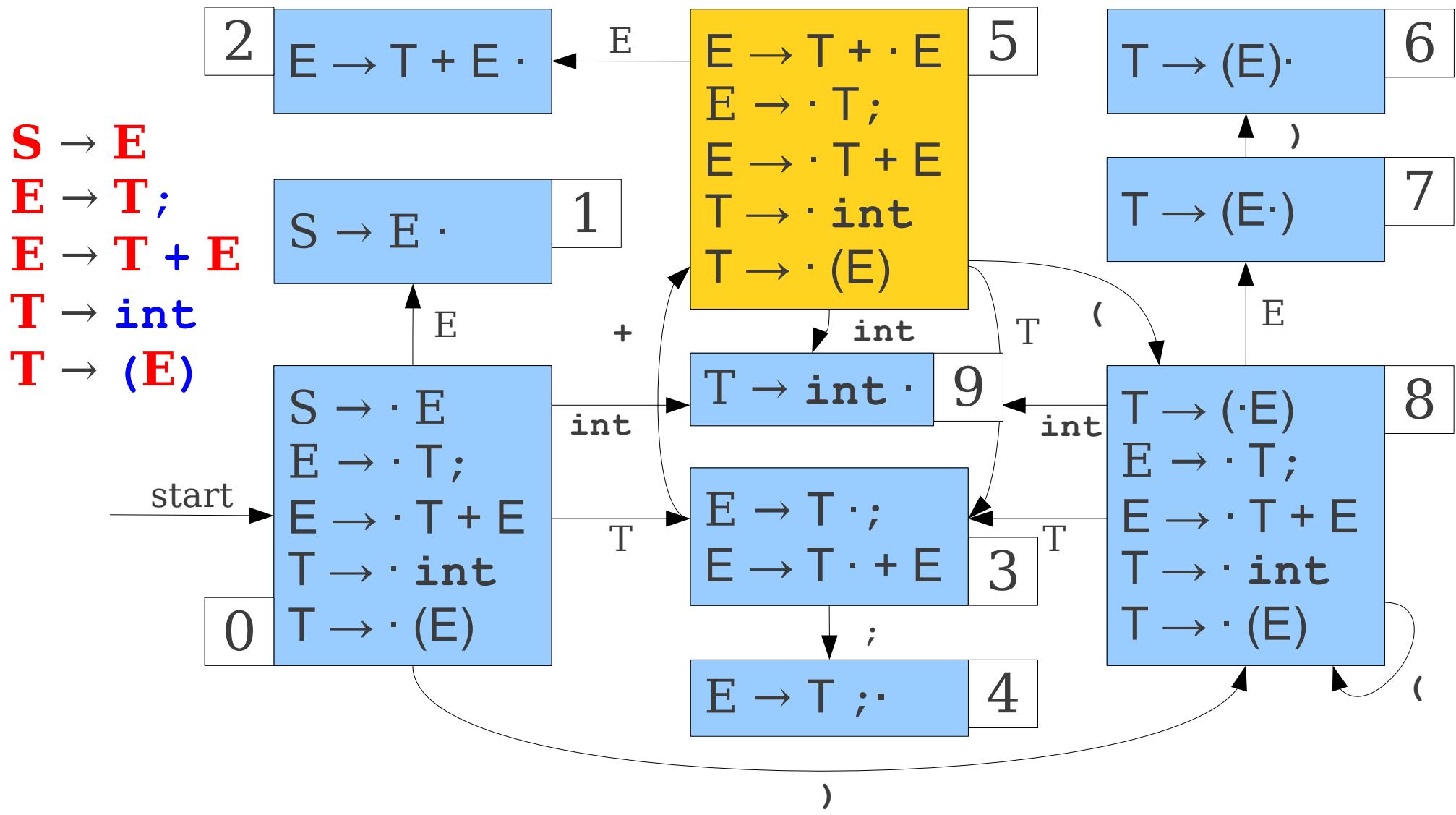
# LR(0) Parsing



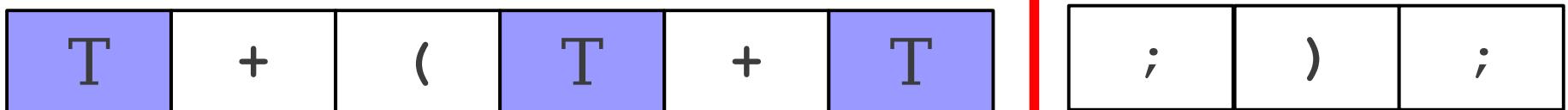
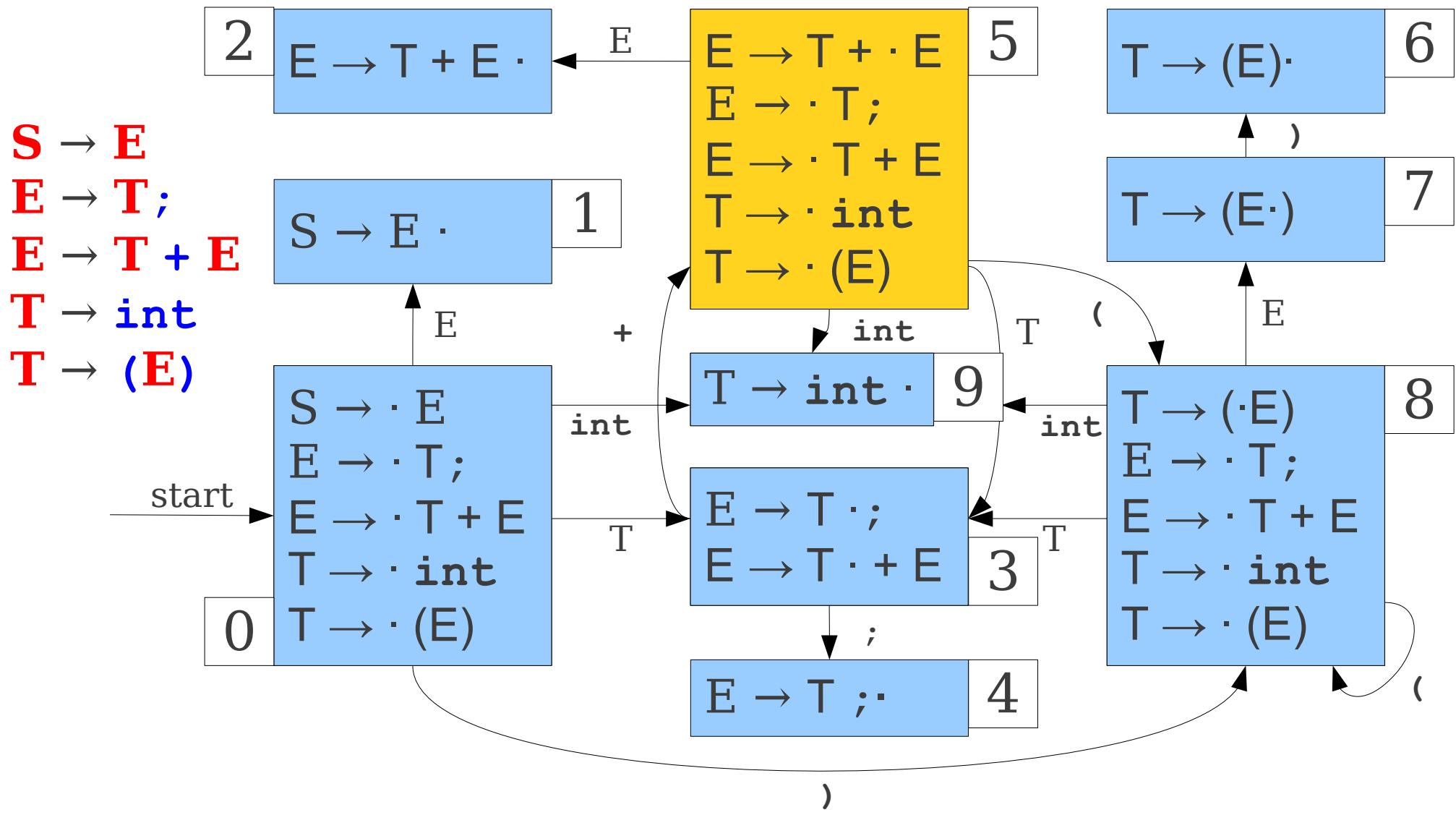
# LR(0) Parsing



# LR(0) Parsing

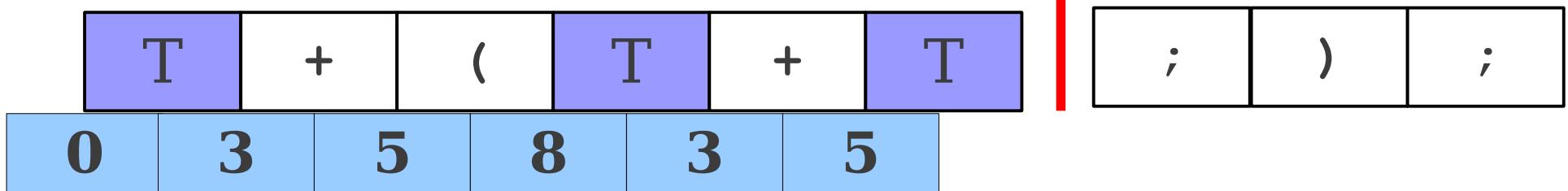
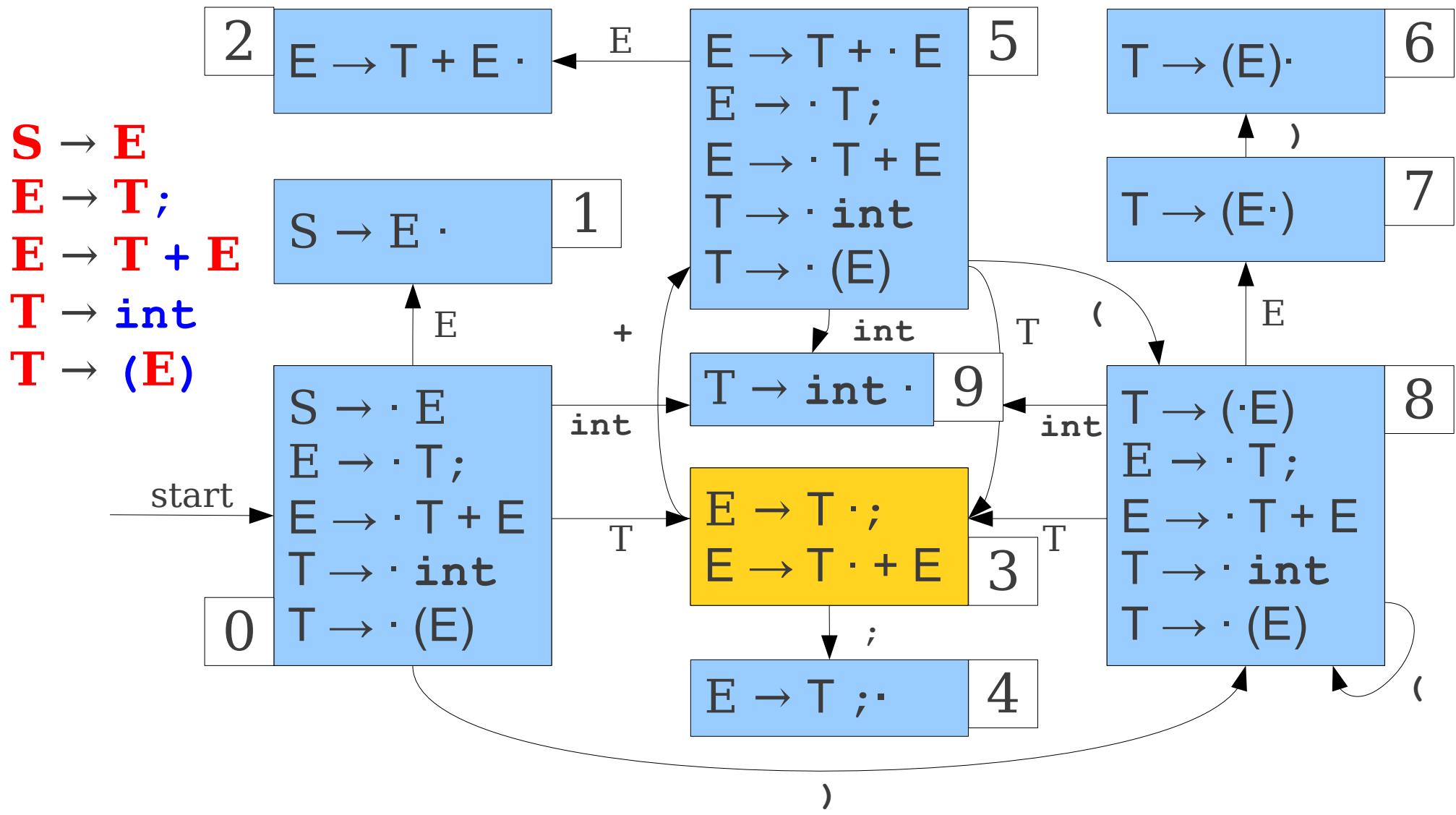


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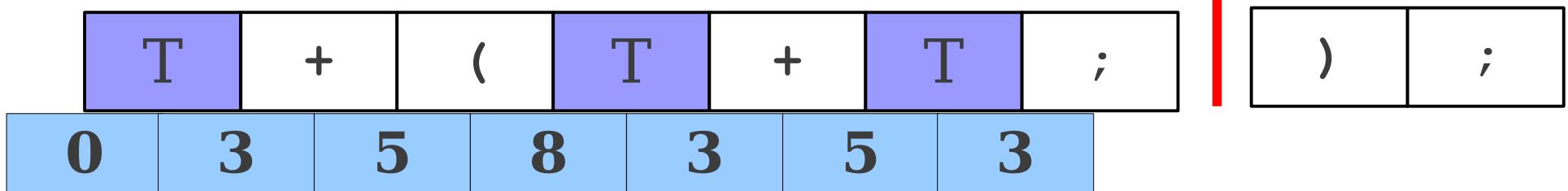
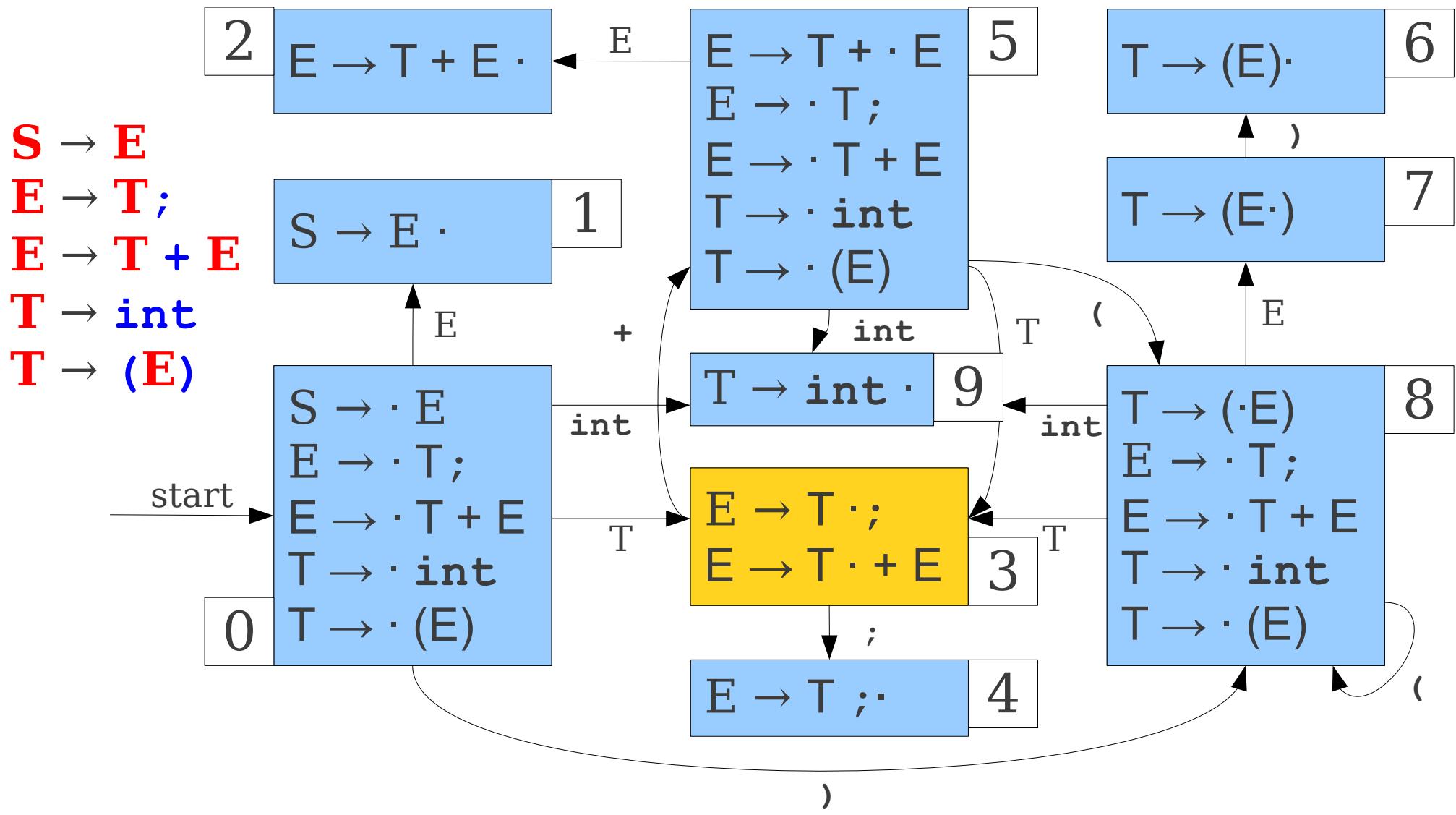


0 3 5 8 3 5

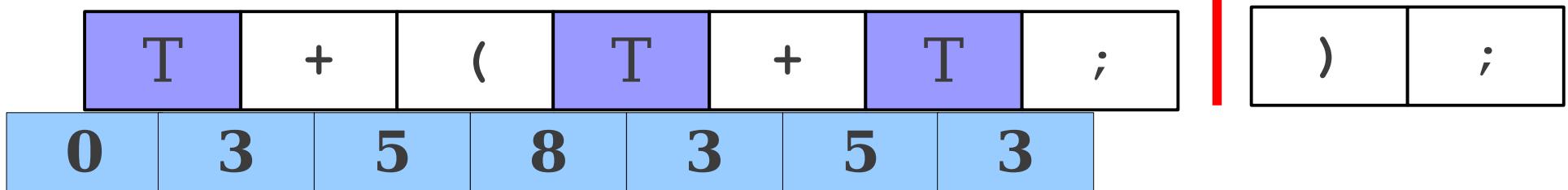
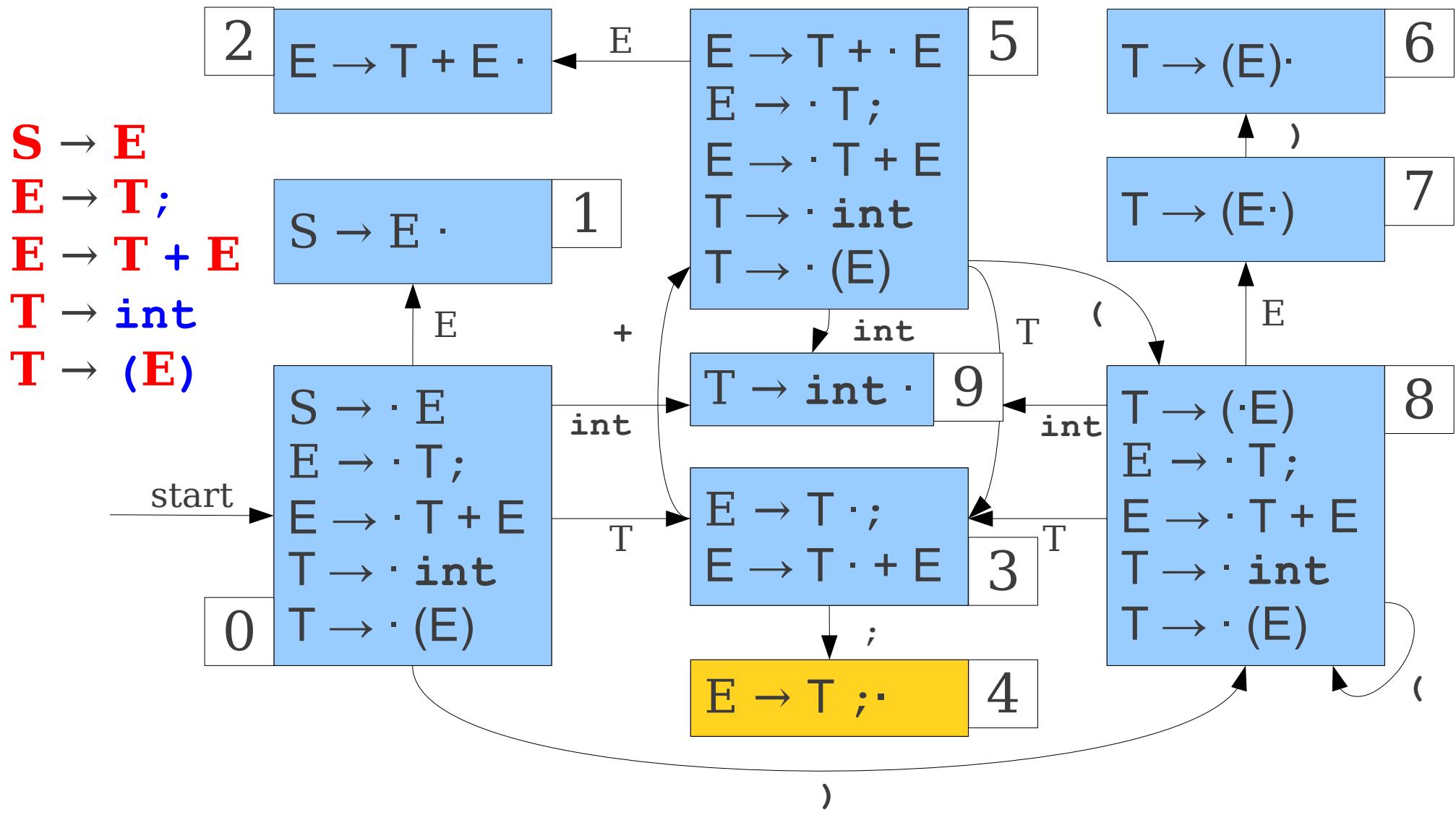
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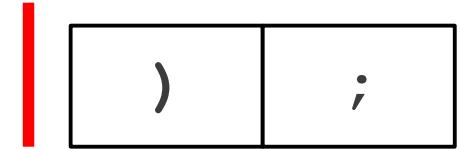
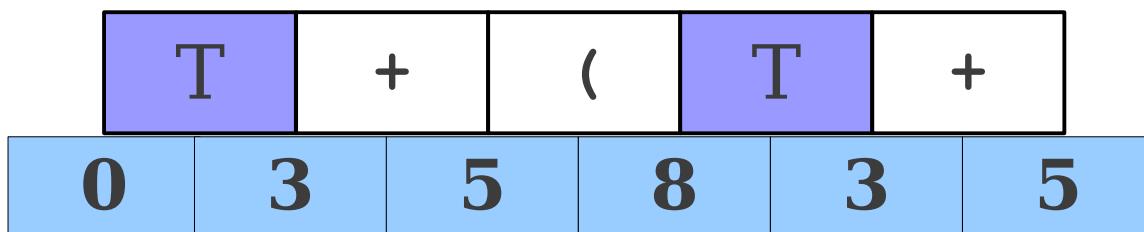
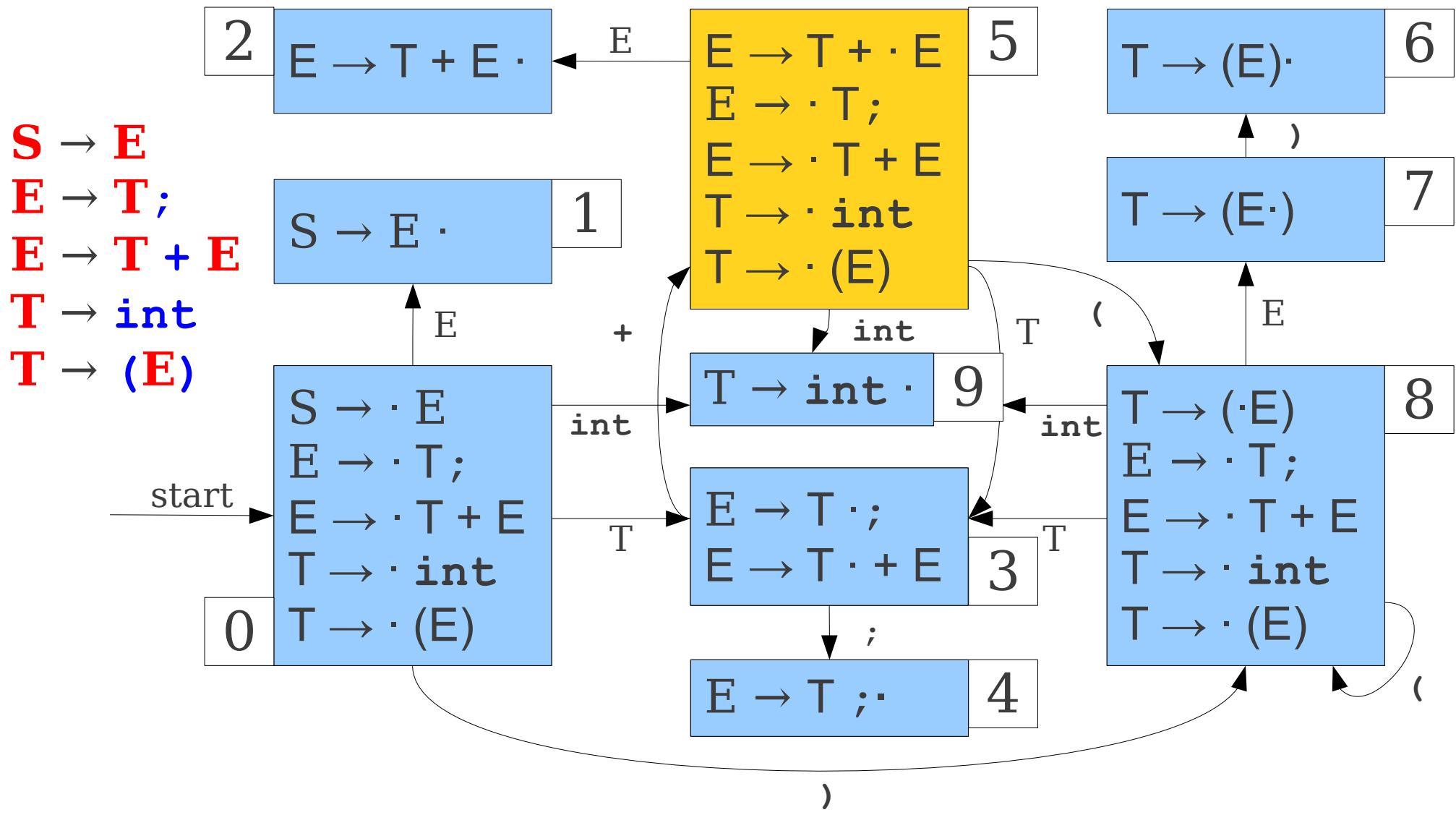
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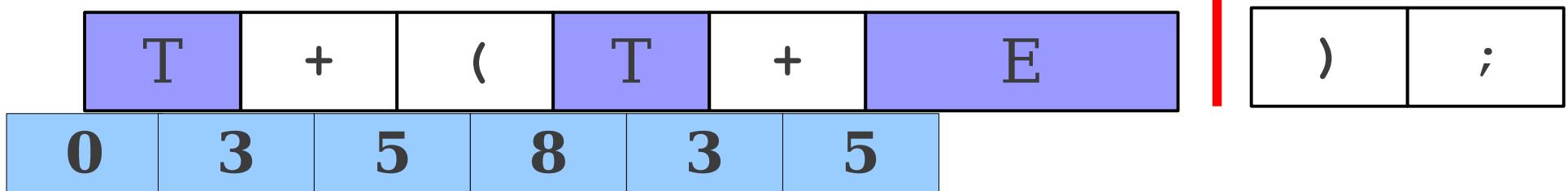
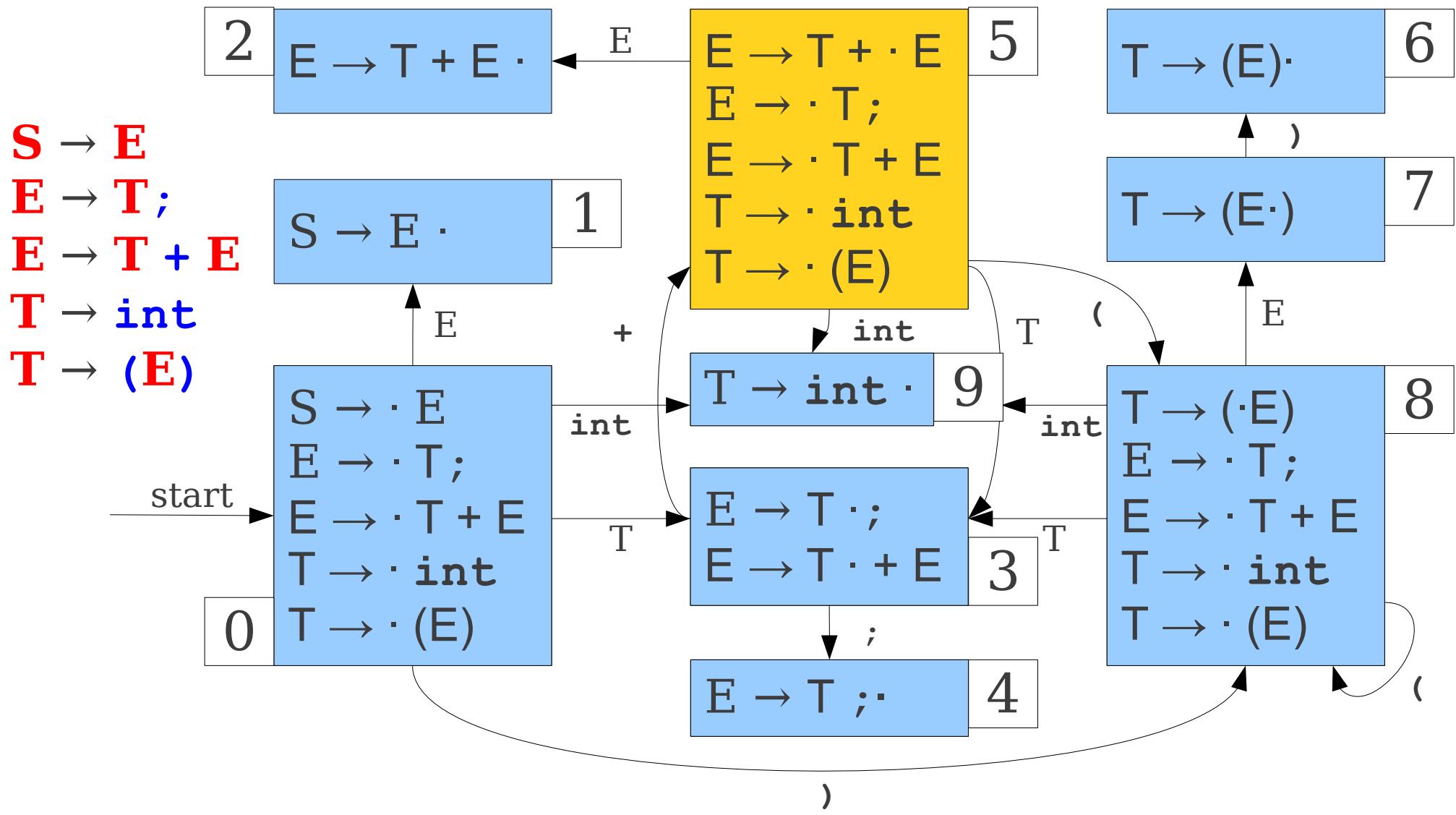
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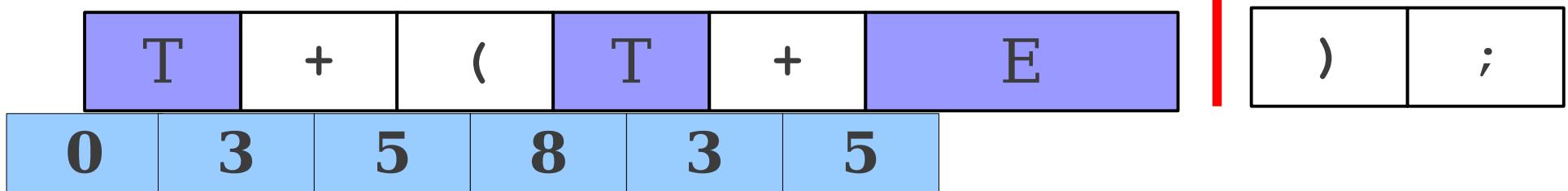
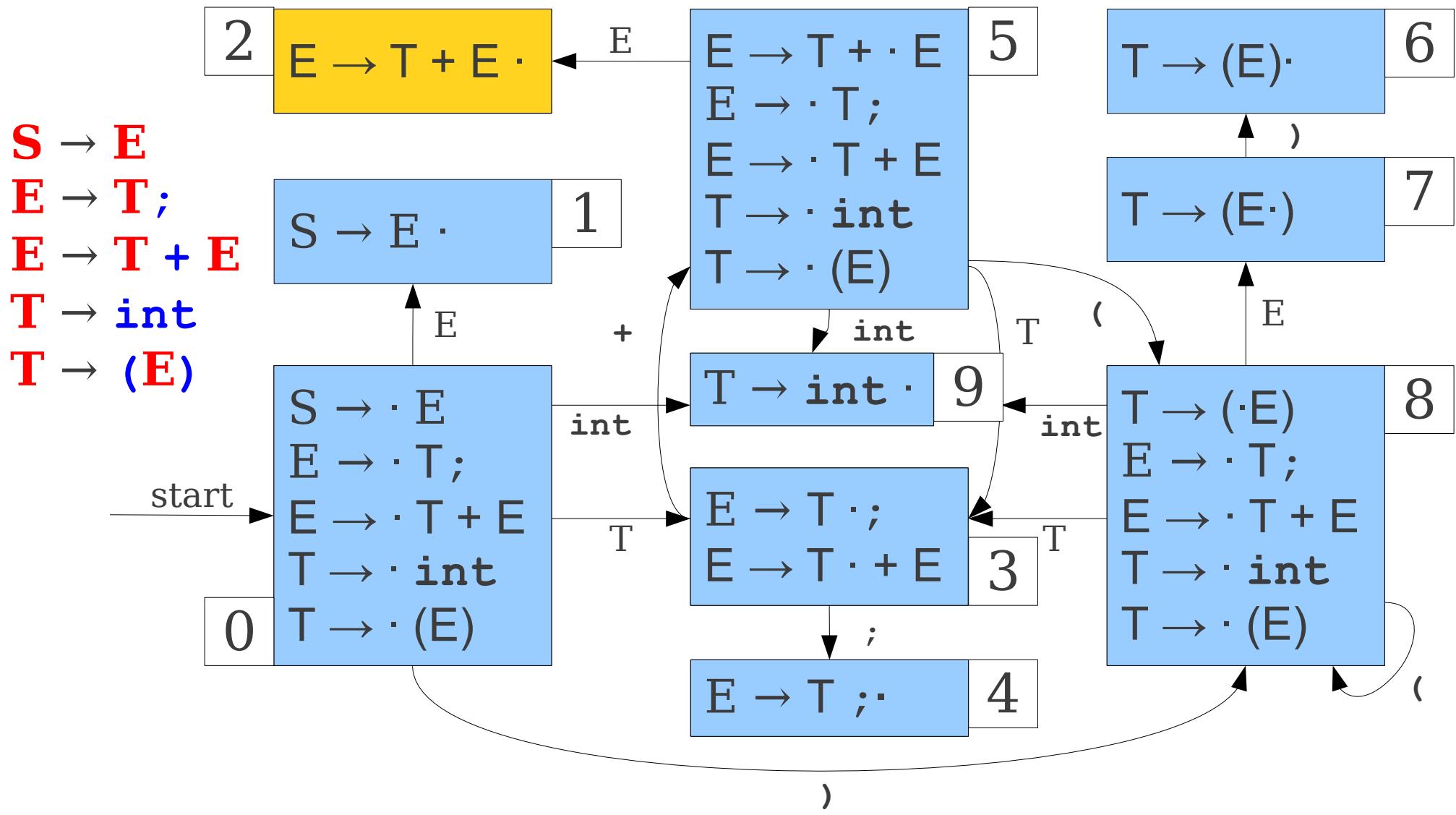
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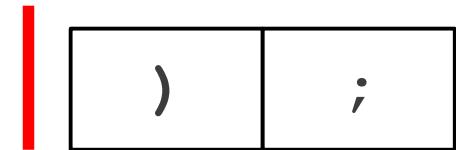
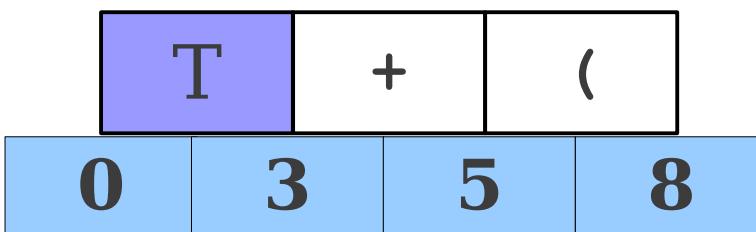
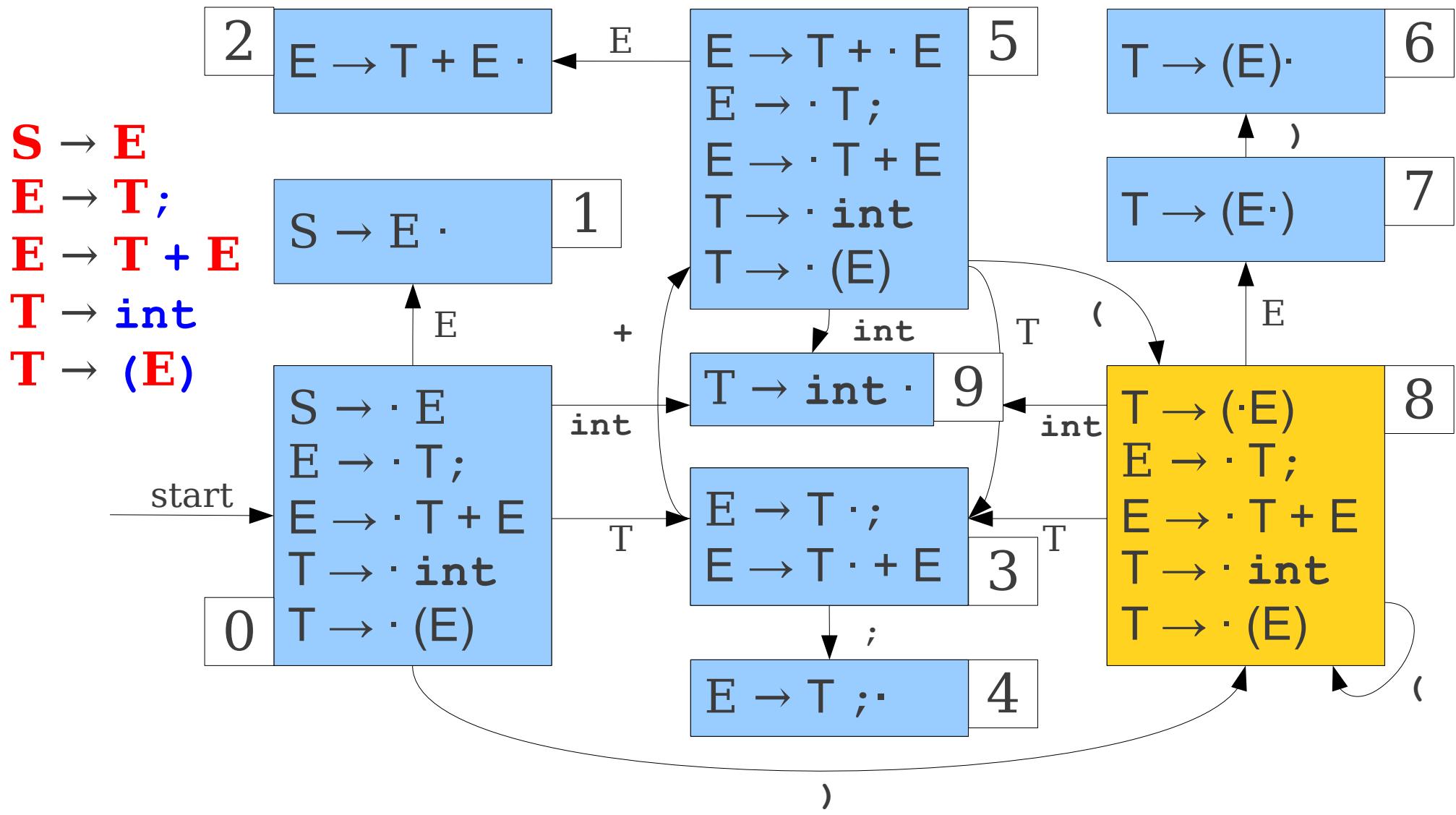
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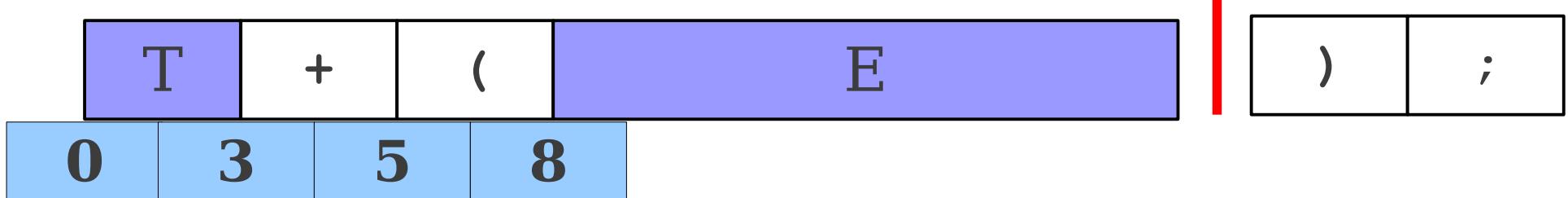
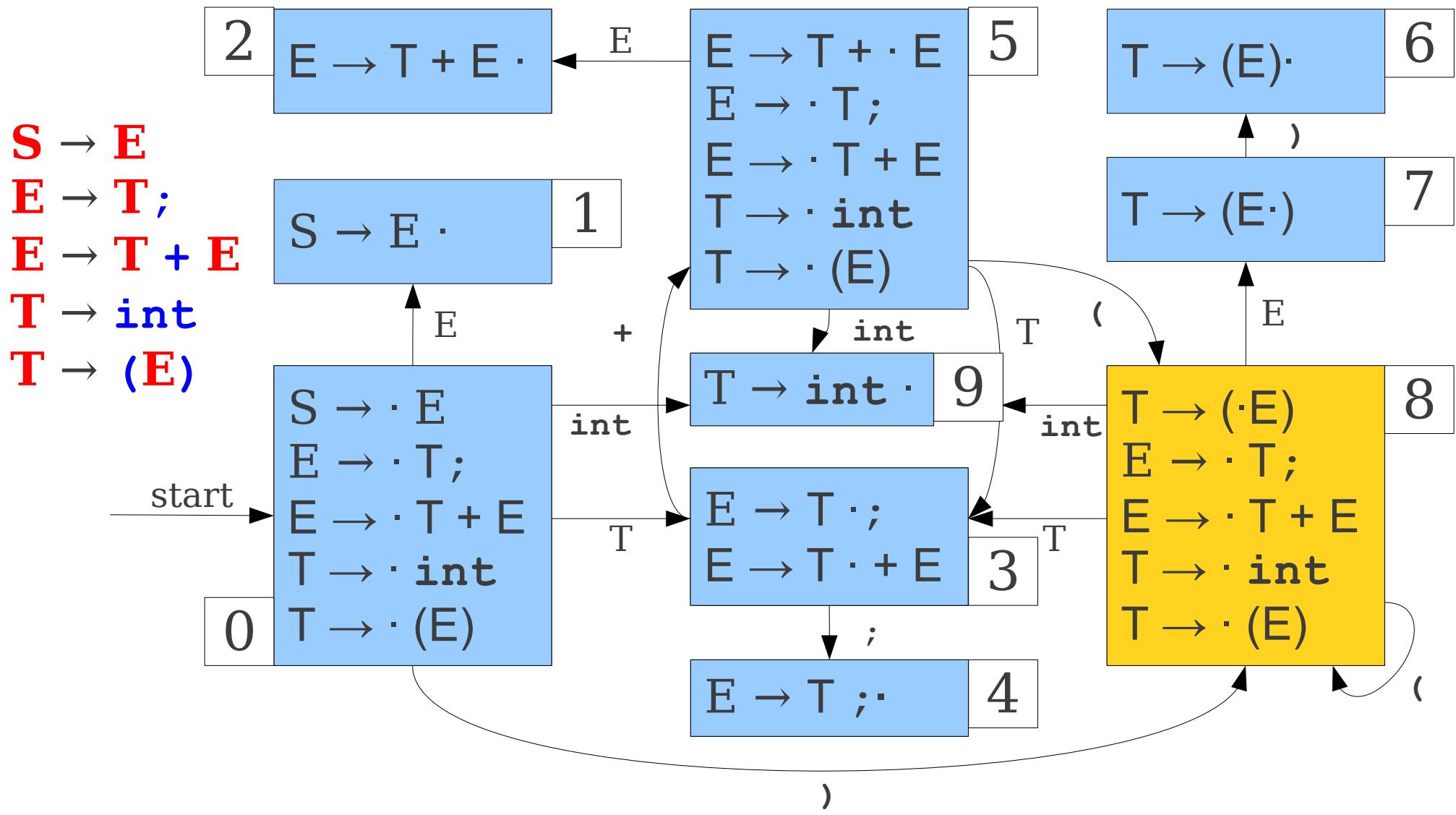
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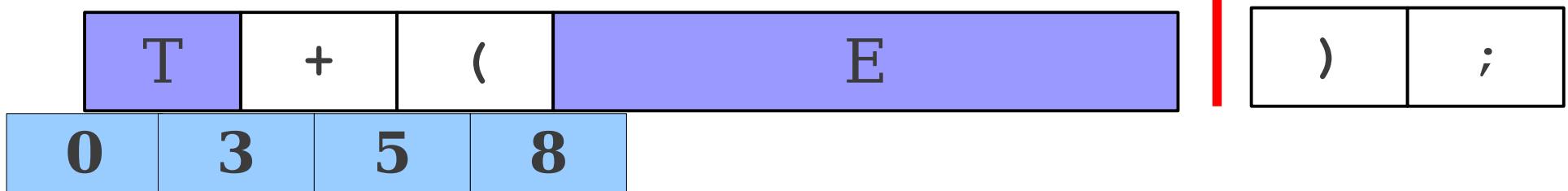
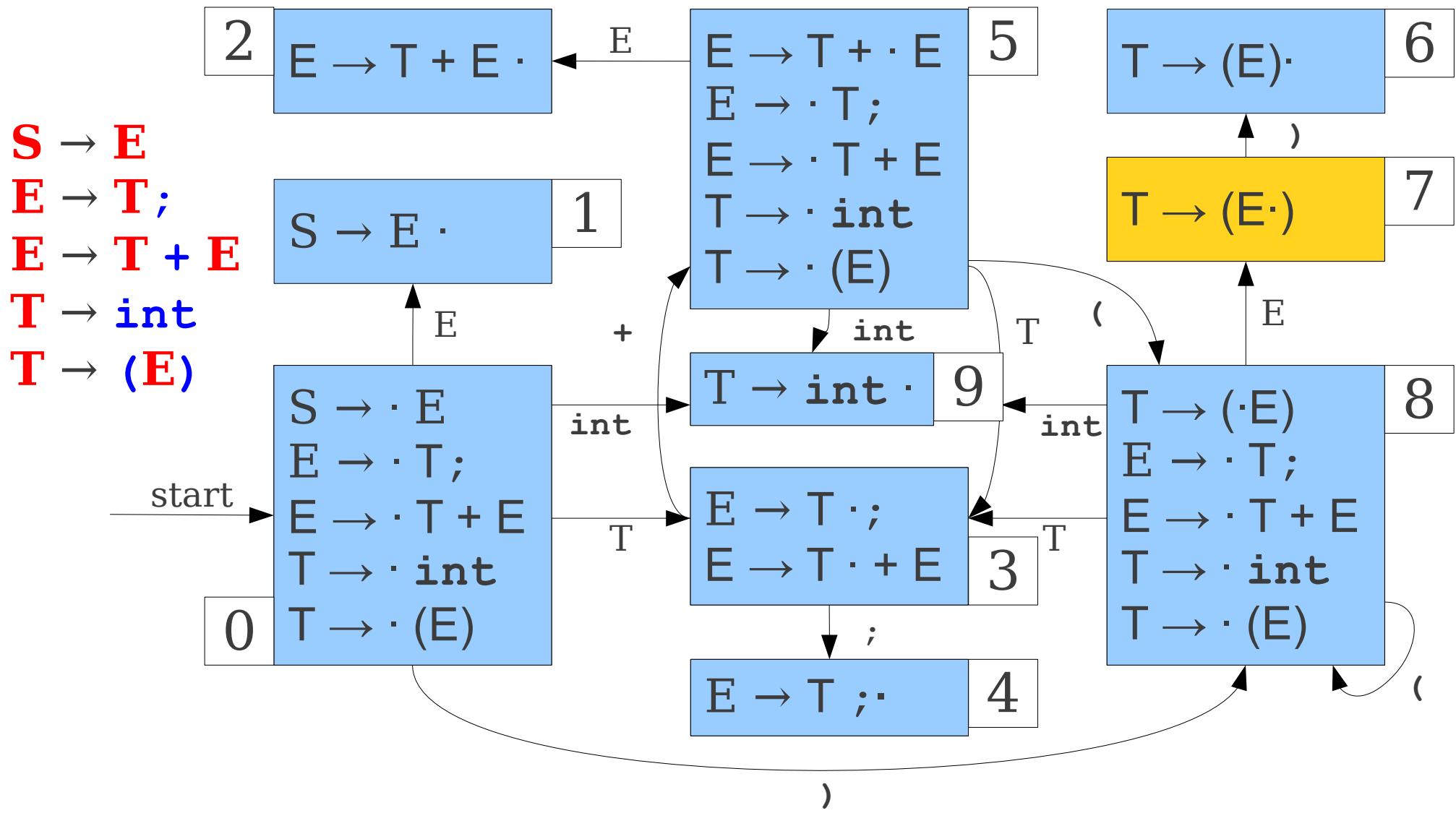
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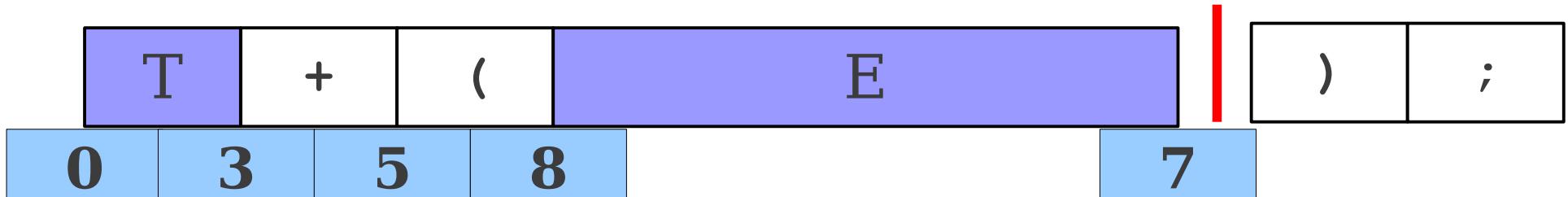
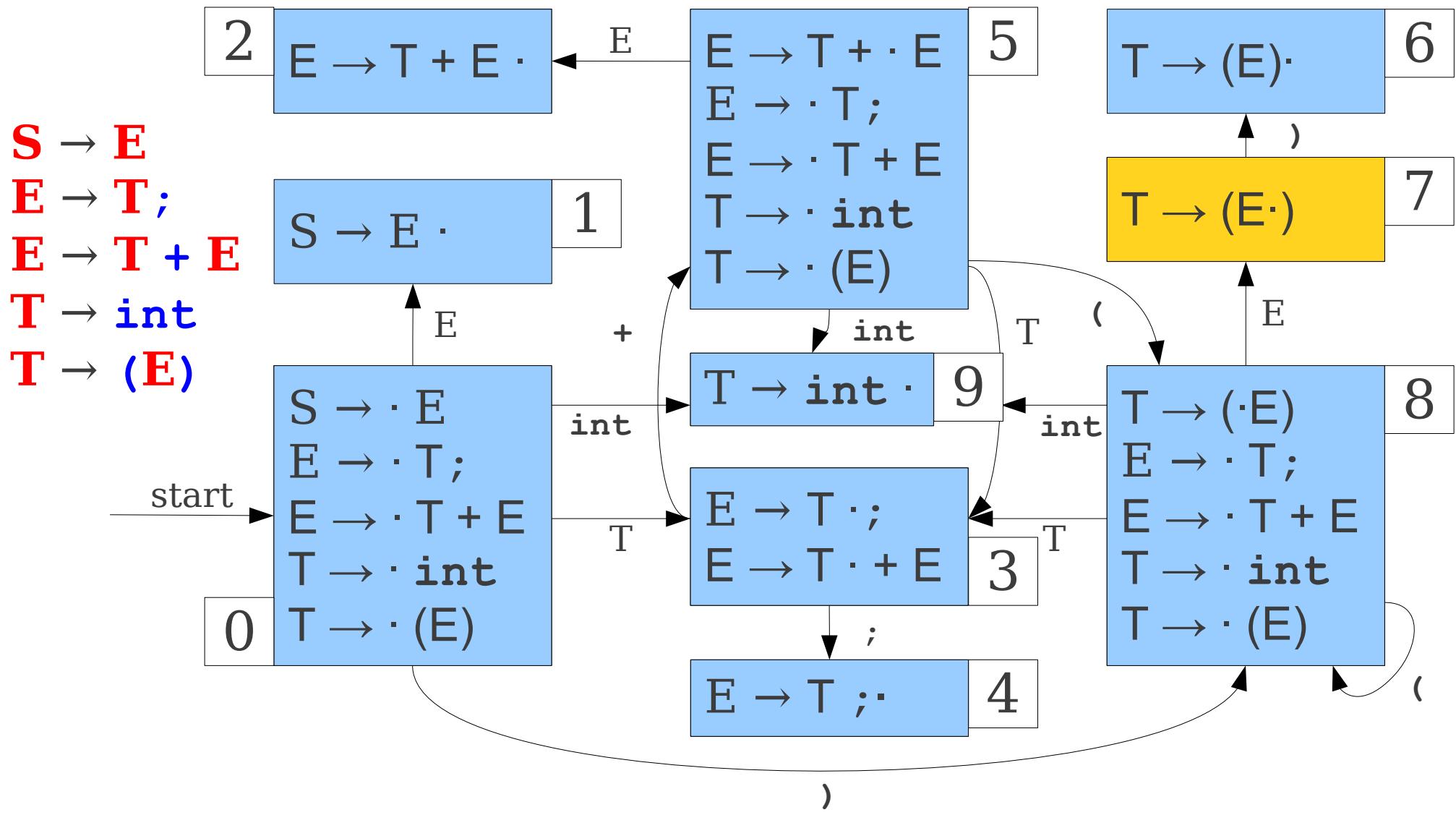
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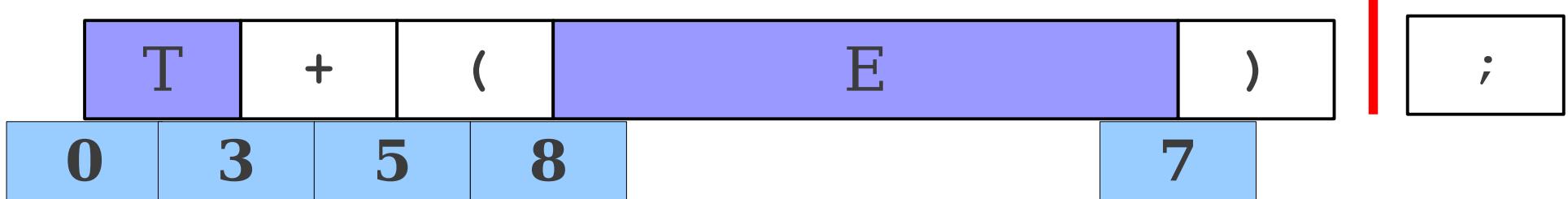
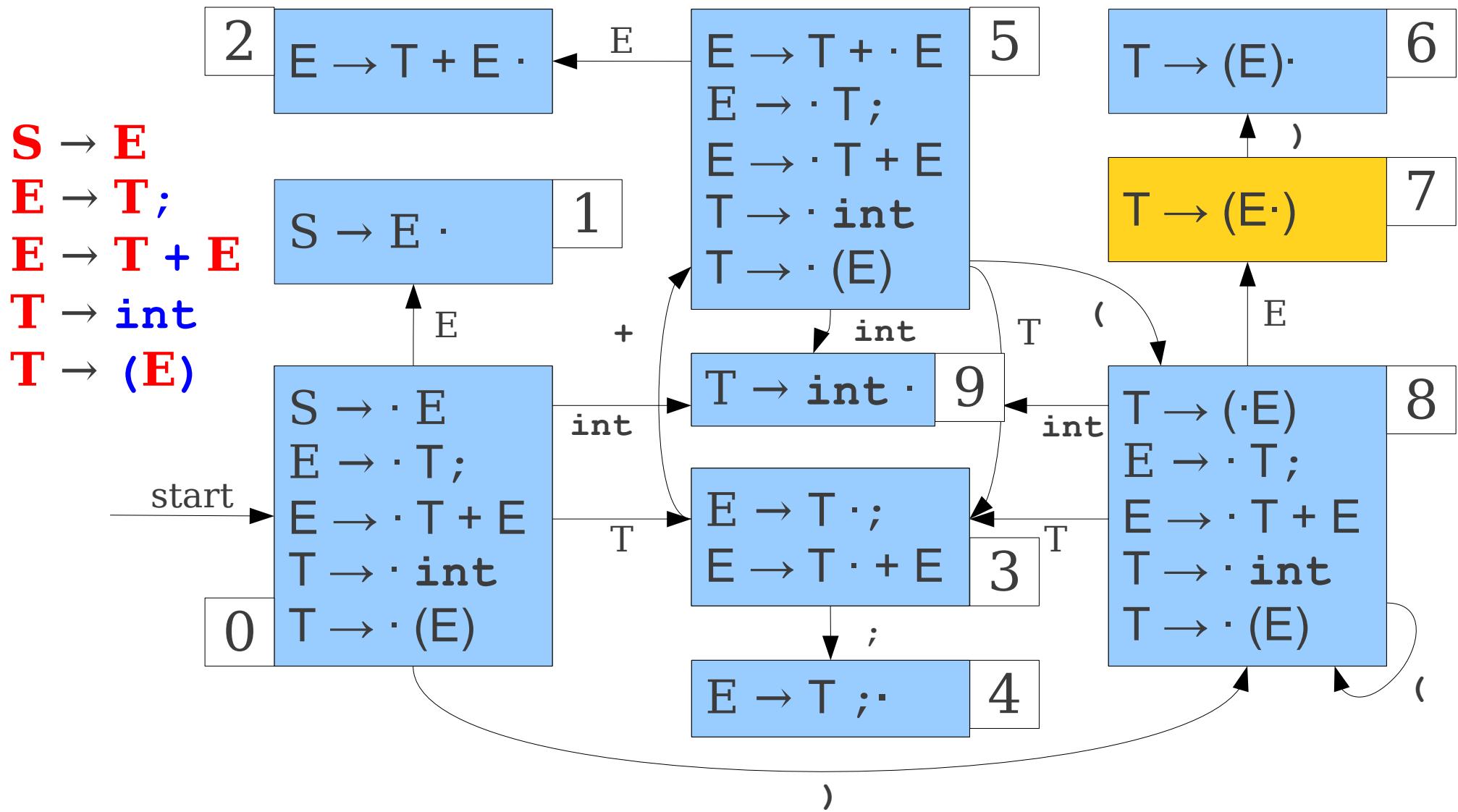
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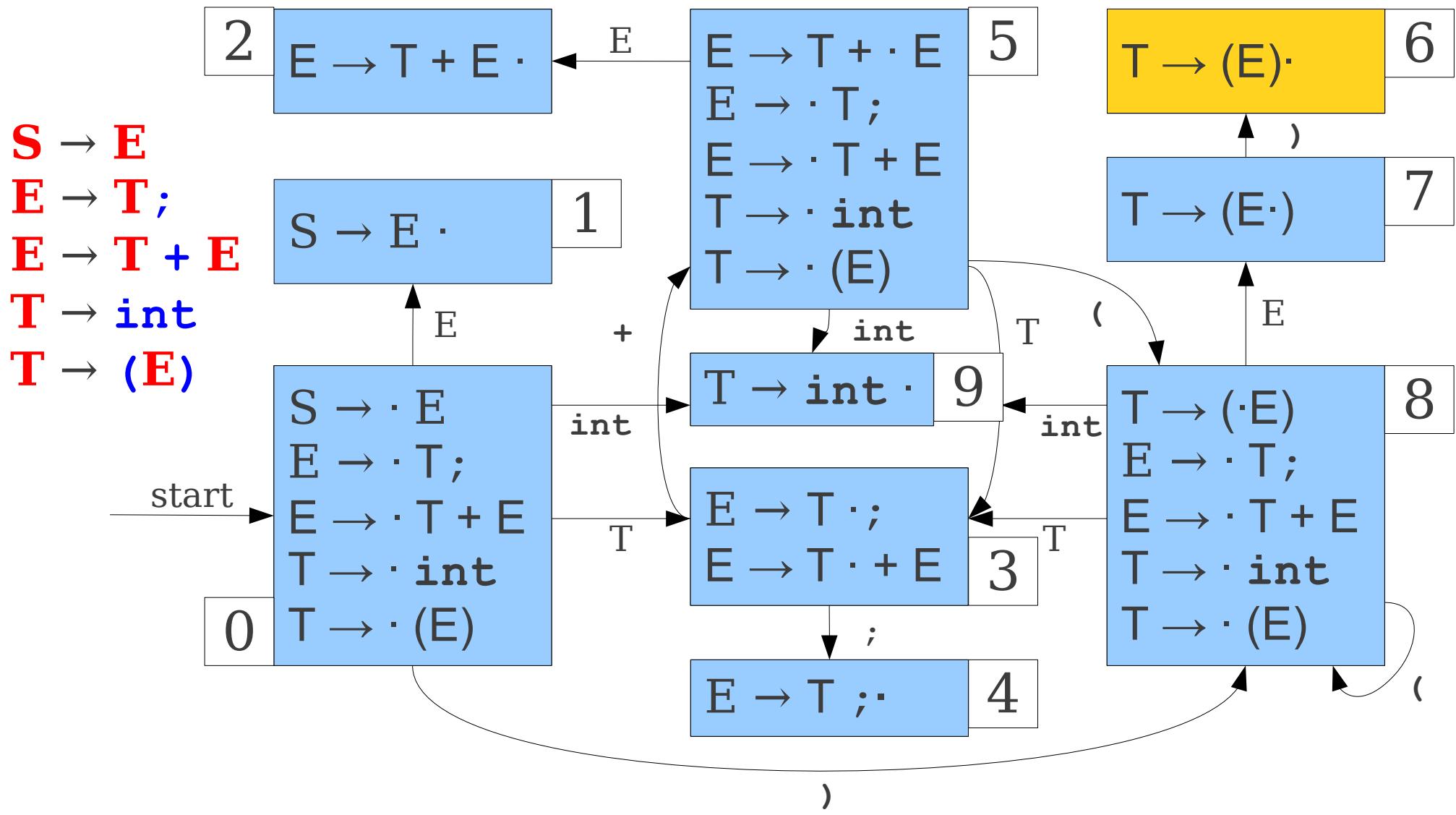
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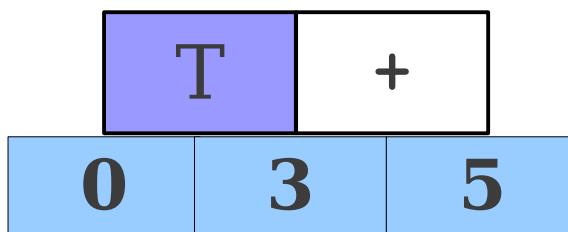
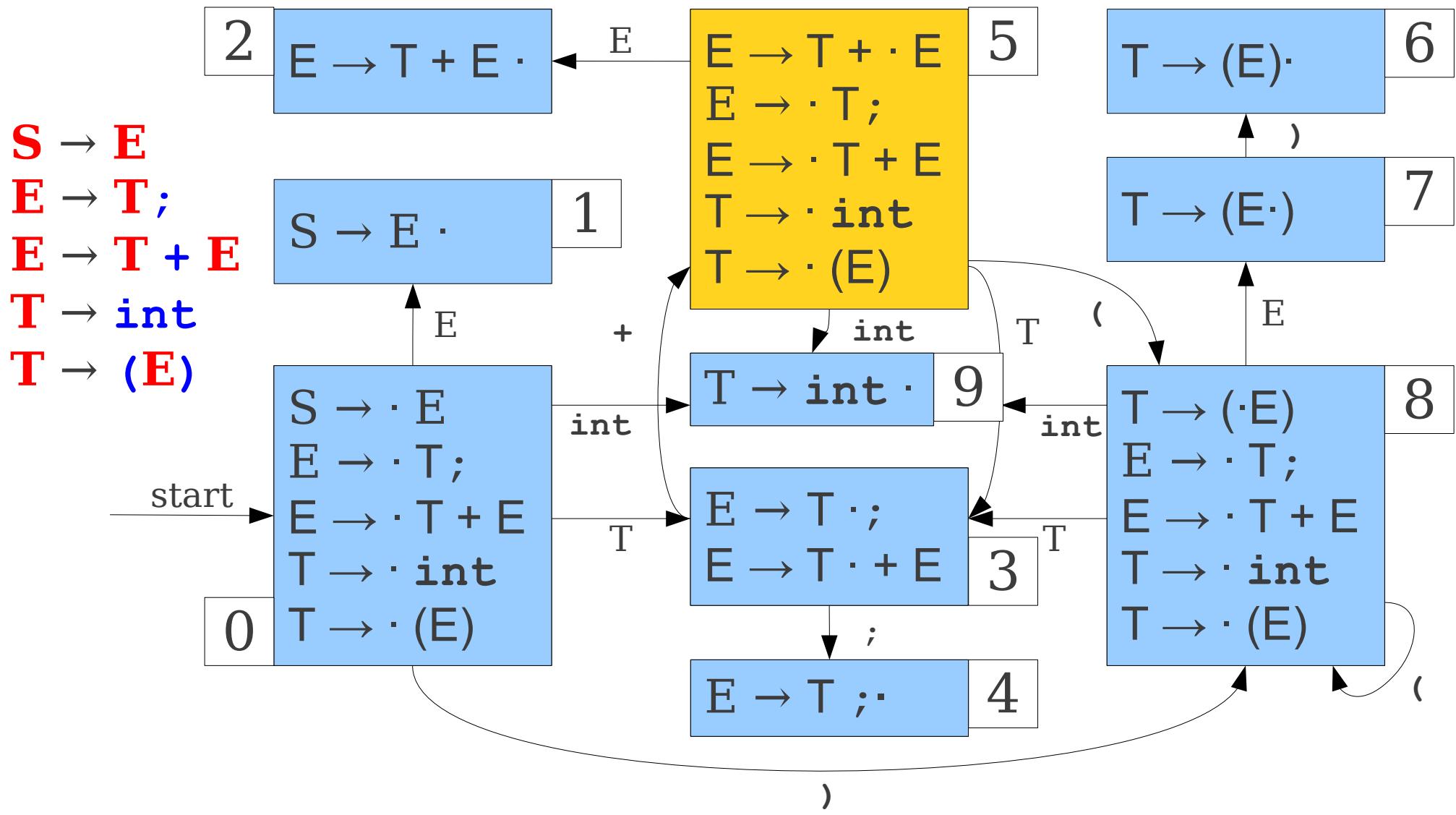
# LR(0) Parsing



# LR(0) Parsing

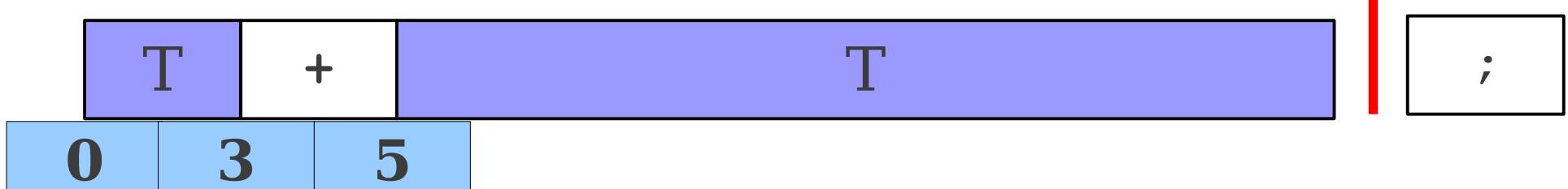
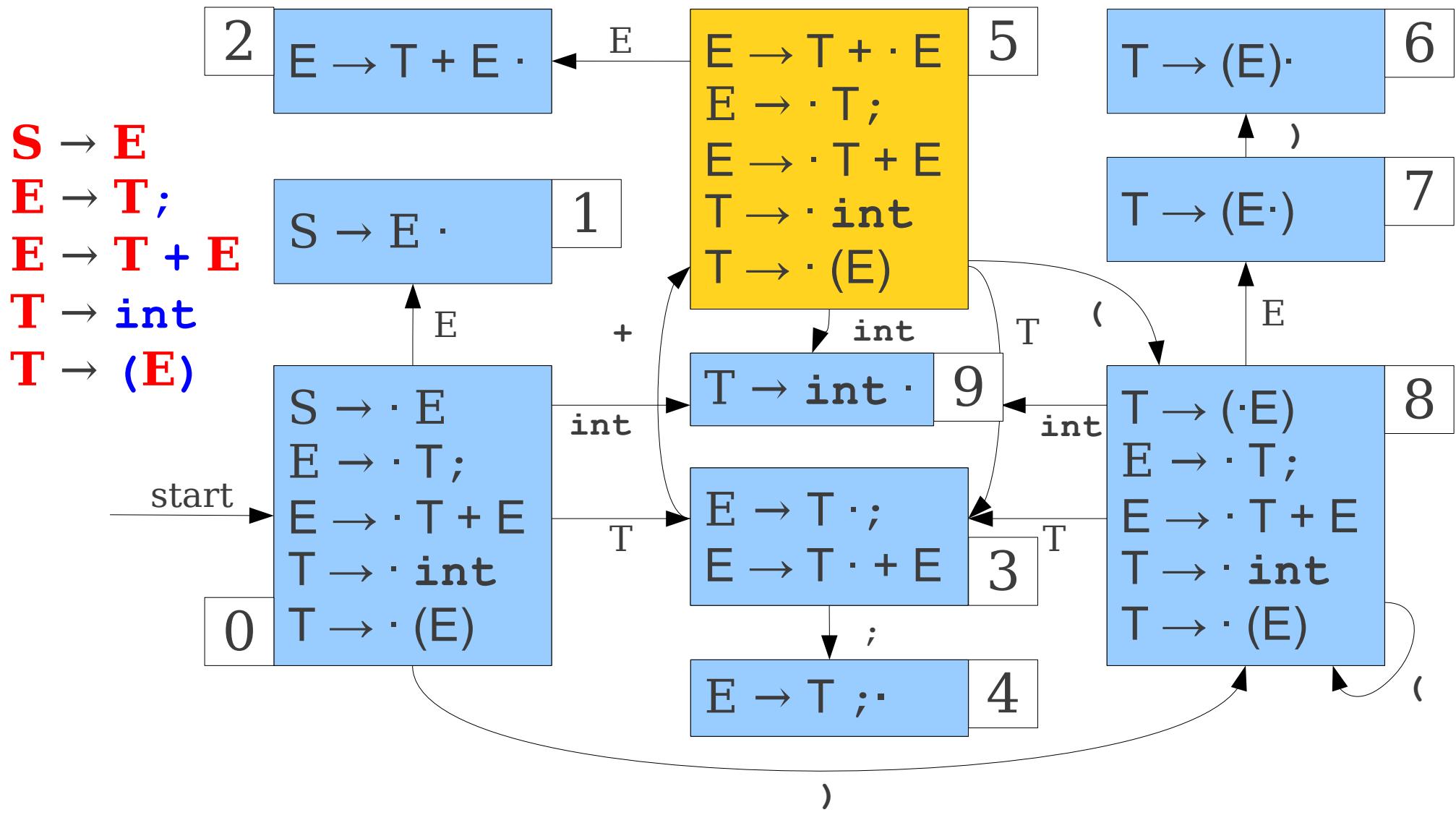


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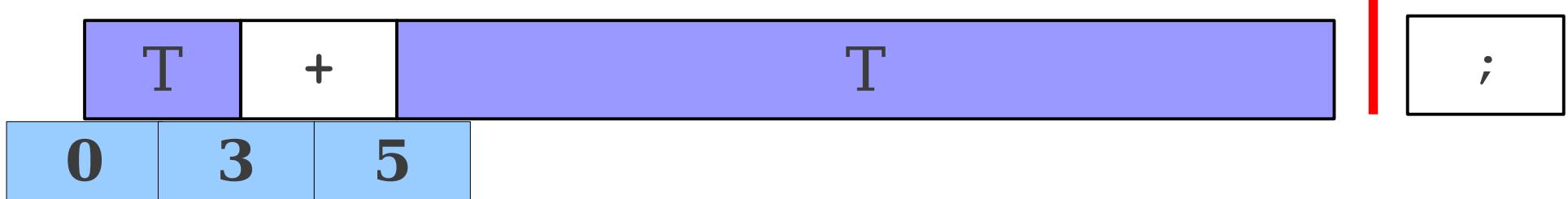
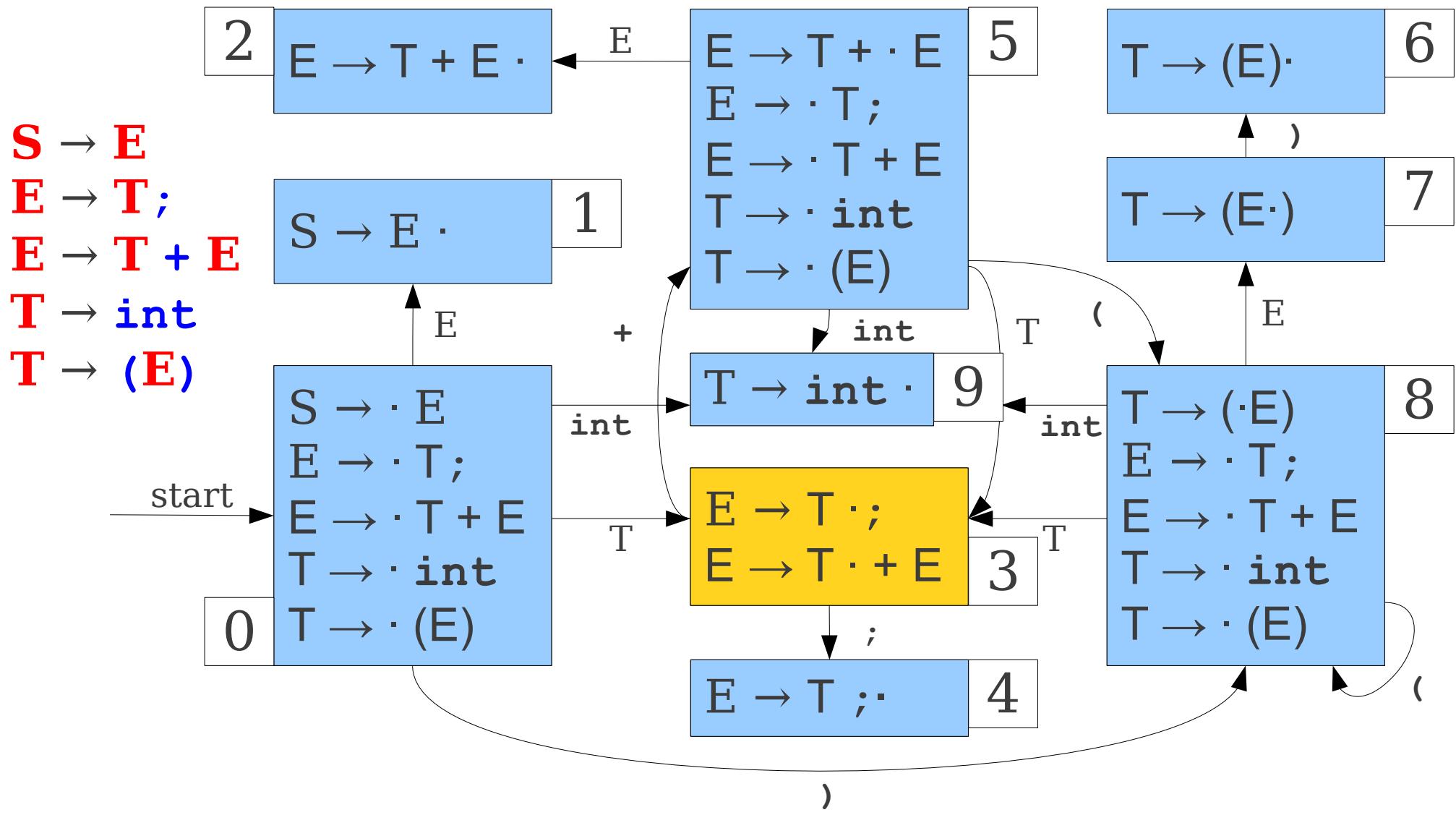


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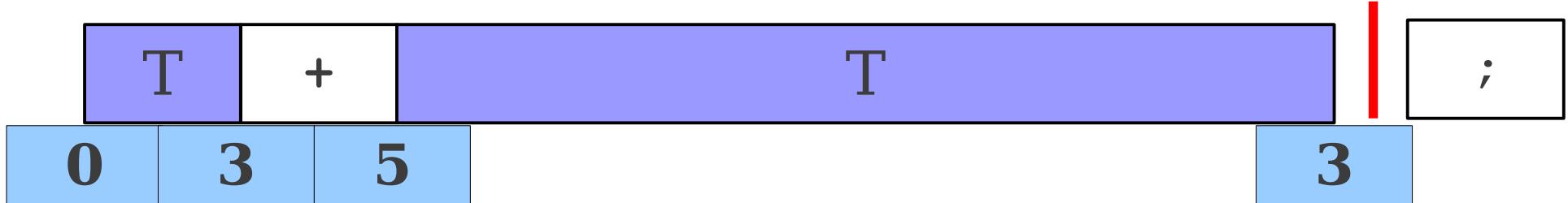
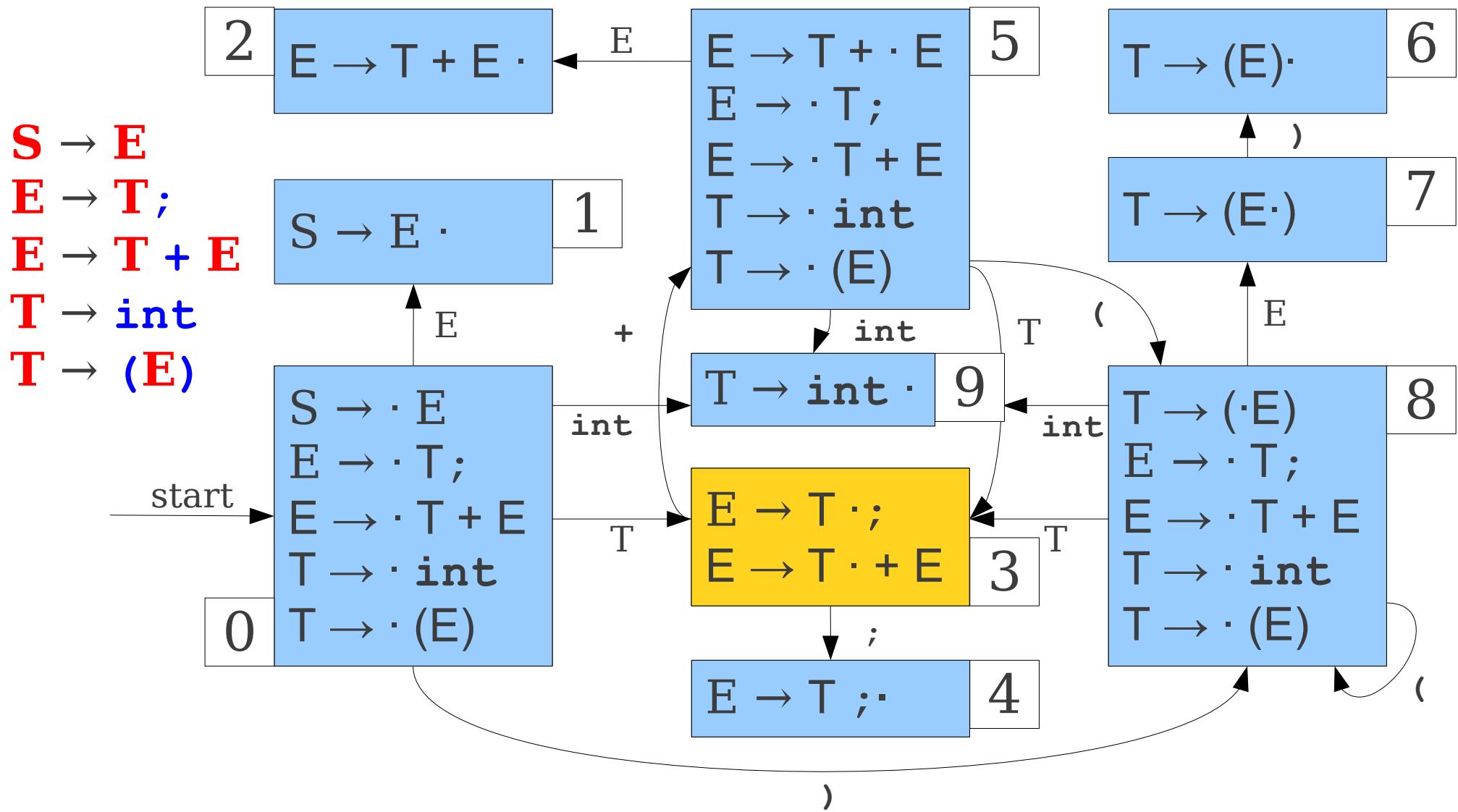
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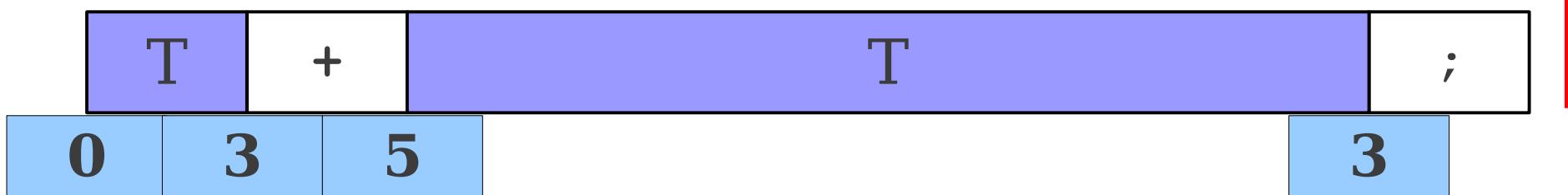
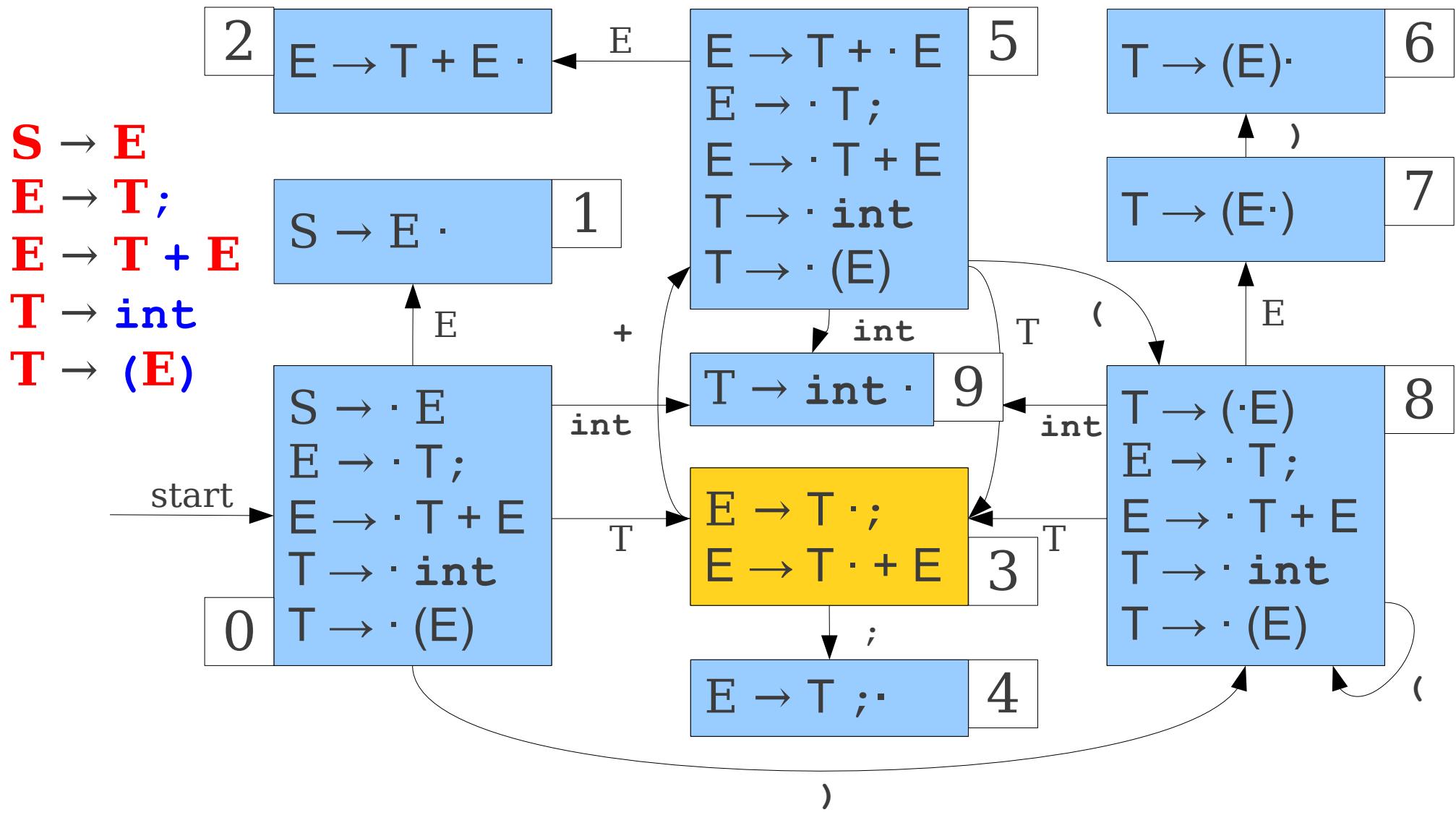
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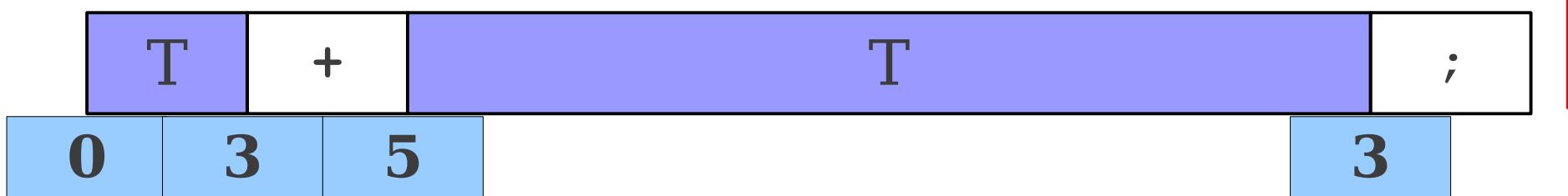
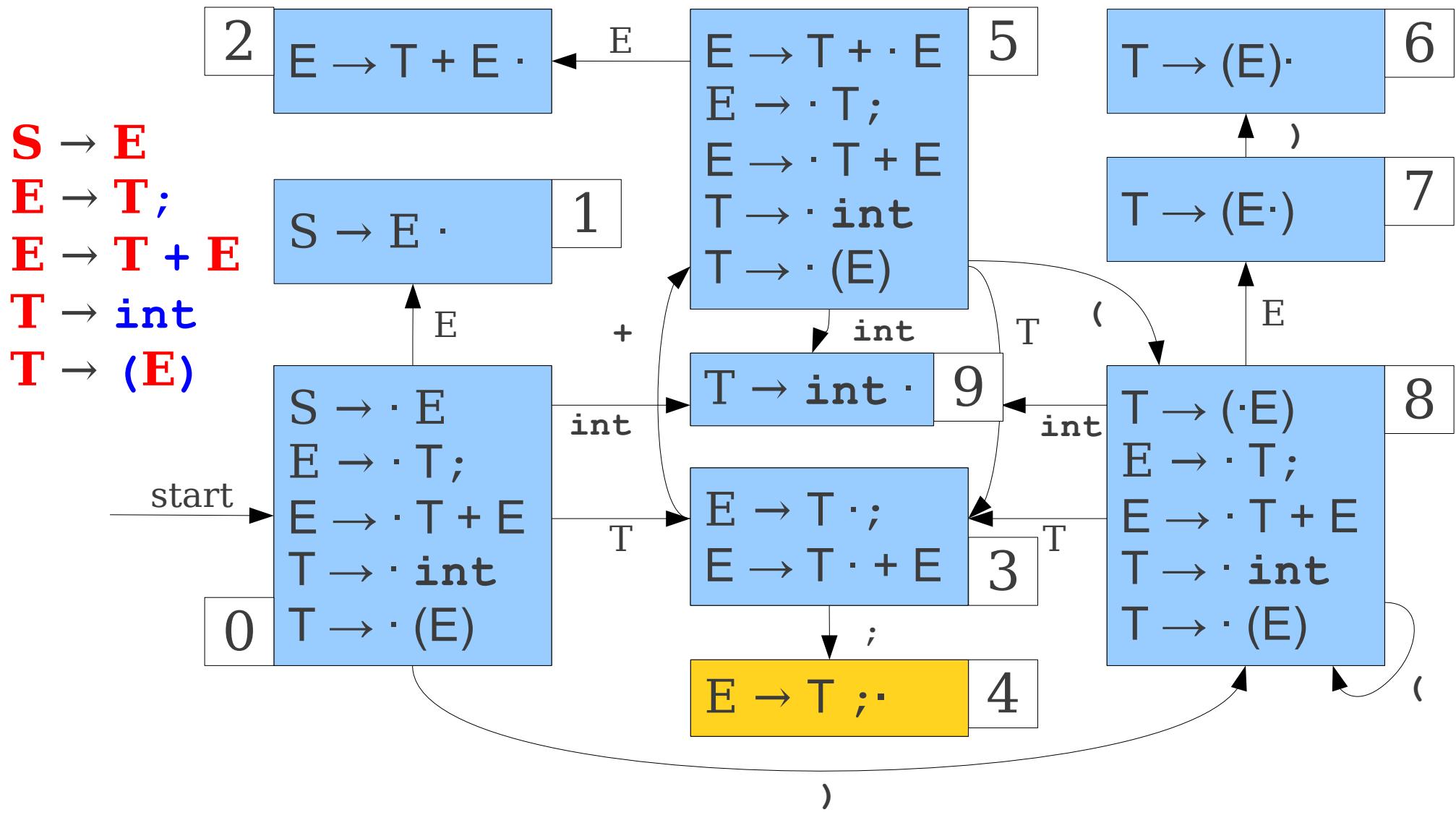
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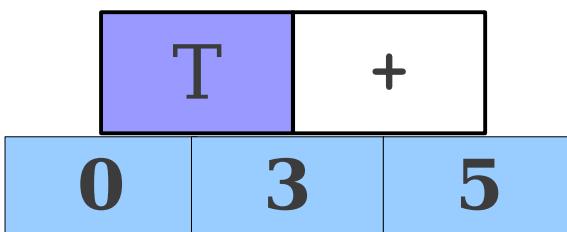
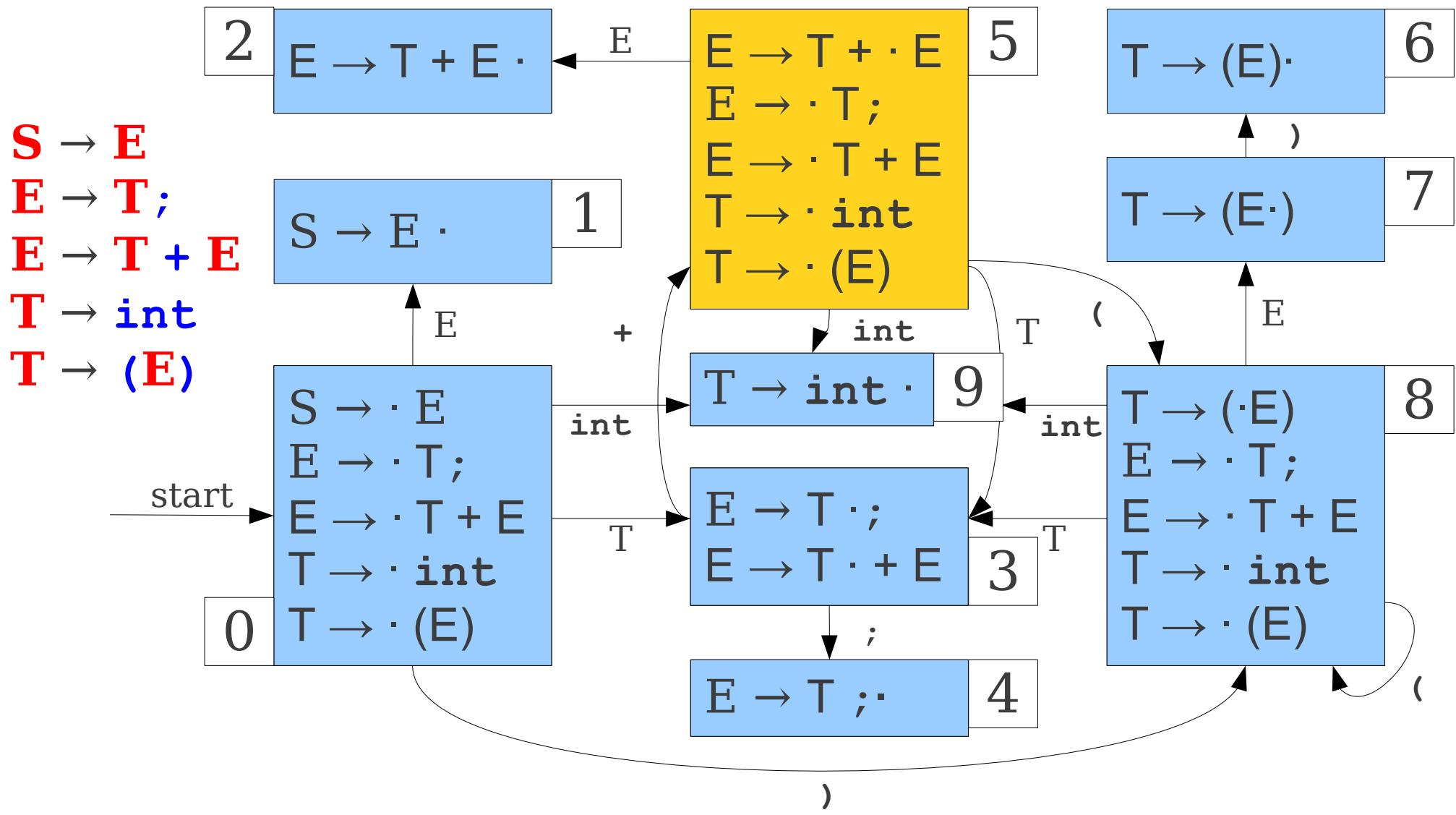
# LR(0) Parsing



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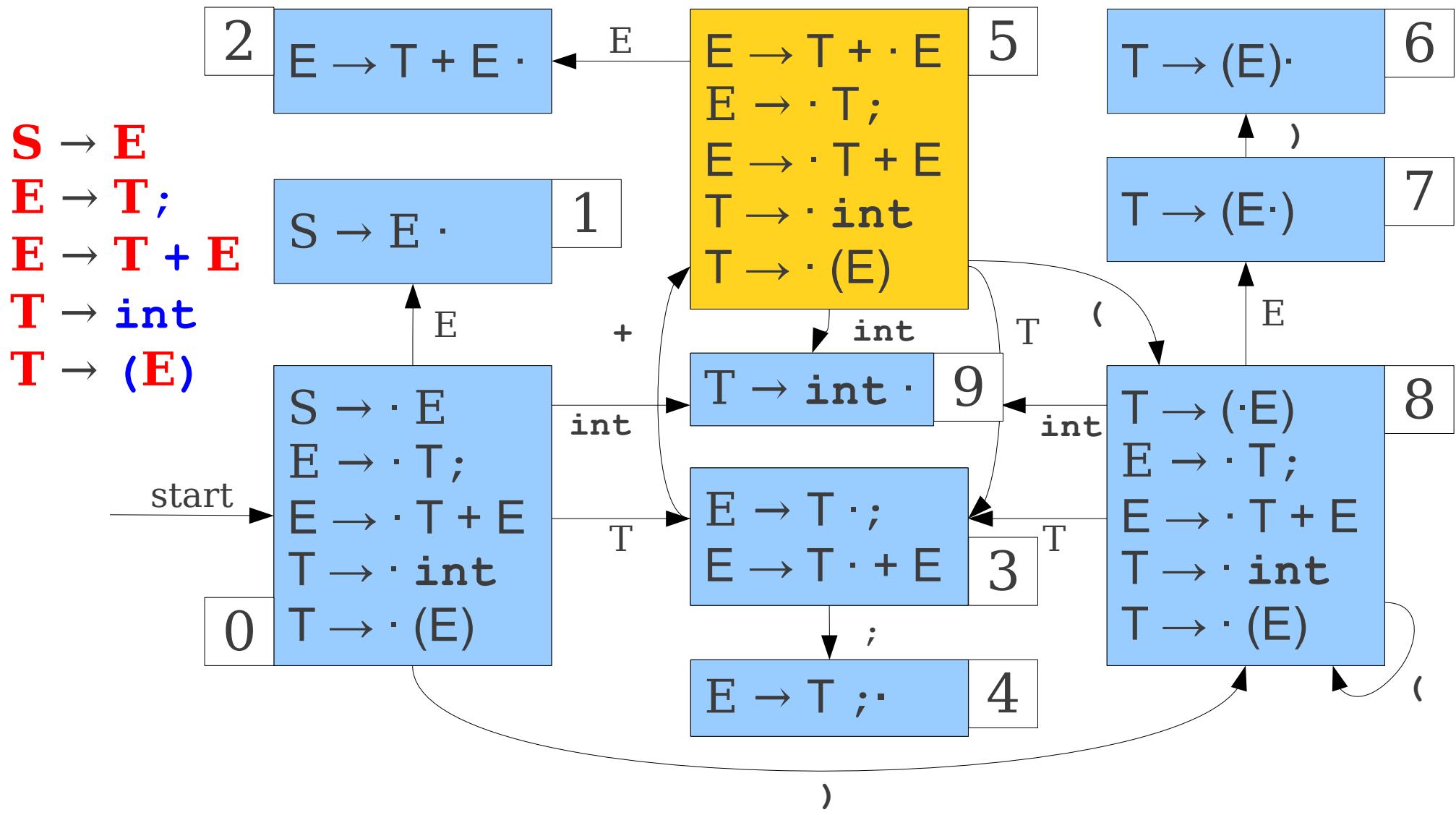


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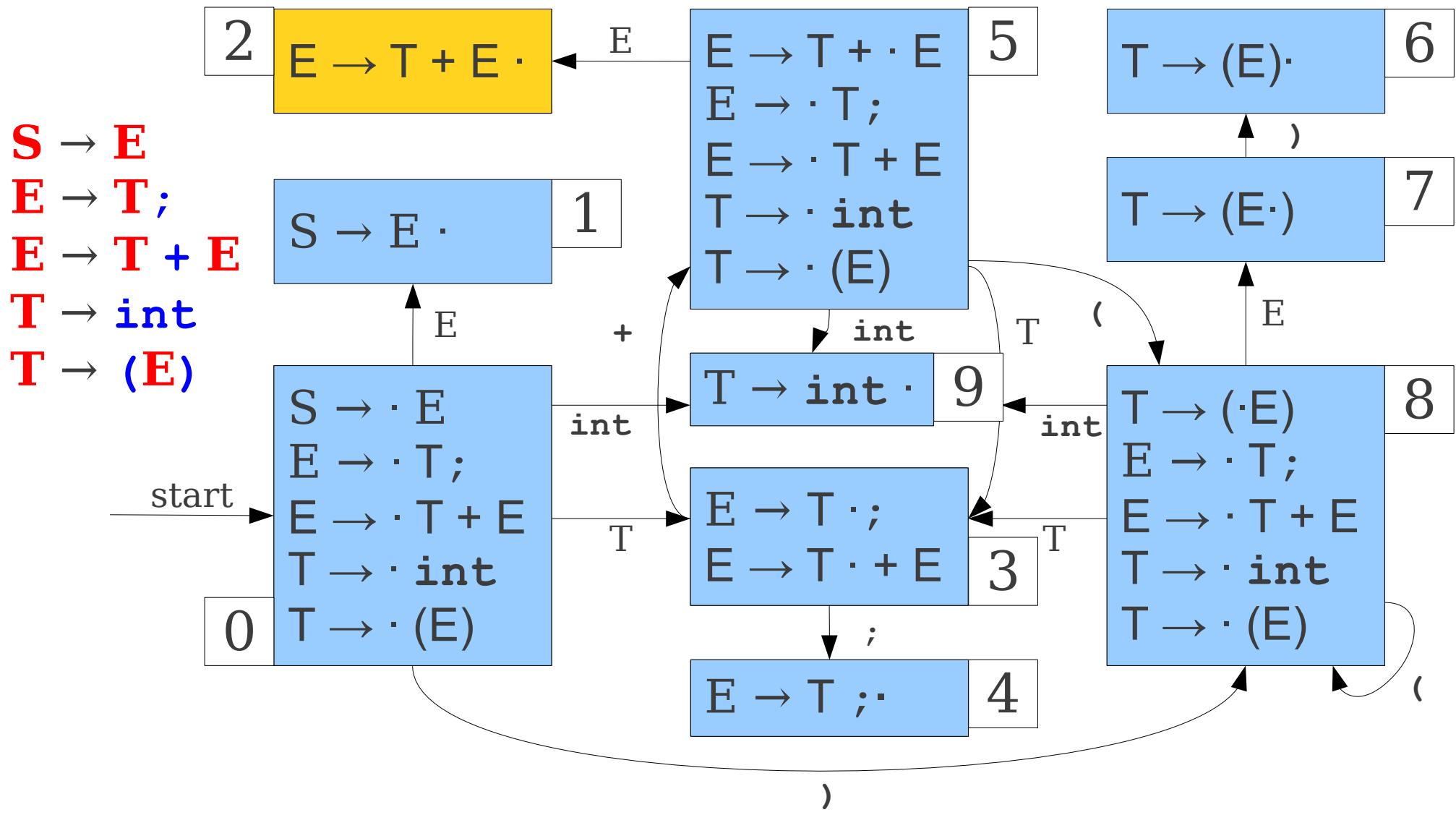


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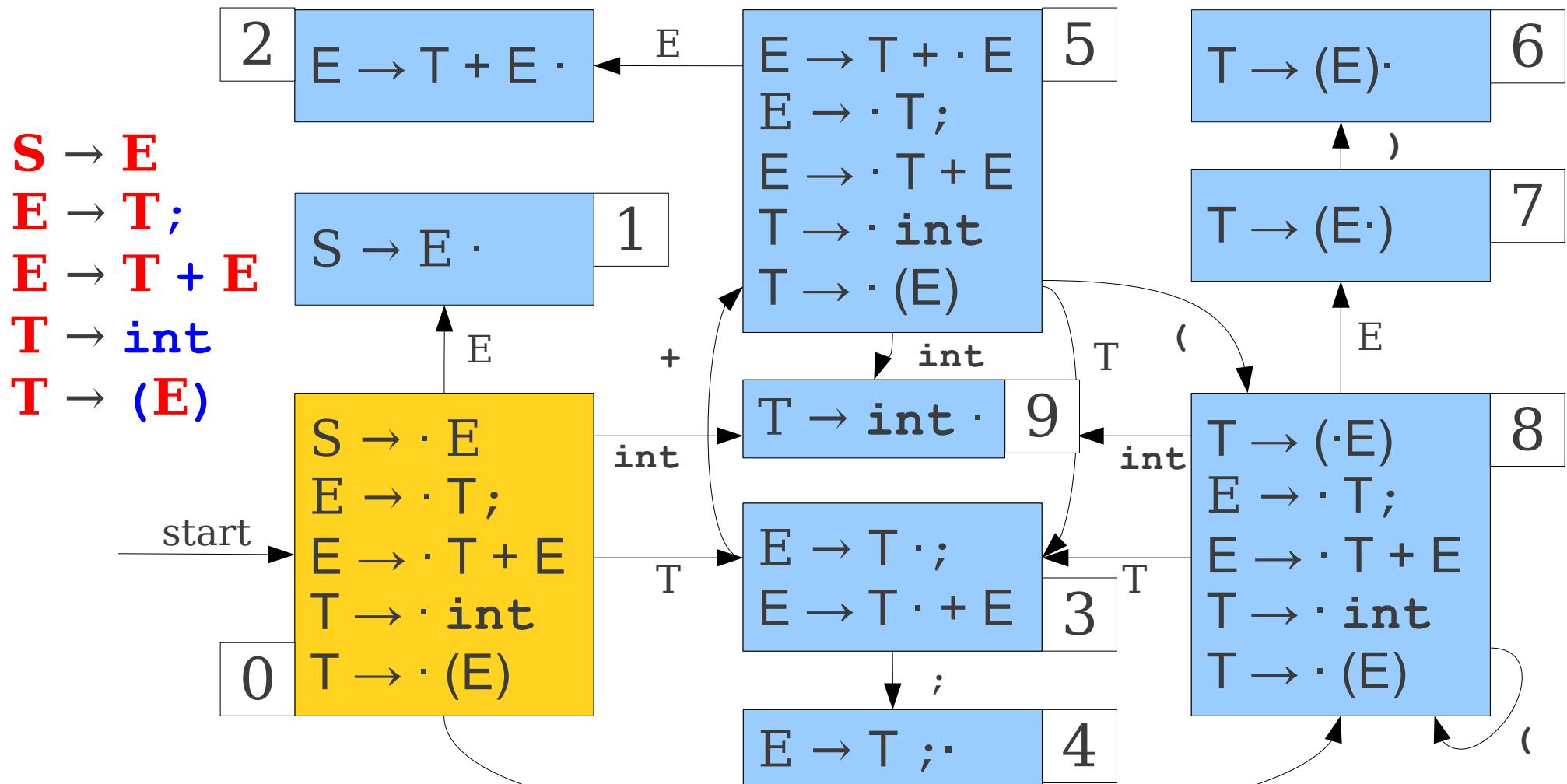
# LR(0) Parsing



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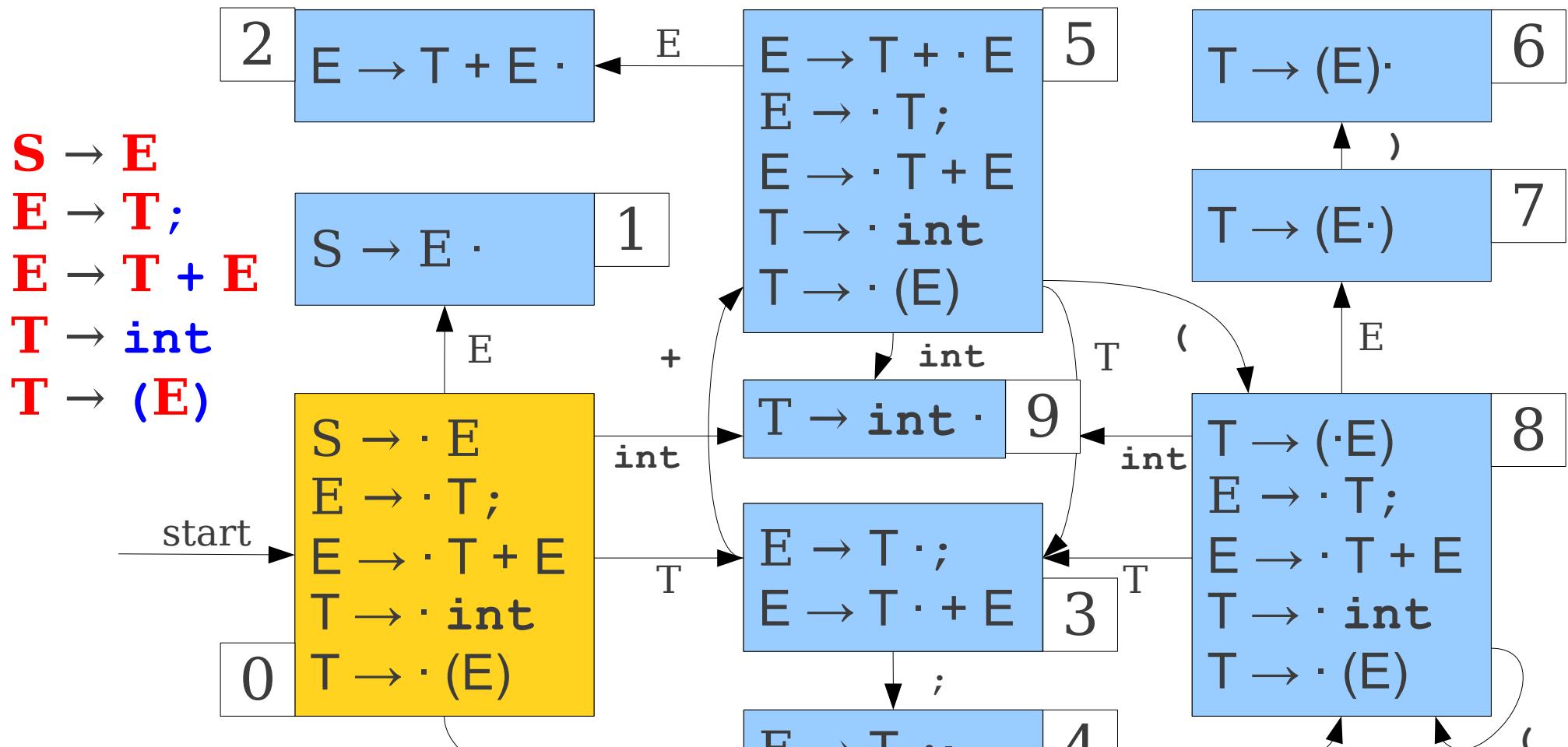
# LR(0) Parsing



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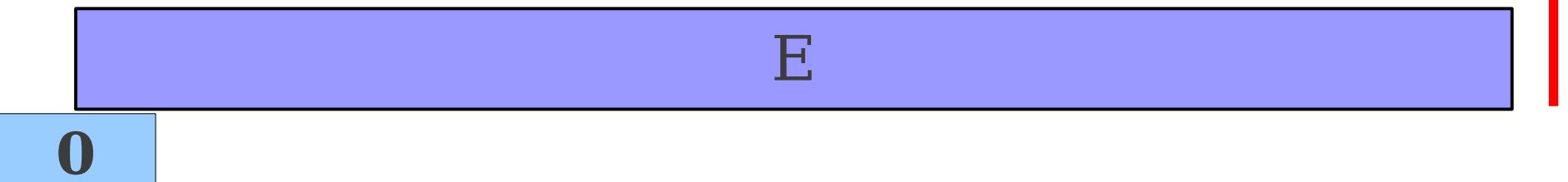
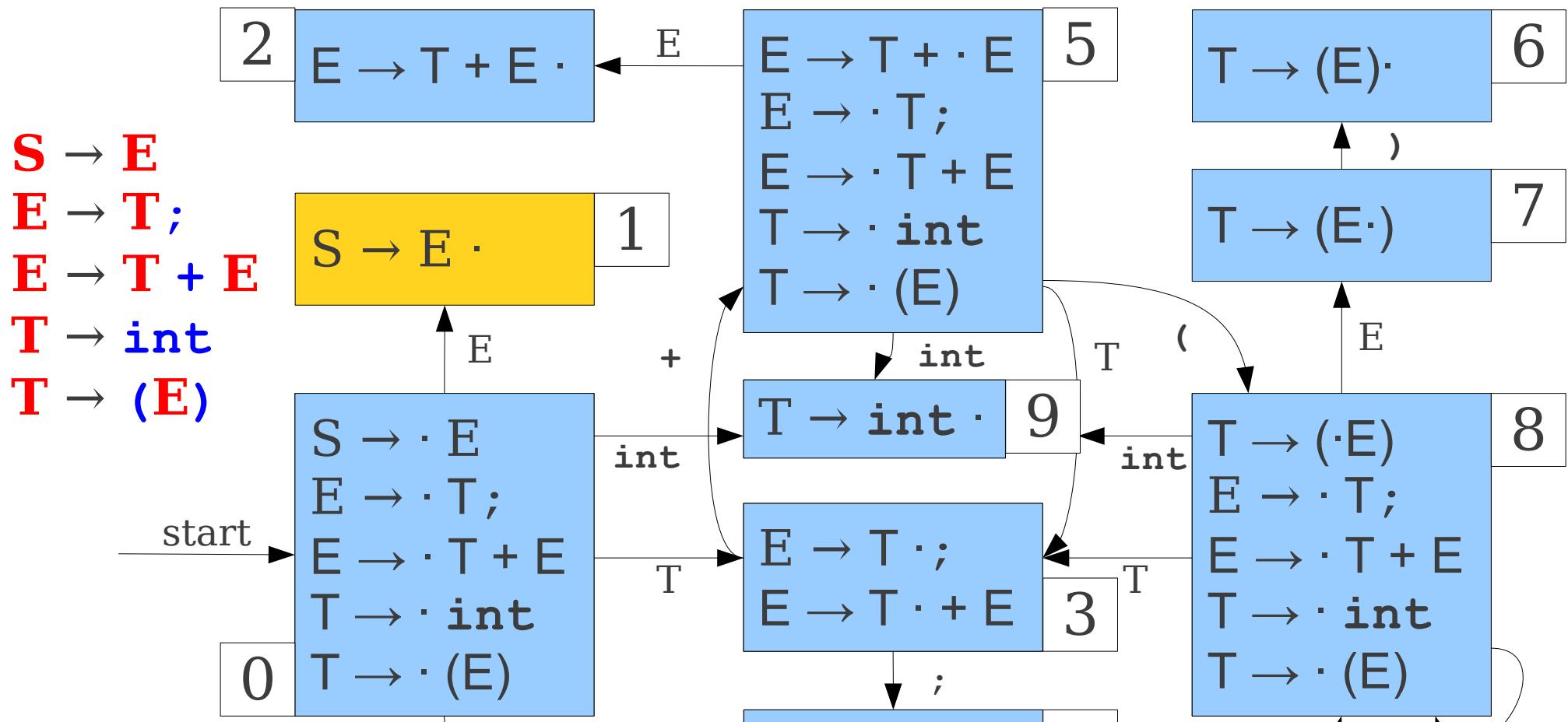
# LR(0) Parsing



Bottom of stack: **E**

Input buffer: **0 | )**

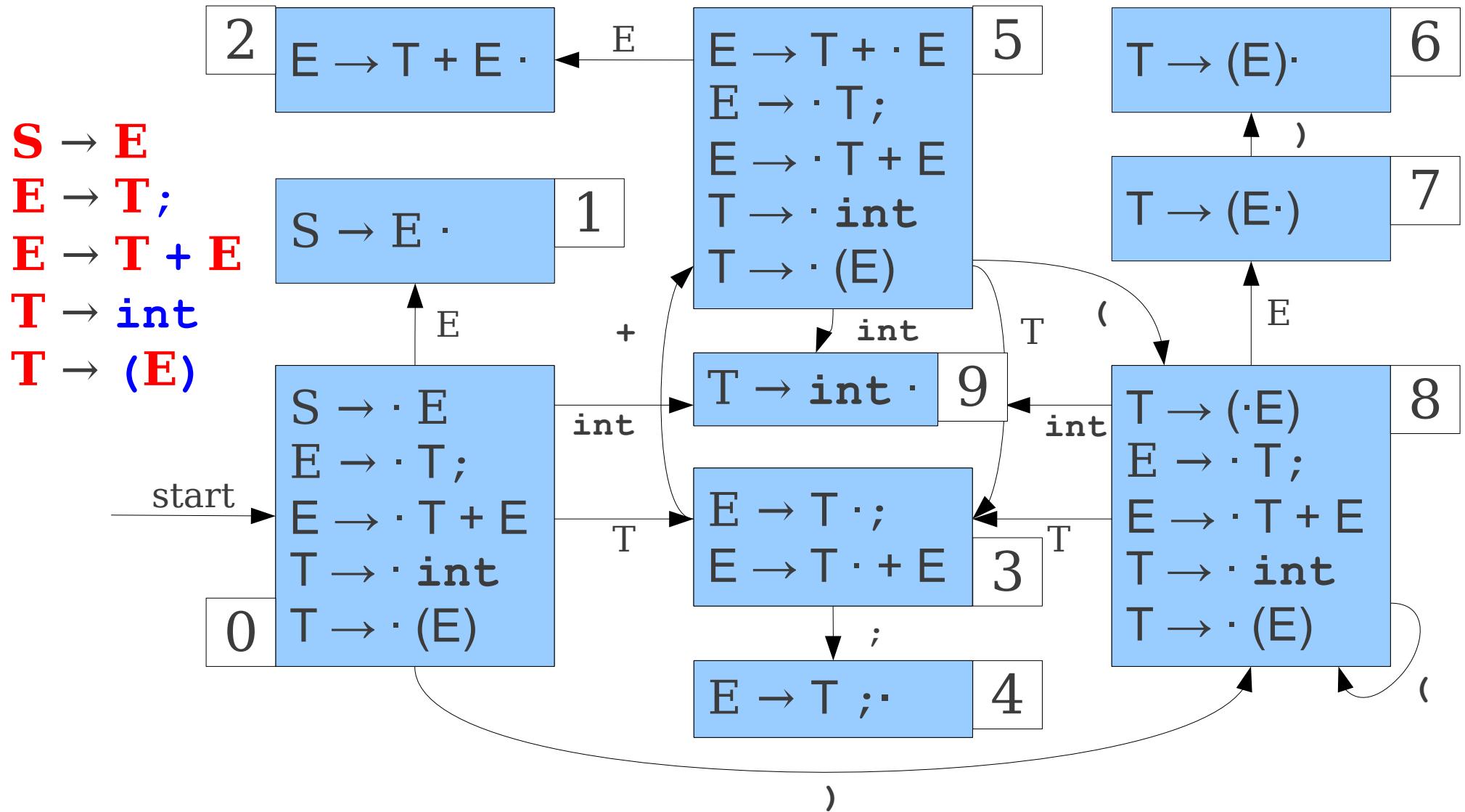
# LR(0) Parsing



# Representing the Automaton

- LR(0) parsers are usually represented via two tables: an **action** table and a **goto** table.
- The **action** table maps each state to an action:
  - **shift**, which shifts the next terminal, and
  - **reduce  $A \rightarrow \omega$** , which performs reduction  $A \rightarrow \omega$ .
  - Any state of the form  $A \rightarrow \omega \cdot$  does that reduction; everything else shifts.
- The **goto** table maps state/symbol pairs to a next state.
  - This is just the transition table for the automaton.

# Building LR(0) Tables



# LR(0) Tables

	<b>int</b>	<b>+</b>	<b>;</b>	<b>(</b>	<b>)</b>	<b>E</b>	<b>T</b>	Action
0	9			8		1	3	Shift
1								Accept
2								Reduce $E \rightarrow T + E$
3		5	4					Shift
4								Reduce $E \rightarrow T ;$
5	9			8		2	3	Shift
6								Reduce $T \rightarrow (E)$
7					6			Shift
8	9			8		7	3	Shift
9								Reduce $T \rightarrow int$

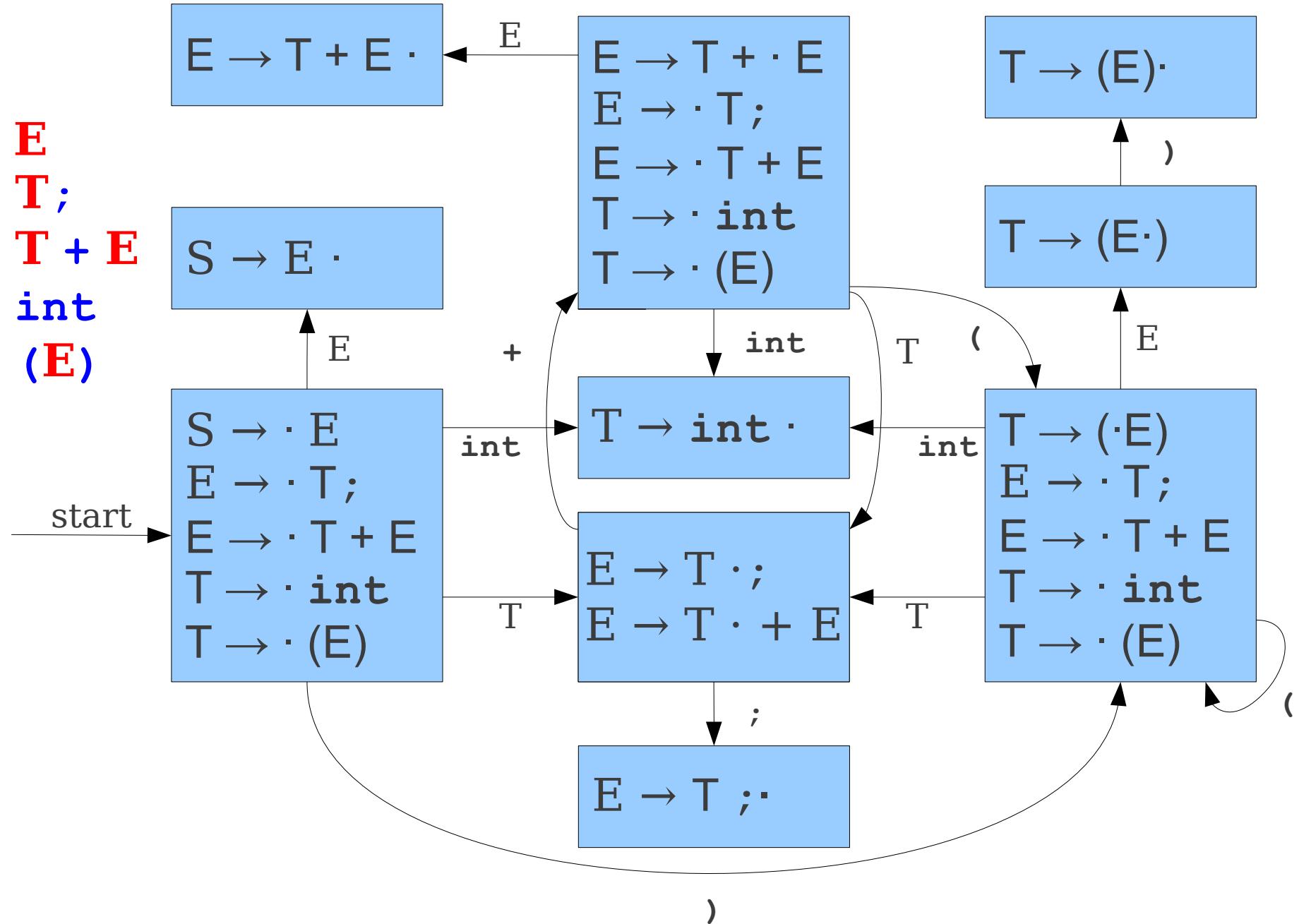
# The LR(0) Algorithm

- Maintain a stack of (symbol, state) pairs, which is initially  $(?, 1)$  for some dummy symbol  $?$ .
- While the stack is not empty:
  - Let **state** be the top state.
  - If **action[state]** is **shift**:
    - Let  $t$  be the next symbol in the input.
    - Push  $(t, \text{goto}[state], t)$  atop the stack.
  - If **action[state]** is **reduce  $A \rightarrow \omega$** :
    - Remove  $|\omega|$  symbols from the top of the stack.
    - Let **top-state** be the state on top of the stack.
    - Push  $(A, \text{goto}[top-state], A)$  atop the stack.
  - Otherwise, report an error.

# The Limits of LR(0)

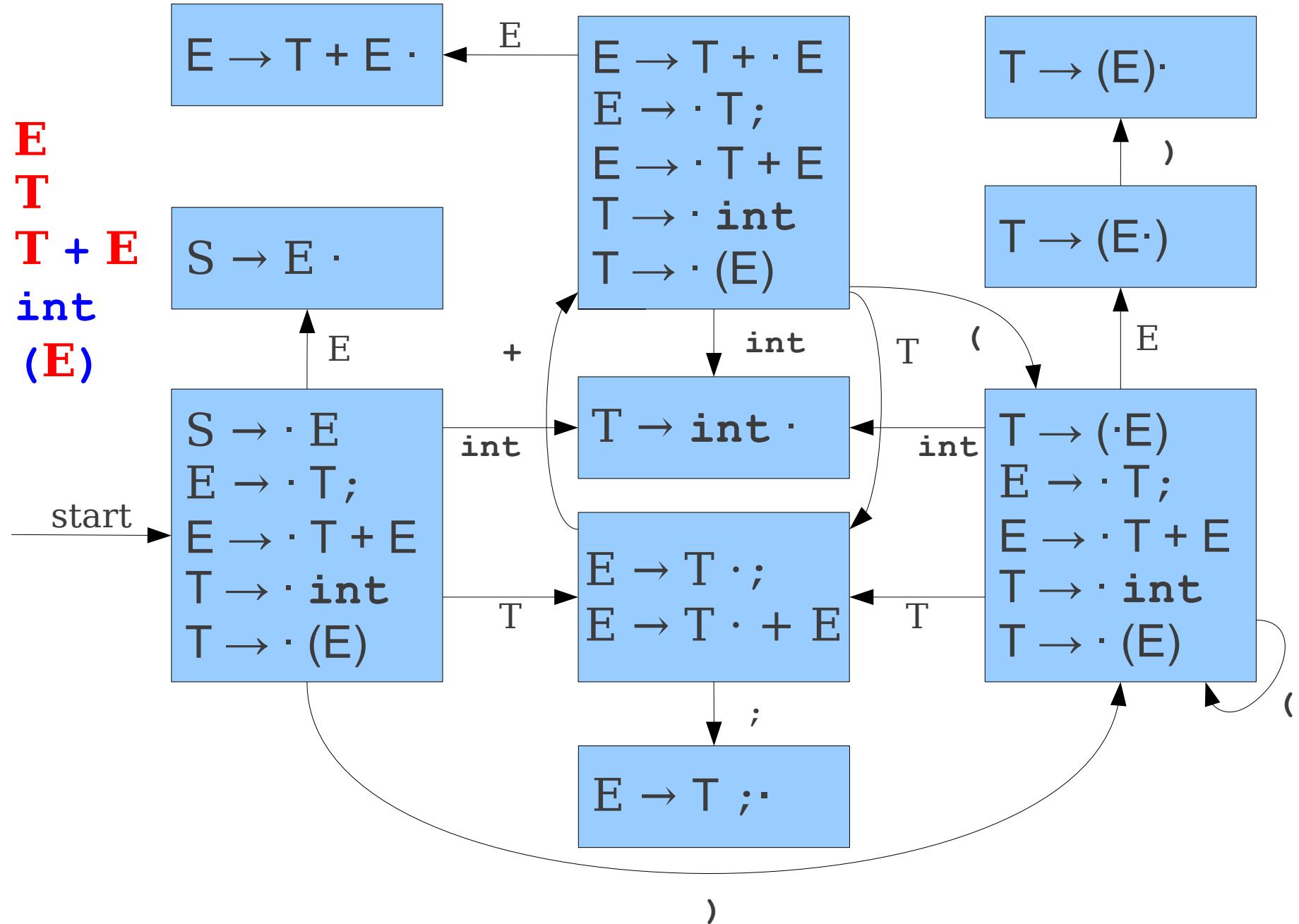
# A Non-LR(0) Grammar

$S \rightarrow E$   
 $E \rightarrow T;$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



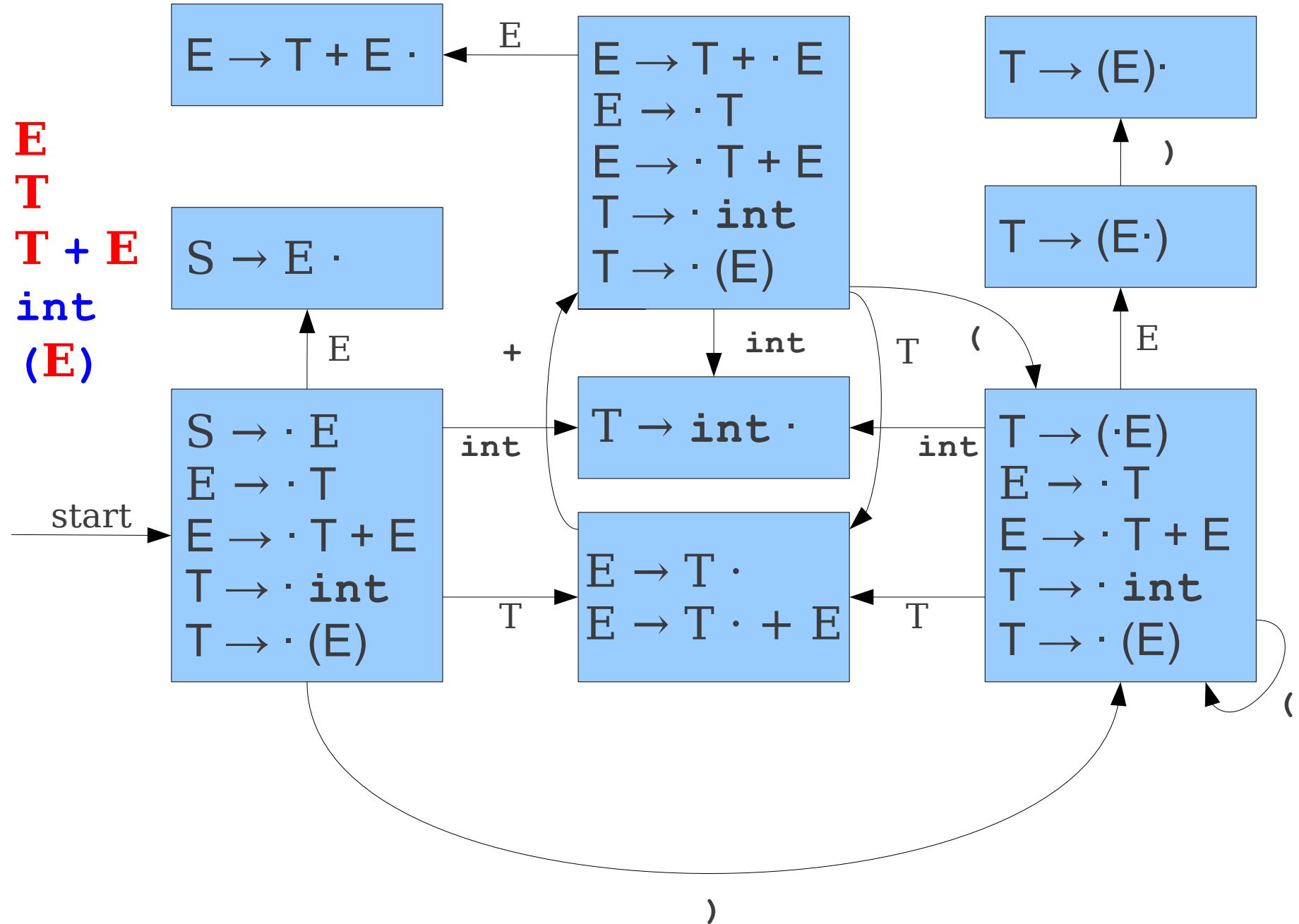
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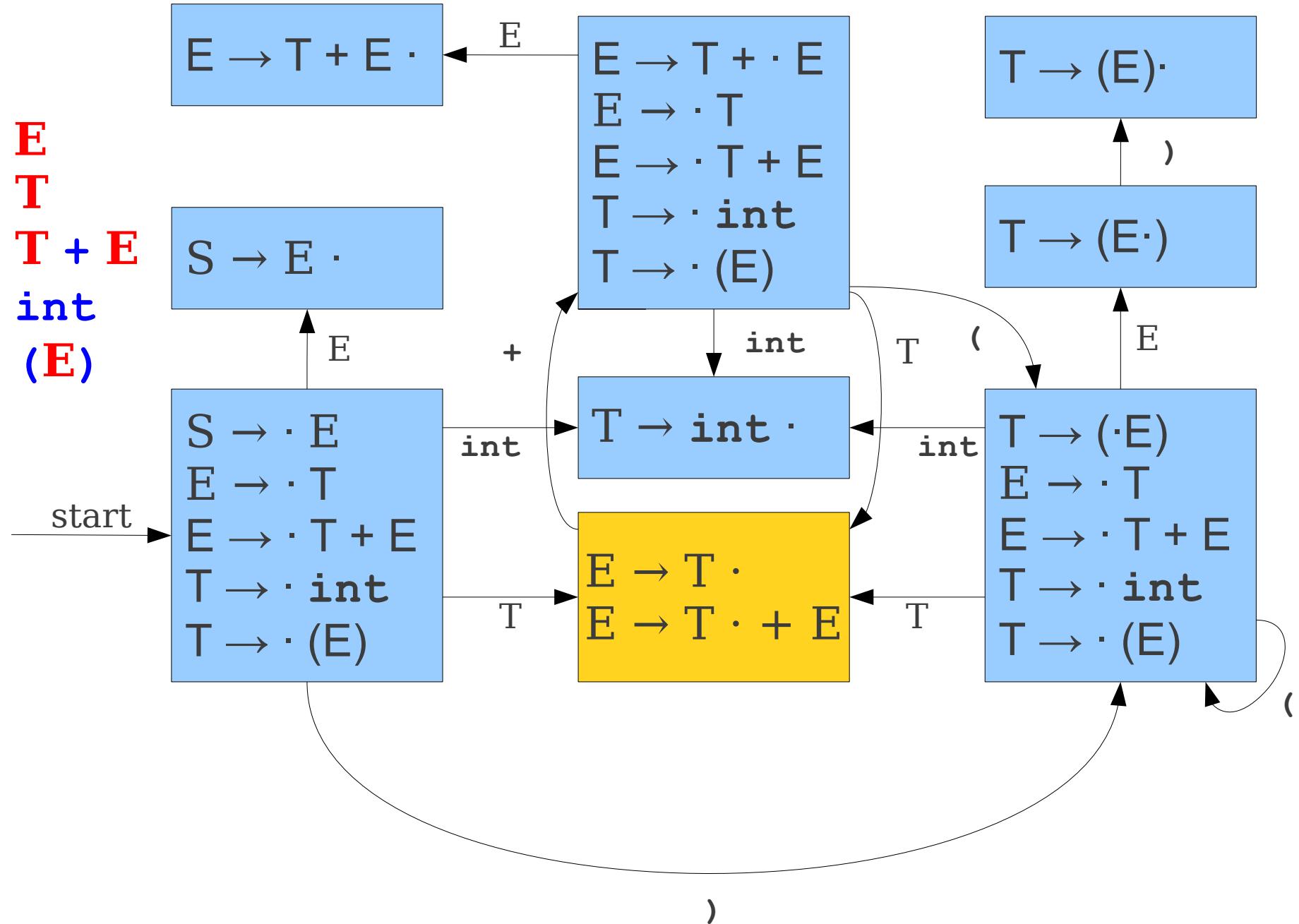
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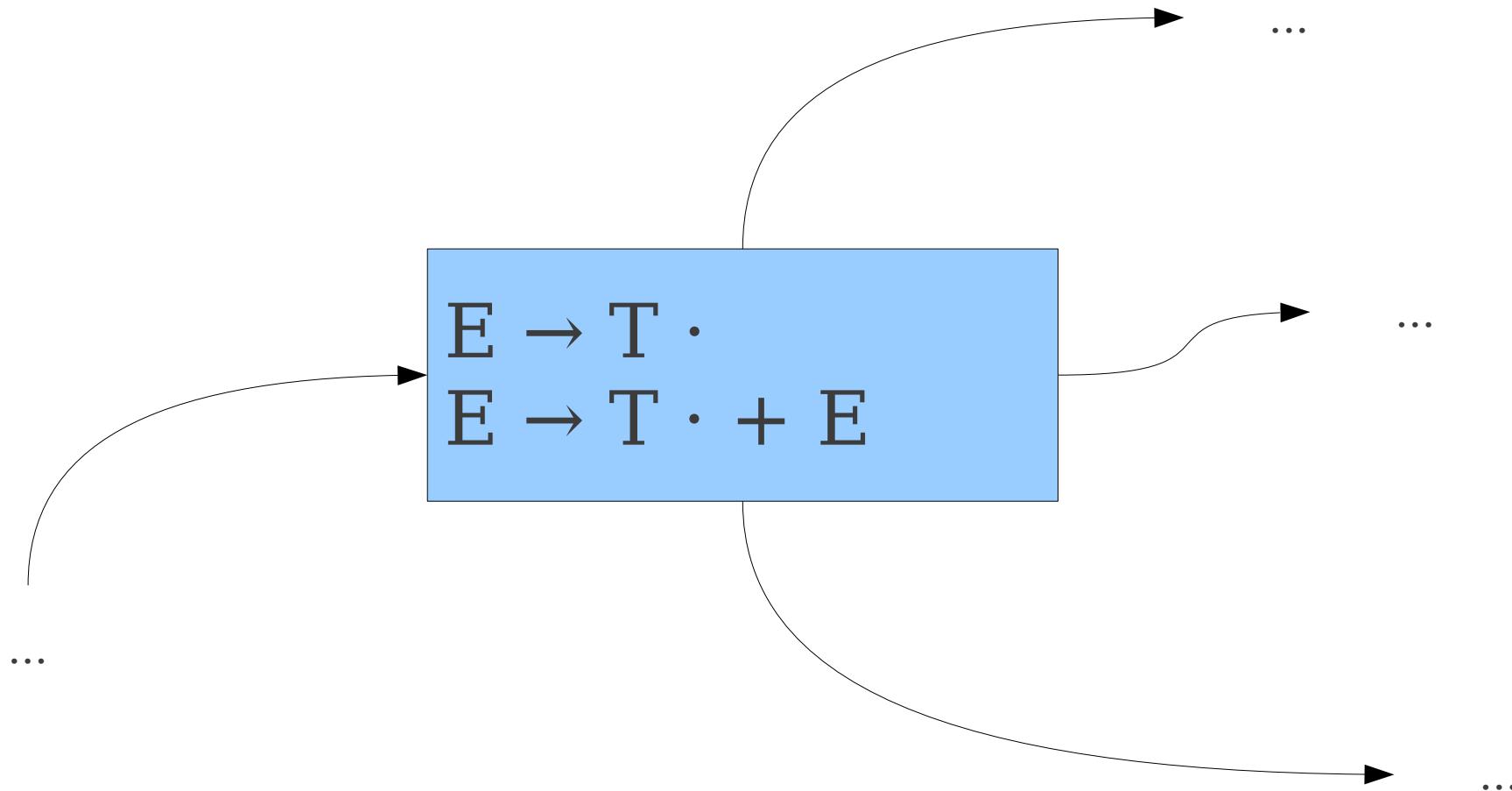


# LR Conflicts

- A **shift/reduce conflict** is an error where a shift/reduce parser cannot tell whether to shift a token or perform a reduction.
  - Often happens when two productions overlap.
- A **reduce/reduce conflict** is an error where a shift/reduce parser cannot tell which of many reductions to perform.
  - Often the result of ambiguous grammars.
- A grammar whose handle-finding automaton contains a shift/reduce conflict or a reduce/reduce conflict is not LR(0).
- Can you have a shift/shift conflict?

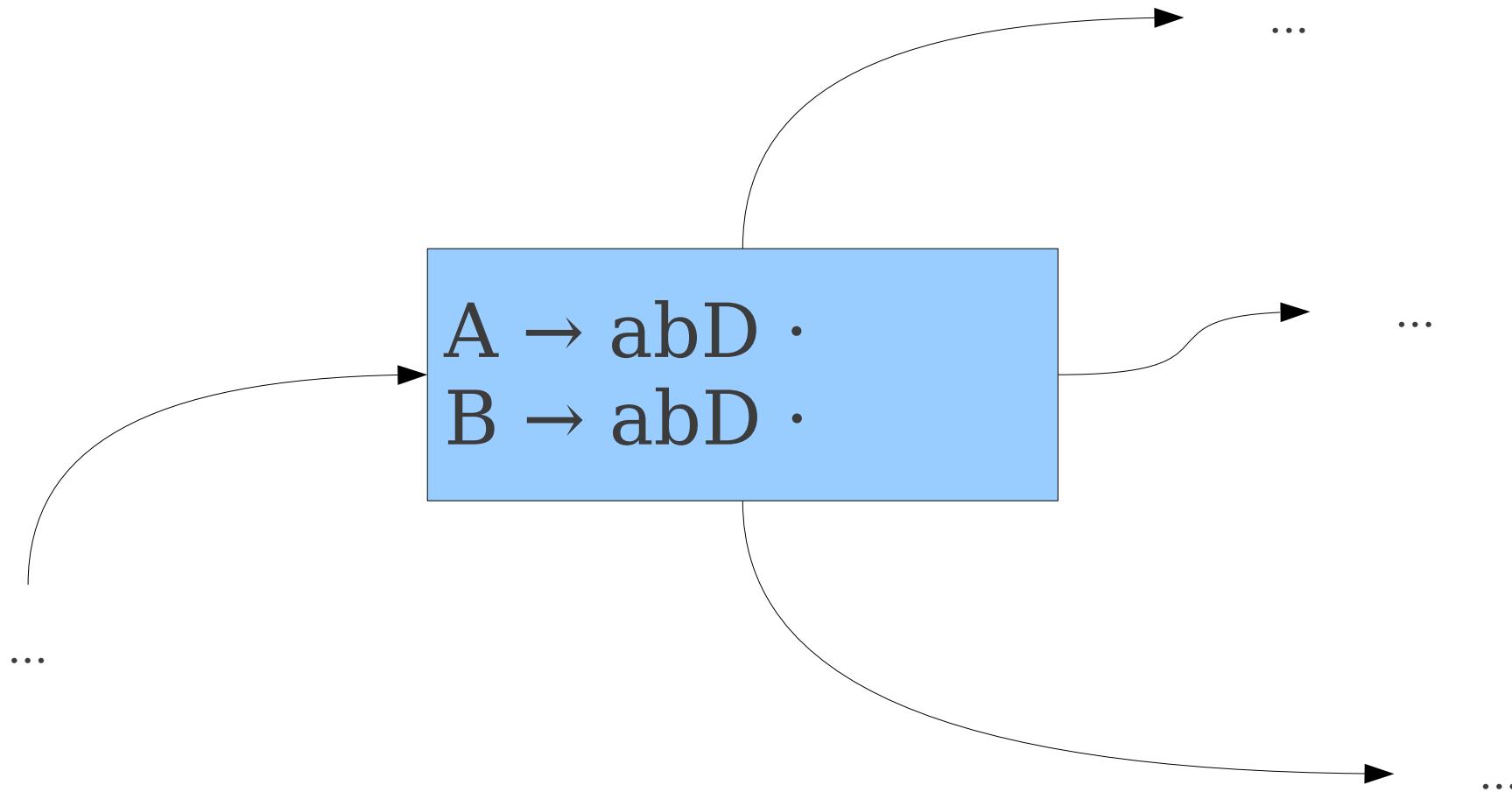
# What error is this?

# What error is this?



# What about this?

# What about this?



# What do these conflicts mean?

- Recall: our automaton was constructed by looking for viable prefixes.
- Each accepting state represents a point where the handle might occur.
- A **shift/reduce** conflict is a state where the handle might occur, but we might actually need to keep searching.
- A **reduce/reduce** conflict is a state where we know we have found the handle, but can't tell which reduction to apply.

# Next Time

- Add Lookahead to parse a more powerful subset of CFGs than LR(0).

